Filing ID #10060012

Financial Disclosure Report

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Filer Information

Name: Hon. Ron Estes
Status: Member
State/District: KS04

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/7/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank ⇒ Intrust checking and savings [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
Bank ⇒ Mid American Credit Union [BA]		$1,001 - $15,000	Dividends	$1 - $200	☐
Dependent Coverdale college savings ⇒ Putnam Large Cap Value Fund - A [MF]		$1,001 - $15,000	Tax-Deferred		☐
Investment ⇒ US Treasury Savings Bonds [GS]		$15,001 - $50,000	Interest	$201 - $1,000	☑
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [MF]		$1,001 - $15,000	Tax-Deferred		☑
KPERS [DB]		Undetermined	Tax-Deferred		☐
KPERS 457 ⇒ DFA US Large Cap Value 1 [MF]		$50,001 - $100,000	Tax-Deferred		☐
KPERS 457 ⇒ Stable Value Fund - Great-West Life & Annuity Insurance Company [MF]		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Stable value fund					
KPERS 457 ⇒ T Rowe Price Capital Appreciation [MF]		$50,001 - $100,000	Tax-Deferred		☐
KPERS 457 ⇒ Vanguard Total Bond Market Index Inst [MF] DESCRIPTION: Bond fund		$15,001 - $50,000	Tax-Deferred		☐
MyLifeChoices - 401k ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ GIC Stable Value- Galliard Capital Management Inc [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ Mid-Cap Index - Blackrock Institutional Trust Company NA [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ MSCI EAFE Index Fund - Blackrock [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ S & P 500 Index - Vanguard [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ Target Retirement 2040 - Vanguard Goup Inc [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
MyLifeChoices - 401k ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust Company NA [MF] DESCRIPTION: Account rolled over to Thrift Savings Plan in 2023		None	Tax-Deferred		☑
Roth IRA ⇒ American Balanced Fund Cl F3 [MF]		$1,001 - $15,000	Tax-Deferred		☐
Roth IRA ⇒ American Mutual Fund Cl F3 [MF]		$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Roth IRA ⇒ Capital World Growth and Income fund Cl F3 - American Funds [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ Dodge & Cox Income Fund [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ Invesco Corporate Bond Fund Cl R6 (ICBFX) [MF] DESCRIPTION: Purchased to rebalance account		$1,001 - $15,000	Tax-Deferred		✓
Roth IRA ⇒ Invesco Global Opportunities Cl R6 [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ Investment Company of America - American Funds [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ JP Morgan Mid Cap Value Cl L [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ MFS Corporate Bond Fund R6 [MF] DESCRIPTION: Sold to rebalance account		None	Tax-Deferred		✓
Roth IRA ⇒ MFS New Discovery Fund Cl R6 [MF] DESCRIPTION: Sold to rebalance account		None	Tax-Deferred		✓
Roth IRA ⇒ New Perspective Fund Cl F3 - American Funds [MF]		$1,001 - $15,000	Tax-Deferred		
Roth IRA ⇒ T. Rowe Price Integrated U.S. Small-Cap Growth Equity Fund - Class I (TQAIX) [MF] DESCRIPTION: Purchased to rebalance account		$1,001 - $15,000	Tax-Deferred		✓
Spouse 401k - CUNA Mutual Holding Company ⇒ CUNA Mutual Lifestyle Agressive [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 401k - Stand Together Benefits, LLC 401K Plan ⇒ State Street Target Retire 2030 SL Cl IV [MF]	SP	$100,001 - $250,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ American Funds Washington Mutual Invstrs Fd - F1 [MF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse 403b - Lincoln Investment ⇒ FederatedHermes Prime Cash Obl AS [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ Goldman Sachs Intl Equity Insights Fund - A [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ GoldmanSachs Emerging Mkt Eq A [MF]	SP	$1 - $1,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ JPMorgan SmCap Equity A [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ Parnassus Mid Cap Fund - Inv [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ PGIM High Yield Fund - A [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ PIMCO International Bond Fund - A (USD HGD) [MF]	SP	$1 - $1,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ PRIMECAP Odyssey Growth Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse 403b - Lincoln Investment ⇒ PRIMECAP Odyssey Stock Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse Deferred Comp - MA ⇒ SMART Capital Preservation Fund [OT] DESCRIPTION: Deferred Comp	SP	$1,001 - $15,000	Tax-Deferred		
Spouse KPERS [DB]	SP	Undetermined	Tax-Deferred		
Spouse Roth IRA - Putnam Investments ⇒ Putnam Intl Capital Opps - A [MF] DESCRIPTION: Roth IRA	SP	$1,001 - $15,000	Tax-Deferred		
Spouse Roth IRA - Putnam Investments ⇒ Putnam Large Cap Growth Class A (POGAX) [MF] DESCRIPTION: Putnam Growth Opportunities - A is now Putnam Large Cap Growth Class A effective in 2023.	SP	$15,001 - $50,000	Tax-Deferred		
Spouse Roth IRA - Putnam Investments ⇒ Putnam Large Cap Value Fund A [MF]	SP	$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database Sale to pay tuition		01/10/2023	S (partial)	$15,001 - $50,000	☐
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		07/27/2023	P	$1,001 - $15,000	
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		08/3/2023	S (partial)	$1,001 - $15,000	☐
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		10/10/2023	S (partial)	$1,001 - $15,000	☐
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		10/31/2023	S (partial)	$1,001 - $15,000	☐
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		12/7/2023	S (partial)	$1,001 - $15,000	☐
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT] Location: US Description: Mutual fund listing not found in database		12/21/2023	P	$1,001 - $15,000	
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [OT]		12/26/2023	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LOCATION: US DESCRIPTION: Mutual fund listing not found in database					
MyLifeChoices - 401k ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Partial withdrawal		01/9/2023	S (partial)	$1,001 - $15,000	☐
MyLifeChoices - 401k ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$50,001 - $100,000	☐
MyLifeChoices - 401k ⇒ GIC Stable Value- Galliard Capital Management Inc [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Partial withdrawal		01/9/2023	S (partial)	$1,001 - $15,000	☐
MyLifeChoices - 401k ⇒ GIC Stable Value- Galliard Capital Management Inc [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$100,001 - $250,000	☐
MyLifeChoices - 401k ⇒ Mid-Cap Index - Blackrock Institutional Trust Company NA [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$15,001 - $50,000	☐
MyLifeChoices - 401k ⇒ MSCI EAFE Index fund - Blackrock [OT] LOCATION: US DESCRIPTION: Mutual fund listing not in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$15,001 - $50,000	☐
MyLifeChoices - 401k ⇒ S & P 500 Index - Vanguard [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Partial withdrawal		01/9/2023	S (partial)	$1,001 - $15,000	☐
MyLifeChoices - 401k ⇒ S & P 500 Index - Vanguard [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$50,001 - $100,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
MyLifeChoices - 401k ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust Company NA [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Partial withdrawal		01/9/2023	S (partial)	$1,001 - $15,000	☐
MyLifeChoices - 401k ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust Company NA [OT] LOCATION: US DESCRIPTION: Mutual fund listing not found in database Rollover to federal Thrift Savings Plan		03/23/2023	S	$50,001 - $100,000	☐
MyLifeChoices - 401k ⇒ Vanguard Target Retirement 2040 Fund (VFORX) [MF]		01/9/2023	S (partial)	$1,001 - $15,000	☐
MyLifeChoices - 401k ⇒ Vanguard Target Retirement 2040 Fund (VFORX) [MF] DESCRIPTION: Rollover to federal Thrift Savings Plan		03/23/2023	S	$50,001 - $100,000	☐
Roth IRA ⇒ Invesco Corporate Bond Fund Cl R6 (ICBFX) [MF] DESCRIPTION: Purchased to rebalance account		11/27/2023	P	$1,001 - $15,000	
Roth IRA ⇒ MFS Bond Fund Class R6 (MFBKX) [MF] DESCRIPTION: Sold to rebalance account		11/27/2023	S	$1,001 - $15,000	☐
Roth IRA ⇒ MFS New Discovery Fund Class R6 (MNDKX) [MF] DESCRIPTION: Sold to rebalance account		11/27/2023	S	$1,001 - $15,000	☐
Roth IRA ⇒ T. Rowe Price Integrated U.S. Small-Cap Growth Equity Fund - Class I (TQAIX) [MF] DESCRIPTION: Purchased to rebalance account		11/27/2023	P	$1,001 - $15,000	
US Treasury Savings Bond [GS]		04/17/2023	P	$1,001 - $15,000	
US Treasury Savings Bond [GS]		04/17/2023	P	$1,001 - $15,000	
US Treasury Savings Bond [GS]		04/17/2023	P	$1,001 - $15,000	

