



Filing ID #10059688

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Morgan McGarvey
Status: Member
State/District: KY03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 05/14/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ ATAI Life Sciences N.V. - Common Shares (ATAI) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ CareTrust REIT, Inc. (CTRE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Cellecstar Biosciences, Inc. (CLRB) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Chris IRA Cash [IH]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Invesco S&P SmallCap Value with Momentum ETF (XSVM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ iShares Russell Top 200 ETF (IWL) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ iShares Russell Top 200 Growth Index Fund (IWY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ iShares Silver Trust (SLV) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Real Estate Select Sector SPDR Fund (The) (XLRE) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Schwab Fundamental U.S. Large Company Index ETF (FNDX) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris IRA ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ SPDR Dow Jones Industrial Average ETF Trust (DIA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ SPDR S&P 500 (SPY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Twilio Inc. Class A (TWLO) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris IRA ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Vanguard Materials ETF (VAW) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Vanguard Small-Cap ETF (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris IRA ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ ATAI Life Sciences N.V. - Common Shares (ATAI) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Chris Roth Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ iShares Russell Top 200 ETF (IWL) [EF] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ iShares Silver Trust (SLV) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Real Estate Select Sector SPDR Fund (The) (XLRE) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Schwab Fundamental U.S. Large Company Index ETF (FNDX) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ SPDR S&P 500 (SPY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris Roth ⇒ Twilio Inc. Class A (TWLO) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Materials ETF (VAW) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Small-Cap ETF (VB) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris Roth ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris SEP ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ ATAI Life Sciences N.V. - Common Shares (ATAI) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ CareTrust REIT, Inc. (CTRE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Cellecstar Biosciences, Inc. (CLRB) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Chris SEP Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ Invesco S&P SmallCap Value with Momentum ETF (XSVM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ iShares Russell Top 200 ETF (IWL) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ iShares Silver Trust (SLV) [EF] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Microsoft Corporation (MSFT) [ST]					<input type="checkbox"/>
Chris SEP ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Real Estate Select Sector SPDR Fund (The) (XLRE) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Schwab Fundamental U.S. Large Company Index ETF (FNDX) [ST] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ SPDR S&P 500 (SPY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Chris SEP ⇒ Twilio Inc. Class A (TWLO) [ST] DESCRIPTION: Sale less than \$1,000	SP	None	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard Materials ETF (VAW) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard Mid-Cap ETF (VO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chris SEP ⇒ Vanguard S&P 500 ETF (VOO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard Small-Cap ETF (VB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Chris SEP ⇒ Vanguard Utilities ETF (VPU) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ BlackRock High Yield Bond Portfolio - Class A (BHYAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Select Large Cap Value Fund Class A (SLVAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Delaware Small Cap Value Fund A Class (DEVLX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Invesco Stable Value Retirement Fund Class 1 [OT] DESCRIPTION: Stable Value Fund; appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ iShares S&P 500 Index Fund - Institutional (BSPIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ Janus Henderson Triton Fund - A Shares (JGMAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ MFS International Diversification Fund Class A (MDIDX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ PGIM Total Return Bond Fund Class R4 (PDBSX) [MF] DESCRIPTION: Appreciated over \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Heaven Hill Distilleries, Inc 401(K) ⇒ PIMCO Income Fund Class A (PONAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Joint Brokerage ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Joint Brokerage ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ iShares MSCI USA Momentum Factor ETF (MTUM) [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Joint Brokerage ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Joint Brokerage ⇒ Joint Brokerage Cash [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ NextEra Energy, Inc. (NEE) [ST] DESCRIPTION: Sale less than \$1,000	JT	None	None		<input type="checkbox"/>
Joint Brokerage ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Div Appreciation ETF (VIG) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Information Tech ETF (VGT) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Joint Brokerage ⇒ Vanguard Mid-Cap ETF (VO) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Joint Brokerage ⇒ Vanguard Real Estate ETF (VNQ) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Small-Cap ETF (VB) [EF] DESCRIPTION: Appreciated over \$1,000	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Small-Cap Value ETF (VBR) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Vanguard Utilities ETF (VPU) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Joint Brokerage ⇒ Xtrackers MSCI EAFE Hedged Equity ETF (DBEF) [EF]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Roth ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ ATAI Life Sciences N.V. - Common Shares (ATAI) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ CareTrust REIT, Inc. (CTRE) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Invesco S&P SmallCap Value with Momentum ETF (XSVM) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ iShares MSCI Intl Quality Factor ETF (IQLT) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ iShares Russell Top 200 ETF (IWL) [EF] DESCRIPTION: Appreciated over \$1,000		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Roth ⇒ iShares Russell Top 200 Growth ETF (IWY) [EF] DESCRIPTION: Appreciated over \$1,000		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ iShares Silver Trust (SLV) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Materials