Filing ID #10059617

# Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## Filer Information

**Name:** Hon. Brad R. Wenstrup
**Status:** Member
**State/District:** OH02

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2023
**Filing Date:** 08/12/2024

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Coca-Cola Company (KO) [ST] | | $1,001 - $15,000 | Dividends | $1 - $200 | |
| College Advantage 529 Savings Plan ⇒ Vanguard Ohio Target Enrollment 2032/2033 [5P]<br>Location: OH | | $50,001 - $100,000 | Tax-Deferred | | |
| College Advantage 529 Savings Plan ⇒ Vanguard Ohio Target Enrollment 2036/2037 [5P]<br>Location: OH | | $15,001 - $50,000 | Tax-Deferred | | |
| Fidelity Beneficial IRA ⇒ Fidelity Government Money Market (SPAXX) [MF] | | $1,001 - $15,000 | Tax-Deferred | | |
| Fidelity Beneficial IRA ⇒ IShares S&P 500 Growth ETF (IVW) [EF] | | $15,001 - $50,000 | Tax-Deferred | | |
| Fidelity Rollover IRA ⇒ IRA Bank Account [IH] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Fidelity Rollover IRA ⇒ IShares S&P 500 Growth ETF [EF] | SP | $100,001 - $250,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Fidelity Roth IRA ⇒ IRA Bank Account [IH] | SP | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Fidelity Roth IRA ⇒ IShares S&P 500 Growth ETF [EF] | | $15,001 - $50,000 | Tax-Deferred | | ☐ |
| Fifth Third Bank Accounts [BA] | | $50,001 - $100,000 | Interest | $1 - $200 | ☐ |
| Florida Property [RP]<br>Location: Clearwater, FL, US | | $1,000,001 - $5,000,000 | Rent | $100,001 - $1,000,000 | ☐ |
| PNC Bank Accounts [BA] | | $1,001 - $15,000 | Interest | $1 - $200 | ☐ |
| UBS Brokerage Account ⇒ Allspring Short Term Municipal Bond Fund [MF] | | None | Capital Gains, Dividends | $5,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒ American Fds, The Tax-Exempt Bond Fund of America, Class F-2 Shs (TEAFX) [MF] | | None | Dividends | $2,501 - $5,000 | ☑ |
| UBS Brokerage Account ⇒ Calvert Equity Fund Class I (CEYIX) [MF] | | $15,001 - $50,000 | None | | ☑ |
| UBS Brokerage Account ⇒ Delaware Small Cap Core I [MF] | | $15,001 - $50,000 | Capital Gains, Dividends | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒ DFA Emerging Markets [MF] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | ☑ |
| UBS Brokerage Account ⇒ Dimensional Emerging Core Equity Market ETF (DFAE) [EF] | | None | Capital Gains | $5,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒ Eaton Vance Short Duration Government Income [MF] | | None | Dividends | $1,001 - $2,500 | ☑ |
| UBS Brokerage Account ⇒ First Eagle Overseas Fund Class I [MF] | | $15,001 - $50,000 | Capital Gains, Dividends | $1,001 - $2,500 | ☑ |
| UBS Brokerage Account ⇒ First Trust NASDAQ Cybersecurity [EF] | | $15,001 - $50,000 | Dividends | $1 - $200 | ☑ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| UBS Brokerage Account ⇒ Franklin FTSE Japan ETF (FLJP) [EF] | | $1,001 - $15,000 | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ Health Care Select Sector SPDR Fund [EF] | | $1,001 - $15,000 | Dividends | $201 - $1,000 | |
| UBS Brokerage Account ⇒ Invesco S&P 500 Equal Weight ETF (RSP) [EF] | | $15,001 - $50,000 | None | | ✓ |
| UBS Brokerage Account ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ IShares Core MSCI Total Intl [MF] | | $50,001 - $100,000 | Dividends | $5,001 - $15,000 | ✓ |
| UBS Brokerage Account ⇒ IShares Core S&P Midcap [MF] | | $15,001 - $50,000 | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | $1,001 - $15,000 | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | $15,001 - $50,000 | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ IShares Natl AMT-Free Municipal Bonds [EF] | | None | Capital Gains, Dividends | $1,001 - $2,500 | ✓ |
| UBS Brokerage Account ⇒ IShares Russell 1000 Growth [EF] | | $15,001 - $50,000 | Capital Gains, Dividends | $50,001 - $100,000 | ✓ |
| UBS Brokerage Account ⇒ JP Morgan Strategic Income Opportunities Fund Class S [MF] | | None | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ Lazard International Equity Strategies [MF] | | $50,001 - $100,000 | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ MFS Value Fund [MF] | | $50,001 - $100,000 | Capital Gains, Dividends | $5,001 - $15,000 | ✓ |
| UBS Brokerage Account ⇒ Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | $50,001 - $100,000 | Dividends | $2,501 - $5,000 | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
| --- | --- | --- | --- | --- | --- |
| UBS Brokerage Account ⇒ SPDR MidCap Trust Series I (MDY) [EF] | | None | Capital Gains, Dividends | $15,001 - $50,000 | ✓ |
