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FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Babin
Status: Member
State/District: TX36

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/3/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brian Babin DDS Dental Practice [RP] LOCATION: Woodville, TX, US		None	sale	\$1,001 - \$2,500	<input type="checkbox"/>
Calton Bank Account [BA]	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Chevron Corporation (CVX) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Citizen State Bank [BA]		\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Education First Federal Credit Union [BA]	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
land parcel [RP] LOCATION: Woodville, TX, US		\$500,001 - \$1,000,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stellar Bank [BA]	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Broad USD Investment Grade Corporate Bond [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Resource Management Account ⇒ Aberdeen Ultra Short Municipal Income Fund ATOIX [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ American Century Inflation Adjusted [IH]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ American Funds Tax-Exempt Preservation Portfolio Class F-2 (TXEFX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund C MCDVX [IH]	JT	None	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock High Yield Bond C BHYCX [IH]	JT	None	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock National Municipal Fund- MANLX [IH]	JT	None	None		<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Fidelity Advisor Industrial Funds- FCLIX [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Advisor Industrials Cl C (FCLCX) [MF]					<input type="checkbox"/>
UBS Resource Management Account ⇒ Growth Fund of America GFFFX [IH]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Developing Markets- ODVYX [IH]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund JMVAX [MF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Janus Henderson Overseas Fund Class I JIGFX [IH]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ MFS Emerging Markets Bond Fund- MEDCX [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ PACE Government Money Market Investment Fund- PCEXX [BA]	JT	None	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Plains All Amer Pipeline- PAA [IH]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ SPDR Tech Sector [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Starwood REIT [RE]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Templeton Global Bond [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ UBS Bank [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS Resource Management Account ⇒ UBS Select Treasury Preferred Fund [BA]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Virtus Multi-Sector Short Term Bond- PSTCX [IH]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
UBS Resource Management Account ⇒ Voya Corporate Leaders Trust- LEXCX [IH]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Western Asset Municipal- LMHIX [IH]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American High Income Trust [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American High-Inc [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American Mutual C Fund- AMFCX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American Mutual Fund [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Capital Income Builder [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Capital Income Builder Fund [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Income Fund [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Income Fund of America [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds US GOV Money Market Fund AFCXX [IH]		\$1 - \$1,000	None		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds US Government Securities Cl A AMUSX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Funds US Government Securities Fund [IH]					
UBS SEP IRA ⇒ American Funds US Govt Money Market [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Barrick Gold Corp Cad ABX [IH]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Compass Diversified Holdings- CODI [IH]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ DWS RREEF global Infrastructure Fund TOLCX [MF]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Enbridge Inc (ENB) [ST]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Energy Transfer LP Common Units (ET) [ST]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Enterprise Products Partner- EPD [IH]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Fidelity Advisor Industrials Class A [IH]					
	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Fidelity Advisor Industrials Class C [IH]					
	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Fidelity Advisor Industrials- FCLAX [IH]					
		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ First Eagle Gold- FEGOX [IH]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ FTAI Aviation Ltd. (FTAI) [ST]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Name change from Fortress Transportation and Infrastructure.					
UBS SEP IRA ⇒ FTAI Infrastructure Inc. (FIP) [ST]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP IRA ⇒ Huntington Inglas- HII [IH]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ IAMGOLD Corp- IAG [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Invesco Real Estate- IARCX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Ishares US Aerospace & Defense- ITA [IH]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Kinder Morgan Inc [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Loomis Sayles Strategic- NEZTX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ MAN-AHL Diversified I Class B [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Newmont Mining Corp- NEM [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Permanent Portfolio Fund- PRPFX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ PGIM JENN Natural Resources PNRZX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Range Resources Corporation (RRC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ South32 LTD- SOUHY [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ TCW Emerging Markets- TGEIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Templeton Gblal- TGBAX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS Bank [IH]					<input type="checkbox"/>
UBS SEP IRA ⇒ UBS Select Treasury Preferred Fund [BA]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Vaneck Vectors Gold Miners- GDX [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Virtus Duff & Phelps Real Estate- PHRCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Virtus Vontobel Emerging Markets Opportunities Fund PICEX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Westshore Terms Invt Corp- WTSHF [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Wheaton Precious Metals Corp Common Shares (WPM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Williams Companies, Inc. (WMB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Woodside Energy Group Limited American Depositary Shares, each representing one Ordinary Share (WDS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ American Century Inflation Adjusted Treasury- ACITX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ DWS Capitol Growth Fund SCGSX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Gabelli Small Cap Growth- GACIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Goldman Sachs Short Duration Gov- GSTGX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Hartford International- IHOIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Invesco Mid Cap Fund Class Y OPMYX [IH]					
UBS SEP IRA 2 - PACE ⇒ Templeton Glbal Bond- TGBAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Transamerica High Yield Bond- TDHIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Virtus Vontobel Emerging Markets- HIEMX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Voya Corporate Leaders- LEXCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS Resource Management Account ⇒ American Funds Tax-Exempt Preservation Portfolio Class F-2 (TXEFX) [MF]	JT	08/7/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ BlackRock Eq Dividend C (MCDVX) [MF]	JT	08/4/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ BlackRock High Yield Bond Portfolio - Class C (BHYCX) [MF]	JT	08/7/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ BlackRock National Muni I (MANLX) [MF]	JT	08/4/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [EF]	JT	08/4/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]	JT	08/4/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	JT	08/4/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS Resource Management Account ⇒ iShares Russell 2000 Value ETF (IWN) [EF]	JT	07/24/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund - I Shares (JMVAX) [MF]	JT	12/12/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ The Growth Fund of America Class F-2 Shares (GFFFX) [MF]	JT	12/18/2023	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ UBS Select Treasury Preferred Fund [BA]	JT	07/24/2023	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ Fidelity Advisor Industrials Cl A (FCLAX) [MF]		12/18/2023	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ Fidelity Advisor Industrials Cl C (FCLCX) [MF]	SP	12/18/2023	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ UBS Select Treasury Preferred Fund [BA]		09/12/2023	P	\$15,001 - \$50,000	

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- UBS Inherited IRA (Owner: SP)
- UBS Resource Management Account (Owner: JT)
LOCATION: US
- UBS SEP IRA (Owner: SP)
- UBS SEP IRA
- UBS SEP IRA 2 - PACE

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Babin , 08/3/2024