Filing ID #10057521

# Financial Disclosure Report

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## Filer Information

**Name:** Hon. Joe Courtney
**Status:** Member
**State/District:** CT02

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2023
**Filing Date:** 05/13/2024

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU] | | $1,001 - $15,000 | None | | ☐ |
| Hartford Healthcare 401k ⇒<br>American Funds 2025 Target Date Retirement Fd Cl R-6 (RFDTX) [MF]<br>Description: This asset was inadvertently omitted from prior reports | SP | $15,001 - $50,000 | Tax-Deferred | | ☐ |
| Inherited Brokerage Account ⇒<br>Blackrock Emerging Markets Fund - MADCX [MF] | SP | $1,001 - $15,000 | Dividends | $1 - $200 | ☑ |
| Inherited Brokerage Account ⇒<br>Blackrock High Yield Municipal Fund - MAYHX [MF] | SP | None | None | | ☑ |
| Inherited Brokerage Account ⇒<br>Blackrock International Fund [MF] | SP | $15,001 - $50,000 | Dividends | $1 - $200 | ☑ |
| Inherited Brokerage Account ⇒<br>Blackrock National Municipal Fund - MANLX [MF] | SP | $1,001 - $15,000 | Dividends | $1 - $200 | ☑ |
| Inherited Brokerage Account ⇒<br>Blackrock Short Maturity [MF] | SP | None | Dividends | None | ☑ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
| --- | --- | --- | --- | --- | --- |
| Inherited Brokerage Account ⇒ Blackrock Technologies Opportunity Fund - BGSIX [MF] | SP | $1,001 - $15,000 | Dividends | None | |
| Inherited Brokerage Account ⇒ Invesco S&P Emerging Markets [MF] | SP | None | Capital Gains | $201 - $1,000 | |
| Inherited Brokerage Account ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF] | SP | $1,001 - $15,000 | Dividends | None | |
| Inherited Brokerage Account ⇒ IShares 7-10 Year Treasury Bond - IEF [MF] | SP | None | None | | |
| Inherited Brokerage Account ⇒ IShares Convertible Bond [MF] | SP | None | None | | |
| Inherited Brokerage Account ⇒ IShares Core S&P 500 - IVV [MF] | SP | $50,001 - $100,000 | Dividends | $201 - $1,000 | |
| Inherited Brokerage Account ⇒ IShares Core S&P Small Cap - IJR [MF] | SP | None | Capital Gains, Dividends | $201 - $1,000 | |
| Inherited Brokerage Account ⇒ iShares ESG Aware MSCI USA ETF (ESGU) [EF] | SP | None | Capital Gains, Dividends | $1,001 - $2,500 | |
| Inherited Brokerage Account ⇒ iShares Global Energy ETF (IXC) [EF] | SP | $1,001 - $15,000 | Dividends | None | |
| Inherited Brokerage Account ⇒ iShares GSCI Commodity Dynamic Roll Strategy ETF (COMT) [EF]<br>DESCRIPTION: Listed on prior reports as IShares US ETF TR (COMT) | SP | None | None | | |
| Inherited Brokerage Account ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF] | SP | $1,001 - $15,000 | Dividends | None | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF]<br>DESCRIPTION: Listed on prior reports as IShares Edge MSCI | SP | $1,001 - $15,000 | Dividends | $1 - $200 | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | $1,001 - $15,000 | Dividends | None | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF] | SP | None | Capital Gains | $1 - $200 | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| DESCRIPTION: Listed on prior reports as IShares Edge MSCI USA Value | | | | | |
| Inherited Brokerage Account ⇒<br>iShares National Muni Bond ETF (MUB) [EF] | SP | $1,001 - $15,000 | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>iShares S&P 100 ETF (OEF) [EF] | SP | $1,001 - $15,000 | Dividends | None | ✓ |
| Inherited Brokerage Account ⇒<br>iShares S&P 500 Growth ETF (IVW) [EF] | SP | $1,001 - $15,000 | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>IShares TR MSCI EAFE Growth [MF] | SP | $1,001 - $15,000 | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>IShares TR MSCI EAFE Value [MF] | SP | $1,001 - $15,000 | Dividends | $201 - $1,000 | ✓ |
| Inherited Brokerage Account ⇒<br>IShares US Energy [MF] | SP | None | Capital Gains | $201 - $1,000 | ✓ |
| Inherited Brokerage Account ⇒<br>IShares US Healthcare [MF] | SP | None | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>MFS Core Equity Fund [MF] | SP | $15,001 - $50,000 | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>Pimco Municipal Bond Fund - PMUPX [MF] | SP | $15,001 - $50,000 | Dividends | $1 - $200 | ✓ |
| Inherited Brokerage Account ⇒<br>Sweep Account [BA] | SP | $1,001 - $15,000 | Interest | $1 - $200 | |
| Inherited IRA ⇒<br>Clearbridge Large Cap Growth [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Clearbridge Large Cap Value [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>Deposit Sweep Account [BA] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Franklin International Growth Advisor Class [EF] | SP | None | Tax-Deferred | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Inherited IRA ⇒<br>Franklin Investment Grade [MF]<br>DESCRIPTION: Previously reported as Franklin Liberty IG Corp | SP | $1 - $1,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Franklin Small Cap Value Fund [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Franklin US Core Bond [MF]<br>DESCRIPTION: Name change from Franklin Liberty US Core Bond | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Franklin US Large Cap [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Franklin US Low Volatility [MF] | SP | None | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>IShares 0-5 Year High [MF] | SP | $1 - $1,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>iShares MSCI Emerging Markets ex China ETF (EMXC) [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>IShares US Treasury Bond [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>JP Morgan Mortgage Backed Securities [EF] | SP | $1 - $1,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Martin Currie Emerging Markets [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>Royce Premier Fund Investment Class (RYPRX) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>Templeton Foreign Fund [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Vanguard FTSE Developed Markets [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
