Filing ID #10057260

Financial Disclosure Report

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Filer Information

Name: Hon. Michael Waltz
Status: Member
State/District: FL06

Filing Information

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 08/13/2024

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bitcoin [OT] Description: Cryptocurrency		$50,001 - $100,000	None		☐
BoodleAI [OT] Description: Simple Agreement For Future Equity (SAFE)		$50,001 - $100,000	None		☐
Cresset Investment Account ⇒ Cliffwater Corporate Lending Fund Class I (CCLFX) [MF]		$100,001 - $250,000	Dividends	$5,001 - $15,000	☑
Cresset Investment Account ⇒ Cresset-Diversified QOZ Fund II LP [HE] Description: Excepted investment fund		$250,001 - $500,000	Dividends, Interest	$5,001 - $15,000	☐
Cresset Investment Account ⇒ Fidelity Brokerage Sweep [BA]		$1 - $1,000	Interest	$15,001 - $50,000	☐
Cresset Investment Account ⇒ iShares National Muni Bond ETF (MUB) [EF]		$500,001 - $1,000,000	None		☐
Cresset Investment Account ⇒ Starwood Real Estate Investment Trust Class I [HE]		$250,001 - $500,000	Dividends	$15,001 - $50,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Cresset Investment Account ⇒ Wise Origin Bitcoin Index Fund I, LP [HE] Description: Excepted Investment Fund		$50,001 - $100,000	None		☐
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund [MF]		$100,001 - $250,000	Dividends	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ Fidelity Sweep Account [BA]		$1,001 - $15,000	Interest	$5,001 - $15,000	☐
Fidelity Brokerage ⇒ Harbor Small Cap Value Fund Insti Cl (HASCX) [MF]		$100,001 - $250,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Invesco S&P 500 Equal Weight ETF (RSP) [EF]		$500,001 - $1,000,000	Dividends	$2,501 - $5,000	☑
Fidelity Brokerage ⇒ iShares Core S&P Mid-Cap ETF [EF]		None	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		$500,001 - $1,000,000	Dividends	$2,501 - $5,000	☑
Fidelity Brokerage ⇒ iShares National Muni Bond ETF (MUB) [EF]		$500,001 - $1,000,000	Capital Gains, Dividends	$15,001 - $50,000	☑
Fidelity Brokerage ⇒ iShares Russell 1000 Growth ETF [EF]		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Fidelity Brokerage ⇒ JP Morgan Equity Income Fund Cl A [MF]		None	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Nuveen All-American Municipal Bond Fund Class I [MF]		$100,001 - $250,000	Dividends	$201 - $1,000	☑
Fidelity Brokerage ⇒ Schwab US Large Cap Value [EF]		$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
Fidelity Brokerage ⇒ SPDR S&P 500 ETF [EF]		$250,001 - $500,000	Dividends	$1,001 - $2,500	☐
Fidelity Brokerage ⇒ United States Treasury Note [GS]		None	Capital Gains, Interest	$15,001 - $50,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt [MF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Vanguard Limited-Term Tax-Exempt Fund Admiral Shares [MF]		None	Dividends	$1,001 - $2,500	☑
Fidelity Brokerage ⇒ Vanguard Russell 1000 Value Index Fund [EF]		None	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Vanguard Russell 2000 Value Index Fund [EF]		None	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral [MF]		None	Dividends	$1,001 - $2,500	☑
Fidelity Brokerage ⇒ Vanguard Small Cap Growth Index Fund [EF]		None	Capital Gains, Dividends	$5,001 - $15,000	☑
Fidelity Brokerage ⇒ Variant Alternative Income Fund (NICHX) [MF]		$250,001 - $500,000	Dividends	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ Versus Capital Multi-Manager Real Estate Income Fund LLC [MF]		$100,001 - $250,000	Dividends	$5,001 - $15,000	☑
Fidelity Brokerage - DC1 ⇒ Fidelity Government Money Market [BA]	DC	$15,001 - $50,000	Interest	$1,001 - $2,500	☐
Fidelity IRA ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Fidelity IRA ⇒ Fidelity Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity IRA ⇒ Harbor Small Cap Value Fund Insti Cl (HASCX) [MF]		$15,001 - $50,000	Tax-Deferred		☑
Fidelity IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		$50,001 - $100,000	Tax-Deferred		☑
Fidelity IRA ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity IRA ⇒ Variant Alternative Income Fund (NICHX) [MF]		$100,001 - $250,000	Tax-Deferred		☐
Fidelity Roth IRA ⇒ Fidelity Brokerage Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity Roth IRA ⇒ SPDR S&P 500 ETF Trust [EF]		$50,001 - $100,000	Tax-Deferred		☐
Fidelity SEP IRA ⇒ Cliffwater Corporate Lending Fund [MF]		$100,001 - $250,000	Tax-Deferred		☐
Fidelity SEP IRA ⇒ Fidelity Brokerage Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity SEP IRA ⇒ Variant Alternative Income Fund (NICHX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Hamlin Income Fund, LP (EIF) [HE]		$250,001 - $500,000	Interest	$2,501 - $5,000	☐
Money Market Savings [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
Publishing Agreement with Brave Books, LLC [OT] DESCRIPTION: Publishing agreement to earn royalties at customary market terms		Undetermined	Royalties	$2,501 - $5,000	☐
Publishing Agreement with Potomac Books for "Warrior Diplomat: A Green Beret's Battles from Washingt [IP] DESCRIPTION: Royalties are paid to filer directly via University of Nebraska Press		Undetermined	Royalties	$201 - $1,000	☐
Revocable Trust ⇒ Fidelity Money Market Account [BA]		$15,001 - $50,000	Interest	$1,001 - $2,500	☐
Revocable Trust 2 ⇒ Schwab U.S. Large-Cap Value ETF (SCHV) [EF]	JT	$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
Revocable Trust 2 ⇒ SPDR S&P 500 (SPY) [EF]	JT	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OP] DESCRIPTION: 9/20/24, $495	JT	Undetermined	Capital Gains	$5,001 - $15,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OP] DESCRIPTION: 1/17/25, $500	JT	Undetermined	None		☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OP] DESCRIPTION: 12/15/23, $440	JT	None	Capital Gains	$201 - $1,000	☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OP] DESCRIPTION: 6/16/23, $470	JT	None	Capital Gains	$15,001 - $50,000	☐
