Filing ID #10052565

Financial Disclosure Report

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Filer Information

Name: Hon. Ralph W. Norman Jr.
Status: Member
State/District: SC05

Filing Information

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 08/13/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
4 Norm ⇒ 655-00-00-020 [RP] LOCATION: Fort Mill, SC, US		$1,000,001 - $5,000,000	Rent	$50,001 - $100,000	☐
America Funds ⇒ The Income Fund of America Class A [MF]		$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
American Century IRA ⇒ American Century Growth Fund Investor Class (TWCGX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
American Century IRA ⇒ American Century Select Fund Investor Class (TWCIX) [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
American Century IRA ⇒ American Century Ultra Fund Investor Class (TWCUX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
American Electric Power Company, Inc. (AEP) [ST]	SP	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Bank of America Corporation (BAC) [ST]		$15,001 - $50,000	Dividends	$2,501 - $5,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Catawba ⇒ 667-01-01-031 [RP] LOCATION: Rock Hill, SC, US		None	Capital Gains	$15,001 - $50,000	✓
Coca-Cola Company (KO) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	
Collenge Investment [RP] LOCATION: Rock Hill, SC, US DESCRIPTION: property held for investment		$500,001 - $1,000,000	None		
Cowland ⇒ 150-00-00-128 [RP] LOCATION: Chester, SC, US		$250,001 - $500,000	Rent	$50,001 - $100,000	
Dominion Resources, Inc. (D) [ST]		$15,001 - $50,000	Dividends	$1 - $200	
DTE Energy Company (DTE) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	
Exxon Mobil Corporation (XOM) [ST]	SP	$100,001 - $250,000	Dividends	$5,001 - $15,000	
First Land Company ⇒ 121 Radiator Rd [RP] LOCATION: Rock Hill, SC, US		None	Capital Gains	$100,001 - $1,000,000	✓
First Land Company ⇒ 277A Radiator Rd [RP] LOCATION: Rock Hill, SC, US		None	Capital Gains	$15,001 - $50,000	✓
First Land Company ⇒ 542-00-00-005 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
First Land Company ⇒ 542-02-01-001 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
First Land Company ⇒ 592-00-00-005 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
First Land Company ⇒		$1 - $1,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
592-00-00-009 [RP] LOCATION: Rock Hill, SC, US					
First Land Company ⇒ 592-00-00-142 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
First Land Company ⇒ 633-00-00-003 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
First Land Company ⇒ 633-00-00-006 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$2,501 - $5,000	
First Land Company ⇒ 633-00-00-010 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
First Land Company ⇒ 633-00-00-013 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
First Land Company ⇒ 633-00-00-019 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$2,501 - $5,000	
First Land Company ⇒ 633-03-01-001 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
First Land Company ⇒ 633-08-01-004 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
First Land Company ⇒ 633-08-01-005 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
First Land Company ⇒ 633-08-01-015 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$5,001 - $15,000	
First Land Company ⇒ 635-00-00-114 [RP]		$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LOCATION: Rock Hill, SC, US					
First Land Company ⇒ 667-01-01-052 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$2,501 - $5,000	☐
First Land Company ⇒ 667-01-01-139 [RP] LOCATION: Rock Hill, SC, US		$100,001 - $250,000	Rent	$15,001 - $50,000	☐
First Land Company ⇒ 667-01-01-141 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$2,501 - $5,000	☐
First Land Company ⇒ 667-01-01-144 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$1,001 - $2,500	☐
First Land Company ⇒ 760 Herlong Rd [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$1,001 - $2,500	☐
First Land Company ⇒ Cherry Road [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$5,001 - $15,000	☐
First Land Company ⇒ Heckled/Herlong [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	None		☐
First Land Company ⇒ Herlong Ave [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	None		☐
First Land Company ⇒ Red River Road [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	None		☐
Firstover Corners ⇒ Holiday Inn Express [RP] LOCATION: Fayetteville, NC, US		$50,001 - $100,000	Rent	$5,001 - $15,000	☐
FM Hotel ⇒ 020-23-01-002 [RP] LOCATION: Fort Mill, SC, US		None	Capital Gains	$1,000,001 - $5,000,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Four Bells ⇒ 604-09-00-058 [RP] LOCATION: Isle of Palms, SC, US		$1,000,001 - $5,000,000	Rent	$5,001 - $15,000	☐
Galleria Land ⇒ 629-01-06-002 [RP] LOCATION: Rock Hill, SC, US		None	None		☐
Galleria Land ⇒ 662-04-01-086 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	None		☐