| --- | --- | --- | --- | --- | --- |
| Inherited IRA ⇒<br>Vanguard FTSE Emerging Markets ETF [EF] | SP | None | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>Vanguard S&P 500 ETF (VOO) [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Inherited IRA ⇒<br>Western Asset Core Plus [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Inherited IRA ⇒<br>Western Asset Short Term [MF] | SP | $1 - $1,000 | Tax-Deferred | | |
| IRA ⇒<br>Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Calvert Small Cap [MF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Calvert US Large Cap Value [EF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>CCM Community Impact Fund [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Delaware Corporate Bond Fund [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>iShares Broad USD High Yield Corporate Bond ETF (USHY) [EF]<br>DESCRIPTION: Listed on prior reports as ISH USD High Yield | | None | Tax-Deferred | | ✓ |
| IRA ⇒<br>iShares ESG Aware MSCI EAFE ETF (ESGD) [EF]<br>DESCRIPTION: Listed on prior reports as IShares ESG Aware MSCI | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>iShares ESG Aware MSCI EM ETF (ESGE) [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒ | | $15,001 - $50,000 | Tax-Deferred | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| IShares US Treasury Bond [EF] | | | | | |
| IRA ⇒<br>Merrill Cash/Money Accounts [BA] | | $1,001 - $15,000 | Tax-Deferred | | |
| IRA ⇒<br>Nuveen ESG Large Cap Growth [MF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Nuveen ESG Large Cap Value [MF] | | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF] | | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>TIAA CREF Core Impact [EF] | | $100,001 - $250,000 | Tax-Deferred | | ✓ |
| IRA ⇒<br>Vanguard Total International Bond [EF] | | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| M&T Bank Accounts [BA] | | $15,001 - $50,000 | None | | |
| Mass Mutual Whole Life Policy [WU] | | $15,001 - $50,000 | None | | |
| Prudential Annuities (Inherited) [WU] | SP | Undetermined | Annuity Distribution | $2,501 - $5,000 | |
| Rollover IRA ⇒<br>Brown Advantage Sustainable [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒<br>Calvert Small Cap [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Rollover IRA ⇒<br>Calvert US Large Cap Value [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | |
| Rollover IRA ⇒<br>CCM Community Impact Fund [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒<br>Delaware Corporate Bond [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | | | | | |
| Rollover IRA ⇒ iShares Broad USD High Yield Corporate Bond ETF (USHY) [EF]<br>DESCRIPTION: Listed on prior reports as ISH USD High Yield | SP | None | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF]<br>DESCRIPTION: Listed on prior reports as IShares Inc IShares ESG | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ IShares US Treasury Bond [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ Nuveen ESG Large Cap Growth [EF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ Sweep Account [BA] | SP | $1,001 - $15,000 | Interest | $1 - $200 | |
| Rollover IRA ⇒ TIAA CREF Core Impact [MF] | SP | $50,001 - $100,000 | Tax-Deferred | | ✓ |
| Rollover IRA ⇒ Vanguard Total International Bond [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Saint Francis Hospital and Medical Center Pension Plan [PE] | | Undetermined | None | | |
| TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF] | SP | $100,001 - $250,000 | Tax-Deferred | | |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Inherited Brokerage Account ⇒ BlackRock Emerging Markets Fund Class I (MADCX) [MF] | SP | 01/12/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock Emerging Markets Fund Class I (MADCX) [MF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock Emerging Markets Fund Class I (MADCX) [MF] | SP | 04/21/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock Emerging Markets Fund Class I (MADCX) [MF] | SP | 10/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock HY Muni Fd I (MAYHX) [MF] | SP | 01/12/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock International I (MAILX) [MF] | SP | 04/21/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock International I (MAILX) [MF] | SP | 01/12/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock National Muni I (MANLX) [MF] | SP | 01/12/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock National Muni I (MANLX) [MF] | SP | 04/21/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ BlackRock Science & Technology Opportunities Portf Insti Class (BGSIX) [MF] | SP | 10/20/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock Science & Technology Opportunities Portf Insti Class (BGSIX) [MF] | SP | 01/12/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock Short Maturity Municipal Bond ETF (MEAR) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ BlackRock Short Maturity Municipal Bond ETF (MEAR) [EF] | SP | 10/23/2023 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Inherited Brokerage Account ⇒ Invesco S&P Emerging Markets Low Volatility ETF (EELV) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☑ |
