Filing ID #10060419

Financial Disclosure Report

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Filer Information

Name: Hon. Mikie Sherrill
Status: Member
State/District: NJ11

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 07/11/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brokerage 90126 ⇒ iShares Core High Dividend ETF (HDV) [EF]	JT	$100,001 - $250,000	Dividends	$5,001 - $15,000	☐
Brokerage 90126 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
Brokerage 90126 ⇒ iShares MSCI ACWI ex U.S. ETF (ACWX) [EF]	JT	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
Brokerage 90126 ⇒ iShares MSCI EAFE ETF (EFA) [EF]	JT	$100,001 - $250,000	Dividends	$5,001 - $15,000	☐
Brokerage 90126 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Brokerage 90126 ⇒ iShares S&P 500 Growth ETF (IVW) [EF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Brokerage 90126 ⇒ SPDR Gold Trust (GLD) [EF]	JT	$50,001 - $100,000	None		☐
Brokerage 90126 ⇒ SPDR S&P 500 (SPY) [EF]	JT	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brokerage 90126 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	JT	$250,001 - $500,000	Dividends	$1,001 - $2,500	☐
Brokerage 90126 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] DESCRIPTION: Overall loss in 2023	JT	None	Dividends	$1,001 - $2,500	☑
Brokerage 90126 ⇒ UBS Bank USA Dep Acct [BA]	JT	$1,001 - $15,000	Interest	$5,001 - $15,000	☐
Brokerage 90126 ⇒ UBS Prime Reserves Fund (UPRXX) [MF] DESCRIPTION: Money Market Fund	JT	$500,001 - $1,000,000	Dividends	$15,001 - $50,000	☐
Brokerage 90126 ⇒ Vanguard Utilities ETF (VPU) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☑
Fidelity - UTMA ⇒ Fidelity New York AMT Tax-Free Money Market Fund (FSNXX) [MF] DESCRIPTION: Money Market Fund	DC	$1,001 - $15,000	None		☐
Fidelity 529 1 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	$250,001 - $500,000	Tax-Deferred		☑
Fidelity 529 2 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	$100,001 - $250,000	Tax-Deferred		☑
Fidelity 529 3 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	$250,001 - $500,000	Tax-Deferred		☑
Fidelity 529 4 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	$100,001 - $250,000	Tax-Deferred		☑
Mass Mutual ⇒ Mass Mutual Whole Life Legacy 100 [WU]	SP	$250,001 - $500,000	None		☐
Mass Mutual ⇒ Mass Mutual Whole Life Legacy 100 [WU]		$50,001 - $100,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Mass Mutual ⇒ Mass Mutual Whole Life Legacy 100 [WU]		$50,001 - $100,000	None		
Mass Mutual ⇒ Mass Mutual Whole Life Legacy 100 [WU]	SP	$100,001 - $250,000	None		
Rollover IRA 90127 ⇒ IRA Cash [BA]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares MSCI Eurozone ETF (EZU) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Ishares MSCI India ETF (INDA) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ iShares MSCI United Kingdom ETF (EWU) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ JPMorgan BetaBuilders Developed Asia Pacific-ex Japan ETF (BBAX) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒	SP	$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]					
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Rollover IRA 90127 ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ UBS Prime Reserves Fund (UPRXX) [MF] DESCRIPTION: Money Market Fund	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Vanguard Growth ETF (VUG) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Vanguard Information Tech ETF (VGT) [EF]	SP	$50,001 - $100,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	$50,001 - $100,000	Tax-Deferred		
Rollover IRA 90127 ⇒ Vanguard Value ETF (VTV) [EF]	SP	$15,001 - $50,000	Tax-Deferred		
Rollover IRA 95031 ⇒ IRA Cash [BA]		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Rollover IRA 95031 ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		$15,001 - $50,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares MSCI Eurozone ETF (EZU) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ Ishares MSCI India ETF (INDA) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ iShares MSCI United Kingdom ETF (EWU) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ JPMorgan BetaBuilders Developed Asia Pacific-ex Japan ETF (BBAX) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ Materials Select Sector SPDR (XLB) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ Real Estate Select Sector SPDR Fund (XLRE) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		$1,001 - $15,000	Tax-Deferred		
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ UBS Prime Reserves Fund (UPRXX) [MF] DESCRIPTION: Money Market Fund		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ Vanguard Growth ETF (VUG) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ Vanguard Information Tech ETF (VGT) [EF]		$15,001 - $50,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ Vanguard Mid-Cap ETF (VO) [EF]		$15,001 - $50,000	Tax-Deferred		☐
Rollover IRA 95031 ⇒ Vanguard Value ETF (VTV) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Townhouse [RP] LOCATION: Washington, DC, US DESCRIPTION: Residential rental property in Washington, DC	JT	$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐
UBS 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	$250,001 - $500,000	Tax-Deferred		☑
USAA - Checking [BA]	JT	$100,001 - $250,000	None		☐
USAA - Savings [BA]	JT	$1,001 - $15,000	Interest	$1,001 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
				$2,500	
USAA - Savings [BA]	DC	$1,001 - $15,000	None		☐

