Filing ID #10060415

Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

Filer Information

Name: Hon. Richard W. Allen
Status: Member
State/District: GA12

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/13/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401k Account ⇒ Morley Principal Stable Value Fund Class Z (0P000182U7) [OT] Description: Employer qualified EIF portfolio	SP	$1,001 - $15,000	Tax-Deferred		☑
BANK ACCOUNT(S) ⇒ South State Bank [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
CONT IRA ⇒ CASH ACCOUNTS [BA]	SP	$1,001 - $15,000	Tax-Deferred		☐
CONT IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☑
CONT IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF] Description: Increased value placed asset over reporting threshold.	SP	$1,001 - $15,000	Tax-Deferred		☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
CONT IRA ⇒ Schwab US Dividend Equity ETF [EF]	SP	$50,001 - $100,000	Tax-Deferred		☑
CONT IRA ⇒ US TREASURY BILL24U S T BILL DUE 01/18/24 (912797GD3) [GS]	SP	$15,001 - $50,000	Tax-Deferred		☑
CONT IRA ⇒ US TREASURY BILL24U S T BILL DUE 03/21/24 (912797LL9) [GS]	SP	$15,001 - $50,000	Tax-Deferred		☑
CONT IRA ⇒ US TREASURY BILL24U S T BILL DUE 05/16/24 (912797FH5) [GS]	SP	$15,001 - $50,000	Tax-Deferred		☑
INIRA ⇒ AMERICAN COMMERCI 5.5% CD FDIC INS DUE 10/17/24 (02519ABX4) [BA]	SP	$15,001 - $50,000	Tax-Deferred		☐
INIRA ⇒ CASH ACCOUNTS [BA]	SP	$15,001 - $50,000	Tax-Deferred		☐
INIRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
INIRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$100,001 - $250,000	Tax-Deferred		☐
INIRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐
INIRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐
INIRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
INIRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$50,001 - $100,000	Tax-Deferred		☐
INIRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
INIRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☐
INVESTMENTS ⇒ Personal Home [RP] LOCATION: AUGUSTA, GA, US		$1,000,001 - $5,000,000	Rent	$5,001 - $15,000	☐
INVESTMENTS ⇒ Personal Home in DC [RP] LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out		$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	☐
INVESTMENTS ⇒ RAWA Leasing Equipment [OL] LOCATION: Augusta, GA, US DESCRIPTION: Construction Equipment Leasing Enterprise		$100,001 - $250,000	Rent	$15,001 - $50,000	☐
INVESTMENTS ⇒ 1015 BROAD STREET, LLC ⇒ COMMERCIAL RENTAL PROPERTY [RP] LOCATION: Augusta, GA, US		$500,001 - $1,000,000	Rent	$100,001 - $1,000,000	☐
IRA ⇒ CASH ACCOUNTS [BA]		$1,001 - $15,000	Tax-Deferred		☐
IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]		$250,001 - $500,000	Tax-Deferred		☐
IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]		$50,001 - $100,000	Tax-Deferred		☐
IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		$100,001 - $250,000	Tax-Deferred		☐
IRA ⇒ SOUTHPOINT BANK 5.4% CD FDIC INS DUE 09/19/25		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
(84464PBU0) [BA]					
IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ US Treasury Note 2.25% Due 3/31/24 (91282CEG2) [GS]		$15,001 - $50,000	Tax-Deferred		☐
LIVTR ⇒ Abbott Laboratories (ABT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$50,001 - $100,000	None		☐
LIVTR ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$15,001 - $50,000	None		☐
LIVTR ⇒ Amgen Inc. (AMGN) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
LIVTR ⇒ CASH ACCOUNTS [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
LIVTR ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Cintas Corporation (CTAS) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Deere & Company (DE) [ST]	SP	$1,001 - $15,000	Dividends	$1 - $200	☐
LIVTR ⇒ Dover Corporation (DOV) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LIVTR ⇒ Home Depot, Inc. (HD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Intuit Inc. (INTU) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Johnson & Johnson (JNJ) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Marriott International - Class A (MAR) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ Microsoft Corporation (MSFT) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
LIVTR ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
LIVTR ⇒ Paychex, Inc. (PAYX) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
LIVTR ⇒ PRIME ALLIANCE 5.55% CD FDIC INS DUE 10/20/25 (74160NKT6) [BA]	SP	$15,001 - $50,000	Interest	$201 - $1,000	☐
LIVTR ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
LIVTR ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	$15,001 - $50,000	Dividends	$1 - $200	☐
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$15,001 - $50,000	Interest	$1,001 - $2,500	☑
LIVTR ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
LIVTR ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LIVTR ⇒ SPDR Select Sector Fund - Technology [EF]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LIVTR ⇒ US TREASURY BILL DUE 10/5/23 (912796YJ2) [GS]	SP	None	Interest	$1,001 - $2,500	☐
LIVTR ⇒ US TREASURY BILL DUE 7/13/23 (912796XQ7) [GS]	SP	None	Interest	$1,001 - $2,500	☐
LIVTR ⇒ US TREASURY U S T BILL DUE 07/11/24 (912797GB7) [GS]	SP	$15,001 - $50,000	Interest	None	☑
