



Filing ID #10059034

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Katherine M. Clark  
**Status:** Member  
**State/District:** MA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 05/14/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital One Savings Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ Sequoia Fund (SEQUX) [MF]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock Freedom 529 ⇒ John Hancock Freedom 529 Enrollment Portfolio Class A (JEHAX) [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock Freedom 529 ⇒ John Hancock Freedom 529 Fixed Income Portfolio Class A (JHFAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock Freedom 529 ⇒ Stable Value Portfolio - Class A (JAJWX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DC Co-op [RP]	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Eastern Bank Account [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Eversource Smartsolar [MO]  LOCATION: Revere, MA, US	SP	Undetermined	MA Solar Energy Credit	\$1,001 - \$2,500	<input type="checkbox"/>
Family Home (non-primary) [RP]  LOCATION: Truro, MA, US	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage Account ⇒ Fidelity Cash Reserves (FDRXX) [MF]	JT	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Brokerage Account ⇒ United States Treasury Notes [GS]	JT	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Cash Reserves (FDRXX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ United States Treasury Notes [GS]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Federal Farm Credit Banks Bond [GS]  DESCRIPTION: 6.150%; 03/01/2033		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Cash Reserves (FDRXX) [MF]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [HE]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]  DESCRIPTION: 7.50%; 08/20/40		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Natwest Group PLC Note [CS]  DESCRIPTION: Fully matured: 6.000%; 12/19/23		None	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 (SPY) [EF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [CS]  DESCRIPTION: Fully matured: 3.100%; 05/15/23		None	Capital Gains, Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United States Treasury Notes [GS]		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Warner Bros. Discovery, Inc. - Series A (WBD) [ST]  DESCRIPTION: Appreciated over \$1,000		\$1,001 - \$15,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]		None	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Fully matured: 4.125%; 08/15/23					
Katherine Clark Traditional IRA ⇒ Fidelity Cash Reserves (FDRXX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Katherine Clark Traditional IRA ⇒ SPDR S&P 500 (SPY) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Acacia Partners LP [HE]		\$1,001 - \$15,000	Partnership Income	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					
Katherine M. Clark Exempt Trust ⇒ Fidelity Cash Reserves (FDRXX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ SPDR S&P 500 (SPY) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Acacia Partners LP [HE]		\$1,001 - \$15,000	Partnership Income	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					
Katherine M. Clark Separate Share Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Annaly Capital Management Inc (NLY) [RE]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cisco Systems, Inc. (CSCO) [ST]					<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Coca-Cola Company (KO) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Fidelity Cash [BA]		\$1,001 - \$15,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Fidelity Cash Reserves (FDRXX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Oakmark Fund Investor Class (OAKMX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: investment adviser					
COMMENTS: This inherited asset is subject to mandatory buyback outside the control and/or consent of Katherine Clark or her agents.					
Katherine M. Clark Separate Share Trust ⇒ Schwab International Equity ETF (SCHF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P 500 (SPY) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Select Sector Fund - Financial (XLF) [EF]					
Katherine M. Clark Separate Share Trust ⇒ United States Treasury Notes [GS]		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Warner Bros. Discovery, Inc. - Series A (WBD) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Blue Chip Growth Fund Class K (FBGKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Dividend Growth Fund Class K (FDGKX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Select Environmental and Alternative Energy Ptf (FSLEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 Fund (FFEX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
PERAPlus 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
PERAPlus 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Sequoia Fund (SEQUX) [MF]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
D3 Irrevocable Trust ⇒ John Hancock Freedom 529 Enrollment Portfolio Class A (JEHAX) [MF]	DC	08/22/2023	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Federal Farm Credit Banks Bond [CS]  DESCRIPTION: 6.150%; 03/01/2033		02/23/2023	P	\$100,001 - \$250,000	
Judith S. Clark Irrevocable Trust ⇒ United States Treasury Notes [GS]		10/24/2023	P	\$250,001 - \$500,000	
Katherine M. Clark Separate Share Trust ⇒ Coca-Cola Company (KO) [ST]		10/9/2023	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ United States Treasury Notes [GS]		10/24/2023	P	\$250,001 - \$500,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Dividend Growth Fund Class K (FDGKX) [MF]	SP	09/7/2023	P	\$1,001 - \$15,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Dividend Growth Fund Class K (FDGKX) [MF]	SP	04/4/2023	P	\$1,001 - \$15,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Select Environmental and Alternative Energy Ptf (FSLEX) [MF]	SP	09/7/2023	P	\$1,001 - \$15,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Select Environmental and Alternative Energy Ptf (FSLEX) [MF]	SP	04/4/2023	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 Fund (FFFEX) [MF]	SP	12/5/2023	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 Fund (FFFEX) [MF]	SP	11/3/2023	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 Fund (FFFEX) [MF]	SP	10/3/2023	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒	SP	09/7/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Freedom 2030 Fund (FFEX) [MF]					
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 Fund (FFEX) [MF]	SP	04/4/2023	P	\$1,001 - \$15,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Massachusetts Board of Bar Overseers	Spouse Salary	N/A
National Financial Services LLC	Inherited IRA Distribution	\$13,776.62

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Capitol Hill Tower Housing Cooperative, Inc.	September 2021	Co-op building loan	\$100,001 - \$250,000
JT	Nationstar Mortgage LLC d/b/a Mr. Cooper	September 2021	Mortgage (primary residence)	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	Future Pension
September 1991	Katherine M. Clark and the state of Colorado (PERAPlus, formerly known as COPERA)	Continued participation in an employee benefit program

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS



None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B INVESTMENT VEHICLE DETAILS**

- D3 Irrevocable Trust (Owner: DC)
- D3 Irrevocable Trust ⇒ John Hancock Freedom 529 (Owner: DC)  
LOCATION: AK
- Fidelity Brokerage Account (Owner: JT)  
LOCATION: US
- Fidelity Rollover IRA (Owner: SP)  
DESCRIPTION: Transferred from Amerprise in September 2021.
- Judith S. Clark Irrevocable Trust
- Katherine Clark Traditional IRA
- Katherine M. Clark Exempt Trust  
DESCRIPTION: Inherited April 5, 2019
- Katherine M. Clark Separate Share Trust  
DESCRIPTION: inherited April 5, 2019
- Massachusetts BBO Elective Deferral Plan (Owner: SP)
- Massachusetts BBO IRA (Owner: SP)
- PERAPlus 401(K) Plan

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

**CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 05/14/2024