Filing ID #10050791

Financial Disclosure Report

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Filer Information

Name: Hon. John M. Katko
Status: Former Member
State/District: NY24

Filing Information

Filing Type: Terminated Filer Report
Filing Year: 2023
Filing Date: 06/2/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
American Century Equity Income Fund (TWEIX) [MF]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	✓
BlackRock Event Driven Equity Fund Institutional Shares (BILPX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	✓
Fidelity Advisor Energy Fund (FANIX) [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	✓
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	✓
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	✓
Laudus Trust - Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	$15,001 - $50,000	Dividends	$2,501 - $5,000	✓
Lord Abbett Short Duration Income Fund (LLDYX) [MF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
MFS International Growth Fund (MQGIX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	✓
PGIM Short-Term Corporate Bond Fund (PIFZX) [MF]	JT	None	Dividends	$201 - $1,000	✓
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	$15,001 - $50,000	Dividends	$1 - $200	✓
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	✓
Spouse Beneficiary IRA ⇒ American Century Equity Income Fund Investor Class (TWEIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ American Funds New World Fund (NFFFX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ Brokerage Sweep Account [BA]	SP	$1,001 - $15,000	Tax-Deferred		
Spouse Beneficiary IRA ⇒ Fidelity Advisor Energy Fund (FANIX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ Janus Henderson Global Equity Income Fund (HFQIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ Laudus Trust - Schwab Select Large Cap Growth Fund (LGILX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ Lord Abbett Short Duration Income Fund (LLDYX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		✓
Spouse Beneficiary IRA ⇒ MFS International Growth Fund Class I (MQGIX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Spouse Beneficiary IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse Beneficiary IRA ⇒ SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse Beneficiary IRA ⇒ T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse Beneficiary IRA ⇒ Touchstone Mid Cap Fund Class Y (TMCPX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Spouse Beneficiary IRA ⇒ Western Asset Core Bond Fund (WATFX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Summit Federal Credit Union savings account [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☐
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Touchstone Mid Cap Fund Class Y (TMCPX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
UBS Bank Sweep Account [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	☐
Western Asset Core Bond Fund (WATFX) [MF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☑

