



Filing ID #10059130

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. John Joyce  
**Status:** Member  
**State/District:** PA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 05/14/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset       | Income Type(s) | Income                  | Tx. > \$1,000?                      |
|--|-------|----------------------|----------------|-------------------------|-------------------------------------|
| 3RC/Sun Energy LLC [OL]<br><br>LOCATION: Pittsburgh, PA, US<br>DESCRIPTION: Natural Gas Drilling. Asset is no longer held. |       | None                 | Capital Gains  | \$100,001 - \$1,000,000 | <input type="checkbox"/>            |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Ameritrade Brokerage Sweep Account [BA]  | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                         | <input type="checkbox"/>            |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Northern Lights Tr Donoghue Forlines Income I - PWRIX [MF]                     | SP    | \$15,001 - \$50,000  | Tax-Deferred   |                         | <input checked="" type="checkbox"/> |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Northern Lights Fd Tr Donoghue Forllines Tact Alloc I - GTAIX [MF]             | SP    | \$50,001 - \$100,000 | Tax-Deferred   |                         | <input checked="" type="checkbox"/> |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Northern Lights Fd Tr Risk Mngd Income I - FLOTX [MF]                          | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                         | <input checked="" type="checkbox"/> |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Northern Lights Tr Donoghue Forlines Dividend I - PWDIX [MF]                   | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                         | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset             | Income Type(s)           | Income                  | Tx. > \$1,000?                      |
|---|-------|----------------------------|--------------------------|-------------------------|-------------------------------------|
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Northern Lights TR -Donoghue Forlines Momentum I - MOJOX [MF]     | SP    | \$1,001 - \$15,000         | Tax-Deferred             |                         | <input checked="" type="checkbox"/> |
| Altoona Dermatology Associates LLC [OL]<br><br>LOCATION: Altoona, PA, US<br>DESCRIPTION: Dermatology Practice | SP    | \$250,001 - \$500,000      | Dividends                | \$100,001 - \$1,000,000 | <input type="checkbox"/>            |
| Cabin Group, LLC ⇒ Residential Real Estate [RP]<br><br>LOCATION: Altoona, PA, US                              |       | \$100,001 - \$250,000      | None                     |                         | <input type="checkbox"/>            |
| Chester County Pennsylvania Health Ed Facilities Revenue Bond [GS]  | SP    | \$15,001 - \$50,000        | Interest                 | \$1,001 - \$2,500       | <input type="checkbox"/>            |
| Chester County Pennsylvania Health Ed Facilities Revenue Bond [GS]  |       | \$100,001 - \$250,000      | Interest                 | \$5,001 - \$15,000      | <input type="checkbox"/>            |
| Invesco Pennsylvania Municipal Fund - OPATX [MF]  | SP    | Spouse/DC Over \$1,000,000 | Capital Gains, Dividends | \$50,001 - \$100,000    | <input type="checkbox"/>            |
| Invesco Pennsylvania Municipal Fund - OPATX [MF]  |       | \$1,000,001 - \$5,000,000  | Capital Gains, Dividends | \$50,001 - \$100,000    | <input type="checkbox"/>            |
| Invesco Pennsylvania Municipal Fund - OPATX [MF]  | JT    | \$1,001 - \$15,000         | Dividends                | \$201 - \$1,000         | <input type="checkbox"/>            |
| Kish Bank Checking [BA]   | SP    | \$1,001 - \$15,000         | Interest                 | \$1 - \$200             | <input type="checkbox"/>            |
| Logan Blvd Office [RP]<br><br>LOCATION: Altoona, PA, US   | SP    | \$250,001 - \$500,000      | Rent                     | \$50,001 - \$100,000    | <input type="checkbox"/>            |
| M&T Bank Checking Account [BA]  | JT    | \$500,001 - \$1,000,000    | Interest                 | \$1 - \$200             | <input type="checkbox"/>            |
| M&T Bank Savings Account [BA]   | JT    | \$1,001 - \$15,000         | Interest                 | \$1 - \$200             | <input type="checkbox"/>            |
| Mairs & Power Growth Fund - MPGFX [MF]  | SP    | \$1,000,001 - \$5,000,000  | Capital Gains, Dividends | \$15,001 - \$50,000     | <input checked="" type="checkbox"/> |
| Nuveen High Yield Muni Bond Fund - NHMAX [MF]   |       | \$1,000,001 - \$5,000,000  | Dividends                | \$50,001 - \$100,000    | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset             | Income Type(s) | Income               | Tx. > \$1,000?                      |
|---|-------|----------------------------|----------------|----------------------|-------------------------------------|
| Nuveen High Yield Muni Bond Fund - NHMAX [MF]   | SP    | Spouse/DC Over \$1,000,000 | Dividends      | \$50,001 - \$100,000 | <input type="checkbox"/>            |
