



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Former Member  
**State/District:** NY03

## FILING INFORMATION

**Filing Type:** Terminated Filer Report  
**Filing Year:** 2023  
**Filing Date:** 05/3/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz- Qualified IRA ⇒ AZL MVP Growth Index Strategy [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz- Qualified IRA ⇒ AZL MVP TRP Cap App Plus [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
BP Real Estate Opportunity Group LLC [OL]		\$1,001 - \$15,000	Ordinary Business Income	\$1 - \$200	<input type="checkbox"/>
LOCATION: Sarasota, Sarasota, FL, US DESCRIPTION: Minority interest in real estate development project with sole asset located in Sarasota, FL					
Clarapath Corp [OL]		None	None		<input type="checkbox"/>
LOCATION: New York New York, NY, US DESCRIPTION: Investment in a start up company relating to biotech for pathology. Offices are in Manhattan, NY					
Empirical Risk Management Inc, 100% Interest [OL]	SP	\$1 - \$1,000	Ordinary Business Income	Not Applicable	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Insurance brokerage business located in Glen Cove, NY					
Equitable ⇒ Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Equitable- IRA ⇒ XLF Sector Financial Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLK Sector Technology Select Sector SPDR [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLP Sector Consumer Staples Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLRE Select Sector TR Real Estate SPDR ETF [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLV Sector Healthcare Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable Life Operations- Suozzi Family Trust [WU]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
HCDC Holdings LLC, 10% Interest [OL]  LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Minority interest in entity which operates a day camp in East Hampton, NY		\$50,001 - \$100,000	Interest, Ordinary Business Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
HCDC Realty Corp, 10% Interest [OL]  LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Minority interest in corporation which holds the property for a day camp in East Hampton, NY		\$50,001 - \$100,000	Rent	None	<input type="checkbox"/>
Iselin Holdings LLC, 6% Interest [OL]  LOCATION: West Orange, Essex, NJ, US DESCRIPTION: Minority interest in LLC holding rental property in West Orange, NJ. Section 1031 exchange from property held in Phillipsburg Holdings LLC		\$100,001 - \$250,000	Rent	None	<input type="checkbox"/>
Kepwrs Inv LLC, 1% Interest [OL]  LOCATION: Williamsburg, Kings, NY, US DESCRIPTION: Minority interest in LLC holding rental property in Williamsburg, NY		None	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Merrill Lynch- Advisor Discretion Account ⇒ APA Corporation (APA) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Devon Energy Corporation (DVN) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Domino's Pizza Inc (DPZ) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ HF Sinclair Corporation (DINO) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST]		None	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		\$100,001 - \$250,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPG Industries, Inc. (PPG) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Valero Energy Corporation (VLO) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Visa Inc. (V) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Boeing Company (BA) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Corning Incorporated (GLW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ CVS Health Corporation (CVS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Dana Incorporated (DAN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ General Motors Company (GM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ GlobalFoundries Inc. - Ordinary Share (GFS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ International Paper Company (IP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ iShares U.S. Technology ETF (IYW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Morgan Stanley (MS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ MP Materials Corp. (MP) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ RH (RH) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
United Rentals, Inc. (URI) [ST]					<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Verizon Communications Inc. (VZ) [ST]		None	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Weyerhaeuser Company (WY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Naples Vanderbilt Investors LLC [OL]		\$1,001 - \$15,000	Ordinary income	\$1 - \$200	<input type="checkbox"/>
LOCATION: Naples, Collier, FL, US DESCRIPTION: Minority interest in rental property in Naples, FL					
Paradise Square Broadway LP [OL]		None	None		<input type="checkbox"/>
LOCATION: New York, NY, US DESCRIPTION: Entity produces Broadway shows went out of business in 2022					
Phillipsburg Holdings LLC, 7% Interest [OL]		None	None		<input type="checkbox"/>
LOCATION: West Orange, Essex, NJ, US DESCRIPTION: Minority interest in LLC holding rental property in West Orange, NJ Property transferred to Iselin Holdings LLC in a Section 1031 exchange					
RUVO Realty LLC, 100% Interest [OL]		\$100,001 - \$250,000	Rent	\$1 - \$200	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Office space for rent in Glen Cove, NY					
SGC Commercial II LLC, 1% Interest [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota, Sarasota, FL, US DESCRIPTION: Real Estate development project in Sarasota, FL					
