



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Dean Phillips  
**Status:** Member  
**State/District:** MN03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 08/12/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- 100 University Family Limited Partnership ⇒ Artwork [CO]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Collection of paintings, photographs, prints & sculptures.					
- 100 University Family Limited Partnership ⇒ Commercial Property [RP]		None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US					
- 100 University Family Limited Partnership ⇒ Baird Brokerage ⇒ Baird - Cash [BA]		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Money Market					
- Cash Accounts ⇒ Cash in JP Morgan checking accounts [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
- Cash Accounts ⇒ Cash in JP Morgan investment accounts [BA]		\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
- Cash Accounts ⇒ Cash in U.S. Bank checking accounts [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Fialko, LLC ⇒		\$1,001 - \$15,000	Partnership	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AIF VI Private Investors LLC [HE]			Income	\$15,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ AP Europe VII (APAX) Private Investors Onshore LLC [HE]		\$1,001 - \$15,000	Partnership Income	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ Carlyle Asia Partners II Private Investors LLC [HE]		\$1,001 - \$15,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ Cash in JP Morgan checking account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
- Fialko, LLC ⇒ Cash in JP Morgan investment account [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
- Fialko, LLC ⇒ Highbridge Mezzanine Partners, LP [HE]		\$15,001 - \$50,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ JP Morgan Alternative Property Fund Domestic 1 LP [HE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ JP Morgan India Property Fund, LP [HE]		\$1,001 - \$15,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ JPM Prime MM Fd - Instl Fund 829 1.18% (JINXX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Fialko, LLC ⇒ KKR 2006 Private Investors, LLC (Onshore) [HE]		\$15,001 - \$50,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: EIF					
- Fialko, LLC ⇒ Outsell, Inc. [OL]		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US					
DESCRIPTION: Developer of consumer engagement technologies for the automotive industry.					
- Fialko, LLC ⇒ Penny's Coffee LLC [OL]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Coffee shops.					
- Fialko, LLC ⇒ <b>Rational Energies LLC [OL]</b>		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Plymouth/Hennepin, MN, US DESCRIPTION: Developer of technologies to convert municipal waste flows into sustainable sources of green energy.					
- Phillips Family Properties, LLC ⇒ <b>Cash in JP Morgan checking account [BA]</b>		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
- Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership ⇒ <b>Artwork [OL]</b>		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Collection of paintings, photographs, prints & sculptures.					
- Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership ⇒ <b>Commercial Property [OL]</b>		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Commercial Property					
- Privately-Held Business Entities ⇒ <b>Geniecast, LLC [OL]</b>		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: St.Louis/St. Louis, MO, US DESCRIPTION: Developer of a live, two-way video conferencing portal connecting people, ideas and inspiration.					
- Privately-Held Business Entities ⇒ <b>MyMeds, Inc. [OL]</b>		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: C-Corp - MyMeds, Inc. is a developer of solutions to address the human and economic costs of medication non-adherence.					
- Privately-Held Business Entities ⇒ <b>Penny's Coffee LLC [OL]</b>		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Minneapolis/Hennepin, MN, US DESCRIPTION: Coffee shops.					
- Privately-Held Business Entities ⇒ <b>Rational Energies LLC [OL]</b>		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Plymouth / Hennepin, MN, US DESCRIPTION: Developer of technologies to convert municipal waste flows into sustainable sources of green energy.					
- Retirement Account 1 ⇒ <b>Fidelity Freedom K 2035 Fund (FSNUX) [MF]</b>		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: EIF					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Retirement Account 2 ⇒ Fidelity Target Date 2035 Fund [MF] DESCRIPTION: EIF		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
- Trust 1 ⇒ Amer Funds T/E BND Am-F3 (TFEBX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ Arch Capital Group Ltd. (ACGL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Blackrock High Yield PT - BLAC (BRHYX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Booking Holdings Inc. (BKNG) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Cash in JP Morgan investment account [BA]		\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Charles Schwab Corporation (SCHW) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Chubb Limited (CB) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Dollar General Corporation (DG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Dollar Tree, Inc. (DLTR) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Emerson Electric Company (EMR) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
- Trust 1 ⇒		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Expeditors International of Washington, Inc. (EXPD) [ST]					<input type="checkbox"/>
- Trust 1 ⇒ Fidelity 500 Index (FXAIX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Fidelity International Index (FSPSX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Fresenius Medical Care AG (FMS) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Honeywell International Inc. (HON) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares 3-7 Year Treasury Bond (IEL) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ iShares Core MSCI Emerging Markets Index (IEMG) [EF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ Ishares MSCI ACWI Index Fund (ACWI) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Ishares MSCI EAFE Index Fund (EFA) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Ishares MSCI Japan New (EWJ) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Ishares Russell 1000 Growth (IWF) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares S&P 500 Growth Index (IVW) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ iShares S&P 500 Value Index (IVE) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ JPM Tax Free MMkt Inst Sweep Fd [BA]		\$1,000,001 - \$5,000,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
- Trust 1 ⇒ JPMorgan Betabuilders Canada ETF (BBCA) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan Betabuilders Developed Asia Ex-Japan ETF (BBAX) [EF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan Betabuilders Europe ETF (BBEU) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ JPMorgan Betabuilders Japan ETF (BBJP) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
- Trust 1 ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Masco Corporation (MAS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Matthews Pacific Tiger - Inst (MIPTX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 1 ⇒ MFS Intl Value - R6 (MINJX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Micron Technology, Inc. (MU) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Northern Trust Corporation (NTRS) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Omnicom Group Inc. (OMC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ PACCAR Inc. (PCAR) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
- Trust 1 ⇒ PGIM High Yield-Q (PHYQX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
- Trust 1 ⇒ PIMCO High Yield Fund-Inst (PHIYX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
- Trust 1 ⇒ PPG Industries, Inc. (PPG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Progressive Corporation (PGR) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Promissory Note - Dean B. Phillips [DO]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Quest Diagnostics Incorporated (DGX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Schlumberger N.V. (SLB) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Trust 1 ⇒ Six Circles International Unconstrained Equity Fund (CIUEX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Six Circles Tax Aware Bond (CBTAX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ Six Circles U.S. Unconstrained Equity Fund (CUSUX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
- Trust 1 ⇒ Smith & Nephew SNATS, Inc. (SNN) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Sony Corporation (SNE) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
- Trust 1 ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 1 ⇒ TJX Companies, Inc. (TJX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
- Trust 1 ⇒ Unilever PLC (UL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
- Trust 1 ⇒ Vanguard Intm Trm T/E ADM (VWIUX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
- Trust 2 ⇒ Cash in JP Morgan investment account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Trust 2 ⇒ Ishares MSCI ACWI Index Fund (ACWI) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
- Trust 2 ⇒ JPMorgan Investor Grow Fd - Cl I (ONIFX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
- Trust 6 ⇒ Cash in JP Morgan investment account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
- Trust 6 ⇒ North American Life Insurance Policy [WU] DESCRIPTION: Universal Life Insurance Policy		\$250,001 - \$500,000	None		<input type="checkbox"/>
- Trust 7 ⇒ John Hancock Life Insurance Policy [WU] DESCRIPTION: Universal Life Insurance Policy		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
American United Life Insurance Company - Policy 1 [WU] DESCRIPTION: Whole Life Insurance Policy		\$15,001 - \$50,000	None		<input type="checkbox"/>
American United Life Insurance Company - Policy 2 [WU] DESCRIPTION: Whole Life Insurance Policy		\$15,001 - \$50,000	None		<input type="checkbox"/>
Apollo EPF III Private Investors, LLC (Onshore) [HE] DESCRIPTION: EIF		\$500,001 - \$1,000,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
Benefit Street Partners IV LLC (Providence Onshore) [HE] DESCRIPTION: EIF		\$500,001 - \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>