| Pennsylvania State Higher Ed Facility Auth Revenue Bond [GS]  |       | \$100,001 - \$250,000      | Interest       | \$5,001 - \$15,000   | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Advisors SouthernSun US Equity I [MF]   | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Artisan International Value Advisor (APDKX) [MF]                                | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Artisan Value Advisor (APDLX) [MF]  | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Citigroup Inc Dep Shs Repstg Perpetual [MF]                                     | SP    | \$15,001 - \$50,000        | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Citigroup Inc Variable Note [CS]<br>DESCRIPTION: Corporate bond                 | SP    | \$15,001 - \$50,000        | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Diamond Hill Small Cap I [MF]   | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Harding Loevner International Eq Instl (HLMIX) [MF]                             | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Invesco Developing Markets Cl Y [MF]  | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Invesco QQQ Trust Series 1 [MF]   | SP    | \$50,001 - \$100,000       | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Ishares Tips Bond ETF [MF]  | SP    | \$50,001 - \$100,000       | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ JP Morgan Chase & Co Series Q Variable Note [CS]<br>DESCRIPTION: Corporate note | SP    | \$50,001 - \$100,000       | Tax-Deferred   |                      | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒ Nuveen High Yield Municipal Bond Fund [MF]                                      | SP    | \$250,001 - \$500,000      | Tax-Deferred   |                      | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset        | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|-----------------------|----------------|--------|-------------------------------------|
| Stifel Retirement Account - Alice ⇒<br>PIMCO Preferred and Capital Securities Fund Class Institutional (PFINX) [MF]                     | SP    | \$1,001 - \$15,000    | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Stifel Retirement Account - Alice ⇒<br>RiverPark/Wedgewood Institutional (RWGIX) [MF]   | SP    | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒<br>Seagate HDD Cayman Sr Note CPN Due 1/1/25 [CS]<br>DESCRIPTION: Corporate note                    | SP    | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Alice ⇒<br>Stifel Money Market Brokerage Sweep Account [BA]   | SP    | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Advisors SouthernSun US Equity I [MF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Artisan International Value Advisor [MF]   |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Artisan Value Advisor (APDLX) [MF]   |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Citigroup Inc Dep Shs Rep 1/25 noncumul PFED Ser M [CS]<br>DESCRIPTION: 1/25 noncumul PFED Ser M |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Citigroup Inc Dep Shs Repstg [MF]  |       | \$100,001 - \$250,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Diamond Hill Small Cap I [MF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Harding Loevner International Eq Instl (HLMIX) [MF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Invesco Developing Markets [MF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Invesco QQQ ETF [MF]   |       | \$50,001 - \$100,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Ishares Tips Bond ETF [MF]   |       | \$50,001 - \$100,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset          | Income Type(s) | Income              | Tx. > \$1,000?                      |
|---|-------|-------------------------|----------------|---------------------|-------------------------------------|
| Stifel Retirement Account - Filer ⇒<br>JP Morgan Chase & Co Series Q Variable Note [CS] |       | \$100,001 - \$250,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| DESCRIPTION: Corporate note   |       |                         |                |                     |                                     |
| Stifel Retirement Account - Filer ⇒<br>Nuveen High Yield Municipal Bond Fund [MF]       |       | \$500,001 - \$1,000,000 | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| Stifel Retirement Account - Filer ⇒<br>RiverPark/Wedgewood Institutional (RWGIX) [MF]   |       | \$1,001 - \$15,000      | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Seagate HDD Senior Note [CS]                     |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input type="checkbox"/>            |
| DESCRIPTION: Corporate bond   |       |                         |                |                     |                                     |
| Stifel Retirement Account - Filer ⇒<br>Stifel Brokerage Sweep Account [BA]              |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>Vanguard 500 Index Fd Admiral Shs (VFIAX) [MF]   |       | \$50,001 - \$100,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Stifel Retirement Account - Filer ⇒<br>White Oak Select Growth Fund - WOGSX [MF]        |       | \$50,001 - \$100,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Torray Fund - TORYX [MF]  | SP    | \$250,001 - \$500,000   | Dividends      | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Vanguard 500 Index Fund Admiral Shares - VFIAX [MF]                                     |       | \$250,001 - \$500,000   | Dividends      | \$2,501 - \$5,000   | <input type="checkbox"/>            |
| Vanguard Federal Money Market Sweep Account [BA]  |       | \$15,001 - \$50,000     | Interest       | \$201 - \$1,000     | <input type="checkbox"/>            |
| Vanguard Federal Money Market Sweep Account [BA]  | SP    | \$1,001 - \$15,000      | Interest       | \$201 - \$1,000     | <input type="checkbox"/>            |
| Vanguard Pennsylvania Municipal Money Market [BA]                                       |       | \$1,001 - \$15,000      | Interest       | \$1 - \$200         | <input type="checkbox"/>            |
| Vanguard Pennsylvania Municipal Money Market Fund - VPTXX [BA]                          | SP    | \$1,001 - \$15,000      | Interest       | \$1 - \$200         | <input type="checkbox"/>            |
| Vanguard US Value Index Admiral [MF]  |       | \$250,001 - \$500,000   | Dividends      | \$5,001 - \$15,000  | <input type="checkbox"/>            |