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https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
State of Kansas	Spouse Salary	N/A
Kansas Public Employees Retirement System (KPERS)	Pension	$10,260.00
MyLifeChoices - 401k	401k distribution	$10,000.00

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Member Mortgage Services	April 2020	Home mortgage	$100,001 - $250,000
	Citibank	December 2022	credit card balance	$10,000 - $15,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
April 2017	State of Kansas and myself	Participation in the pension system and the 457 plan

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	04/10/2023	04/15/2023	Washington DC - Bellagio Italy - Wichita Kansas	0	☑	☑	☐
Ripon Society and The Franklin Center	08/4/2023	08/10/2023	Wichita KS - London England - Wichita Kansas	2	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- Bank
  Location: US
- Dependent Coverdale college savings
  Location: US
- Investment
  Location: US
- Kansas Learning Quest
  Location: KS
- KPERS 457
- MyLifeChoices - 401k
- Roth IRA
- Spouse 401k - CUNA Mutual Holding Company (Owner: SP)
- Spouse 401k - Stand Together Benefits, LLC 401K Plan (Owner: SP)
- Spouse 403b - Lincoln Investment (Owner: SP)
- Spouse Deferred Comp - MA (Owner: SP)
- Spouse Roth IRA - Putnam Investments (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ron Estes , 08/7/2024