<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Blackstone Partners Investment Fund LP Class B [HE] DESCRIPTION: EIF - Transferred from Trust 1		\$250,001 - \$500,000	None		<input type="checkbox"/>
BP Midstream Partners LP Common Units representing Limited Partner Interests (BPMP) [ST] DESCRIPTION: Asset sold in 2018; inadvertently reported in CY 2019-2021.		None	None		<input type="checkbox"/>
Carlyle Asia Partners II Private Investors LLC [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
CD&R Fund X Private Investors, Cayman LP [HE] DESCRIPTION: EIF		\$1,000,001 - \$5,000,000	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Cerberus VI Private Investors, LLC [HE] DESCRIPTION: EIF		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Corbin Capital Partners - Pinehurst Partners LP [HE] DESCRIPTION: EIF - Transferred from Trust 1		\$250,001 - \$500,000	None		<input type="checkbox"/>
Cushing MLP Opportunity Fund 1 LP [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
E-T Energy Ltd. [HE] DESCRIPTION: EIF - Side pocket, distributed from Cushing MLP Opportunity Fund 1-LP		\$1,001 - \$15,000	None		<input type="checkbox"/>
GIF IV Private Investors Onshore LLC Class A [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	None		<input type="checkbox"/>
GSO Private Investors II, LLC Class B [HE] DESCRIPTION: EIF		\$15,001 - \$50,000	Partnership Income	\$2,501 - \$5,000	<input type="checkbox"/>
Highbridge Mezzanine Partners LP [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
HPS Mezzanine Private Investors II LLC [HE] DESCRIPTION: EIF		\$1,001 - \$15,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
HPS Mezzanine Private Investors III LLC Onshore [HE] DESCRIPTION: EIF		\$500,001 - \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
JP Morgan [BA] DESCRIPTION: Checking & Savings	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
MPLX LP Common Units Representing Limited Partner Interests (MPLX) [ST] DESCRIPTION: Asset sold in 2018; inadvertently reported in CY 2019-2021.		None	None		<input type="checkbox"/>
Optum Bank - HSA Account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
PEG Secondary Private Equity Investors III LP [HE] DESCRIPTION: EIF		\$100,001 - \$250,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
Phillips Adam Smith Partners, LLC (f/k/a Adam Smith Fund, LLC) [OL] LOCATION: Minnetonka/Hennepin, MN, US DESCRIPTION: Private equity investment fund. Fund manager letter on file with the Committee on Ethics.		\$1,001 - \$15,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Starwood SOF XI Private Investors [HE] DESCRIPTION: EIF		\$500,001 - \$1,000,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
The Phillips Qualified Blind Trust [EQ]		\$5,000,001 - \$25,000,000	QBT Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
US Bank - Checking [BA]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
- 100 University Family Limited Partnership ⇒ Commercial Property [RP] LOCATION: Minneapolis, MN, US		08/17/2022	S	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
- Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership ⇒ Commercial Property [RP] LOCATION: Minneapolis, MN, US		08/17/2022	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
- Privately-Held Business Entities ⇒ Spark Boulder 24, LLC [OL] LOCATION: Boulder/Boulder, CO, US DESCRIPTION: LEED Platinum Neighborhood Development Community in Boulder, Colorado.		12/30/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
- Trust 2 ⇒ JPMorgan Investor Grow Fd - Cl I (ONIFX) [MF]		12/15/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan	January 2020	Mortgage on personal residence in Washington, DC	\$1,000,001 - \$5,000,000
	Edward J. Phillips Irrevocable GST FBO Dean Benson Phillips, u/a/d Dec. 29, 1992	June 2022	Promissory Note	\$500,001 - \$1,000,000
	COMMENTS: Reported as Trust 1 on Schedule A			

