

UNITED STATES HOUSE OF REPRESENTATIVES  
2023 FINANCIAL DISCLOSURE REPORT

Form A  
For Use by Members, Officers, and Employees

HAND DELIVERED  
2024 MAY (Office Only)  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: BRADLEY JAMES SHEZMAN

Daytime Telephone: \_\_\_\_\_

FILER STATUS

☒

Member of the U.S. House of Representatives

State: CA  
District: 32

☐

Officer or Employee

Employing Office: \_\_\_\_\_

Staff Filer Type: (If Applicable)  
Shared ☐ Principal Assistant ☐

REPORT TYPE

☒

2023 Annual (Due: May 15, 2024)

☐

Amendment

☐

Termination  
Date of Termination: \_\_\_\_\_

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child:

a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? **OR**  
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

Yes ☒ No ☐

F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?

Yes ☒ No ☐

B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?

Yes ☒ No ☐

G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$480 in value from a single source during the reporting period?

Yes ☐ No ☒

C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes ☒ No ☐

H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$480 in value from a single source during the reporting period?

Yes ☐ No ☒

D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes ☒ No ☐

I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?

Yes ☐ No ☒

E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes ☐ No ☒

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes ☐ No ☒

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☐ No ☒

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **BRADLEY JAMES SHEZMAN**

Page **2** of **17**

BLOCK A Assets and/or Income Sources			BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use only ticker symbols).  For all IRAs and other retirement plans (such as 401(k) plans), provide the value for each asset held in the account that exceeds the reporting thresholds.  For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.  For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.  If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.  If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left.  For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.			Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."  *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.								For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.  *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period.  If only a portion of an asset was sold, please indicate as follows: (S (part)).  Leave this column blank if there are no transactions that exceeded \$1,000.
SP, DC, JT	EIF		A None	B \$1-\$1,000	C \$1,001-\$15,000	D \$15,001-\$50,000	E \$50,001-\$100,000	F \$100,001-\$250,000	G \$250,001-\$500,000	H \$500,001-\$1,000,000	I \$1,000,001-\$5,000,000	J \$5,000,001-\$25,000,000	K \$25,000,001-\$50,000,000	L Over \$50,000,000	M Spouse/DC Asset over \$1,000,000*	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1-\$200	III \$201-\$1,000	IV \$1,001-\$2,500	V \$2,501-\$5,000	VI \$5,001-\$15,000	VII \$15,001-\$50,000	VIII \$50,001-\$100,000	IX \$100,001-\$1,000,000	X \$1,000,001-\$5,000,000	XI Over \$5,000,000	XII Spouse/DC Asset with income over \$1,000,000*	P, S, S(part), or E
		Example: MassCom Bond						X									X																			
		Example: Simon & Schuster																				Royalties				X										
		ABC Hedge Fund	X						X													Partnership Income					X									
		BANK OF AMERICA																																		
		BANK ACCOUNT																																		

## Page 3 of 17

[illegible]

[illegible]

Page 5 of 17[illegible]

Page 6 of 17[illegible]

Page 7 of 17

[illegible]

## Page 8 of 17

[illegible]



## Page 9 of 17

[illegible]

Page 10 of 17

[illegible]

## Page 11 of 17

[illegible]

Name: **BRADLEY JAMES SHERMAN**

Page 12 of 17[illegible]

# SCHEDULE B - TRANSACTIONS

Name: **BRADLEY JAMES SHERMAN**

Page **13** of **17**

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Monthly, or Bi-weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/8/23		X									
	VANGUARD GROWTH ETF	X					2/24/23				X							
	15 SHARES 0-5 YEAR TIPS ETF	X					2/24/23				X							
	BNY MELLON US SMALL CAP CORE EQUITY ETF	X					2/24/23				X							
	BNY MELLON US SMALL CAP CORE EQUITY ETF		X				10/6/23				X							
	VANGUARD TOTAL STOCK MARKET INDEX ADMIRAL (SEE ATTACHED SCHEDULE OF ADDITIONAL TRANSACTIONS)	X					2/15/23					X						
	VANGUARD TIPS FUND (IRA) (SEE ATTACHED SCHEDULE OF TRANSACTIONS)	X																

