



Filing ID #10060621

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David Rouzer
Status: Member
State/District: NC07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/6/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Avantax Investment Services ⇒ Bank Deposit Sweep Program [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ DODGE & COX STOCK [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ Eaton Vance Floating-Rate Advantaged Fund Class I (EIFAX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ Eaton Vance Short Duration Strategic Income Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ Eaton Vance Tax-Managed Global Diversified Equity Income Fund (EXG) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ Fidelity Government Money Market Fund (-) [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ FIDELITY ADVISOR REAL ESTATE INC I [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Avantax Investment Services ⇒ FIRST EAGLE GLOBAL CLASS I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ FIRST TR EXCHANGE TRADED FD II HEALTH [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ First Tr Morningstar Dividend Leaders Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ First Trust Low Duration Opportunities ETF (LMBS) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ First Trust Value Line Dividend Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ Grant Park Multi Alternative Strategies Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ ISHARES CORE HIGH DIVIDEND [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ ISHARES RUSSELL 1000 INDEX FUND [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ ISHARES TR U.S. MED DVC ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ ISHARES TRUST CORE DIVID GWTH [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ MFS INTERNATIONAL DIVERSIFICATION CLASS I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ PIMCO INC CL 12 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ PIMCO TOTAL RETURN FUND CL 12 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Avantax Investment Services ⇒ Select Sector SPDR TR Financial [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ SPDR Gold Trust (GLD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ SPDR Series Trust SPDR S&P Oil & Gas Equipment & Services ETF (XES) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Avantax Investment Services ⇒ T Rowe Price Blue Chip Growth Inc. [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ T ROWE PRICE CAP APPRECIATION [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ VANGUARD SMALL CAP STOCK INDEX ADMIRAL [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Avantax Investment Services ⇒ Virtus KAR Mid-Cap Core Fund I (VIMCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Goldman Sachs Bank ⇒ Marcus Savings Account [BA]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Interactive Brokers LLC ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ Berkshire Hathaway Inc. New Common Stock (BRK.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ Boeing Company (BA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ CASH [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Interactive Brokers LLC ⇒ Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ NVIDIA Corporation (NVDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ RTX Corporation Common Stock (RTX) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ SPDR Gold Trust (GLD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ SPDR Series Trust Portfolio S&P 1500 Comp STK ETF (SPTM) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ US GLOBAL JETS ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ Verizon Communications Inc. (VZ) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Interactive Brokers LLC ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of NC Defined Benefit Retirement Plan ⇒ Teachers & State Employees Retirement System and Legislative Retirement System Benefits [DB]		Undetermined	Tax-Deferred		<input type="checkbox"/>
Wasatch Global Investors ⇒ Wasatch Ultra Growth Fund [MF]		None	Capital Gains	None	<input checked="" type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Avantax Investment Services ⇒ Eaton Vance Floating-Rate Advantaged Fund Class I (EIFAX) [MF]		02/17/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Avantax Investment Services ⇒ Eaton Vance Short Duration Strategic Income Fund -Class I (ESIIX) [MF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Eaton Vance Short Duration Strategic Income Fund -Class I (ESIIX) [MF]		02/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Fidelity Advisor Real Estate Income Fund: Class I (FRIRX) [MF]		04/17/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Avantax Investment Services ⇒ First Trust Low Duration Opportunities ETF (LMBS) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ First Trust Morningstar ETF (FDL) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]		11/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Pacer US Cash Cows 100 ETF (COWZ) [EF]		04/18/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Pacer US Small Cap Cash Cows 100 ETF (CALF) [EF]		11/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ PIMCO Income Fund Class I-2 (PONPX) [MF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ PIMCO Total Return Fund Class I-2 (PTTPX) [MF]		11/17/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Avantax Investment Services ⇒ SPDR Gold Trust (GLD) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		11/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		11/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		04/18/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Avantax Investment Services ⇒ SPDR Series Trust SPDR S&P Oil & Gas Equipment & Services ETF (XES) [EF]		11/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ SPDR Series Trust SPDR S&P Oil & Gas Equipment & Services ETF (XES) [EF]		04/17/2023	P	\$1,001 - \$15,000	
Avantax Investment Services ⇒ Virtus KAR Mid-Cap Core Fund I (VIMCX) [MF]		04/17/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Interactive Brokers LLC ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		03/23/2023	P	\$1,001 - \$15,000	
Wasatch Global Investors ⇒ Wasatch Ultra Growth Fund (WAMCX) [MF]		01/23/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Roundpoint Mortgage	April 1, 2023	Home mortgage	\$250,001 - \$500,000
	COMMENTS: Roundpoint Mortgage purchased the mortgage from Mr Cooper Mortgage.			

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2013	MYSELF AND THE STATE OF NC	RETIRED AND FORMER STATE LEGISLATORS HEALTH PLAN
January 2013	MYSELF AND THE STATE OF NC	TEACHERS AND STATE EMPLOYEES RETIREMENT SYSTEM AND LEGISLATIVE RETIREMENT SYSTEM BENEFITS BEGINNING AT AGE 60

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc. (Congressional Program)	08/26/2023	09/1/2023	Wilmington, NC - Oslo, Norway - Wilmington, NC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none">Avantax Investment ServicesGoldman Sachs Bank LOCATION: USInteractive Brokers LLCState of NC Defined Benefit Retirement PlanWasatch Global Investors LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David Rouzer , 08/6/2024