| Inherited Brokerage Account ⇒ iShares 10-20 Year Treasury Bond ETF (TLH) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares 10-20 Year Treasury Bond ETF (TLH) [EF] | SP | 10/23/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares 20+ Year Treasury Bond ETF (TLT) [EF] | SP | 10/23/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares 7-10 Year Treasury Bond ETF (IEF) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares Convertible Bond ETF (ICVT) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 04/24/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 10/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | SP | 04/24/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares ESG Aware MSCI USA ETF (ESGU) [EF] | SP | 04/24/2023 | S | $15,001 - $50,000 | ☑ |
| Inherited Brokerage Account ⇒ iShares Global Energy ETF (IXC) [EF] | SP | 10/23/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares GSCI Commodity Dynamic Roll Strategy ETF (COMT) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) | SP | 04/24/2023 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| [EF] | | | | | |
| Inherited Brokerage Account ⇒ iShares MSCI EAFE Growth ETF (EFG) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI EAFE Growth ETF (EFG) [EF] | SP | 04/24/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI EAFE Growth ETF (EFG) [EF] | SP | 10/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares MSCI EAFE Value ETF (EFV) [EF] | SP | 10/23/2023 | S (partial) | $1,001 - $15,000 | ☑ |
| Inherited Brokerage Account ⇒ iShares MSCI Emerging Markets ex China ETF (EMXC) [EF] | SP | 10/23/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF] | SP | 04/24/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF] | SP | 10/23/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares MSCI USA Momentum Factor ETF (MTUM) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Momentum Factor ETF (MTUM) [EF] | SP | 04/24/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | 10/23/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒ iShares National Muni Bond ETF (MUB) [EF] | SP | 04/24/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares National Muni Bond ETF (MUB) [EF] | SP | 01/13/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒ iShares S&P 100 ETF (OEF) [EF] | SP | 10/23/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Inherited Brokerage Account ⇒<br>iShares S&P 500 Growth ETF (IVW) [EF] | SP | 04/24/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒<br>iShares U.S. Energy ETF (IYE) [EF] | SP | 01/13/2023 | S | $1,001 - $15,000 | ☑ |
| Inherited Brokerage Account ⇒<br>iShares U.S. Healthcare ETF (IYH) [EF] | SP | 10/23/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited Brokerage Account ⇒<br>MFS Core Equity Fund Class I (MRGRX) [MF] | SP | 01/12/2023 | P | $1,001 - $15,000 | |
| Inherited Brokerage Account ⇒<br>PIMCO Municipal Bond Fund Class I-2 (PMUPX) [MF] | SP | 01/12/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>ClearBridge Large Cap Value Fund Class I (SAIFX) [MF] | SP | 08/1/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒<br>Franklin International Growth Fund Class Advisor (FNGZX) [MF] | SP | 11/1/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒<br>Franklin U.S. Low Volatility High Dividend Index ETF (LVHD) [EF] | SP | 06/2/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒<br>iShares MSCI Emerging Markets ex China ETF (EMXC) [EF] | SP | 09/5/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | 06/2/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>Martin Currie Emerging Markets Fund Class I (MCEIX) [MF] | SP | 09/1/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>Royce Premier Fund Investment Class (RYPRX) [MF] | SP | 08/1/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF] | SP | 04/4/2023 | P | $1,001 - $15,000 | |
| Inherited IRA ⇒<br>SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF] | SP | 08/2/2023 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Inherited IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF] | SP | 09/5/2023 | S | $1,001 - $15,000 | ☐ |