| UBS Brokerage Account ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | $15,001 - $50,000 | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ SPDR S&P 500 [EF] | | $50,001 - $100,000 | Capital Gains, Dividends | $100,001 - $1,000,000 | ✓ |
| UBS Brokerage Account ⇒ SPDR S&P Dividend [EF] | | None | Capital Gains, Dividends | $15,001 - $50,000 | ✓ |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | | $1,001 - $15,000 | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | $50,001 - $100,000 | Dividends | $2,501 - $5,000 | ✓ |
| UBS Brokerage Account ⇒ Thornburg Limited Term Municipal Fund Class A [MF] | | None | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ UBS Bank USA Account [BA] | | $1,001 - $15,000 | Interest | $1 - $200 | |
| UBS Brokerage Account ⇒ Vanguard Energy ETF (VDE) [EF] | | $1,001 - $15,000 | Dividends | $201 - $1,000 | ✓ |
| UBS Brokerage Account ⇒ Vanguard Financials [EF] | | None | Dividends | $1 - $200 | ✓ |
| UBS Brokerage Account ⇒ Vanguard High Yield Tax Exempt Fund [MF] | | None | Capital Gains, Dividends | $2,501 - $5,000 | |
| UBS Brokerage Account ⇒ Vanguard High-Yield Tax-Exempt Fund Admiral Shares (VWALX) [MF] | | $100,001 - $250,000 | Capital Gains, Dividends | $2,501 - $5,000 | ✓ |
| UBS Brokerage Account ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF] | | $15,001 - $50,000 | None | | ✓ |
| UBS Brokerage Account II ⇒ Nuveen Flagship Ohio Municipal Bond Fund CL A [BA] | | $15,001 - $50,000 | Dividends | $201 - $1,000 | |
| UBS Brokerage Account II ⇒ | | $50,001 - | Dividends | $1,001 - | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Procter & Gamble Company (PG) [ST] | | $100,000 | | $2,500 | |
| UBS Brokerage Account II ⇒ UBS Bank Account [BA] | | $100,001 - $250,000 | Interest | $5,001 - $15,000 | |
| UBS Rollover IRA ⇒ Calvert Equity Fund [EF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Delaware Small Cap Core I [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ DFA Emerging Markets [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ First Eagle Overseas Fund Class I [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ First Trust NASDAQ Cybersecurity [EF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Franklin FTSE Japan ETF (FLJP) [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Guggenheim Total Return Bond Fund [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Hartford Strategic Income Fund [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Health Care Select Sector SPDR Fund [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Invesco S&P 500 Equal Weight [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ IShares Core MSCI Total Intl [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ IShares Core S&P MidCap [MF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| UBS Rollover IRA ⇒<br>IShares Core US Aggregate Bond [EF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>IShares MSCI USA Quality Factor [EF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>IShares Russell 1000 Growth [EF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>JP Morgan Chase Bank ML-CD Efficiente Plus 5 [MF] | | None | Capital Gains | $5,001 - $15,000 | ✓ |
| UBS Rollover IRA ⇒<br>Lazard International Equity Strategies [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>MFS Value Fund [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>SPDR S&P 500 [EF] | | $250,001 - $500,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>UBS Bank Account [BA] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| UBS Rollover IRA ⇒<br>Utilities Sector SPDR Fund [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>Vanguard Energy [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒<br>Vanguard Financials [EF] | | None | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ | | None | Tax-Deferred | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Vanguard FTSE Europe [EF] | | | | | |
| UBS Rollover IRA ⇒ Vanguard Russell 1000 Value [EF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| UBS Rollover IRA ⇒ Vanguard Small Cap Value [EF] | | None | Tax-Deferred | | ✓ |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Brokerage Account ⇒ Allspring Fd, Short-Term Municipal Bond Inst (WSBIX) [MF] | | 01/11/2023 | S | $100,001 - $250,000 | ✓ |
| UBS Brokerage Account ⇒ American Fds, The Tax-Exempt Bond Fund of America, Class F-2 Shs (TEAFX) [MF] | | 02/15/2023 | P | $100,001 - $250,000 | |
| UBS Brokerage Account ⇒ American Fds, The Tax-Exempt Bond Fund of America, Class F-2 Shs (TEAFX) [MF] | | 07/6/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ American Fds, The Tax-Exempt Bond Fund of America, Class F-2 Shs (TEAFX) [MF] | | 12/22/2023 | S | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ Calvert Equity Fund Class I (CEYIX) [MF] | | 12/22/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 02/21/2023 | S (partial) | $15,001 - $50,000 | ✓ |
