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FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Kathy Manning
Status: Member
State/District: NC06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2023
Filing Date: 07/29/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------------|----------------|--------|-------------------------------------|
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Pinnacle Bank Account [BA] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OT] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | SP | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | \$1,000,001 - \$5,000,000 | None | | <input checked="" type="checkbox"/> |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] | SP | \$1,000,001 - \$5,000,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|--------------------|--------------------|-------------------------------------|
| DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. | | | | | |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT] | SP | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | | | | | |
| Capsule Group ⇒ Pinnacle Bank [BA] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Checking account | | | | | |
| Certificate of Deposit Account Registry Service [BA] | JT | \$100,001 - \$250,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Charles Schwab - Edgewood Management LLC ⇒ Charles Schwab - Cash [BA] | JT | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ O'Shaughnessy Market Leaders Value Fund - Class I (OFVIX) [MF] | JT | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF] | JT | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| DVS Limited Partnership ⇒ Investment in Residential Properties [RP] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Greensboro, NC, US | | | | | |
| Ehrenkranz Partners, LP ⇒ Acquisition Fund 2006 L.P. [HE] | SP | \$1,001 - \$15,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P. [HE] | SP | \$50,001 - \$100,000 | Partnership Income | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P. [HE] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Acquisition Fund IV L.P. [HE] | SP | \$1,001 - \$15,000 | Partnership Income | \$201 - \$1,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P. [HE] | SP | \$15,001 - \$50,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

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|--|--------------|-------------------------------|-----------------------|-----------------------|--------------------------|
| Overseas Acquisition Fund II L.P. [HE] | | | | | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P. [HE] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P. [HE] | SP | \$1,001 - \$15,000 | Partnership Income | \$201 - \$1,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P. [HE] | SP | \$1,001 - \$15,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Ehrenkranz Partners, LP ⇒ Venture Fund L.P. [HE] | SP | \$1,001 - \$15,000 | Partnership Income | \$201 - \$1,000 | <input type="checkbox"/> |
| Elm Street Hotel II ⇒ Elm Street Hotel, LLC [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- hotel in downtown Greensboro. | SP | Spouse/DC Over \$1,000,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Elm Street Technology Holdings, LLC [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company; 12/31/23 value less than \$1,000 | SP | None | None | | <input type="checkbox"/> |
| Guerilla RF, Inc. [PS] DESCRIPTION: Chip design company | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Pinnacle Bank Account [BA] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒ Brown Robin Partners I, LLC (Fund 2) [OT] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics. | SP | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan ⇒ Brown Robin Partners LTH I, LLC (Fund 2 LTH) [OT] DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics. | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Bank of America Corporation (BAC) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

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| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ ClearBridge Large Cap Growth Fd Class I (SBLYX) [MF] | SP | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] DESCRIPTION: Exchange Traded Fund | SP | None | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | \$50,001 - | Dividends | \$2,501 - | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|--------------------|-------------------------------------|
| LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | \$100,000 | | \$5,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] DESCRIPTION: Overall loss in 2023 | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] DESCRIPTION: Overall loss in 2023 | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF] | SP | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------------|-----------------------------|------------------------|-------------------------------------|
| LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | | | | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (LJK) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | \$500,001 - \$1,000,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] DESCRIPTION: Previously reported as Utilities Select Sector SPDR Fund (XLU). | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | \$50,001 - \$100,000 | Capital Gains | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST] | SP | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST] | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | \$50,001 - | Capital Gains, | \$1,001 - | <input checked="" type="checkbox"/> |

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|---|-------|-----------------------|--------------------------|--------------------|-------------------------------------|
| LLC ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST] | | \$100,000 | Dividends | \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | None | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| DESCRIPTION: Money Market Fund | | | | | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | \$50,001 - | Dividends | \$201 - | <input checked="" type="checkbox"/> |

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|--|-------|--------------------------|-----------------------------|-----------------------|-------------------------------------|
| LLC ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST] | | \$100,000 | | \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: Sold for a loss in 2023 | SP | None | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST] | SP | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST] | SP | \$100,001 - \$250,000 | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] DESCRIPTION: Sold for a loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |

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|--|-------|----------------------|--------------------------|--------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYN) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST] | SP | \$50,001 - \$100,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST] DESCRIPTION: Overall loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Best Buy Co., Inc. (BBY) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------|----------------------|------------------------------|
