Filing ID #10061567

Financial Disclosure Report

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Filer Information

Name: Sam T. Liccardo
Status: Congressional Candidate
State/District: CA16

Filing Information

Filing Type: Amendment Report
Filing Year: 2023
Filing Date: 05/15/2024
Period Covered: 01/01/2022– 11/30/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
American Express High Yield Savings Account [BA]		$50,001 - $100,000	Interest	$1 - $200	$1,001 - $2,500
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ 92210J135 [MF]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ RERGX [MF]		$1,001 - $15,000	Dividends	$1 - $200	$1,001 - $2,500
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ VEMPX [MF]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ VFWSX [MF]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ BrokerageLink ⇒ FDRXX** [MF]		$1 - $1,000	None		
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ BrokerageLink ⇒ FNILX [MF]		$50,001 - $100,000	Dividends	$1 - $200	$1,001 - $2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ BrokerageLink ⇒ FSUTX [MF]		$15,001 - $50,000	Dividends	$1 - $200	$1,001 - $2,500
Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ BrokerageLink ⇒ VOO [MF]		$1 - $1,000	Dividends	$1 - $200	$1 - $200
Real Property at 965-967 Florida Ave [RP] LOCATION: Imperial Beach, CA, US		$1,000,001 - $5,000,000	Rent	$5,001 - $15,000	$50,001 - $100,000
Residential Rental Property [RP] LOCATION: Tahoe City, Placer County, CA, US		$250,001 - $500,000	None		
Schwab ⇒ American States Water Company (AWR) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒ Bristol-Myers Squibb Company (BMY) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab ⇒ Cash & Cash Investments [BA]		$15,001 - $50,000	None		
Schwab ⇒ CHARLES SCHWAB BA 5.4%24CD FDIC INS DUE 09/18/24US [BA]		$100,001 - $250,000	Interest	$1 - $200	$1,001 - $2,500
Schwab ⇒ FEDERAL FARM CR 6.47%43 DUE 09/11/43 [BA]		$50,001 - $100,000	Interest	$1 - $200	$1,001 - $2,500
Schwab ⇒ FHLB 4.625%26 DUE 09/11/26 [BA]		$50,001 - $100,000	Interest	$1 - $200	$1,001 - $2,500
Schwab ⇒ FHLB 4.75%30 DUE 03/08/30 [BA]		$1,001 - $15,000	Interest	$1 - $200	$201 - $1,000
Schwab ⇒ First Trust NASDAQ Cybersecurity ETF (CIBR) [EF]		$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Schwab ⇒		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
INVESCO NASDAQ 100 ETF [EF]					
Schwab ⇒ Invesco NASDAQ 100 ETF (QQQM) [EF]		$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒ Invesco NASDAQ 100 ETF (QQQM) [EF]		$100,001 - $250,000	None		
Schwab ⇒ Invesco Water Resources ETF (PHO) [EF]		$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Schwab ⇒ JPMORGAN CHASE BK 5.6%24CD FDIC INS DUE 10/18/24US [BA]		$1,001 - $15,000	Interest	$1 - $200	$201 - $1,000
Schwab ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab ⇒ NovoCure Limited - Ordinary Shares (NVCR) [ST]		$1,001 - $15,000	None		
Schwab ⇒ NVIDIA Corporation (NVDA) [ST]		$15,001 - $50,000	None		
Schwab ⇒ PayPal Holdings, Inc. (PYPL) [ST]		$1 - $1,000	None		
Schwab ⇒ QQQM 02/16/2024 164.00 P [OP] Description: PUT INVESCO EXCHANGE-TRA$164 EXP 02/16/24		None	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒ QQQM 02/16/2024 166.00 P [OP] Description: PUT INVESCO EXCHANGE-TRA$166 EXP 02/16/24		None	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒ QQQM 03/15/2024 165.00 P [OP] Description: PUT INVESCO EXCHANGE-TRA$165 EXP 03/15/24		None	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒ SCHWAB VALUE ADVANTAGE MONEY INVESTOR SHARES [BA]		$1,001 - $15,000	Dividends	$1 - $200	$201 - $1,000
Schwab ⇒		$100,001 -	Dividends	$1 - $200	$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SCHWAB VALUE ADVANTAGE MONEY INVESTOR SHARES [BA]		$250,000			$2,500
Schwab ⇒ SOFI BANK, NTNL 5.35%24CD FDIC INS DUE 10/28/24US [BA]		$1,001 - $15,000	Interest	$1 - $200	$201 - $1,000
Schwab ⇒ SWPPX [MF]		$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Schwab ⇒ SWVXX [BA]		$50,001 - $100,000	None		
Schwab ⇒ US TREASU NT 2.125%03/24UST NOTE DUE 03/31/24 [GS]		$15,001 - $50,000	Interest	$1 - $200	$1 - $200
Schwab ⇒ Vanguard S&P 500 ETF (VOO) [EF]		$15,001 - $50,000	Dividends	$1 - $200	$201 - $1,000
Series I Treasury Bonds [GS]		$15,001 - $50,000	None		
Series I Treasury Bonds [GS]	SP	$1,001 - $15,000	None		
Spouse Schwab IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	SP	$1,001 - $15,000	None		
Spouse Schwab IRA ⇒ Apple Inc. (AAPL) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Spouse Schwab IRA ⇒ AT&T Inc. (T) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$1 - $200
Spouse Schwab IRA ⇒ Federal Farm Credit Bonds, due 2/26/24 [BA]	SP	$1,001 - $15,000	Dividends	$1 - $200	$201 - $1,000
Spouse Schwab IRA ⇒ FHLB 4.75% due 3.8.24 [BA]	SP	$15,001 - $50,000	Dividends	$1 - $200	$1,001 - $2,500
Spouse Schwab IRA ⇒ Fidelity Select Utilities [MF]	SP	$1,001 - $15,000	None		
Spouse Schwab IRA ⇒ NASDAQ 100 ETF [EF]	SP	$50,001 - $100,000	Dividends	$1 - $200	$201 - $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Spouse Schwab IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	$1,001 - $15,000	None		
Spouse Schwab IRA ⇒ QQQ ETF [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	$1 - $200
Spouse Schwab IRA ⇒ Schwab S&P 500 Index [MF]	SP	$50,001 - $100,000	None		
Spouse Schwab IRA ⇒ Schwab S&P 500 Index [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	$1,001 - $2,500
Spouse Schwab IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	$201 - $1,000