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brokerage 90126 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	JT	08/15/2023	S	$50,001 - $100,000	☐
Brokerage 90126 ⇒ Vanguard Utilities ETF (VPU) [EF]	JT	08/15/2023	P	$50,001 - $100,000	
EquatePlus ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST] Description: Shares received as compensation (employee benefit).	SP	03/3/2023	S	$250,001 - $500,000	☐
Fidelity 529 1 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	08/16/2023	P	$100,001 - $250,000	
Fidelity 529 1 ⇒ Fidelity Diversified International A [5F] Location: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 1 ⇒ Fidelity Diversified International I [5F] Location: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 1 ⇒ Fidelity Dividend & Income A [5F] Location: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 1 ⇒ Fidelity New Insights A [5F] Location: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 1 ⇒ Fidelity New Insights I [5F]	DC	08/16/2023	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LOCATION: NH					
Fidelity 529 1 ⇒ Fidelity Strategic Dividend & Income I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 2 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	08/16/2023	P	$100,001 - $250,000	
Fidelity 529 2 ⇒ Fidelity Diversified International A [5F] LOCATION: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 2 ⇒ Fidelity Diversified International I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 2 ⇒ Fidelity Dividend & Income A [5F] LOCATION: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 2 ⇒ Fidelity New Insights A [5F] LOCATION: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 2 ⇒ Fidelity New Insights I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 2 ⇒ Fidelity Strategic Dividend & Income I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 3 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	08/16/2023	P	$250,001 - $500,000	
Fidelity 529 3 ⇒ Fidelity Diversified International A [5F] LOCATION: NH	DC	08/16/2023	S	$15,001 - $50,000	☐
Fidelity 529 3 ⇒ Fidelity Diversified International I [5F]	DC	08/16/2023	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LOCATION: NH					
Fidelity 529 3 ⇒ Fidelity Dividend & Income A [5F] LOCATION: NH	DC	08/16/2023	S	$15,001 - $50,000	☐
Fidelity 529 3 ⇒ Fidelity New Insights A [5F] LOCATION: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 3 ⇒ Fidelity New Insights I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 3 ⇒ Fidelity Strategic Dividend & Income I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 4 ⇒ Fidelity Advisor 529 Limited Term Bond Portfolio: Class I (FPUIX) [MF]	DC	08/16/2023	P	$100,001 - $250,000	
Fidelity 529 4 ⇒ Fidelity Diversified International A [5F] LOCATION: NH	DC	08/16/2023	S	$15,001 - $50,000	☐
Fidelity 529 4 ⇒ Fidelity Diversified International I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 4 ⇒ Fidelity Dividend & Income A [5F] LOCATION: NH	DC	08/16/2023	S	$15,001 - $50,000	☐
Fidelity 529 4 ⇒ Fidelity New Insights A [5F] LOCATION: NH	DC	08/16/2023	S	$50,001 - $100,000	☐
Fidelity 529 4 ⇒ Fidelity New Insights I [5F] LOCATION: NH	DC	08/16/2023	S	$1,001 - $15,000	☐
Fidelity 529 4 ⇒ Fidelity Strategic Dividend & Income I [5F]	DC	08/16/2023	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Location: NH					
NY Saves 529 1 ⇒ NY Saves Conservative Growth Portfolio [5F] Location: NY	DC	06/21/2023	S	$15,001 - $50,000	☐
NY Saves 529 1 ⇒ NY Saves Income Portfolio [5F] Location: NY	DC	06/21/2023	S	$1,001 - $15,000	☐
NY Saves 529 2 ⇒ NY Saves Disciplined Growth Portfolio [5F] Location: NY	DC	06/21/2023	S	$15,001 - $50,000	☐
UBS 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	02/17/2023	P	$15,001 - $50,000	
UBS 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	02/22/2023	P	$1,001 - $15,000	

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
UBS Securities LLC	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	UBS	April 2021	Residential Mortgage	$1,000,001 - $5,000,000
SP	UBS	September 2016	Residential Mortgage	$250,001 - $500,000
SP	UBS	May 2021	Residential Mortgage	$1,000,001 - $5,000,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- Brokerage 90126 (Owner: JT)
  Location: US
- EquatePlus (Owner: SP)
  Location: US
- Fidelity - UTMA (Owner: DC)
  Location: US
- Fidelity 529 1 (Owner: DC)
  Location: NJ
- Fidelity 529 2 (Owner: DC)
  Location: NJ
- Fidelity 529 3 (Owner: DC)
  Location: NJ
- Fidelity 529 4 (Owner: DC)
  Location: NJ
- Mass Mutual
- Mass Mutual (Owner: SP)
- NY Saves 529 1 (Owner: DC)
  Location: NY
- NY Saves 529 2 (Owner: DC)
  Location: NY
- Rollover IRA 90127 (Owner: SP)
- Rollover IRA 95031
- UBS 401K (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ● No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Mikie Sherrill , 07/11/2024