



Filing ID #10060618

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Nicole Malliotakis
Status: Member
State/District: NY11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/10/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Boeing Company (BA) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Caterpillar, Inc. (CAT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Chase Savings & CD [BA]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: I moved some money from savings to CDs					
Con Edison Thrift Savings Account ⇒ Vanguard Primecap Fund Admiral [OT]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Vanguard Primecap Fund admiral					
Consolidated Edison, Inc. (ED) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: My dividends are automatically reinvested but there was no single transaction over \$1,000.					
Dollar General Corporation (DG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Franklin Dynatech [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
GE HealthCare Technologies Inc. - Common Stock (GEHC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: No transaction was made. GEHC stock was awarded based on the number of shares of GE stock I had.					
General Electric Company (GE) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Good year tire & rubber Co Sr Note [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Interest accrued was less than \$1000					
Icahn Enterprises LP [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Note					
Janney Insured Sweep [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Mainstay Mackay High Yield Corp Bond [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Mastercard Incorporated (MA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
New York Community Bancorp, Inc. Common Stock (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
New York State and Local Retirement System [PE]		Undetermined	None		<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Consumer Discretionary Select Sector [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Roth IRA ⇒ ISHARES 20+ year Treasury Bond [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ ISHARES 3-7yr Treasury Bond [EF]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ ISHARES Core MSCI Europe [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ ISHARES Treasury Floating Rate Bond [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Janney Advisory Retirement Insured Sweep [IH]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Sector Healthcare Select Sector SPDR [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Sector Utilities Select Sector SPDR [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Select Sector Communication Services [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ Vanguard FTSE All World EX US [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard FTSE Pacific [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Long Term Corp Bond [EF]					
US Treasury Bill [BA]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Unrealized gain					
Vanguard federal money market settlement fund [BA]		\$1,001 - \$15,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Visa Inc. (V) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo CD [BA]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America CD [BA]		10/4/2023	P	\$50,001 - \$100,000	
Chase Bank CD [BA]		06/12/2023	P	\$50,001 - \$100,000	
DESCRIPTION: CD Matured on 12/12/2023					
Chase Bank CD [BA]		12/13/2023	P	\$50,001 - \$100,000	
Columbia Floating Rate Fund Class I (CFRZX) [MF]		07/24/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin DynaTech Fund Class Advisor (FDYZX) [MF]		07/24/2023	P	\$1,001 - \$15,000	
iShares 20+ Year Treasury Bond ETF (TLT) [EF]		01/12/2023	P	\$1,001 - \$15,000	
New York Community Bancorp, Inc. Common Stock (NYCB) [ST]		03/17/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Roth IRA ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		10/12/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF]		02/6/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		01/12/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF]		02/6/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF]		10/12/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF]		06/1/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		06/1/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		02/6/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		06/1/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]		02/6/2023	P	\$1,001 - \$15,000	
Roth IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		10/12/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		06/1/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		01/12/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		02/6/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Roth IRA ⇒ Vanguard FTSE Pacific ETF (VPL) [EF]		02/6/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]		06/1/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [EF]		01/12/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard Long-Term Corporate Bond ETF (VCLT) [EF]		10/12/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
US Treasury Bill [GS]		02/6/2023	P	\$100,001 - \$250,000	
DESCRIPTION: Due 01/25/24					
Verizon Communications Inc. Common Stock (VZ) [ST]		02/6/2023	P	\$1,001 - \$15,000	
Wells Fargo Bank CD [BA]		02/15/2023	P	\$50,001 - \$100,000	

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Richmond County Republican Committee

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2006	Nicole Malliotakis and Consolidated Edison Inc.	Thrift Savings Plan
2002	Nicole Malliotakis and State of New York	Employee Pension Plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Government of Japan	06/24/2023	07/1/2023	Washington DC - Tokyo - Kyoto - New York City	6	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none">Con Edison Thrift Savings AccountRoth IRA
--

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nicole Malliotakis , 08/10/2024