



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Steve Cohen  
**Status:** Member  
**State/District:** TN09

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 05/15/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Commercial Bank and Trust Company [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Congressional Federal Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Gold Bullion [PM]		None	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account → Ally Financial CD 4.75% [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account → AMERICAN EXPRESS NATIONAL CD 2.90% [BA]		None	None		<input type="checkbox"/>
Stephens Advantage Account → Amgen Inc. (AMGN) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account → AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account → AutoZone, Inc. (AZO) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Bank OZK - 4.625% Series A Non-Cumulative Perpetual Preferred Stock (OZKAP) [ST]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Barrick Gold Corporation (ABX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ Brookfield Real Assets Income Fund [MF]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Charles Schwab bank CD 4.75 [BA]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Charles Schwab bank CD 5.35% [BA]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TN HLTH EDUCNTL &HSG FACS BRD REV REF-STDNT HSG-CDFI [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Cohen & Steers Total Return Realty Fund, Inc. (RFI) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ DAIMLER AG REG SHS I SIN [MF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ DAIMLER TRUCK HLDG AG SPONS ADR [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: This is a spin-off asset					
Stephens Advantage Account ⇒ Eli Lilly and Company Common Stock (LLY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Federated Hermes Government Obligations Fund Svc Shares (GOSXX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ FedEx Corporation (FDX) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ First National Bank & Trust of Weatherford Texas CD 4.65% [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ First Trust Institutional Preferred Securities and Income ETF [EF]		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ First Trust Preferred Securities and Income ETF ETF [EF]		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Fluor Corporation (FLR) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Stephens Advantage Account ⇒ FRANKLIN RISING DIVIDENDS FUND [MF]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ FT Unit 10479 PFD Income Port Series 114 [OT] DESCRIPTION: FT UNIT 10479 PFD INCOME PROTFOLO SER 114 MONTHLY CASH TERM DATE 12/16/2		\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ ft unit 9164 pfd income portfolio sr 106 monthly cash [CS] DESCRIPTION: .		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Global X Funds Variable Rate [EF]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Haleon plc American Depositary Shares (HLN) [ST] DESCRIPTION: Acquired as a result of a stock split and spin-off from GSK in July 2022		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Stephens Advantage Account ⇒		\$100,001 -	Dividends	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
International Business Machines Corporation (IBM) [ST]		\$250,000		\$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Invesco Variable Rate Preferred [EF]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ KEY BK NATL ASSN OHIO CTF DEP ACT/365 INT RATE 3.000% MATURITY 8/31/23 [BA]		None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCNTNL & HS REF-FACS BRD-UNIVERSITY HEALTH [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCNTNL & HSG FACS BRD HOSP REV REF-COVENANT HLTH [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCNTNL & HSG FACS BRD HOSP REV RFDG-COVENANT HLTH SER A OPT ERP [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Kyndryl Holdings, Inc. (KD) [ST]		None	None		<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Marriott International - Class A (MAR) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON REF & IMPT-BLAKEFORD AT GREEN [GS]		None	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ METROPOLITAN GOVT NASHVILLE & DAVIDSON CNTY TENN HEALTH & ED L FACS BRD 3.750% 03/15/28 B/E DTD 02/2 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Mid-America Apartment Communities, Inc. (MAA) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Morgan Stanley Depository Shares, each representing 1/1,000th of a share of 6.500% Non-Cumulative Pr (MS\$P) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Nexbank SSB Dallas CD 5.45% [BA]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Northrop Grumman Corporation (NOC) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Norway Savings Bank CD 5.4% [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Organon & Co. (OGN) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ Pepsico, Inc. (PEP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Putnam Ultra Short Duration Income Fund Class A (PSDTX) [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Schwab Strategic TR US Dividend Equity [EF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TENN HEALTH EDL & HSG FACS BRD REV REV BDS METHODIST LE BONHEUR 4.000% 05/01/27 B/E DTD [GS]		None	Interest	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN HLTH EDUCNTL & HSG FACS BRD REV REF-TREZEVANT MANOR [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ STEPHENS INS BANKSWEEP PROGRAM [BA]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Synchrony Bank Retail CD 5.1% [BA]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Synchrony Financial CD 5.15% [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PRG REV NON-AMT- ISSUE 1B REVENUE BONDS [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV ISSUE 3 REVENUE BONDS [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON ACE-SER 2B REV BDS [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON-AMT NON ACE-ISSUE 4B REV BDS [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON-AMT NON-ACE-ISSUE 1B REV BONDS [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: ,					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN PROG REV NON-AMT NON ADJUSTED CURRENT [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN ISSUE 3 REVENUE BONDS ERP MANDATORY [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON ACE- ISSUE 4B REVENUE BONDS ERP OPT/MAND [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: ,					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON ACE- SER 2B REVENUE BONDS [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON-ACE- ISSUE 1B REVENUE BONDS [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: ,					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON-ACE- ISSUE 1B REVENUE BONDS [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN REF-ISSUE 4 REVENUE BONDS ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON ACE-SER B2 REV BONDS MDY UNDLY Aa1 ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON- AMT NON ACE-ISSUE 4B REV BDS ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON- AMT NON ACE-ISSUE 4B REVENUE ERP OPT/MAN [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON- AMT NON ACE-SER 2B REV BONDS ERP OPTIONAL [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROGRAM REV ISSUE 3 REV BDS ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROGRAM REV ISSUE 3 REVENUE BONDS ERP MANDATORY [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: .					
Stephens Advantage Account ⇒ Toyota Motor Corporation (TM) [ST]		\$1,001 - \$15,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Vaneck Morningstar Durable Dividend [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ VanEck Preferred Securities ex Financials ETF (PFXF) [EF]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens Advantage Account ⇒ Virtus Real Asset Income [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens Advantage Account ⇒ Warner Bros. Discovery, Inc. - Series A (WBD) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: Acquired as a result of stock split and spin-off from AT&T in April 2022					
Stephens Advantage Account ⇒ Western Asset Inflation Opportunities & Income [MF]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ FEDERATED HERMES TREASURY OB IS [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stephens Roth IRA ⇒ First Horizon Corporation Common Stock (FHN) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Bank OZK - 4.625% Series A Non-Cumulative Perpetual Preferred Stock (OZKAP) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS K [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Chevron Corporation (CVX) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Eli Lilly and Company Common Stock (LLY) [ST]					<input type="checkbox"/>
