



Filing ID #10060909

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Jim Costa  
**Status:** Member  
**State/District:** CA21

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 08/9/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Heartland Financial USA, Inc. (HTLF) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
James M. Costa Farms (Sole Proprietorship) [FA]		\$1,000,001 - \$5,000,000	Farm Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US DESCRIPTION: Farming operation					
Portuguese Fraternal Society of America [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Rental Home [RP]		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US					
Rental Home [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
United Security Bank [BA]		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Advisors 401(k) ⇒ American Funds Washington Mutual Investors Fund Class F-2 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baird Aggregate Bond Fund Class Institutional [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Inv't Funds Trust Small Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Select Funds Emerging Markets FD [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Dodge & Cox Stk FD [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Eaton Vance Income Fund of Boston Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Mainstay Winslow Large CAP Growth Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS SER TR 1 VALUE FD [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ PIMCO Commodities Plus Strategy FD CL [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Pimco Commodity Real Return [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ ROWE T PRICE MID CAP GROWTH FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Advisors 401(k) ⇒ T Rowe Price Overseas Stock Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Co Oppty [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Virtus Ceredex Mid-Cap Value Equity Fund Class I [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors 401(k) ⇒ Baird Aggregate Bond Fund Institutional Class (BAGIX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baird Aggregate Bond Fund Institutional Class (BAGIX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Emerging Markets Fd Inst Shs (BEXIX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Baron Emerging Markets Fd Inst Shs (BEXIX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Small Cap Fund Inst Shs (BSFIX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Baron Small Cap Fund Inst Shs (BSFIX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Dodge & Cox Stock Fund - Class I (DODGX) [MF]		07/25/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Eaton Vance Income Fd of Boston Insti Shs (EIBIX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Eaton Vance Income Fd of Boston Insti Shs (EIBIX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors 401(k) ⇒ Financial Square Treasury Instruments Fd - FST Shares (FTIXX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Financial Square Treasury Instruments Fd - FST Shares (FTIXX) [MF]		07/14/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund Class Y (ODVYX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund Class Y (ODVYX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MainStay Large Cap Growth Fund Class I (MLAIX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MainStay Large Cap Growth Fund Class I (MLAIX) [MF]		12/7/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ MainStay Large Cap Growth Fund Class I (MLAIX) [MF]		12/7/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ MFS Emerging Markets Debt Fund Class I (MEDIX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS Emerging Markets Debt Fund Class I (MEDIX) [MF]		07/26/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS Value Fund - Class I (MEIIX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS Value Fund - Class I (MEIIX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS Value Fund - Class I (MEIIX) [MF]		12/15/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ PIMCO CommoditiesPLUS Strategy Fund Insti Cl (PCLIX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ PIMCO CommoditiesPLUS Strategy Fund Insti Cl (PCLIX)		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
Wells Fargo Advisors 401(k) ⇒ Pimco CommodityRealReturn Strategy Fund Institutional Class (PCRIX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Pimco CommodityRealReturn Strategy Fund Institutional Class (PCRIX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Mid-Cap Growth Fund, Inc. (RPMGX) [MF]		05/5/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Mid-Cap Growth Fund, Inc. (RPMGX) [MF]		07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Mid-Cap Growth Fund, Inc. (RPMGX) [MF]		12/14/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Overseas Stock Fund (TROSX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Overseas Stock Fund (TROSX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Overseas Stock Fund (TROSX) [MF]		07/26/2023	P	\$15,001 - \$50,000	
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Overseas Stock Fund (TROSX) [MF]		07/27/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ T. Rowe Price Overseas Stock Fund (TROSX) [MF]		12/15/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Company Opportunity CL I (VSOIX) [MF]		01/13/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Company Opportunity CL I (VSOIX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Company Opportunity CL I (VSOIX)		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Company Opportunity CL I (VSOIX) [MF]		12/14/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Virtus Ceredex Mid-Cap Value Equity Fund I (SMVTX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Virtus Ceredex Mid-Cap Value Equity Fund I (SMVTX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Washington Mutual Investors Fund Cl F-2 Shs (WMFFX) [MF]		04/14/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Washington Mutual Investors Fund Cl F-2 Shs (WMFFX) [MF]		05/5/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Washington Mutual Investors Fund Cl F-2 Shs (WMFFX) [MF]		06/15/2023	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Washington Mutual Investors Fund Cl F-2 Shs (WMFFX) [MF]		07/24/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Employee Retirement System	Legislative Pension	\$9,097.00
California Legislator Retirement System	Legislative Pension	\$4,421.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	The National Capital Bank	July 2006	Mortgage on Washington D.C. property	\$250,001 - \$500,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Premier Valley Bank	March 2019	Line of Credit	\$250,001 - \$500,000
	PNC Bank	August 2021	Mortgage on personal residence	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member COMMENTS: Not compensated	The Maddy Institute, CA State University, Fresno
Advisory Council Member COMMENTS: Not compensated	The Congressional Hispanic Caucus Institute
Advisory Steering Committee - U.S. Chair COMMENTS: Not compensated	Transatlantic Policy Network

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2005	Myself & California Public Employees Retirement Systems	Pension Plan Participation
January 2003	Myself & California Legislator Retirement Systems	Pension Plan Participation

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Yalta European Strategy	09/6/2023	09/10/2023	Fresno, CA - Kyiv, Ukraine - Fresno,CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Wells Fargo Advisors 401(k)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jim Costa , 08/9/2024