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Stanford University	Salary	N/A	$105,000.00
North 15th Street Consulting	Salary	N/A	$45,000.00
City of San Jose	Residual Income	N/A	$3,530.00
Westly Foundation	Spouse Salary	N/A	N/A
Koine Strategies	Spouse Salary	N/A	N/A
Capital Properties, Ltd	Spouse Salary	N/A	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PHH Mortgage	2021	Mortgage on Imperial Beach Investment Property	$250,001 - $500,000

Schedule E: Positions

Position	Name of Organization
Boardmember	Teach for America, Northern California
Boardmember (Former)	Valley Transportation Authority
Boardmember (Former)	Metropolitan Transportation Commission
Boardmember (Former)	Joint Venture: Silicon Valley

Schedule F: Agreements

None disclosed.

Schedule J: Compensation in Excess of $5,000 Paid by One Source

Source (Name and Address)	Brief Description of Duties
Stanford University (Stanford, CA, US)	Lecturer in Law
North 15th Street Consulting (San Jose, CA, US)	Consultant
Ground Floor Public Affairs (San Francisco, CA, US)	Client of North 15th Street Consulting (see above)

Schedule A Investment Vehicle Details

- Fidelity 457
- Fidelity 457 ⇒ Santa Clara 457 Plan
- Fidelity 457 ⇒ Santa Clara 457 Plan ⇒ BrokerageLink
- Schwab
  Location: US
- Spouse Schwab IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Sam T. Liccardo , 05/15/2024