



FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Katherine Porter
Status: Member
State/District: CA47

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 05/11/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|----------------|-------------|--------------------------|
| Ameritas Life Insurance Variable Life Insurance ⇒ Calvert NASDAQ 100 Index Fund [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ameritas Life Insurance Variable Life Insurance ⇒ Calvert S&P 500 Index Fund [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Contrafund [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Equity Income Fund [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Growth [WU] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Bankers Trust Checking Account [BA] [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| College Savings 529 Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings 529 Dependent Child 3 ⇒ Vanguard Total Bond Market II Index Fund [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |

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|---|-------|---------------------|----------------|-----------------|--------------------------|
| College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Bond Index Fund [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Stock Index Fund [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 2 ⇒ Bond Index Portfolio [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 2 ⇒ Growth Portfolio [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 2 ⇒ Income Portfolio [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 2 ⇒ Total Domestic Stock Index Portfolio [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| College Savings Iowa 529 Dependent Child 2 ⇒ Vanguard Total International Stock Index Fund [MF] | DC | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| I Swear Book Agreement [IP] | | Undetermined | Profit share | Not Applicable | <input type="checkbox"/> |
| DESCRIPTION: I Swear book published 4/11/2023; agreement signed 2022 | | | | | |
| IRA ⇒ Bank of the Ozarks (OZRK) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Stock held in brokerage account for IRA. Dividends reinvested in stock. | | | | | |
| IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [IH] | | \$15,001 - \$50,000 | Dividends | None | <input type="checkbox"/> |
| DESCRIPTION: Individual stock held in brokerage account as part of IRA | | | | | |
| IRA ⇒ Capital One Financial Corporation (COF) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Individual security held in brokerage account in IRA | | | | | |
| IRA ⇒ | | \$15,001 - \$50,000 | Dividends | \$201 - | <input type="checkbox"/> |

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|---|-------|-----------------------|-------------------|-------------|--------------------------|
| Caterpillar, Inc. (CAT) [ST] DESCRIPTION: Individual security held as part of brokerage account in IRA | | | | \$1,000 | <input type="checkbox"/> |
| IRA ⇒ CRE Global Equities R1 [MF] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| IRA ⇒ FDIC Insured Bank Deposit [IH] DESCRIPTION: Cash held in IRA | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| IRA ⇒ Heartland Express, Inc. (HTLD) [ST] DESCRIPTION: Individual security held in brokerage account as part of IRA | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| IRA ⇒ Tiaa-Cref Small Cap Bl Index Retirement [MF] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Pacific Life TSA/403b ⇒ Optimal Aggressive Growth Fund [OT] DESCRIPTION: Optimal Aggressive Growth Fund | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Pennsylvania 529 Dependent Child 1 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA | DC | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Pennsylvania 529 for Dependent Child 2 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA | DC | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Pennsylvania 529 for Dependent Child 3 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA | DC | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Roth IRA ⇒ FDIC insured Bank Deposit [PE] | | \$1 - \$1,000 | Interest | None | <input type="checkbox"/> |
| Roth IRA ⇒ Pepsico, Inc. (PEP) [ST] DESCRIPTION: Stock held in Roth IRA | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ REMS Real Estate Value Opportunity Fund Institutional [MF] | | \$1 - \$1,000 | Dividends | None | <input type="checkbox"/> |
| Royalty payments on previously published books [IP] | | Undetermined | Royalties to book | \$1 - \$200 | <input type="checkbox"/> |

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|---|-------|-----------------------|----------------|--------|--------------------------|
| DESCRIPTION: Royalty payments from previously published books | | | author | | <input type="checkbox"/> |
| SEP (self-employed) IRA ⇒ TIAA-Cref Lifecycle 2040--Retirement [PE] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ NSHE Defined Contribution Retirement - Vanguard 2040 [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Bond Market [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Equity Index [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Stock Fund [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ TIAA Real Estate [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Bond Market [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Global Equities [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Inflation-Linked Bond [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF Stock [PE] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF-Equity Index [PE] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |

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|---|--------------|-----------------------|-----------------------|---------------|--------------------------|
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA Real Estate Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref International Equity Fund [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Growth Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Value Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Real Estate Securities Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Traditional [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Vanguard Institutional Index Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC Bond [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC Domestic Equity Index Fund [PE] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC Emerging Markets Equity [PE] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC International Equity Index [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC Pathway 2035 Fund [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ UC Savings Fund [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

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|---|-------|--------------------|----------------|--------|--------------------------|
| University of California Retirement Program ⇒ UC TIPS Fund [PE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ University of CA 403(b) plan [PE] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| University of California Retirement Program ⇒ University of California Retirement Plan [PE] | | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: UC required pension | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------|---------------|-------------------------------|-----------------------|
| | UCOP Mortgage | July 2011 | Mortgage on primary residence | \$250,001 - \$500,000 |
| | Elizabeth Porter | 2014 | Personal loan secured by home | \$50,001 - \$100,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|------------------|---------------------------------|
| Professor of Law | University of California Irvine |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|--|---|
| January 2022 | University of California Irvine and Katie Porter | Unpaid leave pursuant to University of California written policy for two years. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameritas Life Insurance Variable Life Insurance
- College Savings 529 Dependent Child 3 (Owner: DC)
LOCATION: IA
- College Savings Iowa 529 Dependent Child 1 (Owner: DC)
LOCATION: IA
- College Savings Iowa 529 Dependent Child 2 (Owner: DC)
LOCATION: IA
- IRA
- Pacific Life TSA/403b
- Pennsylvania 529 Dependent Child 1 (Owner: DC)
LOCATION: PA
- Pennsylvania 529 for Dependent Child 2 (Owner: DC)
LOCATION: PA
- Pennsylvania 529 for Dependent Child 3 (Owner: DC)
LOCATION: PA
- Roth IRA
- SEP (self-employed) IRA
- TIAA-Cref retirement plans
- TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan
- TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan
- University of California Retirement Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Katherine Porter , 05/11/2023