| LLC ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST] | | | | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cardinal Health, Inc. (CAH) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Church & Dwight Company, Inc. (CHD) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$1,001 - \$15,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ General Mills, Inc. (GIS) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Kroger Company (KR) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Leidos Holdings, Inc. (LDOS) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--------------------------|----------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Morgan Stanley (MS) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Sysco Corporation (SYF) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Target Corporation (TGT) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Manning Family LP ⇒ Investment Property [RP] LOCATION: San Antonio, TX, US | | \$15,001 - \$50,000 | Partnership Income | \$50,001 - \$100,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ ClearBridge Large Cap Growth Fd Class I (SBLYX) [MF] | SP | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--------------------------|-------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | SP | None | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | SP | None | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] | SP | None | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Exchange Traded Fund | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|-----------------------------|-------------------|-------------------------------------|
| iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | | | \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI USA Min Vol Factor ETF (USMV) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell Mid-Cap ETF (IWR) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | None | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| DESCRIPTION: Previously reported as Consumer Staples Select Sector SPDR (XLP) | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|-----------------------------|-------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST] | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | \$15,001 - \$50,000 | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. - Common Stock (AMGN) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT) [ST] DESCRIPTION: Sale less than \$1,000 | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|-----------------------------|----------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ CME Group Inc. - Class A (CME) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| MV Capital - GST Exempt Trust #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Home Depot, Inc. (HD) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Partial sale less than \$1,000 | | | | | |
| MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Johnson & Johnson (JNJ) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Lam Research Corporation (LRCX) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| MV Capital - GST Exempt Trust #2 ⇒ Micron Technology, Inc. (MU) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|-----------------------------|-------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ NVIDIA Corporation (NVDA) [ST] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Packaging Corporation of America (PKG) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] DESCRIPTION: Overall loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYYS) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST] DESCRIPTION: Sale less than \$1,000 | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ | SP | None | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------------|----------------|--------|-------------------------------------|
| Walt Disney Company (DIS) [ST] | | | | | |
| DESCRIPTION: Sold for a loss in 2023 | | | | | |
| MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth Fund (FBGRX) [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Exchange Traded Fund | | | | | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | | | | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell Mid-Cap ETF (IWR) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ Blue Ridge Bankshares, Inc. (BRBS) [ST] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ Fidelity Blue Chip Growth Fund (FBGRX) [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | \$250,001 - \$500,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] DESCRIPTION: Exchange Traded Fund | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | | | | | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | \$250,001 - \$500,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Growth ETF (IJT) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF] DESCRIPTION: Previously reported as Health Care SPDR | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] DESCRIPTION: Previously reported as Select Sector SPDR Trust Technology (XLK) | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE] | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Ally Financial Inc. (ALLY) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Ameriprise Financial, Inc. (AMP) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Amgen Inc. (AMGN) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Bank of America Corporation (BAC) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Best Buy Co., Inc. (BBY) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Caterpillar, Inc. (CAT) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Eli Lilly and Company (LLY) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------|----------------|--------|--------------------------|
| MV Capital - RRK Roth IRA #3 ⇒ Fidelity Cash Reserves (FDRXX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Lam Research Corporation (LRCX) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ MetLife, Inc. (MET) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ NXP Semiconductors N.V. (NXPI) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Pfizer, Inc. (PFE) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Union Pacific Corporation (UNP) [ST] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Voya Financial, Inc. (VOYA) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #3 ⇒ Western Union Company (WU) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Northern Trust Company [DB] | SP | Undetermined | Tax-Deferred | | <input type="checkbox"/> |
| OurCrowd ⇒ Consumer Physics [OL] LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Piedmont Angel Networks ⇒ AvidXchange [OL] LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions; stock distributed to The 2011 Randall R. Kaplan Living Trust - MV Capital - Brokerage #1 | SP | None | None | | <input type="checkbox"/> |
| Piedmont Angel Networks ⇒ OptiVia Medical, LLC [OL] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------------|----------------|-------------|-------------------------------------|
| LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store | | | | | |
| Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Piedmont Angel Networks ⇒ Sensory Analytics [OL] LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Piedmont Angel Networks II ⇒ Protochips [OL] LOCATION: Morrisville, NC, US DESCRIPTION: Electronics Research Company | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Pinnacle Bank Accounts [BA] DESCRIPTION: Cash & Equivalents- Checking & Savings | JT | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OL] LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | \$5,000,001 - \$25,000,000 | None | | <input checked="" type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT] | | \$1,000,001 - \$5,000,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------------|--------------------|-------------------------|-------------------------------------|
| DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | | | | |
| Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC [OL] | SP | None | None | | <input type="checkbox"/> |
| LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent; 12/31/23 value below the reporting threshold | | | | | |
| Regent Holdings, LLC ⇒ Investment in Commercial Property [RP] | SP | \$500,001 - \$1,000,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: Greensboro, NC, US | | | | | |
| Reservoir Capital Partners, LP [OL] | SP | \$250,001 - \$500,000 | Partnership Income | \$50,001 - \$100,000 | <input type="checkbox"/> |
| LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics. | | | | | |
| RRK Charitable Trust U/A 11/28/00 #2 ⇒ SBLs SPV LLC [OT] | SP | \$15,001 - \$50,000 | Partnership Income | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. In-kind distribution from Seven Bridges Long/Short Equity Fund LP. | | | | | |
| RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OT] | SP | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | | | | | |
| RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL] | SP | \$1,000,001 - \$5,000,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. | | | | | |
| Scientific Associates, LLC ⇒ Patents [IP] | SP | None | None | | <input type="checkbox"/> |
| DESCRIPTION: Water Purification & Oxygenation Patents; no value as of 12/31/23 | | | | | |
| Stonefield Cellars Winery [OL] | SP | \$1,001 - \$15,000 | Partnership Income | \$201 - \$1,000 | <input type="checkbox"/> |
| LOCATION: Stokesdale, NC, US DESCRIPTION: Winery | | | | | |
| Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP] | SP | \$250,001 - \$500,000 | Partnership Income | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates Commercial Real Estate. | | | | | |
| The 2011 Kathy E Manning Living Trust ⇒ | | \$100,001 - | Interest | \$201 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-------------------|-------------------------------------|
| Pinnacle Bank Account [BA] | | \$250,000 | | \$1,000 | <input type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Money Market Fund (SPRXX) [MF] DESCRIPTION: Money Market Fund | | None | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] DESCRIPTION: Exchange Traded Fund | | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - | | \$15,001 - \$50,000 | Dividends | \$201 - | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------------|-----------------------|----------------------------|-------------------------------------|
| Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | | | | \$1,000 | <input type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Echo Street GoodCo Select, LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | None | Partnership Income | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Melvin Capital LP [OT] DESCRIPTION: Entity has been dissolved. Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | None | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Pinnacle Bank Account [BA] | SP | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ RA Capital Healthcare Fund, L.P. [HE] | SP | \$100,001 - \$250,000 | Partnership Income | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Rock Springs Capital Fund LP [HE] | SP | \$250,001 - \$500,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ SBLS SPV LLC [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. In-kind distribution from Seven Bridges Long/Short Equity Fund LP. | SP | \$100,001 - \$250,000 | Partnership Income | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | None | Partnership Income | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Whale Rock Long Opportunities Fund, LP [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | \$250,001 - \$500,000 | Partnership Income | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ 3i SPV II LLC ⇒ Next Century Spirits, LLC [OL] LOCATION: Raleigh, NC, US DESCRIPTION: Full-service distilled spirits company | SP | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------------|--------------------------|---------------------|-------------------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Amazon.com, Inc. (AMZN) [ST] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ AvidXchange Holdings, Inc. - Common Stock (AVDX) [ST] DESCRIPTION: Distribution from Piedmont Angel Networks | SP | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Money Market Fund (SPRXX) [MF] DESCRIPTION: Money Market Fund | SP | Spouse/DC Over \$1,000,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] DESCRIPTION: Exchange Traded Fund | SP | None | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|-------------------|-------------------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2031 Term Corporate ETF (IBDW) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | \$500,001 - \$1,000,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|----------------|-------------------|-------------------------------------|
| iShares Russell 1000 Value ETF (IWD) [EF] DESCRIPTION: Overall loss in 2023 | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] DESCRIPTION: Overall loss in 2023 | SP | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Select Dividend ETF (DVY) [EF] DESCRIPTION: Overall loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Trust iShares iBonds Dec 2032 Term Corporate ETF (IBDX) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - | SP | \$15,001 - \$50,000 | Dividends | \$201 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|----------------|--------------------|-------------------------------------|
| Brokerage #1 ⇒ Microsoft Corporation (MSFT) [ST] | | | | \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST] | SP | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-----------------|-------------------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] DESCRIPTION: Overall loss in 2023 | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] DESCRIPTION: Sold for a loss in 2023 | SP | None | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Cash Reserves (FDRXX) [MF] DESCRIPTION: Money Market Fund | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|-----------------|-------------------------------------|
| Johnson & Johnson (JNJ) [ST] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: Overall loss in 2023 | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] DESCRIPTION: Sold for a loss in 2023 | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ | SP | None | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|--------------------|----------------------|-------------------------------------|
| Pfizer, Inc. (PFE) [ST] | | | | | |
| DESCRIPTION: Overall loss in 2023 | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYF) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST] | SP | \$1,001 - \$15,000 | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST] | SP | None | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Sold for a loss in 2023 | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #4 ⇒ Fidelity Money Market Fund (SPRXX) [MF] | SP | \$500,001 - \$1,000,000 | Dividends | \$50,001 - \$100,000 | <input type="checkbox"/> |
| The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Seven Bridges Private Equity Opportunities Fund II, LP [OT] | SP | \$250,001 - \$500,000 | Partnership Income | \$5,001 - \$15,000 | <input type="checkbox"/> |