Select Sector SPDR (XLB) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Microsoft Corporation (MSFT) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Morgan Roth Cash [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Appreciated over \$1,000		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Real Estate Select Sector SPDR Fund (The) (XLRE) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Schwab Fundamental U.S. Large Company Index ETF (FNDX) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Schwab U.S. Large-Cap ETF (SCHX) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Roth ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ SPDR S&P 500 (SPY) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Roth ⇒ Twilio Inc. Class A (TWLO) [ST] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard High Dividend Yield ETF (VYM) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Materials ETF (VAW) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Mid-Cap ETF (VO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard S&P 500 ETF (VOO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Small-Cap ETF (VB) [EF] DESCRIPTION: Sale less than \$1,000		None	Tax-Deferred		<input type="checkbox"/>
Morgan Roth ⇒ Vanguard Utilities ETF (VPU) [EF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
NY 529 Plan - DC1 ⇒ Aggressive Age-Based Option: Blended Growth Portfolio [5F] LOCATION: NY	DC	None	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Plan - DC1 ⇒ Target Enrollment 2032 [5F] LOCATION: NY	DC	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Plan - DC2 ⇒ Aggressive Age-Based Option: Blended Growth Portfolio [5F] LOCATION: NY	DC	None	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Plan - DC2 ⇒ Target Enrollment 2032 [5F] LOCATION: NY	DC	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Republic Bank Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Republic Bank Savings [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Results By Design LLC [OL] LOCATION: Louisville, KY, US DESCRIPTION: Consulting firm	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Series I Savings Bond [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Series I Savings Bond [GS]	SP	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
State of Kentucky Legislators Benefit Plan [DB]		Undetermined	None		<input type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard Money Market Reserves Federal Money Market Fund (VMFXX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard S&P 500 Value ETF (VOOV) [EF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard Total World Stock Index ETF (VT) [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Yum! Brands, Inc. (YUM) [ST] DESCRIPTION: Shares donated to a donor advised fund in 2023		None	None		<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	02/7/2023	P	\$1,001 - \$15,000	
Chris IRA ⇒ Charles Schwab Corporation (SCHW) [ST]	SP	10/5/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Chris IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	08/25/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ iShares Silver Trust (SLV) [EF]	SP	03/7/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ iShares U.S. Energy ETF (IYE) [EF]	SP	07/24/2023	P	\$1,001 - \$15,000	
Chris IRA ⇒ iShares U.S. Energy ETF (IYE) [EF]	SP	09/13/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	02/28/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	03/7/2023	P	\$1,001 - \$15,000	
Chris IRA ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	07/26/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Chris IRA ⇒ SPDR S&P 500 (SPY) [EF]	SP	06/23/2023	P	\$1,001 - \$15,000	
Chris IRA ⇒ Twilio Inc. Class A (TWLO) [ST]	SP	08/25/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	07/26/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris Roth ⇒ SPDR S&P 500 (SPY) [EF]	SP	06/23/2023	P	\$1,001 - \$15,000	
Chris SEP ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	08/25/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]	SP	07/26/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Chris SEP ⇒ SPDR S&P 500 (SPY) [EF]	SP	06/23/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	03/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	06/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	09/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Fds Srs Tr,. Columbia MidCap Index Fund Class A (NTIAX) [MF]	SP	12/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Select Large Cap Value Fund Class A (SLVAX) [MF]	SP	03/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ Columbia Select Large Cap Value Fund Class A (SLVAX) [MF]	SP	06/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ iShares S&P 500 Index Fund - Institutional (BSPIX) [MF]	SP	03/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ iShares S&P 500 Index Fund - Institutional (BSPIX) [MF]	SP	06/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ MFS International Diversification Fund Class A (MDIDX) [MF]	SP	03/1/2023	P	\$1,001 - \$15,000	
Heaven Hill Distilleries, Inc 401(K) ⇒ MFS International Diversification Fund Class A (MDIDX) [MF]	SP	06/1/2023	P	\$1,001 - \$15,000	
Joint Brokerage ⇒ Vanguard Information Tech ETF (VGT) [EF]	JT	08/25/2023	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Roth ⇒ Schwab U.S. TIPs ETF (SCHP) [EF]		07/26/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Roth ⇒ SPDR S&P 500 (SPY) [EF]		06/23/2023	P	\$1,001 - \$15,000	
NY 529 Plan - DC1 ⇒	DC	07/28/2023	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Aggressive Age-Based Option: Blended Growth Portfolio [5F] LOCATION: NY				\$100,000	<input type="checkbox"/>
NY 529 Plan - DC1 ⇒ Target Enrollment 2032 [5F] LOCATION: NY	DC	07/28/2023	P	\$50,001 - \$100,000	
NY 529 Plan - DC2 ⇒ Aggressive Age-Based Option: Blended Growth Portfolio [5F] LOCATION: NY	DC	07/28/2023	S	\$50,001 - \$100,000	<input type="checkbox"/>
NY 529 Plan - DC2 ⇒ Target Enrollment 2032 [5F] LOCATION: NY	DC	07/28/2023	P	\$50,001 - \$100,000	
Vanguard Brokerage ⇒ Vanguard S&P 500 Value ETF (VOOV) [EF]	JT	09/22/2023	P	\$1,001 - \$15,000	

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
LifePoint Health	Spouse Salary	N/A
Heaven Hill	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Republic Bank & Trust	December 2016	Personal Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member COMMENTS: Resigned from the Board effective as of January 3, 2023	New Directions Housing Corporation
Board Member	St. John Gardens Inc.

Position	Name of Organization
COMMENTS: Nonprofit directly controlled by New Directions Housing Corporation. Resigned from the Board effective as of January 3, 2023	
Board Member	New Vision Residential Services Inc
COMMENTS: Nonprofit directly controlled by New Directions Housing Corporation. Resigned from the Board effective as of January 3, 2023	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2023	Morgan McGarvey and the state of Kentucky	Future Pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Chris IRA (Owner: SP)
- Chris Roth (Owner: SP)
- Chris SEP (Owner: SP)
- Heaven Hill Distilleries, Inc 401(K) (Owner: SP)
- Joint Brokerage (Owner: JT)
LOCATION: US
- Morgan Roth
- NY 529 Plan - DC1 (Owner: DC)
LOCATION: NY
- NY 529 Plan - DC2 (Owner: DC)
LOCATION: NY
- Vanguard Brokerage (Owner: JT)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Morgan McGarvey , 05/14/2024