| UBS Brokerage Account ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 12/19/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 12/22/2023 | S (partial) | $15,001 - $50,000 | ✓ |
| UBS Brokerage Account ⇒ DFA Emerging Markets Core Equity Portfolio (DFCEX) [MF] | | 01/20/2023 | S | $1,001 - $15,000 | ✓ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Brokerage Account ⇒<br>DFA Emerging Markets Core Equity Portfolio (DFCEX) [MF] | | 01/24/2023 | P | $50,001 - $100,000 | |
| UBS Brokerage Account ⇒<br>DFA Emerging Markets Core Equity Portfolio (DFCEX) [MF] | | 07/6/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒<br>DFA Emerging Markets Core Equity Portfolio (DFCEX) [MF] | | 12/22/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒<br>Dimensional Emerging Core Equity Market ETF (DFAE) [EF] | | 01/25/2023 | S | $50,001 - $100,000 | ☑ |
| UBS Brokerage Account ⇒<br>Eaton Vance Short Duration Government Income Fund - Class I (EILDX) [MF] | | 01/11/2023 | S | $15,001 - $50,000 | ☐ |
| UBS Brokerage Account ⇒<br>First Eagle Overseas Fund Class I (SGOIX) [MF] | | 01/25/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒<br>First Eagle Overseas Fund Class I (SGOIX) [MF] | | 07/6/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒<br>First Eagle Overseas Fund Class I (SGOIX) [MF] | | 12/22/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒<br>First Trust NASDAQ Cybersecurity ETF (CIBR) [EF] | | 12/26/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒<br>Franklin FTSE Japan ETF (FLJP) [EF] | | 07/27/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒<br>Invesco S&P 500 Equal Weight ETF (RSP) [EF] | | 12/26/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒<br>iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | 08/9/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒<br>iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | 08/23/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒<br>iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | 12/26/2023 | S (partial) | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Brokerage Account ⇒<br>iShares Core MSCI Total International Stock ETF (IXUS) [EF] | | 07/27/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒<br>iShares Core MSCI Total International Stock ETF (IXUS) [EF] | | 12/26/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒<br>iShares Core S&P Mid-Cap ETF (IJH) [EF] | | 12/26/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒<br>iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 02/16/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒<br>iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 07/7/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒<br>iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 08/9/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒<br>iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 08/30/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | 12/26/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒<br>iShares National Muni Bond ETF (MUB) [EF] | | 01/12/2023 | P | $100,001 - $250,000 | |
| UBS Brokerage Account ⇒<br>iShares National Muni Bond ETF (MUB) [EF] | | 02/16/2023 | S | $250,001 - $500,000 | ☑ |
| UBS Brokerage Account ⇒<br>iShares Russell 1000 Growth ETF (IWF) [EF] | | 02/22/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒<br>iShares Russell 1000 Growth ETF (IWF) [EF] | | 07/7/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒<br>iShares Russell 1000 Growth ETF (IWF) [EF] | | 12/26/2023 | S (partial) | $50,001 - $100,000 | ☑ |
| UBS Brokerage Account ⇒<br>JPMorgan Strategic Income Opportunities Fund I Class (JSOSX) [MF] | | 01/11/2023 | S | $15,001 - $50,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Brokerage Account ⇒ Lazard International Strategic Equity Ptf Insti Shs (LISIX) [MF] | | 01/20/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| UBS Brokerage Account ⇒ MFS Value Fund - Class I (MEIIX) [MF] | | 01/11/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒ MFS Value Fund - Class I (MEIIX) [MF] | | 05/5/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒ MFS Value Fund - Class I (MEIIX) [MF] | | 12/22/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒ Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | 02/15/2023 | P | $50,001 - $100,000 | |
| UBS Brokerage Account ⇒ Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | 07/6/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ SPDR MidCap Trust Series I (MDY) [EF] | | 02/22/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒ SPDR MidCap Trust Series I (MDY) [EF] | | 12/26/2023 | S | $1,001 - $15,000 | ☑ |
