



Filing ID #10059530

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Adam Smith
Status: Member
State/District: WA09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 05/1/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Alaska Air Group, Inc. (ALK) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Baird Money Market/Bank Deposit [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ CVS Health Corporation (CVS) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Deere & Company (DE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Newmont Corporation (NEM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Nordstrom, Inc. (JWN) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ PACCAR Inc. (PCAR) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Republic Services, Inc. (RSG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Tesla, Inc. (TSLA) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Union Pac Corp Note [CS] DESCRIPTION: Taxable Bond	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Union Pacific Corporation (UNP) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
United Parcel Service, Inc. (UPS) [ST]					
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary ⇒ Weyerhaeuser Company (WY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Book Agreement between Adam Smith and Health Communications, Inc. [IP]		Undetermined	Royalties	\$5,694.79	<input type="checkbox"/>
DESCRIPTION: Publishing Agreement between Adam Smith and Health Communications, Inc. (publisher). Agreement was signed on 2/17/2022 and has been approved by the Ethics Committee.					
Chase Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DreamAhead College Investment Plan #2 [5P]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: WA					
Fidelity IRA ⇒ Calamos Growth A [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Fidelity IRA ⇒ Cash Reserves - Money Market [IH]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity IRA ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
USAA Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
USAA Performance First Savings Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Vanguard 500 Index Fund Adm. [MF]	JT	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Washington State Retirement Account [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Washington State Retirement Account [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Spouse's Washington State Retirement Account. She has vested in the pension system.					

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DreamAhead College Investment Plan #2 [5P]		03/23/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Partial withdrawal from fund to pay educational expenses for DC2 at Washington State University.					
DreamAhead College Investment Plan #2 [5P]		12/13/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Partial withdrawal from fund to pay educational expenses for DC2 at Washington State University.					

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
King County	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase Mortgage	July 2012	Mortgage on Primary Residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1997	Adam Smith and the State of Washington	I was employed by the State of Washington for 6 years and vested in the pension system under PERS 2. I will receive a pension in the future based on my employment as a state senator.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	04/10/2023	04/15/2023	Seattle - Bellagio, Italy - Seattle	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none">Baird Trust Company TTEE FBO/Inherited Beneficiary IRA/John Eldridge Deceased IRA/Sara Smith Beneficiary (Owner: SP) DESCRIPTION: Beneficiary IRA COMMENTS: Upon the death of her father, spouse became a partial beneficiary of his IRA. The IRA is held at Baird Trust Company TTEE FBO. Beneficiary IRA was acquired on 4/7/2022.Fidelity IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Adam Smith , 05/1/2024