LIVTR ⇒ Walmart Inc. (WMT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ Champions Retreat Cottage, LLC, 20% Interest [OL] LOCATION: Evans, GA, US DESCRIPTION: Owns single residential rental property.	SP	$100,001 - $250,000	Rent	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RW Allen Construction LLC2, 22% Interest [OL] LOCATION: AUGUSTA, GA, US DESCRIPTION: Construction Company	SP	$1,000,001 - $5,000,000	Distribution	$100,001 - $1,000,000	☐
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ CASH ASSETS, 44% Interest [OL] LOCATION: AUGUSTA, GA, US DESCRIPTION: Investments	SP	$1,000,001 - $5,000,000	Business Income	$100,001 - $1,000,000	☐
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Comp Life [WU]		$250,001 - $500,000	Dividends	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life [WU]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 2 [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 3 [WU]		$15,001 - $50,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 4 [WU]		$15,001 - $50,000	Dividends	$201 - $1,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 [WU]		$50,001 - $100,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 2 [WU]		$250,001 - $500,000	Dividends	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life 2 [WU]		$100,001 - $250,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Abbott Laboratories (ABT) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ AbbVie Inc. (ABBV) [ST]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$50,001 - $100,000	None		
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$50,001 - $100,000	None		
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ American Water Works Company, Inc. (AWK) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amgen Inc. (AMGN) [ST]	SP	$50,001 - $100,000	Dividends	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ BlackRock, Inc. (BLK) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ CASH ACCOUNTS [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Centier Bank 4.8% CD FDIC INS DUE 07/27/26 (15140RCU1) [BA]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	$50,001 - $100,000	None		☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Chubb Limited (CB) [ST]	SP	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Deere & Company (DE) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Dover Corporation (DOV) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Home Depot, Inc. (HD) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Intuit Inc. (INTU) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Johnson & Johnson (JNJ) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Marriott International - Class A (MAR) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Microsoft Corporation (MSFT) [ST]	SP	$100,001 - $250,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ PACIFIC WESTERN B 5.3% CD (69506YXW4) [BA]	SP	None	Interest	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒	SP	None	Capital Gains,	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Pfizer, Inc. (PFE) [ST]			Dividends	$1,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Procter & Gamble Company (PG) [ST]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	None	Dividends	$1,001 - $2,500	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Sherwin-Williams Company (SHW) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	None	Capital Gains, Dividends	$100,001 - $1,000,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Health Care [EF]	SP	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Starbucks Corporation (SBUX) [ST]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Bank of Old 5.55% CD FDIC INS DUE 10/13/26 (094236BT8) [BA]	SP	$100,001 - $250,000	Interest	$1,001 - $2,500	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒	SP	$100,001 -	Dividends	$2,501 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.9 (SCHW$D) [ST]		$250,000		$5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	$50,001 - $100,000	Dividends	$1 - $200	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ UBS BANK USA 4.85% CD FDIC INS DUE 05/27/25 (90355GDL7) [BA]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY DUE 03/23/23 (912796U31) [GS]	SP	None	Interest	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY DUE 07/13/23 (912796XQ7) [GS]	SP	None	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 2.25% 03/24 (91282CEG2) [GS]	SP	$100,001 - $250,000	Interest	$2,501 - $5,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 3.25% 08/24 (91282CFG1) [GS]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 4.25% 09/24 (91282CFN6) [GS]	SP	$100,001 - $250,000	Interest	$5,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walmart Inc. (WMT) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Waste Management, Inc. (WM) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	
SCH1 ⇒ CASH ACCOUNTS [BA]		$1,001 - $15,000	Interest	$1 - $200	
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		$15,001 - $50,000	Interest	$201 - $1,000	✓
SCH1 ⇒ US TREASUR NT 0.75% UST NOTE DUE 12/31/23 (91282CDR9) [GS]		$15,001 - $50,000	Capital Gains	$201 - $1,000	✓
SCH1 ⇒ US TREASURY BILL U S T BILL DUE 01/18/24 (912797GD3) [GS]		$15,001 - $50,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SCH1 ⇒ US TREASURY U S T BILL DUE 03/21/24 (912797LL9) [GS]		$50,001 - $100,000	None		☑

