



Filing ID #10061100

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tom Cole
Status: Member
State/District: OK04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/13/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--|--------------------|-------------------------------------|
| 10 Acres of land in Johnson County, Owned with Brother [RP] LOCATION: Johnson County, OK, US | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Alliant Energy Corporation (LNT) [ST] | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Becton, Dickinson and Company (BDX) [ST] | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Burke & Herbert Money Market Fund [BA] | | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Cole, Hargrave and Snodgrass [OL] LOCATION: Moore, OK, US DESCRIPTION: Polling, Political Campaign Consulting Company | | \$250,001 - \$500,000 | Capital Gains, Dividends, Management Fee | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|--------------------------|-------------------|--------------------------|
| Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Cole, Hargrave, Snodgrass 401(K) ⇒ Vankemp Common Stock Fund A [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Duke Energy Corporation (DUK) [ST] | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Ellen Cole's 401(k) ⇒ | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|-------------------|--------------------------|
| Fidelity Spartan 500 Index Fund [MF] | | | | | <input type="checkbox"/> |
| Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Embeckta Corp. (EMBC) [ST] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | None | <input type="checkbox"/> |
| Enbridge Inc (ENB) [ST] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Exxon Mobil Corporation (XOM) [ST] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Fidelity Contrafund [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Fidelity Spartan 500 Index Fund [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF] | JT | \$250,001 - \$500,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Johnson Controls, Inc. (JCI) [ST] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Mid First Money Market Fund [BA] | JT | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Personal Residence [RP] | JT | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| LOCATION: Moore / Cleveland, OK, US | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|--------------------------|---------------------|--------------------------|
| T. Rowe Price Equity Income Fund [MF] | JT | \$250,001 - \$500,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Tom's Federal Thrift Savings Plan ⇒ C Fund [IH] | | \$500,001 - \$1,000,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Federal Thrift Savings Plan ⇒ F Fund [IH] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Federal Thrift Savings Plan ⇒ G Fund [IH] | | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Federal Thrift Savings Plan ⇒ I Fund [IH] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Tronox Limited Ordinary Shares Class A \$0.01 par (TROX) [ST] | JT | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| Vanguard Dividend Growth Fund [MF] | JT | \$250,001 - \$500,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Vanguard Energy Investor Fund [MF] | JT | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Vanguard Financial Index Admiral Fund [MF] | JT | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Vanguard Growth Index Fund [MF] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Vanguard High Yield Tax Exempt Fund [MF] | JT | \$250,001 - \$500,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|--------------------------|--------------------|-------------------------------------|
| Vanguard Real Estate Fund [MF] | JT | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Vanguard Short-Term Treasury ETF (VGSH) [EF] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | None | <input checked="" type="checkbox"/> |
| Vanguard Small Cap Index Fund [MF] | JT | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Vanguard Total International Stock Fund [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Vanguard Total Stock Market Index Fund [MF] | JT | \$500,001 - \$1,000,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Vanguard Value Index Fund [MF] | JT | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Walgreen Co. (WAG) [ST] | JT | None | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Wells Fargo & Company (WFC) [ST] | JT | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|--------------------------|
| Cole, Hargrave, Snodgrass, and Associates, Inc. Common Stock [PS] DESCRIPTION: sale of stock | | 07/8/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard Short-Term Treasury ETF (VGSH) [EF] | JT | 02/13/2023 | P | \$1,001 - \$15,000 | |
| Vanguard Short-Term Treasury ETF (VGSH) [EF] | JT | 05/2/2023 | P | \$1,001 - \$15,000 | |
| Walgreen Co. (WAG) [ST] | JT | 02/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--|----------------|-------------|
| Cole, Hargrave, Snodgrass and Associates | Management Fee | \$10,000.00 |

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-------------------|--|
| Partner | Cole, Hargrave, Snodgrass and Associates |
| Board of Trustees | United States Capitol Historical Society |
| Partner | Strategic Communications |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|------------|--|---|
| April 2003 | Cole, Hargrave, Snodgrass and Associates | Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards) |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

| |
|--|
| <ul style="list-style-type: none">◦ Cole, Hargrave, Snodgrass 401(K)◦ Ellen Cole's 401(k) (Owner: SP)◦ Ellen's Fidelity IRA (Owner: SP)◦ Fidelity Variable Annuity (Owner: JT)◦ Tom's Federal Thrift Savings Plan LOCATION: US |
|--|

- Tom's Fidelity IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Cole , 08/13/2024