| DESCRIPTION: Private Equity. Fund manager letter on file with the Committee on Ethics. | | | | | |
| The 2021 Kathy Manning Irrevocable GST Trust FBO Randall | SP | \$50,001 - | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|--------------------|--------------------------|
| Kaplan ⇒ Triad Business Bank (TBBC) [OT] DESCRIPTION: Small public community bank. | | \$100,000 | | | <input type="checkbox"/> |
| TIC Fincastle Building ⇒ Investment in Commercial Building [RP] LOCATION: Greensboro, NC, US | SP | \$50,001 - \$100,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Truist Accounts [BA] DESCRIPTION: Checking & Savings | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL) [ST] | SP | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| WLC, LLC (Learning Together) ⇒ Notes Receivable [DO] DESCRIPTION: Greensboro, NC | SP | \$250,001 - \$500,000 | None | | <input type="checkbox"/> |
| Wonder Media Network, LLC [PS] DESCRIPTION: Class Preferred Units | SP | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-----------------------|--------------------------|
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] LOCATION: US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | 11/1/2023 | S (partial) | \$250,001 - \$500,000 | <input type="checkbox"/> |
| 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [HN] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | SP | 12/31/2023 | S (partial) | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ O'Shaughnessy Market Leaders Value Fund - Class I (OFVIX) [MF] | JT | 12/8/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|--------------|-------------|-----------------|---------------------|-------------------------------|
| Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF] | JT | 03/29/2023 | P | \$1,001 - \$15,000 | |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF] | JT | 06/29/2023 | P | \$1,001 - \$15,000 | |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF] | JT | 09/27/2023 | P | \$1,001 - \$15,000 | |
| Charles Schwab - O'Shaughnessy Asset Management ⇒ Vanguard U.S. Value Factor ETF (VFVA) [EF] | JT | 12/26/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ ClearBridge Large Cap Growth Fd Class I (SBLYX) [MF] | SP | 06/15/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 02/13/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 10/27/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 11/30/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|-----------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 09/22/2023 | P | \$50,001 - \$100,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | SP | 12/22/2023 | S | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | SP | 01/17/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | SP | 01/17/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 08/21/2023 | P | \$100,001 - \$250,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | SP | 01/17/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 06/20/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 07/19/2023 | P | \$50,001 - \$100,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 08/21/2023 | P | \$50,001 - \$100,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|-----------------------|--------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 06/20/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 07/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 08/21/2023 | P | \$50,001 - \$100,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 10/27/2023 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|-----------------------|--------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 11/30/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 06/20/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 07/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 10/27/2023 | S | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 11/30/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | 10/27/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | SP | 10/27/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | SP | 10/27/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|--------------------------|
| LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | | | | | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 02/13/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 02/13/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 05/30/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (LJK) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | | | | | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | 05/9/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | 10/27/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 05/9/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 10/27/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 05/9/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-----------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 10/27/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 05/9/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 05/30/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 06/20/2023 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 07/19/2023 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 08/21/2023 | S (partial) | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 09/22/2023 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF] | SP | 10/27/2023 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family | | 04/28/2023 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | | | (partial) | | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST] | | 04/28/2023 | P | \$15,001 - \$50,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ NVIDIA Corporation (NVDA) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ | | 04/28/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Packaging Corporation of America (PKG) [ST] | | | | | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | | 04/28/2023 | P | \$1,001 - \$15,000 | |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST] | SP | 12/12/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST] | | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|----------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST] | SP | 03/31/2023 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | 04/19/2023 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | 05/30/2023 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | SP | 02/10/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | SP | 05/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | SP | 02/10/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | SP | 05/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 11/30/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] | SP | 12/22/2023 | S | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| LOCATION: US DESCRIPTION: Exchange Traded Fund | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ | SP | 08/21/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|---------------------|
| iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | | | | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 06/16/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|----------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #1 ⇒ iShares Russell Mid-Cap ETF (IWR) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 03/6/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 03/28/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 04/19/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 08/21/2023 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 09/22/2023 | S | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|--------------------|-------------------------------------|
| MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ NVIDIA Corporation (NVDA) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Packaging Corporation of America (PKG) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Pfizer, Inc. (PFE) [ST] | | | | | |
| MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | | 03/6/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | 06/20/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] | | 01/17/2023 | P | \$1,001 - \$15,000 | |
| LOCATION: US DESCRIPTION: Exchange Traded Fund | | | | | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] | | 12/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: US DESCRIPTION: Exchange Traded Fund | | | | | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 01/17/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ | | 03/6/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | | | | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | 04/19/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | | 07/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | | 08/21/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | 08/21/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | | 08/21/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | | 08/21/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | | 03/6/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | | 03/6/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | | 03/6/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|--------------------------|
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell Mid-Cap ETF (IWR) [EF] | | 03/6/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | | 03/6/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 06/20/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 07/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | 04/19/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | 06/20/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | 07/19/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | 08/21/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | 09/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | 05/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 06/20/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | SP | 12/14/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 07/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/6/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 08/21/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares iBonds Dec 2030 Term Corporate ETF (IBDV) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 07/19/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF] | SP | 01/17/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | 01/17/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | 01/17/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 06/20/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 07/19/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (LJK) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|----------------------|--------------------------|
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Growth ETF (IJK) [EF] | SP | 05/30/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 02/13/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF] | SP | 05/30/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Growth ETF (IJT) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Growth ETF (IJT) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Growth ETF (IJT) [EF] | SP | 05/30/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 02/13/2023 | P | \$15,001 - \$50,000 | |
| MV Capital - RRK Roth IRA #1 ⇒ iShares S&P SmallCap 600 Value ETF (IJS) [EF] | SP | 05/30/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 03/6/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 04/19/2023 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 06/20/2023 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ | SP | 07/19/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|----------------------------|-------------------------------------|
| iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | | | (partial) | | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 08/21/2023 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 09/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE] | SP | 12/14/2023 | P | \$1,001 - \$15,000 | |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] LOCATION: US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | 11/1/2023 | S (partial) | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [HN] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. | | 12/31/2023 | S (partial) | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OT] LOCATION: US DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | SP | 11/1/2023 | S (partial) | \$100,001 - \$250,000 | <input type="checkbox"/> |
| RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OT] LOCATION: US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. | SP | 11/1/2023 | P | \$100,001 - \$250,000 | |
| Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP] LOCATION: Greensboro, NC, US | SP | 10/31/2023 | S (partial) | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | 02/13/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | 03/28/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|--------------------------|
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | 04/19/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | 05/9/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | | 12/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Corporate ETF (IBDP) [EF] | | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2024 Term Treasury ETF (IBTE) [EF] | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Corporate ETF (IBDQ) [EF] | | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | | 03/6/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-------------------------|-------------------------------------|
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | 02/13/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | 03/28/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | 04/19/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | | 05/9/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 03/28/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | | 04/19/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ Echo Street GoodCo Select, LP [HN] | SP | 12/31/2023 | S | \$500,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [HN] | SP | 08/31/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|----------------------------|-------------------------------------|
| DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [HN] | SP | 12/31/2023 | S | Spouse/DC Over \$1,000,000 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core High Dividend ETF (HDV) [EF] | SP | 05/30/2023 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 02/13/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 06/14/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 06/16/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF] | SP | 12/22/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|-------------------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Treasury ETF (IBTD) [OT] LOCATION: US DESCRIPTION: Exchange Traded Fund | SP | 12/22/2023 | S | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 04/19/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2025 Term Treasury ETF (IBTF) [EF] | SP | 05/30/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Corporate ETF (IBDR) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2026 Term Treasury ETF (IBTG) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Corporate ETF (IBDS) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|---------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2027 Term Treasury ETF (IBTH) [EF] | SP | 09/22/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/6/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 03/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2028 Term Corporate ETF (IBDT) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2029 Term Corporate ETF (IBDU) [EF] | SP | 09/22/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2031 Term Corporate ETF (IBDW) [EF] | SP | 12/22/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | 03/6/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | 03/28/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | 10/27/2023 | P | \$250,001 - \$500,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|----------------------|--------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 02/13/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 06/27/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 07/26/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | 10/27/2023 | S (partial) | \$50,001 - \$100,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | 12/22/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] | SP | 11/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - | SP | 07/26/2023 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|--------------------------|
| Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Value ETF (IWN) [EF] | SP | 11/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Midcap Growth ETF (IWP) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | 02/13/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | 07/26/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF] | SP | 11/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 05/30/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ | SP | 06/14/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|----------------------|---------------------|
| iShares S&P 500 Growth ETF (IVW) [EF] | | | | | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] | SP | 06/16/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 01/17/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 02/13/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 04/19/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 05/9/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 05/30/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 06/14/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 06/16/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 06/27/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 07/26/2023 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-----------------------|--------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 08/21/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 10/27/2023 | S (partial) | \$250,001 - \$500,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF] | SP | 11/30/2023 | P | \$50,001 - \$100,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Select Dividend ETF (DIV) [EF] | SP | 05/30/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 03/28/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF] | SP | 09/22/2023 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Trust iShares iBonds Dec 2032 Term Corporate ETF (IBDX) [EF] | SP | 12/22/2023 | P | \$15,001 - \$50,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | SP | 05/9/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF] | SP | 06/27/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|--------------------|-------------------------------------|
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] | SP | 04/28/2023 | P | \$1,001 - \$15,000 | |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST] | SP | 04/28/2023 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - | SP | 12/12/2023 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------|----------|--------|---------------------|
| Brokerage #2 ⇒ Walt Disney Company (DIS) [ST] | | | | | |

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|------------------------|-----------------------|--------|
| Northern Trust Company | Spouse Pension Payout | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---------------------|----------------|----------|-----------------------|
| JT | Wells Fargo Bank NA | September 1997 | Mortgage | \$250,001 - \$500,000 |
| COMMENTS: Personal residence- paid in full 2023 | | | | |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|--------------------|----------------------------------|
| Director & Officer | Kaplan Manning Family Foundation |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--|------------|------------|--|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| U.S. Association of Former Members of Congress | 02/18/2023 | 02/25/2023 | Washington, DC - Tokyo, Japan - Sarasota, FL | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants (Owner: SP)
- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC (Owner: SP)
- Capsule Group (Owner: SP)
LOCATION: US
- Charles Schwab - Edgewood Management LLC (Owner: JT)
LOCATION: US
- Charles Schwab - O'Shaughnessy Asset Management (Owner: JT)
LOCATION: US
DESCRIPTION: F/K/A Charles Schwab - Edgewood Management LLC
- DVS Limited Partnership (Owner: SP)
LOCATION: US
- Ehrenkranz Partners, LP (Owner: SP)
LOCATION: New York, NY, US
- Elm Street Hotel II (Owner: SP)
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan (Owner: SP)
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC (Owner: SP)
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ Kathy Manning GST FBO Randall Kaplan (Owner: SP)
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 (Owner: SP)
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 (Owner: SP)
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 (Owner: SP)
LOCATION: US
- Manning Family LP
LOCATION: US
- MV Capital - GST Exempt Trust #1 (Owner: SP)
- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - KEM Roth IRA #1
- MV Capital - RRR Roth IRA #1 (Owner: SP)
- MV Capital - RRR Roth IRA #3 (Owner: SP)
- OurCrowd (Owner: SP)
- Piedmont Angel Networks (Owner: SP)
- Piedmont Angel Networks II (Owner: SP)
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants

- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC
- Real Estate Software Solutions, LLC (Owner: SP)
LOCATION: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)
LOCATION: US
- RRR Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)
LOCATION: US
- Tarco Realty, LLC (Owner: SP)
LOCATION: US
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1
LOCATION: US
- The 2011 Randall R. Kaplan Living Trust (Owner: SP)
- The 2011 Randall R. Kaplan Living Trust ⇒ 3i SPV II LLC (Owner: SP)
LOCATION: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #1 (Owner: SP)
LOCATION: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #2 (Owner: SP)
LOCATION: US
- The 2011 Randall R. Kaplan Living Trust ⇒ MV Capital - Brokerage #4 (Owner: SP)
LOCATION: US
- The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan (Owner: SP)
- TIC Fincastle Building (Owner: SP)
LOCATION: US
- Wells Fargo Brokerage (Owner: SP)
LOCATION: US
- WLC, LLC (Learning Together) (Owner: SP)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathy Manning , 07/29/2024