Filing ID #10061258

Financial Disclosure Report

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Filer Information

Name: Hon. James A. Himes
Status: Member
State/District: CT04

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 06/4/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
American Express Savings [BA]	JT	$500,001 - $1,000,000	Interest	$15,001 - $50,000	☐
Chase Savings Account [BA]	DC	$1,001 - $15,000	Interest	$1 - $200	☐
Chase Savings Account [BA]	JT	$250,001 - $500,000	Interest	$1 - $200	☐
Chase Workplace Checking [BA]	JT	$50,001 - $100,000	Interest	$1 - $200	☐
DC2 ⇒ CT Moderate Growth [5F] LOCATION: CT	DC	$15,001 - $50,000	Tax-Deferred		☑
DC2 ⇒ CT Stable Value Portfolio (-) [5F] LOCATION: CT	DC	$1,001 - $15,000	Tax-Deferred		☑
Empower Aggressive Profile Fund [IH]		$100,001 - $250,000	Tax-Deferred		☐
Fidelity Brokerage ⇒	JT	$50,001 -	Capital Gains,	$2,501 - $5,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Columbia Contrarian Core Fund [EF]		$100,000	Dividends		
Fidelity Brokerage ⇒ Fidelity Capital Appreciation [EF]	JT	$250,001 - $500,000	Capital Gains, Dividends	$5,001 - $15,000	☐
Fidelity Brokerage ⇒ Fidelity Government Money Market [EF]	JT	$250,001 - $500,000	Interest	$5,001 - $15,000	☐
Fidelity Brokerage ⇒ Fidelity International Discovery [EF]	JT	$100,001 - $250,000	Capital Gains, Dividends	$2,501 - $5,000	☐
Fidelity Brokerage ⇒ Fidelity International Small Cap [EF]	JT	$100,001 - $250,000	Capital Gains, Dividends	$2,501 - $5,000	☐
Fidelity Brokerage ⇒ Fidelity Intl Cap Appreciation [EF]	JT	$250,001 - $500,000	Capital Gains, Dividends	$201 - $1,000	☐
Fidelity Brokerage ⇒ Fidelity Intl Real Estate Fund [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Fidelity Brokerage ⇒ Fidelity Pacific Basin [EF]	JT	$50,001 - $100,000	Dividends, Interest	$2,501 - $5,000	☐
Fidelity Brokerage ⇒ Fidelity Total Mkt Index FID Advantage [EF]	JT	$1,000,001 - $5,000,000	Capital Gains, Dividends	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ GS Asia Equity Class A [EF] COMMENTS: Position sold 2023	JT	None	Capital Gains, Dividends	$50,001 - $100,000	☑
Fidelity Brokerage ⇒ GS Small Cap Value [EF]	JT	$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☐
Fidelity Brokerage ⇒ IShares S&P 500 [EF]	JT	$500,001 - $1,000,000	Capital Gains, Dividends	$5,001 - $15,000	☐
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]	JT	$100,001 - $250,000	Capital Gains, Dividends	$1,001 - $2,500	☐
Fidelity Brokerage ⇒ Vanguard Information Technology ETF [EF]	JT	$100,001 - $250,000	Dividends	$201 - $1,000	☐
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [EF]		$100,001 - $250,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Rollover IRA ⇒ Fidelity Capital & Income [EF]		$100,001 - $250,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Growth Strategies Fund [EF]		$250,001 - $500,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Intl Cap Appreciation [EF]		$100,001 - $250,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income [EF]		$100,001 - $250,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Total Bond [EF]		$50,001 - $100,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Total Mkt Index FID Advantage [EF]		$100,001 - $250,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [EF]		$250,001 - $500,000	Tax-Deferred		
Fidelity Rollover IRA ⇒ Hennessy Cornerstone Growth Inv [EF]		$50,001 - $100,000	Tax-Deferred		
Fidelity Self-Employed 401(k) ⇒ Columbia Select Large Cap Equity [EF]		$15,001 - $50,000	Tax-Deferred		
Fidelity Self-Employed 401(k) ⇒ Fidelity Blue Chip Value [EF]		$1,001 - $15,000	Tax-Deferred		
Fidelity Self-Employed 401(k) ⇒ Fidelity Cash Reserves [BA]		$1 - $1,000	Tax-Deferred		
Fidelity Self-Employed 401(k) ⇒ Fidelity Fund [EF]		$15,001 - $50,000	Tax-Deferred		
GS Cash Deposits [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	
GS Funds ⇒ Whitehall Street Global Employee Fund [HE] DESCRIPTION: EIF	JT	None	Capital Gains, Excepted/Blind Trust	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
JP Morgan Brokerage Account ⇒ JP Morgan Chase Cash Account [BA] Description: Account closed in 2023	JT	None	Interest	$1 - $200	☐
Principal Bank Safe Harbor IRA [IH]		$1,001 - $15,000	Tax-Deferred		☐
Spouse IRA ⇒ Fidelity Magellan [MF]	SP	$100,001 - $250,000	Tax-Deferred		☐