| UBS Brokerage Account ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 01/12/2023 | P | $100,001 - $250,000 | |
| UBS Brokerage Account ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 02/16/2023 | S (partial) | $100,001 - $250,000 | ☐ |
| UBS Brokerage Account ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 05/8/2023 | P | $15,001 - $50,000 | |
| UBS Brokerage Account ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 12/26/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ SPDR S&P 500 (SPY) [EF] | | 02/22/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Brokerage Account ⇒ SPDR S&P 500 (SPY) [EF] | | 07/7/2023 | S (partial) | $15,001 - $50,000 | ☑ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| | | | | | |
| UBS Brokerage Account ⇒ SPDR S&P 500 (SPY) [EF] | | 12/26/2023 | S | $100,001 - $250,000 | ✓ |
| UBS Brokerage Account ⇒ SPDR S&P Dividend ETF (SDY) [EF] | | 02/22/2023 | S | $15,001 - $50,000 | ✓ |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | | 12/26/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | | 01/25/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 02/15/2023 | P | $50,001 - $100,000 | |
| UBS Brokerage Account ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 07/6/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 12/22/2023 | S | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ Thornburg Limited Term Municipal Fund Class I (LTMIX) [MF] | | 01/11/2023 | S | $100,001 - $250,000 | ☐ |
| UBS Brokerage Account ⇒ Vanguard Energy ETF (VDE) [EF] | | 01/25/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒ Vanguard Energy ETF (VDE) [EF] | | 12/26/2023 | P | $1,001 - $15,000 | |
| UBS Brokerage Account ⇒ Vanguard Financials ETF (VFH) [EF] | | 01/25/2023 | S | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ Vanguard High-Yield Tax-Exempt Fund Admiral Shares (VWALX) [MF] | | 01/11/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ Vanguard High-Yield Tax-Exempt Fund Admiral Shares (VWALX) [MF] | | 02/15/2023 | P | $100,001 - $250,000 | |
| UBS Brokerage Account ⇒ | | 07/6/2023 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Vanguard High-Yield Tax-Exempt Fund Admiral Shares (VWALX) [MF] | | | (partial) | | ☐ |
| UBS Brokerage Account ⇒ Vanguard High-Yield Tax-Exempt Fund Admiral Shares (VWALX) [MF] | | 12/22/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Brokerage Account ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF] | | 12/26/2023 | P | $15,001 - $50,000 | |
| UBS Rollover IRA ⇒ Calvert Equity Fund Class I (CEYIX) [MF] | | 01/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ Calvert Equity Fund Class I (CEYIX) [MF] | | 07/18/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ Calvert Equity Fund Class I (CEYIX) [MF] | | 12/15/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 01/20/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| UBS Rollover IRA ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 07/18/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Delaware Small Cap Core Fund Institutional (DCCIX) [MF] | | 12/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ DFA Emerging Markets Core Equity Portfolio (DFCEX) [MF] | | 12/12/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ First Eagle Overseas Fund Class I (SGOIX) [MF] | | 01/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ First Eagle Overseas Fund Class I (SGOIX) [MF] | | 12/6/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ First Trust NASDAQ Cybersecurity ETF (CIBR) [EF] | | 07/19/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ Franklin FTSE Japan ETF (FLJP) [EF] | | 07/19/2023 | P | $15,001 - $50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Rollover IRA ⇒ Guggenheim Total Return Bond Fund- Institutional Class (GIBIX) [MF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Guggenheim Total Return Bond Fund- Institutional Class (GIBIX) [MF] | | 07/18/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Invesco S&P 500 Equal Weight ETF (RSP) [EF] | | 01/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | 08/8/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | | 08/22/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares Core MSCI Total International Stock ETF (IXUS) [EF] | | 01/23/2023 | S (partial) | $15,001 - $50,000 | ☑ |
| UBS Rollover IRA ⇒ iShares Core MSCI Total International Stock ETF (IXUS) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | | 07/19/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | | 01/23/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 01/23/2023 | P | $15,001 - $50,000 | |