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OP] DESCRIPTION: 12/15/23, $300	JT	None	Capital Gains	$5,001 - $15,000	☐
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OP] DESCRIPTION: 1/17/25, $365	JT	Undetermined	None		☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OP] DESCRIPTION: 1/17/25, $430	JT	$1,001 - $15,000	None		☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OP] DESCRIPTION: 9/20/24, $350	JT	Undetermined	None		☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OP] DESCRIPTION: 9/20/24, $415	JT	$1,001 - $15,000	None		☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF Trust (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Jun. 16, 2023, $335, Put	JT	None	Capital Gains	$15,001 - $50,000	☐
USAA Savings Account [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Cresset Investment Account ⇒ Cliffwater Corporate Lending Fund Class I (CCLFX) [MF]		08/31/2023	P	$100,001 - $250,000	
Cresset Investment Account ⇒ Invesco S&P 500 Equal Weight ETF (RSP) [EF]		09/1/2023	P	$250,001 - $500,000	
Fidelity Brokerage ⇒ Harbor Small Cap Value Fund Insti Cl (HASCX) [MF]		06/14/2023	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ Invesco S&P 500 Equal Weight ETF (RSP) [EF]		12/15/2023	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		06/15/2023	S	$250,001 - $500,000	☑
Fidelity Brokerage ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		04/12/2023	P	$50,001 - $100,000	
Fidelity Brokerage ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		05/16/2023	P	$250,001 - $500,000	
Fidelity Brokerage ⇒ JPMorgan Equity Income Fund A (OIEIX) [MF]		05/15/2023	S	$250,001 - $500,000	☑
Fidelity Brokerage ⇒ Nuveen Flagship All-American Muni Bd Fd Cl R Shs (FAARX) [MF]		11/28/2023	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ SPDR Gold Trust (GLD) [EF]		01/3/2023	P	$15,001 - $50,000	
Fidelity Brokerage ⇒ SPDR Gold Trust (GLD) [EF]		08/22/2023	S	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [EF]		11/29/2023	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [EF]		12/15/2023	P	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Brokerage ⇒ United States Treas Nt DTD 2/15/24 [GS]		02/27/2023	P	$500,001 - $1,000,000	
Fidelity Brokerage ⇒ United States Treas Nt DTD 2/15/24 [GS]		12/14/2023	S	$250,001 - $500,000	☑
Fidelity Brokerage ⇒ Vanguard Intermediate-Term Tax-Exempt Fd Admiral Shs (VWIUX) [MF]		04/11/2023	P	$250,001 - $500,000	
Fidelity Brokerage ⇒ Vanguard Limited-Term Tax-Exempt Fd Admiral Shs (VMLUX) [MF]		04/11/2023	S	$250,001 - $500,000	☐
Fidelity Brokerage ⇒ Vanguard Russell 1000 Value ETF (VONV) [EF]		04/12/2023	S	$15,001 - $50,000	☑
Fidelity Brokerage ⇒ Vanguard Russell 2000 Value ETF (VTWV) [EF]		04/12/2023	S	$15,001 - $50,000	☑
Fidelity Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		04/12/2023	S	$15,001 - $50,000	☑
Fidelity Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		06/15/2023	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		11/24/2023	S	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Vanguard Ultra-Short-Term Tax-Exempt Fund Admiral Shares (VWSUX) [MF]		02/27/2023	S	$500,001 - $1,000,000	☐
Fidelity Brokerage ⇒ Versus Capital Real Estate Fund LLC (VCMIX) [MF]		05/2/2023	S (partial)	$50,001 - $100,000	☐
Fidelity Brokerage ⇒ Versus Capital Real Estate Fund LLC (VCMIX) [MF]		08/1/2023	S (partial)	$50,001 - $100,000	☐
Fidelity Brokerage ⇒ Versus Capital Real Estate Fund LLC (VCMIX) [MF]		10/31/2023	S (partial)	$15,001 - $50,000	☐
Fidelity IRA ⇒ Harbor Small Cap Value Fund Insti Cl (HASCX) [MF]		06/14/2023	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		06/15/2023	S	$50,001 - $100,000	☐
Fidelity IRA ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF]		05/16/2023	P	$50,001 - $100,000	
Fidelity IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		04/12/2023	S (partial)	$50,001 - $100,000	☐
Fidelity IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		04/13/2023	P	$50,001 - $100,000	
Fidelity IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		05/16/2023	S	$50,001 - $100,000	☐
Fidelity IRA ⇒ Vanguard Small-Cap Growth ETF (VBK) [EF]		06/15/2023	P	$15,001 - $50,000	
Fidelity SEP IRA ⇒ Cliffwater Corporate Lending Fund Class I (CCLFX) [MF]		05/15/2023	P	$100,001 - $250,000	
Fidelity SEP IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		04/12/2023	S (partial)	$100,001 - $250,000	☐
Fidelity SEP IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		04/13/2023	P	$100,001 - $250,000	
Fidelity SEP IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		05/16/2023	S	$100,001 - $250,000	☐
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OT] LOCATION: US DESCRIPTION: 9/20/24, $495	JT	06/22/2023	S (partial)	$1,001 - $15,000	☑
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OT] LOCATION: US DESCRIPTION: 12/15/23, $440	JT	12/5/2023	P	$1,001 - $15,000	
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), call option [OT]	JT	12/5/2023	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Location: US Description: 1/17/25, $500					
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OT] Location: US Description: 9/20/24, $415	JT	06/22/2023	P	$15,001 - $50,000	
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OT] Location: US Description: 9/20/24, $350	JT	06/22/2023	S (partial)	$1,001 - $15,000	☐
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OT] Location: US Description: 1/17/25, $430	JT	12/5/2023	P	$15,001 - $50,000	
Revocable Trust 2 ⇒ SPDR S&P 500 ETF (SPY), put option [OT] Location: US Description: 1/17/25, $365	JT	12/5/2023	S	$1,001 - $15,000	☐