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DC2 ⇒ CT Moderate Growth [5F] Location: CT	DC	07/11/2023	S (partial)	$15,001 - $50,000	☑
DC2 ⇒ CT Moderate Growth [5F] Location: CT	DC	12/26/2023	S (partial)	$15,001 - $50,000	☑
DC2 ⇒ CT Stable Value Portfolio [5F] Location: CT	DC	07/11/2023	S (partial)	$1,001 - $15,000	☑
DC2 ⇒ CT Stable Value Portfolio [5F] Location: CT	DC	12/26/2023	S (partial)	$1,001 - $15,000	☑
Fidelity Brokerage ⇒ Fidelity 2022 Private Equity Multi Strategy [HN]	JT	02/22/2023	P	$50,001 - $100,000	
Fidelity Brokerage ⇒ Goldman Sachs China Equity Fund - Class A (GSAGX) [MF]	JT	04/28/2023	S	$100,001 - $250,000	☑
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value (FBCVX) [MF] Description: Reinvestment		09/8/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒		12/15/2023	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Blue Chip Value (FBCVX) [MF] Description: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income (FRIFX) [MF] Description: Reinvestment		04/5/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income (FRIFX) [MF] Description: Reinvestment		07/7/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income (FRIFX) [MF] Description: Reinvestment		10/6/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income (FRIFX) [MF] Description: Reinvestment		12/15/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Total Market Index Fund (FSKAX) [MF] Description: Reinvestment		12/15/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Value Discovery (FVDFX) [MF] Description: Reinvestment		09/8/2023	P	$1,001 - $15,000	
Fidelity Rollover IRA ⇒ Fidelity Value Discovery (FVDFX) [MF] Description: Reinvestment		12/8/2023	P	$1,001 - $15,000	
Fidelity Self-Employed 401(k) ⇒ Columbia Select Large Cap Equity Fund Class I (NSEPX) [MF] Description: Reinvestment		12/7/2023	P	$1,001 - $15,000	
JP Morgan Brokerage Account ⇒ Direxion Daily FTSE China Bull 3x Shares (YINN) [EF] Description: Sale of position	JT	04/28/2023	S	$15,001 - $50,000	☐
Spouse IRA ⇒ Fidelity Magellan (FMAGX) [MF] Description: Reinvestment	SP	12/8/2023	P	$1,001 - $15,000	
Spouse IRA ⇒	SP	05/12/2023	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Magellan (FMAGX) [MF] DESCRIPTION: Reinvestment					

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	JP Morgan Chase	October 1998	Mortgage on 197 Valley Rd, Cos Cob, CT	$100,001 - $250,000
JT	JP Morgan Chase	April 2021	Mortgage on 45 Sutton Sq, DC	$500,001 - $1,000,000
JT	American Express	Year End Balance	Credit Card	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Marshall Scholars Foundation	01/12/2023	01/17/2023	New York, NY - London, UK - New York, NY	0	✓	✓	✓
Aspen Institute	02/21/2023	02/25/2023	New York, NY - Cartagena, Colombia - New York, NY	0	✓	✓	✓

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- DC2 (Owner: DC)
  Location: CT
- Fidelity Brokerage (Owner: JT)
  Location: US
- Fidelity Rollover IRA
- Fidelity Self-Employed 401(k)
- GS Funds (Owner: JT)
  Location: US
  Description: EIF
- JP Morgan Brokerage Account (Owner: JT)
  Location: US
- Spouse IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James A. Himes , 06/4/2024