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Allspring Special Small Cap Value Fund Institutional Class (ESPNX) [MF]	JT	09/1/2022	S	$1,001 - $15,000	☐
Allspring Special Small Cap Value Fund Institutional Class (ESPNX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
American Century Equity Income Fund Investor Class	JT	10/21/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
(TWEIX) [MF]					
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	09/6/2022	P	$1,001 - $15,000	
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	09/15/2022	P	$1,001 - $15,000	
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	09/23/2022	P	$1,001 - $15,000	
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	06/6/2022	S (partial)	$1,001 - $15,000	☐
American Century Equity Income Fund Investor Class (TWEIX) [MF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
BlackRock Commodity Strategies Portfolio (BICSX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
BlackRock Commodity Strategies Portfolio (BICSX) [MF]	JT	06/17/2022	S (partial)	$1,001 - $15,000	☐
BlackRock Commodity Strategies Portfolio (BICSX) [MF]	JT	05/10/2022	P	$1,001 - $15,000	
BlackRock Large Cap Srs Fds, BlackRock Event Driven Equity Fd Inst Shs (BILPX) [MF]	JT	09/6/2022	P	$1,001 - $15,000	
BlackRock Large Cap Srs Fds, BlackRock Event Driven Equity Fd Inst Shs (BILPX) [MF]	JT	09/12/2022	P	$1,001 - $15,000	
BlackRock Large Cap Srs Fds, BlackRock Event Driven Equity Fd Inst Shs (BILPX) [MF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
BlackRock Large Cap Srs Fds, BlackRock Event Driven Equity Fd Inst Shs (BILPX) [MF]	JT	05/16/2022	S (partial)	$1,001 - $15,000	☐
Fidelity Advisor Energy Fund (FANIX) [MF]	JT	12/16/2022	P	$1,001 - $15,000	
Fidelity Advisor Energy Fund (FANIX) [MF]	JT	11/14/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Advisor Energy Fund (FANIX) [MF]	JT	10/21/2022	P	$1,001 - $15,000	
Fidelity Advisor Floating Rate High Income Fund (FFRIX) [MF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Fidelity Advisor Floating Rate High Income Fund (FFRIX) [MF]	JT	06/17/2022	S	$1,001 - $15,000	☐
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	12/16/2022	P	$1,001 - $15,000	
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	11/28/2022	P	$1,001 - $15,000	
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	10/21/2022	P	$1,001 - $15,000	
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	JT	09/12/2022	P	$1,001 - $15,000	
iShares Russell 2000 ETF (IWM) [EF]	JT	03/30/2022	S	$1,001 - $15,000	☐
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	12/8/2022	P	$1,001 - $15,000	
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	11/28/2022	P	$1,001 - $15,000	
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	09/6/2022	P	$1,001 - $15,000	
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	09/23/2022	P	$1,001 - $15,000	
Janus Henderson Global Equity Income Fund (HFQIX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	12/16/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	10/6/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	10/21/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	09/6/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	06/6/2022	P	$1,001 - $15,000	
JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
Lord Abbett Short Duration Income Fund (LDLFX) [MF]	JT	06/10/2022	S (partial)	$15,001 - $50,000	☐
Lord Abbett Short Duration Income Fund (LDLFX) [MF]	JT	04/4/2022	P	$15,001 - $50,000	
Lord Abbett Short Duration Income Fund (LLDYX) [MF]	JT	10/21/2022	P	$1,001 - $15,000	
Lord Abbett Short Duration Income Fund (LLDYX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
Lord Abbett Short Duration Income Fund (LLDYX) [MF]	JT	09/22/2022	P	$15,001 - $50,000	
Lord Abbett Short Duration Income Fund (LLDYX) [MF]	JT	06/10/2022	P	$15,001 - $50,000	
MFS International Growth Fund (MQGIX) [MF]	JT	12/8/2022	P	$1,001 - $15,000	
MFS International Growth Fund (MQGIX) [MF]	JT	11/22/2022	P	$1,001 - $15,000	
MFS International Growth Fund (MQGIX) [MF]	JT	11/28/2022	P	$1,001 - $15,000	
MFS International Growth Fund (MQGIX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
MFS International Growth Fund (MQGIX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
PGIM Short-Term Corporate Bond Fund (PIFZX) [MF]	JT	04/4/2022	S	$15,001 - $50,000	☐
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	12/15/2022	P	$1,001 - $15,000	
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	11/22/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	05/10/2022	P	$1,001 - $15,000	
Schwab Select Large Cap Growth Fund (LGILX) [MF]	JT	04/4/2022	S (partial)	$1,001 - $15,000	☐
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	11/14/2022	P	$1,001 - $15,000	
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	09/6/2022	P	$1,001 - $15,000	
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	09/15/2022	P	$1,001 - $15,000	
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	09/23/2022	P	$1,001 - $15,000	
SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	JT	05/16/2022	P	$1,001 - $15,000	
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	10/21/2022	P	$1,001 - $15,000	
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	09/1/2022	P	$1,001 - $15,000	
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	09/12/2022	P	$15,001 - $50,000	
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	06/6/2022	P	$1,001 - $15,000	
SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ Allspring Special Small Cap Value Fund Institutional Class (ESPNX) [MF]	SP	09/2/2022	S	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ Allspring Special Small Cap Value Fund Institutional Class (ESPNX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ American Beacon SiM High Yld Opps Fund (SHOIX) [MF]	SP	04/8/2022	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse Beneficiary IRA ⇒ American Century Equity Income Fund Investor Class (TWEIX) [MF]	SP	12/22/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ American Century Equity Income Fund Investor Class (TWEIX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ American Century Equity Income Fund Investor Class (TWEIX) [MF]	SP	06/23/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ American Funds New World Fund (NFFFX) [MF]	SP	09/2/2022	S (partial)	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ American Funds New World Fund (NFFFX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ BlackRock Commodity Strategies Portfolio (BICSX) [MF]	SP	06/23/2022	S (partial)	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ BlackRock Commodity Strategies Portfolio (BICSX) [MF]	SP	03/9/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ Dodge & Cox Stock Fund - Class I (DODGX) [MF]	SP	07/14/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ Fidelity Advisor Energy Fund (FANIX) [MF]	SP	09/2/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ Fidelity Advisor Floating Rate High Income Fund (FFRIX) [MF]	SP	06/23/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ Frost Total Return Bond Fund (FIJEX) [MF]	SP	06/7/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	09/2/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	07/14/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse Beneficiary IRA ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	03/9/2022	S	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ iShares Russell Mid-Cap ETF (IWR) [EF]	SP	07/14/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ Janus Henderson Global Equity Income Fund (HFQIX) [MF]	SP	07/14/2022	S (partial)	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ John Hancock Funds Strategic Income Opportunities Fund (JIPIX) [MF]	SP	05/13/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	SP	12/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	SP	06/23/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ JPMorgan Large Cap Value Fund I Class (HLQVX) [MF]	SP	05/10/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ Lord Abbett Short Duration Income Fund (LLDYX) [MF]	SP	06/7/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ Lord Abbett Short Duration Income Fund (LLDYX) [MF]	SP	06/23/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ MFS Growth Fund (MFEIX) [MF]	SP	03/9/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ MFS International Growth Fund (MQGIX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ Putnam Diversified Income Trust (PDVYX) [MF]	SP	06/7/2022	S	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ Schwab Select Large Cap Growth Fund (LGILX) [MF]	SP	12/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Spouse Beneficiary IRA ⇒ Schwab Select Large Cap Growth Fund (LGILX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ Schwab Select Large Cap Growth Fund (LGILX) [MF]	SP	05/10/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	SP	09/2/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio S&P 600 Small Cap ETF (SPSM) [EF]	SP	06/23/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	SP	09/2/2022	S (partial)	$15,001 - $50,000	☐
Spouse Beneficiary IRA ⇒ SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	SP	07/14/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	SP	06/6/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ SPDR Portfolio Short Term Treasury ETF (SPTS) [EF]	SP	06/23/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	SP	12/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒ T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	SP	09/2/2022	S (partial)	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	SP	07/14/2022	S (partial)	$1,001 - $15,000	☐
Spouse Beneficiary IRA ⇒ T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	SP	04/21/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ Touchstone Mid Cap Fund (TMCPX) [MF]	SP	07/14/2022	P	$1,001 - $15,000	
Spouse Beneficiary IRA ⇒	SP	09/2/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Western Asset Core Bond Fund (WATFX) [MF]					
Spouse Beneficiary IRA ⇒ Western Asset Core Bond Fund (WATFX) [MF]	SP	07/14/2022	P	$15,001 - $50,000	
Spouse Beneficiary IRA ⇒ Western Asset Core Plus Bond Fund Class I (WACPX) [MF]	SP	07/14/2022	S	$15,001 - $50,000	☐
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	12/16/2022	P	$1,001 - $15,000	
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	12/22/2022	P	$1,001 - $15,000	
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	09/23/2022	P	$1,001 - $15,000	
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	05/10/2022	P	$1,001 - $15,000	
T. Rowe Price Dividend Growth Fund, Inc. (PRDGX) [MF]	JT	05/16/2022	P	$1,001 - $15,000	
Touchstone Mid Cap Fund (TMCPX) [MF]	JT	11/14/2022	P	$1,001 - $15,000	
Touchstone Mid Cap Fund (TMCPX) [MF]	JT	09/15/2022	P	$1,001 - $15,000	
Touchstone Mid Cap Fund (TMCPX) [MF]	JT	09/23/2022	P	$1,001 - $15,000	
Touchstone Mid Cap Fund (TMCPX) [MF]	JT	06/10/2022	S (partial)	$1,001 - $15,000	☐
Western Asset Core Bond Fund (WATFX) [MF]	JT	12/16/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	11/28/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	10/21/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	09/1/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Western Asset Core Bond Fund (WATFX) [MF]	JT	09/6/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	09/12/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	09/22/2022	P	$1,001 - $15,000	
Western Asset Core Bond Fund (WATFX) [MF]	JT	06/10/2022	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	NBT Bank	January 2016	Home mortgage	$100,001 - $250,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Spouse Beneficiary IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
- [ ] Yes
- [x] No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
- [ ] Yes
- [x] No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
- [ ] Yes
- [x] No

Certification and Signature

- [x] I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John M. Katko , 06/2/2023