



Filing ID #10060508

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Jerry Lee Carl Jr.  
**Status:** Member  
**State/District:** AL01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 05/14/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Advance LLC [RP]  LOCATION: Mobile, AL, US DESCRIPTION: Commercial Rental Property Sold in 2023		None	Capital Gains, Rent	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
Ascensus 401k ⇒ Goldman Sachs Balanced Strat C [OT]  DESCRIPTION: Fund in 401k	SP	None	Capital Gains, Dividends, Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Ascensus 401k ⇒ Goldman Sachs Growth & Inc Strat C [OT]  DESCRIPTION: Fund in 401k		None	Capital Gains, Dividends, Interest	\$100,001 - \$1,000,000	<input type="checkbox"/>
Ascensus 401k ⇒ Goldman Sachs Growth Strat C [OT]  DESCRIPTION: Fund in 401k	SP	None	Capital Gains, Dividends, Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Ascensus 401k ⇒ Goldman Sachs Growth Strat C [OT]  DESCRIPTION: Fund in 401k		None	Capital Gains, Dividends, Interest	\$100,001 - \$1,000,000	<input type="checkbox"/>
AXA Life Insurance ⇒ AXA Large Cap Growth Managed Volatiity [OT]  DESCRIPTION: Fund in Life Insurance		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AXA Life Insurance ⇒ AXA Moderate Allocation [OT] DESCRIPTION: Fund in Life Insurance		\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
AXA Life Insurance ⇒ AXA/AB Small Cap Growth [OT] DESCRIPTION: Fund in Life Insurance		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
AXA Life Insurance ⇒ EQ/BlackRock Basic Value Equity [OT] DESCRIPTION: Fund in Life Insurance		\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Butterfly & Cricket LLC [RP] LOCATION: Mobile, AL, US DESCRIPTION: Timber Land Sold in 2023	JT	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Capital Bank and Trust ⇒ Cash [IH]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Community Bank [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
ECM Home Health Services Inc. [OL] LOCATION: Mobile, AL, US DESCRIPTION: ECM Home Health Services	SP	\$1,000,001 - \$5,000,000	S Corporation Income	None	<input type="checkbox"/>
Hancock Whitney Bank [BA]	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
PNC Bank Accounts [BA]	JT	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Prudential Annuity ⇒ AST Capital Growth Asset [OT] DESCRIPTION: Fund in Annuity		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Prudential Annuity ⇒ AST Capital Growth Asset [OT] DESCRIPTION: Fund in Annuity	SP	\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Reliance Standard Life Insurance ⇒ Cash [IH]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
River Oaks Landing LLC [OL]		\$1,000,001 - \$5,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Mobile, AL, US DESCRIPTION: River Oaks Landing-Bed and Breakfast					
RSA Alabama [DB]		\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stifel Nicolaus & Company [BA]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Trustmark Bank [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Advance LLC [RP]		03/3/2023	S	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
LOCATION: Mobile, AL, US DESCRIPTION: Commercial building sold					
Ascensus 401k ⇒ Goldman Sachs Trust Balanced Strategy Portfolio Class C (GIPCX) [MF]	SP	11/28/2023	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Balanced Stat C Fund sold					
Ascensus 401k ⇒ Goldman Sachs Trust Growth And Income Strategy Portfolio Class C (GOICX) [MF]		11/28/2023	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Growth & Inc Strat C Fund sold					
Ascensus 401k ⇒ Goldman Sachs Trust Growth Strategy Portfolio Class C (GGSCX) [MF]		11/28/2023	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Growth Strat C Fund sold					
Ascensus 401k ⇒ Goldman Sachs Trust Growth Strategy Portfolio Class C (GGSCX) [MF]	SP	11/28/2023	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
DESCRIPTION: Goldman Sachs Growth Stat C fund sold					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Butterfly & Cricket LLC [RP]  LOCATION: Mobile, AL, US DESCRIPTION: Land in partnership sold	JT	01/20/2023	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Butterfly & Cricket LLC [RP]  LOCATION: Mobile, AL, US DESCRIPTION: Land in partnership sold	JT	03/10/2023	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
ECM Home Health Services	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Hancock Whitney	March 2019	Line of Credit	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Ascensus 401k
- Ascensus 401k (Owner: SP)
- AXA Life Insurance
- Capital Bank and Trust
- Prudential Annuity
- Prudential Annuity (Owner: SP)
- Reliance Standard Life Insurance (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jerry Lee Carl Jr., 05/14/2024