| Inherited IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF] | SP | 01/4/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF] | | 10/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| IRA ⇒ Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF] | | 07/24/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| IRA ⇒ Calvert Small Cap Fund Class I (CSVIX) [MF] | | 10/23/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Calvert U.S. Large Cap Value Responsible Index Fund Class I (CFJIX) [MF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Calvert U.S. Large Cap Value Responsible Index Fund Class I (CFJIX) [MF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Calvert U.S. Large Cap Value Responsible Index Fund Class I (CFJIX) [MF] | | 10/23/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF] | | 10/20/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF] | | 01/19/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [MF] | | 07/24/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| IRA ⇒ Delaware Corporate Bond Fund Institutional Class (DGCIX) [MF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Delaware Corporate Bond Fund Institutional Class (DGCIX) [MF] | | 07/24/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Delaware Corporate Bond Fund Institutional Class (DGCIX) [MF] | | 01/19/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Delaware Corporate Bond Fund Institutional Class (DGCIX) [MF] | | 10/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | | 07/24/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ iShares Broad USD High Yield Corporate Bond ETF (USHY) [EF] | | 01/20/2023 | S | $1,001 - $15,000 | ☐ |
| IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | | 01/20/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | | 07/25/2023 | S (partial) | $15,001 - $50,000 | ☐ |
| IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ iShares ESG Aware MSCI EM ETF (ESGE) [EF] | | 01/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| IRA ⇒ | | 07/25/2023 | S | $1,001 - $15,000 | ☐ |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| iShares U.S. Treasury Bond ETF (GOVT) [EF] | | | (partial) | | |
| IRA ⇒<br>Nuveen ESG Large-Cap Growth ETF (NULG) [EF] | | 07/25/2023 | S (partial) | $1,001 - $15,000 | |
| IRA ⇒<br>Nuveen ESG Large-Cap Value ETF (NULV) [EF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>Nuveen ESG Large-Cap Value ETF (NULV) [EF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF] | | 10/23/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | | 10/20/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | | 01/19/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | | 07/25/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | | 07/24/2023 | P | $1,001 - $15,000 | |
| IRA ⇒<br>Vanguard Total International Bond ETF (BNDX) [EF] | | 01/20/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒<br>Brown Advisory Sustainable Growth Fd Inst Shs (BAFWX) [MF] | SP | 07/24/2023 | S (partial) | $1,001 - $15,000 | |
| Rollover IRA ⇒<br>Community Reinvestment Act Qualified Investment Fund Institutional Class (CRANX) [EF] | SP | 10/20/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Rollover IRA ⇒ Delaware Corporate Bond Fund Institutional Class (DGCIX) [MF] | SP | 10/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | SP | 07/25/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ Goldman Sachs International Equity ESG Fund - Institutional Shares (GSIEX) [MF] | SP | 07/24/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ iShares Broad USD High Yield Corporate Bond ETF (USHY) [EF] | SP | 01/20/2023 | S | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | SP | 01/20/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ iShares ESG Aware MSCI EAFE ETF (ESGD) [EF] | SP | 07/25/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF] | SP | 01/20/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ iShares U.S. Treasury Bond ETF (GOVT) [EF] | SP | 07/25/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ Nuveen ESG Large-Cap Growth ETF (NULG) [EF] | SP | 07/25/2023 | S (partial) | $1,001 - $15,000 | ☐ |
| Rollover IRA ⇒ Nuveen ESG Large-Cap Value ETF (NULV) [EF] | SP | 07/25/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ Schwab Long-Term U.S. Treasury ETF (SCHQ) [EF] | SP | 07/25/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | SP | 10/20/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒ TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | SP | 01/19/2023 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Rollover IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | SP | 07/25/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒<br>TIAA-CREF Core Impact Bond Fund Advisor Class (TSBHX) [MF] | SP | 07/24/2023 | P | $1,001 - $15,000 | |
| Rollover IRA ⇒<br>Vanguard Total International Bond ETF (BNDX) [EF] | SP | 01/20/2023 | P | $1,001 - $15,000 | |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule C: Earned Income

| Source | Type | Amount |
|---|---|---|
| Connecticut Children's Medical Center | Spouse Salary | N/A |

## Schedule D: Liabilities

None disclosed.

## Schedule E: Positions

None disclosed.

## Schedule F: Agreements

None disclosed.

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

None disclosed.

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Schedule A and B Investment Vehicle Details

- Hartford Healthcare 401k (Owner: SP)

- Inherited Brokerage Account (Owner: SP)
  Location: US
  Description: Account inherited in 2019
- Inherited IRA (Owner: SP)
  Description: IRA inherited in December 2018
- IRA
- Rollover IRA (Owner: SP)
- TR 403(b) (Owner: SP)

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
Yes No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
Yes No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
Yes No

## Certification and Signature

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Joe Courtney , 05/13/2024