

**UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT**

FORM B
For New Members, Candidates, and New Employees

OCT - 5 2022

LEGISLATIVE RESOURCE CENTER

2022 OCT 14, AM 11: 07

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: _____ Daytime Telephone: _____

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>CA</u> District: <u>CD 9</u>	<input checked="" type="checkbox"/> Check if Amendment
	<input type="checkbox"/> Candidates - Date of Election: <u>Nov 8 2022</u>		
	<input type="checkbox"/> New Officer or Employee Employing Office: _____	Staff Filer Type (if Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant	Period Covered: January 1, <u>2022</u> to <u>Dec 16, 2022</u>

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? OR</p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE**

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes No

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes No

SCHEDULE C - EARNED INCOME

Name: Thomas Anthony Patti Page 4 of

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
INCOME LIMITS and PROHIBITED INCOME: Be advised that the outside earned income limit and prohibitions on types of income may apply to you after you are on House payroll. The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Date	Preceding Year
<small> ABC Trade Association, Bethesda, MD (Jan. 15) State of Maryland CIA War Resisters (Oct. 2) Ontario County Board of Education </small>	<small> Honorarium Salary Spouse Salary </small>	<small> \$0 \$21,000 N/A </small>	<small> \$500 \$78,000 \$1,000 N/A </small>
Comby of SAN JACQUEN	Salary	100,000	100,000
MIKE TYSON / TRAINING	Salary	0	35,000
DELTA CRANE LLC	Dividend	60,000	0

SCHEDULE D - LIABILITIES

Name: **Thomas Anthony Ricci** Page 5 of

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability												
				A	B	C	D	E	F	G	H	I	J	K		
	Example First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X									
	CENLAR FSB		Equipe Loan - Delta CRANE				X									

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
Owner & President	Delta CRANE LLC
Supervisor Dist 3	County of SAN JOAQUIN

Use additional sheets if more space is required.



Retiresmart



PLAN The start of a new year is a great time to get organized. It's also important to verify your contact information for your retirement plan is current to ensure you don't miss any important communications and updates. Review yours today by visiting the Preferences page on retiresmart.com.

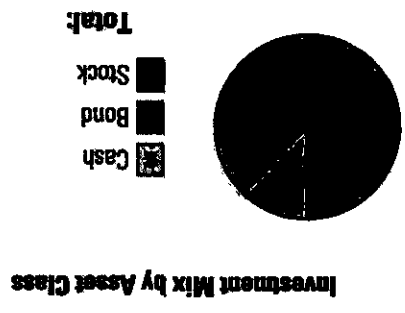


Estimated dollar-weighted rates of return based on cash flow in account. The calculation assumes an evenly distributed cash flow throughout applicable periods. Returns could be distorted by non-periodic transactions and may differ from the investment option performance because of the level and timing of cash flows.

Rate of Return	Year-to-Date
6.04%	17.76%
Beginning Balance	\$159,566.13
Contributions	\$2,000.00
Employer	\$631.15
Withdrawals	\$0.00
Expenses	-\$37.29
Gain/Loss	\$9,721.61
Ending Balance	\$171,901.60
Vested Balance	\$171,901.60
Change this Period	\$12,315.47

Account Summary

DC Plan of the County of San Joaquin
Retirement Plan Statement



Balances are rounded. Investments with less than 1% are not shown.

account number

10/01/2021 - 12/31/2021
Birth Year: 1963

Contact Information
For additional information:
1-800-743-5274
www.retiresmart.com

MASSMutual Financial Group, Retirement Services
COUNTY OF SAN JOAQUIN
RETIREMENT SERVICES
P.O. BOX 21962
KANSAS CITY, MO 64121
THOMAS ANTHONY PATTI

Keeping your savings safer together



Empower Retirement offers a Security Guarantee as part of our commitment to your account safety. You can also help keep your account secure by following these simple steps:

1 Register your account (if you haven't already)

If you don't register your account, it's easier for a fraudster to pretend to be you.

2 Choose a strong username and password

A username and password unique to your savings plan is the only way to go.

3 Let us know where to find you

Keep your email and phone number updated for possible security alerts.

5 Stay current on recommended security practices

Visit our Security Center at retiresmart.com to read the latest information.

Using MFA on your cell phone is one of the best ways to keep fraudsters out of your accounts.