Stephens SEP IRA ⇒ Emergent Biosolutions, Inc. (EBS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ FEDERATED HERMES TREASURY OB IS [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ FEDERATED HERMES ULTRASHORT BOND FUND CLASS IS [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Fidelity Dividend ETF for Rising Rates [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ FIRST HORIZON CORP DEPOSITORY SHS REPSTG 1/400TH NON CUM PERP PFD 6.10% S/A DIV [CS] DESCRIPTION: preferred stock		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ First Trust Enhanced Short Maturity ETF (FTSM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Healthpeak Properties, Inc. (PEAK) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels & Resorts, Inc. (HST) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ LORD ABBETT SHORT DURATION INCOME FUND CLASS F [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stephens SEP IRA ⇒ PGIM SHORT-TERM CORPORATE BOND FUND CLASS R6 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ PUTNAM ULTRA SHRT DURATION INCOME FUND CLASS Y [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ RTX Corporation Common Stock (RTX) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Short-Term Bond Fund of America - Class F-3 (FSBTX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Sony Corporation (SNE) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ THORNBURG LIMITED-TERM INCOME FUND CLASS I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Toyota Motor Corporation (TM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Stephens SEP IRA ⇒ WESTERN SHORT TERM BOND FUND CLASS IS [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TN 401k ⇒ Tennessee Stable Value Fund [MF]		\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
TN 457 ⇒ Tennessee Stable Value Fund [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Gold Bullion [PM]		08/31/2023	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Brookfield Real Assets Income Fund Inc. (XRAIX) [MF]		12/12/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHARLES SCHWAB BK SSB WESTLAKE TEX CTF DEP ACT [CS]		01/31/2023	P	\$250,001 - \$500,000	
DESCRIPTION: CHARLES SCHWAB BK SSB WESTLAKE TEX CTF DEP ACT/365 INT RATE 4.750% MATURITY 2/2/24					
Stephens Advantage Account ⇒ DAIMLER TRUCK HLDG S/ADR (DTRUY) [ST]		12/11/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ FEDERATED HERMES GOVERNMENT OBLIGATIONS FUND CLASS SS [GS]		01/31/2023	P	\$100,001 - \$250,000	
Stephens Advantage Account ⇒ Federated Hermes Government Obligations Fund Svc Shares (GOSXX) [MF]		12/29/2023	P	\$50,001 - \$100,000	
Stephens Advantage Account ⇒ FedEx Corporation (FDX) [ST]		06/29/2023	P	\$15,001 - \$50,000	
Stephens Advantage Account ⇒ FIRST NATL BK & TR WEATHERFORD TEX CTF DEP ACT [CS]		01/31/2023	P	\$250,001 - \$500,000	
DESCRIPTION: FIRST NATL BK & TR WEATHERFORD TEX CTF DEP ACT/365 INT RATE 4.650 MATURITY 2/14/25					
Stephens Advantage Account ⇒ First Trust Institutional Preferred Securities and Income ETF (FPEI) [EF]		12/12/2023	S	\$50,001 - \$100,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ First Trust Preferred Securities and Income ETF ETF (FPE) [EF]		12/12/2023	S	\$50,001 - \$100,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ FT UNIT 10479 PFD INCOME PORTFOLIO SER 114 [OT]		02/6/2023	P	\$15,001 - \$50,000	
LOCATION: US					
DESCRIPTION: FT UNIT 10479 PFD					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ FT unit 9164 pfd income portfolio sr 106 monthly cash [OT] LOCATION: US DESCRIPTION: ft unit 9164 pfd income portfolio sr 106 monthly cash		12/20/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Global X Variable Rate Preferred ETF (PFFV) [EF]		12/13/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ Invesco Variable Rate Preferred ETF (VRP) [EF]		12/22/2023	P	\$50,001 - \$100,000	
Stephens Advantage Account ⇒ iShares Preferred and Income Securities ETF (PFF) [EF]		12/20/2023	P	\$50,001 - \$100,000	
Stephens Advantage Account ⇒ Kyndryl Holdings, Inc. (KD) [ST]		12/11/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stephens Advantage Account ⇒ PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS A [OT] LOCATION: US DESCRIPTION: Asset PSDTX - Class A		01/31/2023	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ VanEck Preferred Securities ex Financials ETF (PFXF) [EF]		12/20/2023	P	\$50,001 - \$100,000	
Stephens Advantage Account ⇒ Western Asset Inflation-Linked Opportunities & Income Fund (WIW) [ST]		01/31/2023	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ First Horizon Corporation (FHN) [ST]		05/26/2023	P	\$15,001 - \$50,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Stephens Inc. SEP IRA	IRA Distribution	\$57,964.85
Tennessee Consolidated Retirement System	Pension Distribution	\$36,612.77

## SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Circuit Playhouse / Playhouse on the Square

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1983	Myself and the Tennessee Consolidated Retirement System	Continued participation in employee pension plan.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute	08/12/2023	08/22/2023	Memphis, TN - Nairobi, Kenya - Memphis, TN	5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none"><li>◦ Stephens Advantage Account LOCATION: US</li><li>◦ Stephens Roth IRA</li><li>◦ Stephens SEP IRA</li><li>◦ TN 401k</li><li>◦ TN 457</li></ul>
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### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Steve Cohen , 05/15/2024