| Asset                             | Owner | Value of Asset        | Income Type(s)           | Income             | Tx. > \$1,000?                      |
|-----------------------------------|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| White Oak Select Growth Fund [MF] |       | \$250,001 - \$500,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type    | Amount              | Cap. Gains > \$200?                 |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Momentum Fund Class I (MOJOX) [MF]            | SP    | 12/19/2023 | P           | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Name on Schedule A: "Northern Lights TR -Donoghue Forlines Momentum I - MOJOX"                 |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Momentum Fund Class I (MOJOX) [MF]            | SP    | 08/29/2023 | P           | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Name on Schedule A: "Northern Lights TR -Donoghue Forlines Momentum I - MOJOX"                 |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Risk Managed Income Fund Class I (FLOTX) [MF] | SP    | 12/19/2023 | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Risk Managed Income Fund Class I (FLOTX) [MF] | SP    | 08/29/2023 | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| DESCRIPTION: Name on Schedule A: Northern Lights Fd Tr Risk Mngd Income I - FLOTX.                          |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Tactical Allocation Fund Class I (GTAIX) [MF] | SP    | 12/19/2023 | P           | \$15,001 - \$50,000 |                                     |
| DESCRIPTION: Name on Schedule A: "Northern Lights Fd Tr Donoghue Forllines Tact Alloc I - GTAIX"            |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Tactical Allocation Fund Class I (GTAIX) [MF] | SP    | 08/29/2002 | P           | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Name on Schedule A: "Northern Lights Fd Tr Donoghue Forllines Tact Alloc I - GTAIX"            |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Tactical Allocation Fund Class I (GTAIX) [MF] | SP    | 08/29/2023 | P           | \$1,001 - \$15,000  |                                     |
| DESCRIPTION: Name on Schedule A: "Northern Lights Fd Tr Donoghue Forllines Tact Alloc I - GTAIX"            |       |            |             |                     |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒ Donoghue Forlines Tactical Income Fund Class I (PWRIX) [MF]     | SP    | 12/19/2023 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset  | Owner | Date       | Tx. Type    | Amount               | Cap. Gains > \$200?                 |
|--|-------|------------|-------------|----------------------|-------------------------------------|
| DESCRIPTION: Name on Schedule A: "Northern Lighs Tr Donoghue Forlines Income I PWRIX"                                      |       |            |             |                      |                                     |
| Alice Joyce Ameritrade/Charles Schwab IRA ⇒<br>Donoghue Forlines Tactical Income Fund Class I (PWRIX) [MF]                 | SP    | 08/29/2023 | S (partial) | \$1,001 - \$15,000   | <input checked="" type="checkbox"/> |
| DESCRIPTION: Name on Schedule A: "Northern Lighs Tr Donoghue Forlines Income I - PWRIX"                                    |       |            |             |                      |                                     |
| Mairs & Power Growth Fund (MPGFX) [MF]   | SP    | 06/29/2023 | P           | \$1,001 - \$15,000   |                                     |
| Mairs & Power Growth Fund (MPGFX) [MF]   | SP    | 12/15/2023 | P           | \$1,001 - \$15,000   |                                     |
| Mairs & Power Growth Fund (MPGFX) [MF]   | SP    | 12/15/2202 | P           | \$15,001 - \$50,000  |                                     |
| Stifel Retirement Account - Alice ⇒<br>Nuveen High Yield Municipal Bd Fd Class I (NHMRX) [MF]                              | SP    | Monthly    | P           | \$1,001 - \$15,000   |                                     |