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Schedule C: Earned Income

Source	Type	Amount
Brave Books, LLC	Royalties	$3,610.00
Potomac Books/University of Nebraska	Royalties	$700.00
Ghyabi Management and Consulting	Spouse salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Planet House Lending	August 2020	Mortgage	$500,001 - $1,000,000
SP	Nelnet	May 1998	Student loans	$15,001 - $50,000
SP	USAA	January 2015	Credit card	$10,000 - $15,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Fidelity	June 2022	Margin loan	$500,001 - $1,000,000
	Citibank COMMENTS: Paid in full	2023	Credit card	$15,001 - $50,000
SP	SoFi	Jan 2022	Personal Loan	$15,001 - $50,000

Schedule E: Positions

Position	Name of Organization
Director	Arms Wide Open Foundation
Member	Warrior Diplomat, LLC

Schedule F: Agreements

Date	Parties To	Terms of Agreement
June 2012	Potomac Books; Filer	Publishing agreement to earn royalties from sales of "Warrior Diplomat" - also described on Schedule A
January 2022	Brave Books, LLC; Filer	Publishing agreement to earn royalties from book sales - also described on Schedule A
April 2022	St. Martin's Publishing Group	Publishing agreement to earn royalties from book sales, pursuant to usual and customary terms.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
Government of India (MECEA)	08/10/2023	08/16/2023	Washington, DC - Mumbai, India - Hyderabad, India - Delhi, India - Washington, DC	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Investment Vehicle Details

- Cresset Investment Account
  Location: US
- Fidelity Brokerage
  Location: US
- Fidelity Brokerage - DC1 (Owner: DC)
- Fidelity IRA
- Fidelity Roth IRA
- Fidelity SEP IRA
- Revocable Trust
- Revocable Trust 2 (Owner: JT)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Waltz , 08/13/2024