



Filing ID #10057744

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Dean Phillips
Status: Member
State/District: MN03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/13/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- 100 University Family Limited Partnership ⇒ Artwork [CO]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Collection of paintings, photographs, prints & sculptures.					
- 100 University Family Limited Partnership ⇒ Brokerage ⇒ Baird - Cash [BA]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Money Market					
- Cash Accounts ⇒ Cash in JP Morgan checking accounts [BA]		\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
- Cash Accounts ⇒ Cash in JP Morgan investment accounts [BA]		\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
- Cash Accounts ⇒ Cash in U.S. Bank checking accounts [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ AIF VI Private Investors LLC [HE]		\$1,001 - \$15,000	Partnership Income	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒		\$100,001 -	Partnership	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AP Europe VII (APAX) Private Investors Onshore LLC [HE] DESCRIPTION: EIF		\$250,000	Income		<input type="checkbox"/>
- Fialko, LLC ⇒ Carlyle Asia Partners II Private Investors LLC [HE] DESCRIPTION: EIF		None	None		<input type="checkbox"/>
- Fialko, LLC ⇒ Cash in JP Morgan checking account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ Cash in JP Morgan investment account [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
- Fialko, LLC ⇒ Highbridge Mezzanine Partners, LP [HE] DESCRIPTION: EIF		None	None		<input type="checkbox"/>
- Fialko, LLC ⇒ JP Morgan Alternative Property Fund Domestic 1 LP [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ JP Morgan India Property Fund, LP [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ KKR 2006 Private Investors, LLC (Onshore) [HE] DESCRIPTION: EIF		\$50,001 - \$100,000	Partnership Income	\$1,001 - \$2,500	<input type="checkbox"/>
- Fialko, LLC ⇒ Outsell, Inc. [OL] LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Developer of consumer engagement technologies for the automotive industry.		\$250,001 - \$500,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ Penny's Coffee LLC [OL] LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Coffee shops.		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒ Rational Energies LLC [OL] LOCATION: Plymouth/Hennepin, MN, US DESCRIPTION: Developer of technologies to convert municipal waste flows into sustainable sources of green energy.		\$250,001 - \$500,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Phillips Family Properties, LLC ⇒ Cash in JP Morgan checking account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
- Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership ⇒ Artwork [OL] LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Collection of paintings, photographs, prints & sculptures.		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Privately-Held Business Entities ⇒ Geniecast, LLC [OL] LOCATION: St.Louis/St. Louis, MO, US DESCRIPTION: Dissolved in 2023; in Developer of a live, two-way video conferencing portal connecting people, ideas and inspiration.		None	None		<input type="checkbox"/>
- Privately-Held Business Entities ⇒ MyMeds, Inc. [OL] LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: C-Corp - MyMeds, Inc. is a developer of solutions to address the human and economic costs of medication non-adherence.		\$50,001 - \$100,000	None		<input type="checkbox"/>
- Privately-Held Business Entities ⇒ Penny's Coffee LLC [OL] LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Coffee shops.		\$1 - \$1,000	None		<input type="checkbox"/>
- Privately-Held Business Entities ⇒ Rational Energies LLC [OL] LOCATION: Plymouth / Hennepin, MN, US DESCRIPTION: Developer of technologies to convert municipal waste flows into sustainable sources of green energy.		\$250,001 - \$500,000	None		<input type="checkbox"/>
- Retirement Account 1 ⇒ Fidelity Freedom 2035 Fund: Class K (FSNUX) [MF] DESCRIPTION: EIF		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
- Retirement Account 2 ⇒ Fidelity Target Date 2035 Fund [MF] DESCRIPTION: EIF		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
- Trust 1 ⇒ Arch Capital Group Ltd. (ACGL) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ BlackRock High Yield Bond Portfolio - Class K (BRHYX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Booking Holdings Inc. (BKNG) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ Cash in JP Morgan investment account [BA] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
