Filing ID #10053986

Financial Disclosure Report

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Filer Information

Name: Hon. Nicole Malliotakis
Status: Member
State/District: NY11

Filing Information

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 08/11/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AT&T Inc. (T) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	✓
Bank of America Corporation (BAC) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	
Boeing Company (BA) [ST]		$15,001 - $50,000	None		
Caterpillar, Inc. (CAT) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	
Chase Bank Account [BA]		$250,001 - $500,000	Interest	$1 - $200	
Columbia Floating Rate [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	
Con Edison Thrift Savings Account ⇒ Vanguard Primecap Fund Admiral [OT] Description: Vanguard Primecap Fund admiral		$100,001 - $250,000	Tax-Deferred		
Consolidated Edison, Inc. (ED) [ST] Description: My dividends are automatically reinvested but there was no single transaction over $1,000.		$15,001 - $50,000	Dividends	$1,001 - $2,500	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Dollar General Corporation (DG) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Exxon Mobil Corporation (XOM) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Franklin Dynatech [MF]		$50,001 - $100,000	None		☐
General Electric Company (GE) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☑
Good year tire & rubber Co Sr Note [CS] DESCRIPTION: Interest accrued was less than $1000		$1,001 - $15,000	Interest	$201 - $1,000	☑
Icahn Enterprises LP [CS] DESCRIPTION: Note		$1,001 - $15,000	Interest	$201 - $1,000	☐
Janney Insured Sweep [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
Johnson & Johnson (JNJ) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Mainstay Mackay High Yield Corp Bond [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Mastercard Incorporated (MA) [ST]		$15,001 - $50,000	Dividends	$1 - $200	☐
New York State and Local Retirement System [PE]		Undetermined	None		☐
Pfizer, Inc. (PFE) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Pitney Bowes [CS] DESCRIPTION: Bond COMMENTS: This bond matured by end of reporting period.		None	Interest	$201 - $1,000	☐
Procter & Gamble Company (PG) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Roth IRA ⇒ Consumer Discretionary Select Sector [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ ISHARES 20+ year Treasury Bond [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Roth IRA ⇒ ISHARES 3-7yr Treasury Bond [EF]		$1,001 - $15,000	Interest	$1 - $200	☑
Roth IRA ⇒ ISHARES Core MSCI Europe [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ ISHARES Treasury Floating Rate Bond [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ Janney Advisory Retirement Insured Sweep [IH]		$1,001 - $15,000	Interest	$1 - $200	☐
Roth IRA ⇒ Sector Consumer Staples Select Sector Spdr [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ Sector Energy Select Sector SPDR [EF] COMMENTS: This asset was sold and i reported that transaction on Schedule B		None	Capital Gains, Dividends	$201 - $1,000	☑
Roth IRA ⇒ Sector Financial Select SPDR [EF] COMMENTS: This asset was sold and i reported that transaction on Schedule B		None	Capital Gains, Dividends	$201 - $1,000	☑
Roth IRA ⇒ Sector Healthcare Select Sector SPDR [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Roth IRA ⇒ Sector Industrial Select SPDR [EF]		None	Capital Gains	$201 - $1,000	☑
Roth IRA ⇒ Sector Technology Select Sector SPDR [EF]		$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☐
Roth IRA ⇒ Sector Utilities Select Sector SPDR [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ Select Sector Communication Services [EF]		$1,001 - $15,000	Dividends	$1 - $200	☑
Roth IRA ⇒ Vanguard FTSE All World EX US [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Roth IRA ⇒ Vanguard FTSE Pacific [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Roth IRA ⇒ Vanguard Intermediate Term Corp Bond [EF]		$1,001 - $15,000	Interest	$201 - $1,000	✓
Roth IRA ⇒ Vanguard Long Term Corp Bond [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	✓
Verizon Communications Inc. (VZ) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	
Visa Inc. (V) [ST]		$15,001 - $50,000	Dividends	$1 - $200	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
AT&T Inc. (T) [ST]		01/6/2022	P	$1,001 - $15,000	
General Electric Company (GE) [ST]		01/6/2022	S (partial)	$1,001 - $15,000	☐