4 Always use multi-factor authentication (MFA) and never disable it



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Beneficiary(ies)

TPA Trust

Activity Summary by Source

Ending Balance	Gain/Loss	Expenses	Withdrawals	Deposits	Beginning Balance	Deferred Salary	TOTAL PARTICIPANT
\$135,027.14	\$7,631.40	\$0.00	\$0.00	\$2,000.00	\$125,395.74	\$125,395.74	PARTICIPANT
\$135,027.14	\$7,631.40	\$0.00	\$0.00	\$2,000.00	\$125,395.74	\$125,395.74	TOTAL PARTICIPANT

Percentage
 100%

Activity Summary by Source (continued)

	Beginning Balance	Deposits	Withdrawals	Expenses	Gain/Loss	Ending Balance
EMPLOYER						
Employer Addition	\$34,190.39	\$631.15	\$0.00	-\$37.29	\$2,090.21	\$36,874.46
TOTAL EMPLOYER	\$34,190.39	\$631.15	\$0.00	-\$37.29	\$2,090.21	\$36,874.46
TOTAL ALL SOURCES	\$159,566.13	\$2,631.15	\$0.00	-\$37.29	\$9,721.61	\$171,901.60

Contribution Summary by Source

Pay Period: 10/01/2021 - 10/29/2021

	This Period	Year-to-Date	Vested Balance	Vested Percent
PARTICIPANT				
Deferred Salary	\$2,000.00	\$21,000.00	\$135,027.14	100%
TOTAL PARTICIPANT	\$2,000.00	\$21,000.00	\$135,027.14	100%
EMPLOYER				
Employer Addition	\$631.15	\$5,000.00	\$36,874.46	100%
TOTAL EMPLOYER	\$631.15	\$5,000.00	\$36,874.46	100%
TOTAL CONTRIBUTIONS	\$2,631.15	\$26,000.00	\$171,901.60	

Expense Detail

Asset Charge Amount
-\$37.29

In addition to the fees and expenses disclosed on the quarterly statement, some of the plan's administrative expenses for the preceding quarter were paid from the total annual operating expenses of one or more of the plan's designated investment alternatives (e.g. through revenue sharing arrangements, Rule 12b-1 fees, sub transfer agent fees).

Activity Summary by Investment

	Beginning Balance	Deposits	Withdrawals	Expenses	Transfers	Gain/Loss	Ending Balance	% of Assets
CASH								
Guaranteed Interest Account	\$2,436.77	\$52.63	\$0.00	-\$0.55	\$0.00	\$13.35	\$2,502.20	1%
TOTAL CASH	\$2,436.77	\$52.63	\$0.00	-\$0.55	\$0.00	\$13.35	\$2,502.20	1%
BOND								
Metropolitan West Tl Rtn Bd Fd	\$5,081.64	\$105.26	\$0.00	-\$1.15	\$0.00	-\$6.47	\$5,179.28	3%
OFA Int-Prot Secs Fd	\$6,539.11	\$131.56	\$0.00	-\$1.52	\$0.00	\$262.00	\$6,931.15	4%
Vanguard Totl Bnd Mktk Indx d	\$6,233.41	\$131.56	\$0.00	-\$1.41	\$0.00	-\$6.48	\$6,357.08	4%
TOTAL BOND	\$17,854.16	\$368.38	\$0.00	-\$4.08	\$0.00	\$249.05	\$18,467.51	11%
STOCK								
Vanguard Equity-Income Fund	\$12,189.08	\$210.49	\$0.00	-\$2.82	\$0.00	\$1,082.64	\$13,479.39	8%

Investment Performance as of 12/31/2021 (continued)

10 Years or Since Inception	5 Years	3 Years	1 Year	Future Contribution Investment Selection	Balance	Source Group 1
25.92%	N/A	N/A	18.52%			
12.04%	13.28%	18.84%	9.74%	18.05%	18.05%	
9.32%	9.64%	13.32%	18.84%	9.74%	18.05%	
7.43%	8.08%	11.19%	8.01%	8.01%	8.01%	
10.19%	10.55%	14.39%	10.60%	10.60%	10.60%	
8.13%	8.60%	11.80%	8.77%	8.77%	8.77%	
11.13%	11.61%	15.87%	12.04%	12.04%	12.04%	
9.02%	9.66%	13.39%	10.68%	10.68%	10.68%	
12.07%	12.65%	17.39%	13.75%	13.75%	13.75%	
9.83%	10.62%	14.90%	12.62%	12.62%	12.62%	
12.81%	13.52%	18.68%	15.28%	15.28%	15.28%	
10.62%	11.67%	16.56%	14.90%	14.90%	14.90%	
13.48%	14.28%	19.82%	16.58%	16.58%	16.58%	
11.19%	12.39%	17.69%	16.55%	16.55%	16.55%	
13.79%	14.68%	20.51%	17.49%	17.49%	17.49%	
11.55%	12.81%	18.33%	17.53%	17.53%	17.53%	

