



Filing ID #10059510

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Raúl M. Grijalva  
**Status:** Member  
**State/District:** AZ07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 08/12/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9TH AVE [RP]  LOCATION: TUCSON, AZ, US		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
abrdn Healthcare Opportunities Fund - Closed End Fund (THQ) [OT]  DESCRIPTION: Closed-end mutual fund. Widely-held, diversified asset. Name change since 2022 - formerly TEKLA HEALTHCARE OPP FUND (THQ)	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
ARIZONA STATE RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
Calamos Global Total Return Fund - Closed End Fund (CGO) [OT]  DESCRIPTION: Closed-end mutual fund. Widely-held, diversified asset.	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Calamos Strategic Total Return Fund - Closed End Fund (CSQ) [OT]  DESCRIPTION: Closed-end mutual fund. Widely-held, diversified asset.	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Capital World Growth and Income Fund Class F-2 Shares (WGIFX) [MF]  DESCRIPTION: Name change since 2022 - previously American Cap Wrld Gr & Inc F2	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
ClearBridge Appreciation Fund Class I (SAPYX) [MF]	SP	None	None		<input checked="" type="checkbox"/>
CONGRESSIONAL FEDERAL CREDIT UNION [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Federated Hermes Prime Cash Obligations Fund Wealth Shares (PCOXX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
First Trust NASDAQ Cybersecurity ETF (CIBR) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Gabelli Utility Trust - Closed End Fund (GUT) [OT]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Closed-end mutual fund. Widely-held, diversified asset.					
Invesco Global Fund Class Y (OGLYX) [MF]	SP	None	None		<input checked="" type="checkbox"/>
iShares U.S. Technology ETF (IYW) [EF]	SP	None	None		<input checked="" type="checkbox"/>
John Hancock Financial Opportunities Fund (XBTOX) [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
PGIM Jennison Global Opportunities Fund Class Z (PRJZX) [MF]	SP	None	None		<input checked="" type="checkbox"/>
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
SPDR S&P Dividend ETF (SDY) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
The Gabelli Healthcare & Wellness Trust - Closed End Fund (GRX) [OT]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Closed-end mutual fund. Widely-held, diversified asset.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Transamerica Short-Term Bond Class I (TSTIX) [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
abrdn Healthcare Opportunities Fund - Closed End Fund (THQ) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	12/6/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
abrdn Healthcare Opportunities Fund - Closed End Fund (THQ) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	03/30/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Calamos Global Total Return Fund - Closed End Fund (CGO) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Calamos Strategic Total Return Fund - Closed End Fund (CSQ) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	12/12/2023	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Capital World Growth and Income Fund Class F-2 Shares (WGIFX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Capital World Growth and Income Fund Class F-2 Shares (WGIFX) [MF]	SP	12/6/2023	P	\$1,001 - \$15,000	
ClearBridge Appreciation Fund Class I (SAPYX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
ClearBridge Appreciation Fund Class I (SAPYX) [MF]	SP	12/6/2023	P	\$1,001 - \$15,000	
ClearBridge Appreciation Fund Class I (SAPYX) [MF]	SP	08/14/2023	P	\$1,001 - \$15,000	
ClearBridge Appreciation Fund Class I (SAPYX) [MF]	SP	03/30/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Federated Hermes Prime Cash Obligations Fund Wealth Shares (PCOXX) [MF]	SP	12/12/2023	P	\$50,001 - \$100,000	
First Trust NASDAQ Cybersecurity ETF (CIBR) [EF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Gabelli Utility Trust - Closed End Fund (GUT) [OT]  LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	07/24/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Gabelli Utility Trust - Closed End Fund (GUT) [OT]  LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invesco Global Fund Class Y (OGLYX) [MF]	SP	07/24/2023	P	\$1,001 - \$15,000	
Invesco Global Fund Class Y (OGLYX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
iShares U.S. Technology ETF (IYW) [EF]	SP	01/23/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Hancock Financial Opportunities Fund (XBTOX) [MF]	SP	06/15/2023	P	\$1,001 - \$15,000	
John Hancock Financial Opportunities Fund (XBTOX) [MF]	SP	03/30/2023	P	\$1,001 - \$15,000	
John Hancock Financial Opportunities Fund (XBTOX) [MF]	SP	01/23/2023	P	\$1,001 - \$15,000	
John Hancock Financial Opportunities Fund (XBTOX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PGIM Jennison Global Opportunities Fund Class Z (PRJZX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P Dividend ETF (SDY) [EF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P Dividend ETF (SDY) [EF]	SP	01/23/2023	P	\$1,001 - \$15,000	
SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	07/24/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	03/30/2023	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]	SP	08/14/2023	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
The Gabelli Healthcare & Wellness Trust - Closed End Fund (GRX) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
The Gabelli Healthcare & Wellness Trust - Closed End Fund (GRX) [OT] LOCATION: US DESCRIPTION: Closed-end mutual fund.	SP	03/30/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Transamerica Short-Term Bond Class I (TSTIX) [MF]	SP	12/12/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER (UNCOMPENSATED)	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER (UNCOMPENSATED)	PROGRESSIVE CAUCUS CENTER

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1999	RAUL GRIJALVA, ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA, PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 08/12/2024