| DESCRIPTION: Monthly Reinvestment of Dividends. Asset Name on Schedule A: "Nuveen High Yield Municipal Bond Fund (NHMRX)." |       |            |             |                      |                                     |
| Stifel Retirement Account - Alice ⇒<br>Nuveen High Yield Municipal Bd Fd Class I (NHMRX) [MF]                              | SP    | 04/4/2023  | P           | \$15,001 - \$50,000  |                                     |
| DESCRIPTION: Asset Name on Schedule A: "Nuveen High Yield Municipal Bond Fund (NHMRX)."                                    |       |            |             |                      |                                     |
| Stifel Retirement Account - Alice ⇒<br>Nuveen High Yield Municipal Bd Fd Class I (NHMRX) [MF]                              | SP    | 04/14/2023 | P           | \$1,001 - \$15,000   |                                     |
| Stifel Retirement Account - Alice ⇒<br>PIMCO Preferred and Capital Securities Fund Class Institutional (PFINX) [MF]        | SP    | 04/14/2023 | P           | \$1,001 - \$15,000   |                                     |
| Stifel Retirement Account - Filer ⇒<br>Nuveen High Yield Municipal Bd Fd Class I (NHMRX) [MF]                              |       | Monthly    | P           | \$1,001 - \$15,000   |                                     |
| DESCRIPTION: Monthly Reinvestment of Dividends. Asset Name on Schedule A: "Nuveen High Yield Municipal Bond Fund (NHMRX)." |       |            |             |                      |                                     |
| Stifel Retirement Account - Filer ⇒<br>Nuveen High Yield Municipal Bd Fd Class I (NHMRX) [MF]                              |       | 04/14/2023 | P           | \$50,001 - \$100,000 |                                     |
| DESCRIPTION: Asset Name on Schedule A: "Nuveen High Yield Municipal Bond Fund (NHMRX)."                                    |       |            |             |                      |                                     |
| The Torray Fund (TORYX) [MF]   | SP    | 06/27/2023 | P           | \$1,001 - \$15,000   |                                     |
| The Torray Fund (TORYX) [MF]   | SP    | 11/21/2023 | P           | \$15,001 - \$50,000  |                                     |
| White Oak Select Growth Fund (WOGSX) [MF]  |       | 12/27/2023 | P           | \$1,001 - \$15,000   |                                     |
| White Oak Select Growth Fund (WOGSX) [MF]  |       | 12/27/2023 | P           | \$1,001 - \$15,000   |                                     |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|-------|-------|------|----------|--------|---------------------|
|       |       |      |          |        |                     |

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source                         | Type          | Amount |
|--------------------------------|---------------|--------|
| Altoona Dermatology Associates | Spouse Salary | N/A    |

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details              |            |            |   |                  | Inclusions                          |                                     |                                     |
|---------------------------|------------|------------|---|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source                    | Start Date | End Date   | Itinerary   | Days at Own Exp. | Lodging?                            | Food?                               | Family?                             |
| The Aspen Institute, Inc. | 08/26/2023 | 09/1/2023  | Washington, DC - Olso, Norway - Bergen, Norway - Washington, DC | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| The Ripon Society         | 08/6/2023  | 08/11/2023 | Washington, DC - London, England - Washington, DC               | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

|   |
|---|
| <ul style="list-style-type: none"> <li>Alice Joyce Ameritrade/Charles Schwab IRA (Owner: SP)</li> </ul> |
|---|



- Cabin Group, LLC  
LOCATION: US
- Stifel Retirement Account - Alice (Owner: SP)
- Stifel Retirement Account - Filer

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John Joyce , 05/14/2024