## SCHEDULE E: POSITIONS

Position	Name of Organization
Advisory Council	Humphrey School of Public Affairs
Co-Chair	The Jay & Rose Phillips Family Foundation of Minnesota
Chairman, Co-Founder & Member	Penny's Coffee LLC
Limited Partner	100 University Family Limited Partnership
Governor, President, Treasurer, Secretary, & Chief Manager	Phillips Family Properties, LLC

Position	Name of Organization
Chief Manager, Vice President, & Treasurer	Fialko, LLC
President, Secretary, Treasurer, Governor, Member, & Tax Matters Member	DHJ LLC
COMMENTS: Entity dissolved 1/4/2023	
Member	Geniecast, LLC

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- o - 100 University Family Limited Partnership  
LOCATION: Minneapolis/Hennepin, MN, US
- o - 100 University Family Limited Partnership ⇒ Baird Brokerage  
LOCATION: US
- o - Cash Accounts  
LOCATION: US
- o - Fialko, LLC  
LOCATION: Minneapolis/Hennepin, MN, US
- o - Phillips Family Properties, LLC  
LOCATION: Minneapolis/Hennepin, MN, US
- o - Phillips Family Properties, LLC ⇒ 100 University Family Limited Partnership  
LOCATION: US
- o - Privately-Held Business Entities  
LOCATION: US
- o - Retirement Account 1
- o - Retirement Account 2
- o - Trust 1 (100% Interest)
- o - Trust 2 (100% Interest)
- o - Trust 6 (90% Interest)

- o - Trust 7 (25% Interest)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **COMMENTS**

JP Morgan, October 2018 Mortgage, \$1,000,001-\$5,000,000 was paid in full November 2021.

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dean Phillips , 08/12/2023