# SCHEDULE B - TRANSACTIONS

Name: **BRADLEY JAMES SHERMAN** Page **14** of **17**

SP, DC, JT		Asset	Type of Transaction				Date	Amount of Transaction											
SP	DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	(MONTHLY or Quarterly, or Bi-weekly, if applicable)	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
		Example Mega Corp. Stock			X		X	3/6/23		X									
		529 ACCOUNTS FOR DEPENDENT CHILDREN:																	
		MOLLY: ISHARES 0-5 YEAR	X					11/7/23				X							
		TIPS ETF																	
		LUCY: ISHARES 0-5 YEAR	X					11/7/23				X							
		TIPS ETF																	
		NAOMI: ISHARES 0-5 YEAR	X					11/7/23				X							
		TIPS ETF																	
		NOTE PAYABLE BY PERSON I SOLD MY HOUSE TO, SECURED BY SAID HOUSE		X				2/10/23						X					



Page 16 of 17

[illegible]

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

[illegible]



# **SCHEDULE F - AGREEMENTS**

Name: **BRADLEY JAMES SHEPMAN** Page **17** of **17**

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2014	MYSELF AND THE STATE OF CALIFORNIA	PARTICIPATION IN CA STATE LEGISLATORS RETIREMENT PENSION (DEFINED BENEFIT PENSION)

# **SCHEDULE G - GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$480 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$192 or less need not be added towards the \$480 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500
N/A	N/A	N/A

**Vanguard Total Stock Market Index Admiral Shares – Schedule of Transactions**

**Purchases (Reinvestment of Dividends):**

3/22	--	\$1986.19
6/22	--	\$2096.39
9/20	--	\$2031.70
12/20	--	\$2561.55

**Vanguard Tips Fund IRA – Schedule of Transactions**

**Purchases (Dividend Reinvestment):**

3/31 --	\$6600.37
6/30 --	\$6666.37
9/29 --	\$6735.76
12/21 --	\$6867.20

**Footnotes**

<b>Number</b>	<b>Section/Schedule</b>	<b>Footnote</b>
1	General Information	Filer owns a home in his district and one in DC. Because such homes are not subject to a mortgage, and do not produce rental income, they are not listed in this report. He also owned a home in DC (which was sold in February 2023 which was subject to a mortgage and such mortgage is listed).
2	General Information	Lane M. Sherman Family Trust is a trust in which filer's mother had been sole beneficiary until her death on October 11, 2020. Virtually all the assets of said trust were distributed by December 21, 2022. The trust still has a small bank account at Chase Bank and the filers interest therein is disclosed in Schedule A.
3	General Information	Disclosure not required by law or regulations: filer's campaign committee has made significant investments, including in US government bonds (TIPS).
4	General Information	Filer serves or had served as trustee of trusts established by his parents and grandparents and as executor of his mother's estate. These positions are uncompensated and therefore not disclosed.
5	General Information	Non-interest-bearing loans to family members are not listed.
6	General Information	Two burial plots owned by filer are not listed. They were sold in 2023.
7	General Information	Filer sold his home in Los Angeles and as part of that transaction received from the seller a promissory note secured by a mortgage on the home that was sold. The home had previously not been listed as an asset in the Filers financial disclosure statement because it did not have

		a mortgage on it and was never rented to produce rental income. The Promissory Note is listed as sold as it was paid in full in 2023.
8	Schedule F	Date listed for agreement regarding state legislative pension is the date filer first received disbursement of benefit. Filer began accruing benefit when he took office on State Board of Equalization in January of 1991.
9	Schedule A	Filer reports income from bonds sold by issuer at a discount from face value, (known as OID) and which are payable at maturity at face value, incrementally each year as required by federal tax rules on recognizing such income notwithstanding that he will not realize such income until maturity of such bonds. Likewise, filer follows the OID tax rules for reporting income on Treasury Inflation Protected Securities.