



Filing ID #10058890

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Raul Ruiz  
**Status:** Member  
**State/District:** CA25

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2023  
**Filing Date:** 04/30/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America - Checking [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Eisenhower Medical Center Retirement Plan [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
My Savings Direct [BA]	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
ScholarShare 529 Plan #1 ⇒ 2032/2033 Enrollment Portfolio Passive [5F] LOCATION: CA	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ScholarShare 529 Plan #2 ⇒ 2032/2033 Enrollment Portfolio Passive [5F] LOCATION: CA	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ Alerian MLP ETF (AMLPE) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ Allspring Money Market Fund - Premier Class (WMPXX) [MF] DESCRIPTION: Money Market Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Summit Wealth Management - IRA ⇒ DoubleLine Total Return Bond Fund Class I (DBLTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ First Trust NASDAQ Technology Dividend Index Fund (TDIV) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ First Trust Water ETF (FIW) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Global X NASDAQ 100 Covered Call ETF (QYLD) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ Invesco Aerospace & Defense ETF (PPA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Invesco BuyBack Achievers ETF (PKW) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Invesco KBW Premium Yield Equity REIT ETF (KBWY) [EF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale transaction less than \$1,000					
Summit Wealth Management - IRA ⇒ Invesco Preferred ETF (PGX) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares Core High Dividend ETF (HDV) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares Expanded Tech-Software Sector ETF (IGV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares North American Natural Resources ETF (IGE) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares Trust iShares U.S. Transportation ETF (IYT) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ iShares U.S. Industrials ETF (IYJ) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Summit Wealth Management - IRA ⇒ iShares U.S. Medical Devices ETF (IHI) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Multi-Asset Diversified Income Index Fund (MDIV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ PGIM Floating Rate Income Fund Class Z (FRFZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ PIMCO Income Fund Insti Class (PIMIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Bloomberg Convertible Securities ETF (CWB) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ The Income Fund of America, Class F-2 Shares (AMEFX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Health Care ETF (VHT) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Information Tech ETF (VGT) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Mega Cap ETF (MGC) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Total Bond Market ETF (BND) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Summit Wealth Management - IRA ⇒ Wells Fargo Bank, N.A. [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UC Retirement Savings Plan ⇒ UC Savings Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
US Acute Care Solutions Profit Sharing/401(k) Plan and Trust ⇒ Fidelity Freedom Index 2035 Fund - Investor Class (FIHFX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ScholarShare 529 Plan #1 ⇒ 2032/2033 Enrollment Portfolio Passive [5F]  LOCATION: CA	DC	03/13/2023	P	\$1,001 - \$15,000	
ScholarShare 529 Plan #2 ⇒ 2032/2033 Enrollment Portfolio Passive [5F]  LOCATION: CA	DC	03/13/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ Alerian MLP ETF (AMLP) [EF]		11/1/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ DoubleLine Total Return Bond Fund Class I (DBLTX) [MF]		02/13/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ Exchange Traded Concepts Trust ROBO Global Robotics and Automation Index ETF (ROBO) [EF]		08/1/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Global X Lithium & Battery Tech ETF (LIT) [EF]		09/22/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Global X NASDAQ 100 Covered Call ETF (QYLD) [EF]		11/10/2023	P	\$15,001 - \$50,000	
Summit Wealth Management - IRA ⇒ Invesco Preferred ETF (PGX) [EF]		02/14/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ Invesco S&P 500 Pure Value ETF (RPV) [EF]  DESCRIPTION: CY 2022 value less than \$1,000		09/22/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒		02/14/2023	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Core High Dividend ETF (HDV) [EF]					
Summit Wealth Management - IRA ⇒ PGIM Floating Rate Income Fund Class Z (FRFZX) [MF]		02/13/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ PIMCO Income Fund Insti Class (PIMIX) [MF]		02/13/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		09/27/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Consumer Discretionary (XLY) [EF]		10/24/2023	P	\$15,001 - \$50,000	
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		02/14/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		09/22/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]		03/17/2023	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		09/22/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ The Income Fund of America, Class F-2 Shares (AMEFX) [MF]		02/13/2023	P	\$1,001 - \$15,000	
Summit Wealth Management - IRA ⇒ VanEck Merk Gold Trust (OUNZ) [EF]		09/22/2023	S	\$15,001 - \$50,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		03/17/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Financials ETF (VFH) [EF]		09/22/2023	S	\$1,001 - \$15,000	<input type="checkbox"/>
Summit Wealth Management - IRA ⇒ Vanguard Total Bond Market ETF (BND) [EF]		11/29/2023	P	\$15,001 - \$50,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Innecare	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Founder	Future Physician Leaders

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2014	University of California Riverside School of Medicine	Leave of absence without pay for Dr. Raul Ruiz, Senior Associate Dean for Community Partnership & Engagement, and Health Services Clinical Professor. Originally effective January 1, 2015 - December 31, 2016; extended through December 31, 2025.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Organization of Iranian American Communities (OIAC)	06/29/2023	07/3/2023	Palm Springs, CA - Paris, France - Palm Springs, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

o ScholarShare 529 Plan #1 (Owner: DC) LOCATION: CA
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- ScholarShare 529 Plan #2 (Owner: DC)  
LOCATION: CA
- Summit Wealth Management - IRA
- UC Retirement Savings Plan
- US Acute Care Solutions Profit Sharing/401(k) Plan and Trust

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Raul Ruiz , 04/30/2024