



Filing ID #10059232

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Jimmy Panetta  
**Status:** Member  
**State/District:** CA19

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 08/10/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alameda County Employees' Retirement Association Pension [PE]		Undetermined	None		<input type="checkbox"/>
Alameda County Employees' Retirement Association Pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
Bank of America [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
CalPERS pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
CalPERS pension [PE]		Undetermined	None		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital Income Builder Class 529-A Shares (CIRAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Bond Fund, Class 529-A Shares (CCWAX) [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Growth and Income Fund Class 529-A Shares (CWIAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citigroup Global Markets, Inc. 529 Plan ⇒ EuroPacific Growth Fund, Class 529-A Shares (CEUAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Fundamental Investors, Class 529-A Shares (CFNAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ New World Fund, Inc., Class 529-A Shares (CNWAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ SMALLCAP World Fund, Inc., Class 529-A Shares (CSPAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Bond Fund of America, Class 529-A Shares (CFAAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Growth Fund of America Class 529-A Shares (CGFAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Income Fund of America, Class 529-A Shares (CIMAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Investment Company of America, Class 529-A Shares (CICAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Janus IRA ⇒ Janus Henderson Global Life Sciences Fund - D Shares (JNGLX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Janus IRA ⇒ Janus Henderson Global Select Fund - D Shares (JANRX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Janus IRA ⇒ Janus Henderson Research Fund - D Shares (JNRFX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital Income Builder Class 529-A Shares (CIRAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital World Bond Fund, Class 529-A Shares (CCWAX) [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital World Growth and Income Fund Class 529-A Shares (CWIAX) [MF]					<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ EuroPacific Growth Fund, Class 529-A Shares (CEUAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Fundamental Investors, Class 529-A Shares (CFNAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ New World Fund, Inc., Class 529-A Shares (CNWAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ SMALLCAP World Fund, Inc., Class 529-A Shares (CSPAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Bond Fund of America, Class 529-A Shares (CFAAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Growth Fund of America Class 529-A Shares (CGFAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Income Fund of America, Class 529-A Shares (CIMAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Investment Company of America, Class 529-A Shares (CICAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 2 ⇒ American Balanced Fund, Class 529-C Shares (CLBCX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529-C (CGPCX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC2, account 1 ⇒ American Balanced Fund, Class 529-C Shares (CLBCX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529-C (CGPCX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Janus IRA ⇒ Janus Henderson Global Life Sciences Fund - D Shares (JNGLX) [MF]	SP	12/7/2023	P	\$1,001 - \$15,000	
Janus IRA ⇒ Janus Henderson Global Select Fund - D Shares (JANRX) [MF]	SP	12/7/2023	P	\$1,001 - \$15,000	
Janus IRA ⇒ Janus Henderson Research Fund - D Shares (JNRFX) [MF]	SP	12/7/2023	P	\$1,001 - \$15,000	
Morgan Stanley 529, DC1, account 2 ⇒ American Balanced Fund, Class 529-C Shares (CLBCX) [MF]	DC	03/1/2023	P	\$1,001 - \$15,000	
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529-C (CGPCX) [MF]	DC	08/3/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley 529, DC2, account 1 ⇒ American Balanced Fund, Class 529-C Shares (CLBCX) [MF]	DC	03/1/2023	P	\$1,001 - \$15,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of California	spouse salary	N/A
Monterey County Superior Court	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	June 2021	Mortgage on personal residence	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Veterans Transition Center Advisory Council
COMMENTS: Uncompensated	
Honorary Board Member	James Martin Center for Nonproliferation Studies
COMMENTS: Uncompensated	
Advisory Council Member	Carmel River Watershed Conservancy
COMMENTS: Uncompensated	

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
January 1997	Myself and County of Alameda, CA	Participation in pension
March 2010	Myself and County of Monterey, CA	Participation in pension

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Enterprise Institute	03/10/2023	03/12/2023	Washington, DC - Sea Island, GA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Israel Education Foundation Inc.	06/23/2023	06/30/2023	Washington, DC - Tel Aviv, Israel - San Francisco, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Ripon Society / Franklin Center on Global Policy Exchange	08/6/2023	08/11/2023	New Orleans, LA - London, England - Monterey, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B INVESTMENT VEHICLE DETAILS**

- Citigroup Global Markets, Inc. 529 Plan (Owner: DC)  
LOCATION: CA

- Janus IRA (Owner: SP)
- Morgan Stanley 529, DC1, account 1 (Owner: DC)  
LOCATION: CA
- Morgan Stanley 529, DC1, account 2 (Owner: DC)  
LOCATION: CA
- Morgan Stanley 529, DC2, account 1 (Owner: DC)  
LOCATION: CA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jimmy Panetta , 08/10/2024