ASSET ALLOCATION/LIFECYCLE
 T. Rowe Price Return 2065 Fd
 Expense Ratio as of 10/01/2020: Gross .46%, Net .46%
 Inception Date: 11/02/2020
 Benchmark: S&P Target Date 2060 TR
 T. Rowe Price Return 2015 Fd
 Expense Ratio as of 10/01/2020: Gross .36%, Net .36%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2015 TR
 T. Rowe Price Return 2020 Fd
 Expense Ratio as of 10/01/2020: Gross .37%, Net .37%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2020 TR
 T. Rowe Price Return 2025 Fd
 Expense Ratio as of 10/01/2020: Gross .39%, Net .39%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2025 TR
 T. Rowe Price Return 2030 Fd
 Expense Ratio as of 10/01/2020: Gross .41%, Net .41%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2030 TR
 T. Rowe Price Return 2035 Fd
 Expense Ratio as of 10/01/2020: Gross .42%, Net .42%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2035 TR
 T. Rowe Price Return 2040 Fd
 Expense Ratio as of 10/01/2020: Gross .43%, Net .43%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2040 TR
 T. Rowe Price Return 2045 Fd
 Expense Ratio as of 10/01/2020: Gross .44%, Net .44%
 Inception Date: 10/01/2015
 Benchmark: S&P Target Date 2045 TR

Investment Performance as of 12/31/2021 (continued)

10 Years or Since Inception	5 Years	3 Years	1 Year	Future Contribution Investment Selection	Source Group 1	Balance
13.82%	14.71%	20.56%	17.54%			
11.83%	13.07%	18.65%	18.01%			
13.80%	14.71%	20.54%	17.57%			
12.00%	13.19%	18.77%	18.20%			
13.77%	14.72%	20.56%	17.55%			
12.04%	13.28%	18.84%	18.05%			

1 Contributions may not be invested in this investment option.

2 Transfers into this investment option are not allowed.

2 Transfers out of this investment option are not allowed.

Performance shown since inception date for options that do not have full time periods. Inception date information may vary by investment option. Consult the applicable prospectus or fact sheet for additional details.

If you are invested in the Self-Directed Brokerage Account, additional information regarding your account will be provided to you in a separate report at least quarterly.

Performance shown is historical and does not guarantee future results. Current performance may be higher or lower than that shown. For investment options other than the guaranteed or fixed interest account, investment return and principal values fluctuate so that your value at distribution may be more or less than the amount invested. There are no assurances investment objectives will be achieved. Performance shown is net of investment management fees and other investment fees and expenses and includes reinvestment of dividends and capital gains distributions, if any. Contract or plan charges, if applicable, have not been deducted from performance. Returns will be lower if they are deducted.

Money in the guaranteed or fixed interest account is subject to market value adjustment if your plan's contract is terminated (in whole or part) prior to distribution, in which case your account balance may increase or decrease.

Indexes and benchmarks are not actively managed, do not incur fees or expenses and are not available for direct investment.

For Current Performance (including most recent month end) or information on Investment Selections please go to www.retiresmart.com.

Investment Performance as of 12/31/2021 (continued)

Please consider an investment option's objectives, risks, fees and expenses carefully before investing. This and other information about the investment option can be found in the applicable prospectuses or summary prospectuses, if any, or fact sheets for the investment options listed, which are available from your plan sponsor, the participant web site at www.retiresmart.com, or by contacting our Participant Information Center at 1-800-743-5274 between 8:00 a.m. and 8:00 p.m. ET, Monday through Friday. Please read them carefully before investing.

Important Information

Please review the information contained in this statement. Call 1-800-743-5274 and notify Empower Retirement in writing within 30 days if you think any of the data is incorrect. Empower is not responsible for losses that arise due to changes in the market or if you do not contact us in a timely manner.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk. In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Diversification does not assure a profit and does not protect against loss in a declining market.

For more information regarding individual investing and diversification, please visit the Department of Labor website at www.dol.gov/agencies/ebsa/laws-and-regulations/laws/pension-protection-act/investing-and-diversification. Excessive Trading: Your plan is subject to controls on excessive trading which generally prohibit you from transferring in to most investment options if you have transferred in and out of the option within the previous 60 days. Certain stable value investment options are not subject to this restriction. A copy of the complete excessive trading control policy applicable to your plan is provided annually with your account statement and is also available on Empower Retirement's website, RetireSmart™, at www.retiresmart.com. Life Insurance: If applicable, the cash value of your life insurance policy(ies) will be provided to you periodically in a separate report from your plan sponsor if the values have not been provided to Empower Retirement for reporting on this statement.

Accrued Benefit (vesting) Your account statement indicates the amount of your accrued benefit and, in most cases, the portion of your benefit which is vested (nonforfeitable). If your statement does not indicate the portion of your benefit which is vested or if the vested amount shown on your statement is zero, please refer to the vesting provisions in your Summary Plan Description or consult with your Plan Administrator for that information. Your plan may also impose trading restrictions with respect to self-directed brokerage accounts, employer securities (company stock) and/or the frequency of transaction requests in general. For information on any such restrictions applicable to your plan, please see your Summary Plan Description or contact your Plan Administrator.