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
401k Account ⇒ Morley Principal Stable Value Fund Class Z (0P000182U7) [OT] Location: US Description: Employer qualified EIF portfolio	SP	11/15/2023	S (partial)	$250,001 - $500,000	☐
401k Account ⇒ Principal Fds, Inc. Bond Market Index Class R-4 (PBIPX) [MF]	SP	11/15/2023	S	$15,001 - $50,000	☐
401k Account ⇒ Principal Fds, Inc. LargeCap S&P 500 Index Fd Cl A (PLSAX) [MF]	SP	11/15/2023	S	$15,001 - $50,000	☐
401k Account ⇒ Principal Fds, Inc. SmallCap S&P 600 Index Fd Insti Cl (PSSIX) [MF]	SP	11/15/2023	S	$1,001 - $15,000	☐
CONT IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	11/16/2023	P	$1,001 - $15,000	
CONT IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	12/5/2023	P	$1,001 - $15,000	
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	11/16/2023	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	11/20/2023	P	$1,001 - $15,000	
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	12/6/2023	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	12/11/2023	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	11/16/2023	P	$100,001 - $250,000	
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	11/20/2023	S (partial)	$1,001 - $15,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/4/2023	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/5/2023	S (partial)	$1,001 - $15,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/6/2023	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/11/2023	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/18/2023	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/26/2023	S (partial)	$15,001 - $50,000	☐
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	12/4/2023	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	12/18/2023	P	$15,001 - $50,000	
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	12/26/2023	P	$15,001 - $50,000	
CONT IRA ⇒	SP	11/16/2023	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
US Treasury Bill 912797FH5 [GS]					
CONT IRA ⇒ US Treasury Bill 912797GD3 [GS]	SP	11/16/2023	P	$15,001 - $50,000	
CONT IRA ⇒ US Treasury Bill 912797LL9 [GS]	SP	11/16/2023	P	$15,001 - $50,000	
INIRA ⇒ Activision Blizzard, Inc (ATVI) [ST] DESCRIPTION: cash merger no longer publicly traded company	SP	10/13/2023	S	$1,001 - $15,000	
INIRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	05/11/2023	P	$1,001 - $15,000	
INIRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	10/9/2023	P	$1,001 - $15,000	
INIRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	05/11/2023	S (partial)	$1,001 - $15,000	
INIRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	10/10/2023	S (partial)	$1,001 - $15,000	
INIRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	10/23/2023	S (partial)	$1,001 - $15,000	
IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]		04/20/2023	P	$1,001 - $15,000	
IRA ⇒ Activision Blizzard, Inc (ATVI) [ST] DESCRIPTION: cash merger no longer publicly traded company		10/13/2023	S	$15,001 - $50,000	
IRA ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]		05/11/2023	P	$1,001 - $15,000	
IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		12/14/2023	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		04/18/2023	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		05/11/2023	S (partial)	$1,001 - $15,000	☐
LIVTR ⇒ Albemarle Corporation (ALB) [ST]	SP	12/21/2023	S	$1,001 - $15,000	☐
LIVTR ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	05/11/2023	P	$1,001 - $15,000	
LIVTR ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	01/9/2023	P	$1,001 - $15,000	
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	01/9/2023	P	$1,001 - $15,000	
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	01/9/2023	S (partial)	$1,001 - $15,000	☐
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	05/11/2023	S (partial)	$15,001 - $50,000	☐
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	05/19/2023	S (partial)	$1,001 - $15,000	☐
LIVTR ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/22/2023	P	$15,001 - $50,000	
LIVTR ⇒ US TREASURY BILL24U S T BILL DUE 07/11/24 [GS]	SP	07/17/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ Albemarle Corporation (ALB) [ST]	SP	12/21/2023	S	$1,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	12/14/2023	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