- Trust 1 ⇒ Charles Schwab Corporation (SCHW) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Chubb Limited (CB) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Dollar General Corporation (DG) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ Dollar Tree, Inc. (DLTR) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Emerson Electric Company (EMR) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ Fidelity 500 Index Fund (FXAIX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ Fidelity International Index Fund (FSPSX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ Fresenius Medical Care AG (FMS) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ Honeywell International Inc. (HON) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ iShares MSCI ACWI ETF (ACWI) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares MSCI EAFE ETF (EFA) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares MSCI Japan Index Fund (EWJ) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ JPM Tax Free MMkt Inst Sweep Fd [BA]		None	None		<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan BetaBuilders Canada ETF (BBCA) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan BetaBuilders Developed Asia Pacific-ex Japan ETF (BBAX) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan BetaBuilders Europe ETF (BBEU) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan BetaBuilders Japan ETF (BBJP) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ Masco Corporation (MAS) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Matthews Pacific Tiger Fund Insti Class (MIPTX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒ MFS International Value Fund Class R6 (MINJX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	None		<input type="checkbox"/>
- Trust 1 ⇒		None	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Micron Technology, Inc. (MU) [ST]					
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Northern Trust Corporation (NTRS) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Omnicom Group Inc. (OMC) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ PACCAR Inc. (PCAR) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Pepsico, Inc. (PEP) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ PGIM High Yield Fund Class R6 (PHYQX) [MF]		None	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ PIMCO Funds High Yield Fund Institutional Shares (PHIYX) [MF]		None	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ PPG Industries, Inc. (PPG) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Progressive Corporation (PGR) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust					
- Trust 1 ⇒ Promissory Note - Dean B. Phillips [DO]		None	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Paid in full June 2023					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ Quest Diagnostics Incorporated (DGX) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Schlumberger N.V. (SLB) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Six Circles International Unconstrained Equity Fund (CIUEX) [MF]		None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
- Trust 1 ⇒ Six Circles Tax Aware Bond Fund (CBTAX) [MF] DESCRIPTION: Overall loss in 2023		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
- Trust 1 ⇒ Six Circles US Unconstrained Equity Fund (CUSUX) [MF]		None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
- Trust 1 ⇒ Smith & Nephew SNATS, Inc. (SNN) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ Sony Corporation (SNE) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒ SPDR S&P 500 (SPY) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ The Tax-Exempt Bond Fund of America - Class F-3 (TFEBX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ TJX Companies, Inc. (TJX) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Unilever PLC (UL) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Vanguard Intermediate-Term Tax-Exempt Fd Admiral Shs (VWIUX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 1992 GST Trust		None	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 2 ⇒ Cash in JP Morgan investment account [BA]		None	None		<input type="checkbox"/>
- Trust 2 ⇒ iShares MSCI ACWI ETF (ACWI) [EF] DESCRIPTION: Transferred to The Dean B. Phillips 2001 GST Trust		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 2 ⇒ JPMorgan Investor Growth Fund I Class (ONIFX) [MF] DESCRIPTION: Transferred to The Dean B. Phillips 2001 GST Trust		None	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 6 ⇒ Cash in JP Morgan investment account [BA]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
- Trust 6 ⇒ North American Life Insurance Policy [WU] DESCRIPTION: Universal Life Insurance Policy		\$250,001 - \$500,000	None		<input type="checkbox"/>
- Trust 7 ⇒ John Hancock Life Insurance Policy [WU] DESCRIPTION: Universal Life Insurance Policy		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
American United Life Insurance Company - Policy 1 [WU] DESCRIPTION: Whole Life Insurance Policy		\$15,001 - \$50,000	None		<input type="checkbox"/>
American United Life Insurance Company - Policy 2 [WU] DESCRIPTION: Whole Life Insurance Policy		\$15,001 - \$50,000	None		<input type="checkbox"/>
