



Filing ID #10060911

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Richard E. Neal
Status: Member
State/District: MA01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 05/15/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional Federal Credit Union [BA]		\$1 - \$1,000	None		<input type="checkbox"/>
Fidelity Balanced Fund (FBALX) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity Leveraged Company Stock Fd (FLVCX) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
First Republic Bank [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: This was transferred to Needham Bank					
Goldman Sachs Bank [BA]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Lincoln Financial Securities [BA]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: These funds were transferred to Needham Bank.					
Needham Bank [BA]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
T. Rowe Price Capital Appreciation Fund (PRWCX) [MF]		\$15,001 - \$50,000	Capital Gains,	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Dividends					
T. Rowe Price Global Stock Fund (PRGSX) [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
First Republic Bank [BA] DESCRIPTION: Transferred to Needham Bank		08/3/2023	E	\$15,001 - \$50,000	
Goldman Sachs Bank [BA] DESCRIPTION: Sent to Needham Bank by wire transfer		08/9/2023	E	\$100,001 - \$250,000	
Lincoln Financial Securities [BA] DESCRIPTION: Withdrawal of funds to use as a downpayment to Needham Bank		06/23/2023	E	\$100,001 - \$250,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
City of Springfield, Massachusetts	Annual Retirement Allowance	\$24,978.66
Commonwealth of Massachusetts	Approved Teaching Fee	\$21,329.59
City of Springfield, Massachusetts Retirement System	Spouse Annual Retirement Allowance	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Freedom Credit Union	July 2023	Mortgage	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard E. Neal , 05/15/2024