Filing ID #10059158

Financial Disclosure Report

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Filer Information

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 05/14/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
HSBC Accounts [BA]		$50,001 - $100,000	Interest	$1,001 - $2,500	☐
HSBC Brokerage Account ⇒ Lord Abbett Inv Tr, Lord Abbett Floating Rate Fd -- Cl C (LARCX) [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Island Federal Credit Union Account [BA]	SP	$15,001 - $50,000	Interest	$1 - $200	☐
Lutheran Medical Center 401K ⇒ Vanguard Equity Income Fund Admiral Shares (VEIRX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒ Vanguard Target Retirement 2035 Fund (VTTHX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Lutheran Medical Center 401K ⇒ Vanguard Total Bond Market Insti Plus Shares (VBMPX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Merrill Edge Guided Investing Traditional IRA ⇒		$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
iShares Core S&P U.S. Growth ETF (IUSG) [EF]					
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Merrill Edge Investment Account-Non Retirement ⇒ Merrill Lynch Direct Deposit Program [BA]	JT	$1,001 - $15,000	None		☐
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Merrill Edge Investment Account-Non Retirement ⇒ SPDR S&P Dividend ETF (SDY) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard Real Estate ETF (VNQ) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Merrill Lynch Direct Deposit Program [BA]		$1,001 - $15,000	None		☐
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		None	Capital Gains	$201 - $1,000	☑
New York Health Care Pension Fund [DB]	SP	Undetermined	None		☐
New York State Pension [PE]		$15,001 - $50,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]		$50,001 - $100,000	None		☐
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	$15,001 - $50,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [5F] LOCATION: NY	DC	None	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	None	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] LOCATION: NY	DC	$1,001 - $15,000	Tax-Deferred		☑
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] LOCATION: NY	DC	None	Tax-Deferred		☑
Principal Trust Company 401K ⇒ BlackRock Lifepath Index Fund 2035 K Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
HSBC Brokerage Account ⇒ Lord Abbett Inv Tr, Lord Abbett Floating Rate Fd -- Cl C (LARCX) [MF]		09/8/2023	P	$15,001 - $50,000	
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		07/27/2023	S	$15,001 - $50,000	☑
Merrill Edge Investment Account-Non Retirement - CMA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		01/23/2023	S	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [5F] LOCATION: NY	DC	01/9/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio	DC	02/28/2023	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
[5F] LOCATION: NY					
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [5F] LOCATION: NY	DC	03/6/2023	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 2) ⇒ Aggressive Age-Based Option: Conservative Growth Portfolio [5F] LOCATION: NY	DC	03/27/2023	S	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	01/9/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	02/2/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	02/28/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	03/6/2023	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Conservative Income Portfolio [5F] LOCATION: NY	DC	03/27/2023	S	$15,001 - $50,000	☐
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [5F] LOCATION: NY	DC	03/27/2023	P	$15,001 - $50,000	
NY 529 Direct Plan (DC 2) ⇒ Conservative Age-Based Option: Interest Accumulation Portfolio [5F]	DC	07/28/2023	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Location: NY					
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] Location: NY	DC	07/28/2023	P	$15,001 - $50,000	
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] Location: NY	DC	08/1/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] Location: NY	DC	09/1/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] Location: NY	DC	10/2/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Interest Accumulation Portfolio [5F] Location: NY	DC	12/18/2023	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] Location: NY	DC	01/9/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] Location: NY	DC	02/2/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] Location: NY	DC	02/28/2023	S (partial)	$1,001 - $15,000	☐
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] Location: NY	DC	03/6/2023	P	$1,001 - $15,000	
NY 529 Direct Plan (DC 2) ⇒ Moderate Age-Based Option: Income Portfolio [5F] Location: NY	DC	03/27/2023	S	$1,001 - $15,000	☐

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
New York University School of Law	Salary	$4,444.39
Comments: Adjunct Professor of Law at NYU in the Fall of 2022- payment received in January 2023.		
Worksite Medical Center PC	Spouse Salary	N/A
Manhattan Legal Services of New York	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	HSBC	March 2021	Primary Residence Mortgage	$250,001 - $500,000
	Truist	January 2017	Mortgage on Washington, DC Residence	$15,001 - $50,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	$100,001 - $250,000
SP	Bank of America Visa	December 2023	Credit Card	$10,000 - $15,000
	HSBC	June 2022	Home Equity Line of Credit	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
American Israel Education Foundation	08/5/2023	08/9/2023	New York, New York - Tel Aviv, Israel - Atlanta, Georgia	0	☑	☑	☑
Congressional Black Caucus Institute	08/10/2023	08/11/2023	New Orleans, LA - Buloxi, MS - New York City, NY	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- HSBC Brokerage Account
  Location: US
- Lutheran Medical Center 401K (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
  Location: US
- Merrill Edge Investment Account-Non Retirement - CMA
  Location: US
- NY 529 Direct Plan (DC 2) (Owner: DC)
  Location: NY
- Principal Trust Company 401K (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Comments

The dependent #1 assets have been removed in CY 2023 since he is no longer a dependent.

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 05/14/2024