R.W. Allen & Associates, Inc. ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	12/21/2023	S	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.95% Non-Cumulative Perpetual Preferred Stock, Series D (SCHW$D) [ST]	SP	10/10/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	03/14/2023	S	$50,001 - $100,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	05/11/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	06/13/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Nuveen Preferred Securities Fund - Cl R (NPSRX) [MF]	SP	10/9/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Pfizer, Inc. (PFE) [ST]	SP	03/14/2023	S	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Dividend Equity ETF (SCHY) [EF]	SP	01/13/2023	P	$1,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	01/13/2023	S	$1,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	01/9/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	01/9/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	01/9/2023	P	$1,001 - $15,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	01/25/2023	P	$50,001 - $100,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒	SP	03/13/2023	P	$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]				$100,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	03/16/2023	P	$50,001 - $100,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	03/28/2023	P	$100,001 - $250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	09/26/2023	P	$100,001 - $250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/22/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	01/5/2023	S (partial)	$1,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	01/9/2023	S (partial)	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	01/13/2023	S (partial)	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	05/11/2023	S (partial)	$50,001 - $100,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	05/22/2023	S (partial)	$100,001 - $250,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	06/13/2023	S (partial)	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	08/31/2023	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	10/4/2023	S (partial)	$100,001 - $250,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	10/10/2023	S (partial)	$50,001 - $100,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	10/11/2023	S (partial)	$1,001 - $15,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]	SP	12/14/2023	S (partial)	$15,001 - $50,000	☐
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	03/27/2023	S	$100,001 - $250,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	03/9/2023	S (partial)	$50,001 - $100,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	01/13/2023	S (partial)	$50,001 - $100,000	☑
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.95% Non-Cumulative Perpetual Preferred Stock, Series D (SCHW$D) [ST]	SP	05/18/2023	P	$15,001 - $50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ The Charles Schwab Corporation Depositary Shares each representing 1/40th interest in a share of 5.95% Non-Cumulative Perpetual Preferred Stock, Series D (SCHW$D) [ST]	SP	08/31/2023	P	$15,001 - $50,000	
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		10/3/2023	S (partial)	$15,001 - $50,000	☐
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		10/17/2023	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		09/20/2023	P	$100,001 - $250,000	
SCH1 ⇒ Schwab U.S. Treasury Money Fund Investor Shares (SNSXX) [MF]		09/27/2023	S (partial)	$100,001 - $250,000	☐
SCH1 ⇒ US Treasury Bill 912797GD3 [GS]		10/3/2023	P	$15,001 - $50,000	
SCH1 ⇒ US TREASURY NOTE DUE 12/31/23 (91282CDR9) [GS]		09/26/2023	P	$15,001 - $50,000	
SCH1 ⇒ US TREASURY NOTE DUE 3/21/24 (912797LL9) [GS]		09/26/2023	P	$50,001 - $100,000	

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
RW ALLEN CONTRUCTIONS LLC	SPOUSE SALARY	N/A
IRA DISTRIBUTION	SPOUSE DISTRIBUTION	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	10/2010	Mortgage on Primary Residence, Augusta, GA	$50,001 - $100,000
	South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	$500,001 - $1,000,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
US ISRAEL EDUCATION FOUNDATION	02/17/2023	02/26/2023	Augusta, GA - Tel Aviv, Israel - Washington, DC	0	✓	✓	✓

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- 401k Account (Owner: SP)
- BANK ACCOUNT(S)
  LOCATION: US
- CONT IRA (Owner: SP)
- INIRA (Owner: SP)
- INVESTMENTS
  LOCATION: US
- INVESTMENTS ⇒ 1015 BROAD STREET, LLC
  LOCATION: US
- IRA
- LIVTR (Owner: SP)
  LOCATION: US
- R.W. Allen & Associates, Inc. (Owner: SP)
  LOCATION: US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC (44% Interest) (Owner: SP)
  LOCATION: US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST (Owner: SP)
- R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities (100% Interest) (Owner: SP)
  LOCATION: Augusta/ Richmond, GA, US
- SCH1
  LOCATION: US

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard W. Allen , 08/13/2024