| UBS Rollover IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 08/8/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 08/22/2023 | S (partial) | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Rollover IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | 01/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | 07/19/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | 11/22/2023 | P | $15,001 - $50,000 | |
| UBS Rollover IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | 12/15/2023 | P | $15,001 - $50,000 | |
| UBS Rollover IRA ⇒<br>iShares Russell 1000 Growth ETF (IWF) [EF] | | 01/23/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒<br>iShares Russell 1000 Growth ETF (IWF) [EF] | | 07/19/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| UBS Rollover IRA ⇒<br>JP Morgan Chase Bank ML-CD Efficiente Plus 5 [BA] | | 01/31/2023 | S | $50,001 - $100,000 | ☑ |
| UBS Rollover IRA ⇒<br>Lazard International Strategic Equity Ptf Insti Shs (LISIX) [MF] | | 07/18/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒<br>Lazard International Strategic Equity Ptf Insti Shs (LISIX) [MF] | | 12/22/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒<br>MFS Value Fund - Class I (MEIIX) [MF] | | 01/20/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| UBS Rollover IRA ⇒<br>MFS Value Fund - Class I (MEIIX) [MF] | | 05/4/2023 | S (partial) | $50,001 - $100,000 | ☐ |
| UBS Rollover IRA ⇒<br>MFS Value Fund - Class I (MEIIX) [MF] | | 07/18/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒<br>MFS Value Fund - Class I (MEIIX) [MF] | | 12/15/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒<br>Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | 01/20/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| | | | | | |
| UBS Rollover IRA ⇒ Performance Trust Total Return Bond Fund-Institutional Class (PTIAX) [MF] | | 07/18/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 05/5/2023 | P | $50,001 - $100,000 | |
| UBS Rollover IRA ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR S&P 500 (SPY) [EF] | | 01/23/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR S&P 500 (SPY) [EF] | | 07/19/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | | 01/23/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | | 01/23/2023 | P | $15,001 - $50,000 | |
| UBS Rollover IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | | 03/31/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 07/18/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ The Hartford Strategic Income Fund Cl I (HSNIX) [MF] | | 12/28/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Vanguard Energy ETF (VDE) [EF] | | 01/23/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| UBS Rollover IRA ⇒ Vanguard Financials ETF (VFH) [EF] | | 01/23/2023 | S | $15,001 - $50,000 | ☐ |
| UBS Rollover IRA ⇒ Vanguard FTSEEuropean ETF (VGK) [EF] | | 01/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ Vanguard FTSEEuropean ETF (VGK) [EF] | | 07/19/2023 | S | $15,001 - $50,000 | ☐ |
| UBS Rollover IRA ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF] | | 07/19/2023 | P | $1,001 - $15,000 | |
| UBS Rollover IRA ⇒ Vanguard Small-Cap Value ETF (VBR) [EF] | | 07/19/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| UBS Rollover IRA ⇒ Vanguard Small-Cap Value ETF (VBR) [EF] | | 11/22/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| UBS Rollover IRA ⇒ Vanguard Small-Cap Value ETF (VBR) [EF] | | 12/15/2023 | S | $15,001 - $50,000 | ☐ |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule C: Earned Income

None disclosed.

## Schedule D: Liabilities

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---|---|---|---|
| | UBS Bank | August 2020 | Personal loan for purchase of Florida property | $250,001 - $500,000 |
| | UBS Bank | October 2021 | Mortgage on Florida property | $500,001 - $1,000,000 |

## Schedule E: Positions

| Position | Name of Organization |
|---|---|
| Member of Advisory Board (uncompensated) | Cincinnati Boys Hope Girls Hope |

## Schedule F: Agreements

None disclosed.

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

None disclosed.

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Schedule A and B Investment Vehicle Details

- College Advantage 529 Savings Plan
  Location: OH
- Fidelity Beneficial IRA (Owner: SP)
- Fidelity Rollover IRA (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- UBS Brokerage Account
  Location: US
- UBS Brokerage Account II
- UBS Rollover IRA

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

## Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brad R. Wenstrup , 08/12/2024