



Filing ID #10059071

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Judy Chu  
**Status:** Member  
**State/District:** CA28

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 05/13/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	\$100,001 - \$250,000	Dividends	None	<input type="checkbox"/>
California Public Employees Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
California State Teachers Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #1, 25% [RP] LOCATION: Santa Clara, CA, US		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #2, 25% [RP] LOCATION: Santa Clara, CA, US		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #3, 25% [RP] LOCATION: Santa Clara, CA, US		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #4, 25% [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Santa Clara, CA, US					
Citibank Savings Acct [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank- J.M. Chu Irrevocable Trust II [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Community Commerce Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
East West Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Charitable Account- Judy Chu Fund [BA]		\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
New Omni Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
New Omni Bank Savings Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Preferred Bank Certificate of Deposit [BA]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Mid Cap Fund [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Savings Plus ⇒ 401K Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
United Pacific Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Federal Money Market Account [BA]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Growth Index Fund Admiral Cl (VIGAX) [MF]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard California Intermediate-Term Tax-Exempt Fd Admiral Sh (VCADX) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG International Stock ETF (VSGX) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Extended Market Index Admiral Cl (VEXAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard FTSE All-World ex-US Index Fd Admiral (VFWAX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Limited-Term Tax-Exempt Fd Admiral Shs (VMLUX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Long-Term Tax-Exempt Fd Admiral Shs (VWLUX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Total Stock Market Index Fd Admiral Shs (VTSAX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Value ETF (VTV) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Windsor II Fund Admiral Shares (VWNAX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard IRA ⇒ Total International Bond Index (VTABX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Total Bond Market Index Fund Admiral (VBTLX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market Account [BA]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Dividend Appreciation Index Fund Admiral Shs (VDADX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Extended Market ETF (VXF) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Extended Market Index Fd Admiral Shs (VEXAX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE All-World ex-US Index Fd Admiral (VFWAX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Fund Admiral Shares (VFTAX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Intermediate-Term Tax-Exempt Fd Admiral Shs (VWIUX) [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Long-Term Tax-Exempt Fd Admiral Shs (VWLUX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard LTD Term tax-exempt Admiral Cl (VMLUX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu		\$15,001 - \$50,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Irrevocable Trust II-managed ⇒ Vanguard Total Stock Market ETF (VTI) [EF]				\$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Value ETF (VTV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo Bank Checking Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank Savings Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard- Eng Chu Family Trust ⇒ Federal Money Market [BA]  DESCRIPTION: transfer of cash from JM Chu Irrevocable Trust II		03/1/2023	E	\$100,001 - \$250,000	
Vanguard- Eng Chu Family Trust ⇒ Federal Money Market [BA]  DESCRIPTION: transfer from bank		07/5/2023	E	\$50,001 - \$100,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard California Intermediate-Term Tax-Exempt Fd Admiral Sh (VCADX) [MF]		03/2/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard California Intermediate-Term Tax-Exempt Fd Admiral Sh (VCADX) [MF]		07/3/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG International Stock ETF (VSGX) [EF]		03/2/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG International Stock ETF (VSGX) [EF]		07/3/2023	P	\$1,001 - \$15,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF]		03/2/2023	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG U.S. Stock ETF (ESGV) [EF]		07/3/2023	P	\$1,001 - \$15,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		03/2/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		07/3/2023	P	\$1,001 - \$15,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard Long-Term Tax-Exempt Fd Admiral Shs (VWLUX) [MF]		07/3/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard Total Stock Market Index Fd Admiral Shs (VTSAX) [MF]		03/2/2023	P	\$15,001 - \$50,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard Total Stock Market Index Fd Admiral Shs (VTSAX) [MF]		07/3/2023	P	\$1,001 - \$15,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard Value ETF (VTV) [EF]		03/2/2023	P	\$50,001 - \$100,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Federal Money Market [BA]  DESCRIPTION: transfer of cash to Eng Chu Family Trust		03/1/2023	E	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard Dividend Appreciation Index Fund Admiral Shs (VDADX) [MF]		02/23/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard Extended Market ETF (VXF) [EF]		02/23/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard FTSE All World Ex US ETF (VEU) [EF]		02/23/2023	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard FTSE Social Index Fund Admiral Shares (VFTAX) [MF]		02/23/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard Intermediate-Term Tax-Exempt Fd Admiral Shs (VWIUX) [MF]		02/23/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard Long-Term Tax-Exempt Fd Admiral Shs (VWLUX) [MF]		02/23/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard Value ETF (VTV) [EF]		02/23/2023	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Employees Retirement System	Defined Benefit	\$24,694.44
California State Teachers Retirement System	Defined Benefit	\$34,935.63
State of California	Spouse salary	N/A
Apollo Medical Holdings	Spouse board attendance-ended Feb 2023	N/A
San Gabriel Valley Municipal Water District	Spouse Board Attendance-began Feb 2023	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on underlying property of personal residence, Washington DC (not rented)	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	Myself and California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District



May 2001	Myself and California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council
----------	--	--

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- Chu-Lim Investments LLC  
LOCATION: US
- Savings Plus
- Vanguard- Eng Chu Family Trust
- Vanguard IRA
- Vanguard-JM Chu Irrevocable Trust II
- Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 05/13/2024