



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Matthew Brock
Status: Congressional Candidate
State/District: CA04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2022
Filing Date: 04/28/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CalPERS ⇒ CalPERS Pension 7323755715 [PE]		\$50,001 - \$100,000	Tax-Deferred		
DoubleLine Income Solutions Fund Common Shares of Beneficial Interests (DSL) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Boeing Company (BA) [ST]		\$1 - \$1,000	Dividends, Interest	\$1 - \$200	None
M1 Finance ⇒ Cohen & Steers Closed-End Opportunity Fund, Inc. (FOF) [MF]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Dynex Capital, Inc. (DX) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Gladstone Capital Corporation - Closed End Fund (GLAD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
M1 Finance ⇒ J.M. Smucker Company (SJM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ LTC Properties, Inc. (LTC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Main Street Capital Corporation (MAIN) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ McDonald's Corporation (MCD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ MUELLER WATER PRODUCTS (MWA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Prospect Capital Corporation - Closed End Fund (PSEC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Realty Income Corporation (O) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ SPDR Gold Trust (GLD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Stag Industrial, Inc. (STAG) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Union Pacific Corporation (UNP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
M1 Finance ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Mission Square Retirement ⇒ Mission Square 401A Defined Cont. Plan [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: A defined benefit plan for government and non-profit organizations					
Mission Square Retirement ⇒ Mission Square 457b Deferred Comp. Plan [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: The plan is a tax-deferred retirement savings plan.					
Robinhood ⇒ Alaska Air Group, Inc. (ALK) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Robinhood ⇒ American Conservative Values ETF (ACVF) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Atea Pharmaceuticals, Inc. - common stock (AVIR) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Barrick Gold Corporation Common Stock (GOLD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Cameco Corporation (CCJ) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Robinhood ⇒ Comstock Inc. (LODE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Credit Suisse X-Links Crude Oil Shares Covered Call ETN (USOI) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Global X NASDAQ-100 Covered Call ETF (QYLD) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Gran Tierra Energy Inc. (GTE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Robinhood ⇒ Joby Aviation, Inc. (JOBY) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Novavax, Inc. (NVAX) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Robinhood ⇒ Orchid Island Capital, Inc. (ORC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	None	\$201 - \$1,000
Robinhood ⇒ Pacific Gas & Electric Co. (PCG) [ST]		None	Capital Gains, Dividends	None	None
Robinhood ⇒ PIMCO Active Bond Exchange-Traded Fund Exchange-Traded Fund (BOND) [ST]		\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Robinhood ⇒ Sociedad Quimica y Minera S.A. (SQM) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Robinhood ⇒ Solid Power, Inc. - Class A (SLDP) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Tesla, Inc. (TSLA) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Robinhood ⇒ TMC the metals company Inc. (TMC) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200
Robinhood ⇒ UpHealth, Inc. (UPH) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	None
Robinhood ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]		\$1 - \$1,000	Capital Gains, Dividends	None	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Contra Costa Water District	Salary	\$65,596.00	\$143,119.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- CalPERS
DESCRIPTION: California Public Employees' pension fund.
- M1 Finance
LOCATION: Chicago, IL, US
- Mission Square Retirement
- Robinhood
LOCATION: Menlo Park, CA, US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Matthew Brock , 04/28/2023