Southampton Country Day Camp LLC, 3% Interest [OL]		None	Ordinary Business Income	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Southampton, Suffolk, NY, US DESCRIPTION: Minority interest in entity which operates a day camp located in Southampton, NY					
Southampton Day Camp Realty LLC, 3% Interest [OL]		\$50,001 - \$100,000	Rent	None	<input type="checkbox"/>
LOCATION: Southampton, Suffolk, NY, US DESCRIPTION: Minority interest in rental property located in Southampton, NY					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Suozzi Solutions Inc, 100% Interest [OL]		None	None		<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Business is inactive and was closed during 2021. 2021 tax return was final return.					
TIAA-CREF ⇒ CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Inflation-Linked Bond R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		09/26/2022	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST]		03/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		11/29/2022	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ APA Corporation (APA) [ST]		08/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		05/5/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Boeing Company (BA) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		11/29/2022	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ Domino's Pizza Inc (DPZ) [ST]		09/26/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		11/15/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Generac Holdings Inc. (GNRC) [ST]		09/26/2022	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ GlobalFoundries Inc. - Ordinary Share (GFS) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		05/16/2022	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		05/16/2022	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ HF Sinclair Corporation (DINO) [ST]		08/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		09/13/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST]		09/26/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Merrill Lynch- Advisor Discretion Account ⇒ MP Materials Corp. (MP) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		09/26/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		05/5/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPG Industries, Inc. (PPG) [ST]		05/5/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ RH (RH) [ST]		03/4/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ RH (RH) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Upstart Holdings, Inc. - Common stock (UPST) [ST]		05/16/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Upstart Holdings, Inc. - Common stock (UPST) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Upstart Holdings, Inc. - Common stock (UPST) [ST]		05/16/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Upstart Holdings, Inc. - Common stock (UPST) [ST]		05/5/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Valero Energy Corporation (VLO) [ST]		09/13/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ VanEck Biotech ETF (BBH) [ST]		03/4/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Visa Inc. (V) [ST]		08/5/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒		09/26/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Advanced Micro Devices, Inc. (AMD) [ST]					
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		09/26/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		10/11/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Boeing Company (BA) [ST]		09/26/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		10/11/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Generac Holdings Inc. (GNRC) [ST]		09/26/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ GNC Holdings, Inc. Class A (GNC) [ST]		10/11/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Goldman Sachs Group, Inc. (GS) [ST]		10/11/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		09/26/2022	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Verizon Communications Inc. (VZ) [ST]		11/10/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Dollar General Corporation (DG) [ST]		01/7/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: IRA account					
National Securities- Advisor Discretion Account ⇒ Dow Inc. (DOW) [ST]		01/7/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: IRA account					
National Securities- Advisor Discretion Account ⇒ Morgan Stanley (MS) [ST]		01/7/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: IRA account					
National Securities- Advisor Discretion Account ⇒ Schlumberger N.V. (SLB) [ST]		01/7/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: IRA account					
National Securities- Advisor Discretion Account ⇒ Sysco Corporation (SYY) [ST]		01/7/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: IRA account					
National Securities- Advisor Discretion Account ⇒ TJX Companies, Inc. (TJX) [ST]		01/7/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: IRA account					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

None disclosed.

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- Allianz- Qualified IRA
- Equitable
- Equitable- IRA (Owner: SP)
- Merrill Lynch- Advisor Discretion Account

LOCATION: US

DESCRIPTION: Advisor Discretion Account under control of asset manager.

- o Merrill Lynch- Advisor Discretion Account

LOCATION: US

DESCRIPTION: Advisor Discretion Account under control of asset manager.

- o Merrill Lynch- Advisor Discretion Account- IRA
- o National Securities- Advisor Discretion Account
- o TIAA-CREF (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas Suozzi , 05/3/2023