Goodyear Tire & Rubber Co. SR NOTE [CS] Description: 5.950%		03/7/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Consumer Discretionary Select Sector SPDR [EF]		03/9/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES 0-5 YR High Yield Corp Bond [EF]		03/23/2023	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES 0-5 YR High Yield Corp Bond [EF]		06/30/2022	S	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES 20+ YR Treasury [EF]		09/9/2022	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES 20+ YR Treasury Bond [EF]		06/6/2023	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES 3-7 Yr Treasury Bond [EF]		09/9/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Roth IRA ⇒ ISHARES 3-7 YR Treasury Bond [EF]		03/23/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES 3-7 YR Treasury Bond [EF]		06/30/2022	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES Core MSCI Europe [EF]		06/30/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES Expanded Tech Sector [EF]		03/9/2022	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES Expanded Tech Sector [EF]		11/2/2022	S	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES EXPANDED TECH SECTOR [EF]		09/9/2023	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ ISHARES Treasury Floating Rate Bond [EF]		09/9/2022	P	$1,001 - $15,000	
Roth IRA ⇒ ISHARES US Financial Services [EF]		05/19/2022	S	$1,001 - $15,000	☐
Roth IRA ⇒ Sector Consumer Staples Select Sector SPDR [EF]		09/9/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Sector Energy Select Sector SPDR [EF]		08/16/2022	S (partial)	$1,001 - $15,000	☑
Roth IRA ⇒ Sector Financial Select Sector SPDR [EF]		05/19/2022	S	$1,001 - $15,000	☑
Roth IRA ⇒ Sector Financial Select Sector SPDR [EF]		06/30/2022	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: I know longer hold this ETF....it was sold over the courser of three transactions, two of which were for over $1,000 and are reported here.The third was less than $1,00 in value.					
Roth IRA ⇒ Sector Financial Select Sector SPDR [EF]		05/19/2022	S (partial)	$1,001 - $15,000	☐
DESCRIPTION: I no longer held this ETF at the end of the reporting period....it was sold over the courser of three transactions, two of which were for over $1,000 and are reported here.The third was less than $1,00 in value.					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Roth IRA ⇒ Sector Healthcare Select Sector SPDR [EF]		03/9/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Sector Healthcare Select Sector SPDR [EF]		06/30/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Sector Industrial Select Sector SPDR [EF]		03/9/2022	S	$1,001 - $15,000	☑
Roth IRA ⇒ Sector Technology Select Sector SPDR [EF]		05/19/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Sector Technology Select Sector SPDR [EF]		08/16/2022	S (partial)	$1,001 - $15,000	☑
Roth IRA ⇒ Select Sector Communication Services Select Sector [EF]		09/9/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ Select Sector Communication Services Select Sector SPDR [EF]		05/19/2023	P	$1,001 - $15,000	
Roth IRA ⇒ Select Utilities Select Sector SPDR [EF]		06/30/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Select Utilities Select Sector SPDR [EF]		08/16/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Select Utilities Select Sector SPDR [EF]		11/2/2022	P	$1,001 - $15,000	
Roth IRA ⇒ SPDR Portfolio Short Term Corp Bond [EF]		06/6/2022	S	$1,001 - $15,000	☐
Roth IRA ⇒ SPDR Portfolio Short Term Corp Bond [EF]		09/9/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ Vanguard FTSE Pacific [EF]		06/30/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Vanguard Intermediate Term Corp [EF]		06/30/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Roth IRA ⇒ Vanguard Intermediate Term Corp Bond [EF]		03/23/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ Vanguard Intermediate Term Corp Bond [EF]		09/9/2022	S (partial)	$1,001 - $15,000	☐
Roth IRA ⇒ Vanguard Long Term Corp Bond [EF]		03/23/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Vanguard Long Term Corp Bond [EF]		06/6/2023	P	$1,001 - $15,000	
Roth IRA ⇒ Vanguard Long Term Corp Bond [EF]		06/30/2022	P	$1,001 - $15,000	
Roth IRA ⇒ Vanguard Long Term Corp Bond [EF]		09/9/2022	S (partial)	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

Position	Name of Organization
Member	Richmond County Republican Committee

Schedule F: Agreements

Date	Parties To	Terms of Agreement
2006	Nicole Malliotakis and Consolidated Edison Inc.	Thrift Savings Plan
2002	Nicole Malliotakis and State of New York	Employee Pension Plan

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Con Edison Thrift Savings Account
- Roth IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nicole Malliotakis , 08/11/2023