Apollo EPF III Private Investors, LLC (Onshore) [HE] DESCRIPTION: EIF		\$250,001 - \$500,000	None		<input type="checkbox"/>
Benefit Street Partners IV LLC (Providence Onshore)		\$500,001 -	Partnership	\$100,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[HE] DESCRIPTION: EIF		\$1,000,000	Income	\$1,000,000	<input type="checkbox"/>
Blackstone Partners Investment Fund LP Class B [HE] DESCRIPTION: EIF - Transferred from Trust 1		\$250,001 - \$500,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
Carlyle Asia Partners II Private Investors LLC [HE] DESCRIPTION: EIF		None	None		<input type="checkbox"/>
CD&R Fund X Private Investors, Cayman LP [HE] DESCRIPTION: EIF		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Cerberus VI Private Investors, LLC [HE] DESCRIPTION: EIF		\$500,001 - \$1,000,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
Corbin Capital Partners - Pinehurst Partners LP [HE] DESCRIPTION: EIF		\$250,001 - \$500,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
Cushing MLP Opportunity Fund 1 LP [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
E-T Energy Ltd. [HE] DESCRIPTION: EIF - Side pocket, distributed from Cushing MLP Opportunity Fund 1-LP		\$1,001 - \$15,000	None		<input type="checkbox"/>
GIF IV Private Investors Onshore LLC Class A [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
GSO Private Investors II, LLC Class B [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	Partnership Income	\$1,001 - \$2,500	<input type="checkbox"/>
Highbridge Mezzanine Partners LP [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
HPS Mezzanine Private Investors II LLC [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	None		<input type="checkbox"/>
HPS Mezzanine Private Investors III LLC Onshore [HE]		\$500,001 -	Partnership	\$15,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$1,000,000	Income	\$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
JP Morgan [BA]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
Optum Bank - HSA Account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
PEG Secondary Private Equity Investors III LP [HE]		\$100,001 - \$250,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Phillips Adam Smith Partners, LLC (f/k/a Adam Smith Fund, LLC) [OL]		\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Minnetonka/Hennepin, MN, US					
DESCRIPTION: Private equity investment fund. Fund manager letter on file with the Committee on Ethics.					
Starwood SOF XI Private Investors [HE]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					
The Dean B. Phillips 1992 GST Trust [EQ]		\$5,000,001 - \$25,000,000	QBT Income	\$50,001 - \$100,000	<input type="checkbox"/>
The Dean B. Phillips 2001 GST Trust [EQ]		\$1,000,001 - \$5,000,000	QBT Income	\$50,001 - \$100,000	<input type="checkbox"/>
The Phillips Qualified Blind Trust [EQ]		\$5,000,001 - \$25,000,000	QBT Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
US Bank - Checking [BA]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
- Trust 1 ⇒ Six Circles International Unconstrained Equity Fund (CIUEX) [MF]		07/13/2023	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
- Trust 1 ⇒ Six Circles Tax Aware Bond Fund (CBTAX) [MF]		07/13/2023	S	\$250,001 - \$500,000	<input type="checkbox"/>
- Trust 1 ⇒ Six Circles US Unconstrained Equity Fund (CUSUX) [MF]		07/13/2023	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan	January 2020	Mortgage on personal residence in Washington, DC	\$1,000,001 - \$5,000,000
	Edward J. Phillips Irrevocable GST FBO Dean Benson Phillips, u/a/d Dec. 29, 1992	June 2022	Promissory Note	\$500,001 - \$1,000,000
COMMENTS: Reported as Trust 1 on Schedule A; Paid in full in June 2023				

SCHEDULE E: POSITIONS

Position	Name of Organization
Advisory Council	Humphrey School of Public Affairs
Co-Chair	The Jay & Rose Phillips Family Foundation of Minnesota
Chairman, Co-Founder & Member	Penny's Coffee LLC
Limited Partner	100 University Family Limited Partnership
Governor, President, Treasurer, Secretary, & Chief Manager	Phillips Family Properties, LLC
Chief Manager, Vice President, & Treasurer	Fialko, LLC
President, Secretary, Treasurer, Governor, Member, & Tax Matters Member	DHJ LLC
COMMENTS: Entity dissolved 1/4/2023	
Member	Geniecast, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- - 100 University Family Limited Partnership
LOCATION: Minneapolis/Hennepin, MN, US
- - 100 University Family Limited Partnership ⇒ Baird Brokerage
LOCATION: US
- - Cash Accounts
LOCATION: US
- - Fialko, LLC
LOCATION: Minneapolis/Hennepin, MN, US
- - Phillips Family Properties, LLC
LOCATION: Minneapolis/Hennepin, MN, US
- - Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership
LOCATION: US
- - Privately-Held Business Entities
LOCATION: US
- - Retirement Account 1
- - Retirement Account 2
- - Trust 1 (100% Interest)
- - Trust 2 (100% Interest)
- - Trust 6 (90% Interest)
- - Trust 7 (25% Interest)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Dean Phillips , 08/13/2024