Galleria Land ⇒ 662-04-01-087 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$1,001 - $2,500	☐
Galleria Land ⇒ 667-01-01-005 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$2,501 - $5,000	☐
GBC Rock Hill ⇒ 667-01-01-147 [RP] LOCATION: Rock Hill, SC, US		None	Capital Gains	$15,001 - $50,000	☑
India Hook Development ⇒ 635-00-00-041 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	None		☐
India Hook Development ⇒ 635-00-00-273 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	None		☐
Kanawah ⇒ 665-00-00-001 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	None		☐
Lancaster Land ⇒ 0044-00-018-00 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	None		☐
Landover Beach ⇒ R-05805-003-040 [RP] LOCATION: Wrightsville Beach, NC, US		$250,001 - $500,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Landover One ⇒ 506-00-00-017 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$1,001 - $2,500	
Landover One ⇒ 633-00-00-001 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$1,001 - $2,500	
Landover One ⇒ 633-00-00-002 [RP] LOCATION: Rock Hill, SC, US		$100,001 - $250,000	Rent	$5,001 - $15,000	
Landover One ⇒ 633-00-00-012 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Landover One ⇒ 633-06-01-025 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Landover One ⇒ 633-06-01-025 (2) [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Landover One ⇒ 633-06-01-041 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	None		
Landover One ⇒ 633-06-01-042 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Landover One ⇒ 667-01-01-060 [RP] LOCATION: Rock Hill, SC, US		$100,001 - $250,000	Rent	$5,001 - $15,000	
Landover One ⇒ 700-00-00-050 [RP] LOCATION: Rock Hill, SC, US		$1 - $1,000	None		
Landover Timber ⇒ 3382-86-9466-0000 [RP] LOCATION: Rose Hill, NC, US		$50,001 - $100,000	None		
Laurel Creek ⇒		$1,001 - $15,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
635-07-01-001 [RP] Location: Rock Hill, SC, US					☐
Laurel Creek ⇒ 635-07-01-069 [RP] Location: Rock Hill, SC, US		$1,001 - $15,000	None		☐
LPL Brokerage Account ⇒ AT&T Inc. (T) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
LPL Brokerage Account ⇒ Bank of America Corporation (BAC) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
LPL Brokerage Account ⇒ BNY Mellon Equity Income Fund Class I [DQIRX] [MF]	JT	None	Capital Gains	$201 - $1,000	☑
LPL Brokerage Account ⇒ BNY Mellon Mid Cap Index DMIDX [MF]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
LPL Brokerage Account ⇒ Deere & Company (DE) [ST]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	None	Dividends	$201 - $1,000	☑
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]	JT	None	Dividends	$1,001 - $2,500	☑
LPL Brokerage Account ⇒ Franklin Dynatech Advisor FDYZX [MF]	JT	None	Capital Gains	$15,001 - $50,000	☑
LPL Brokerage Account ⇒ Invesco Senior Floating Rate OOSYX [MF]	JT	None	Dividends	$201 - $1,000	☑
LPL Brokerage Account ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF]	JT	$15,001 - $50,000	None		☑
LPL Brokerage Account ⇒ Ishares Tips Bond TIP [MF]	JT	None	Dividends	$201 - $1,000	☑
LPL Brokerage Account ⇒ Ishares US Basic Materials IYM [MF]	JT	None	Capital Gains, Dividends	$15,001 - $50,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL Brokerage Account ⇒ JP Morgan Govt Bond HLGAX [MF]	JT	None	Dividends	$1,001 - $2,500	✓
LPL Brokerage Account ⇒ JPMorgan Equity Premium Income Fund (JEPIX) [MF]	JT	None	Dividends	$1,001 - $2,500	✓
LPL Brokerage Account ⇒ LPL Brokerage Sweep Account [BA]	JT	$15,001 - $50,000	Interest	$1 - $200	
LPL Brokerage Account ⇒ Principal Real Estate Secs Instl PIREX [MF]	JT	None	Capital Gains	$201 - $1,000	✓
LPL Brokerage Account ⇒ Putnam Core Equity Fund (PMYYX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	✓
LPL Brokerage Account ⇒ Putnam Large Cap Value PEIYX [MF]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	✓
LPL Brokerage Account ⇒ Putnam Small Cap Growth PSYGX [MF]	JT	None	Capital Gains	$15,001 - $50,000	✓
LPL Brokerage Account ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [EF]	JT	$15,001 - $50,000	None		✓
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average DIA [MF]	JT	None	Dividends	$201 - $1,000	✓
LPL Financial SEP IRA ⇒ BNY Mellon Midcap Index Fund, Inc. Class I (DMIDX) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ Cash Account [BA]		$1,001 - $15,000	Interest	$1 - $200	
LPL Financial SEP IRA ⇒ Eaton Vance Short Duration Government Income Fund (EILDX) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ iShares S&P Mid-Cap 400 Value ETF (IJJ) [EF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ JP Morgan Govt Bond HLGAX [MF]		$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
LPL Financial SEP IRA ⇒ JPMorgan Equity Premium Income Fund (JEPIX) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ Putnam Core Equity Fund (PMYYX) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ Putnam Large Cap Value Fund Class Y (PEIYX) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [MF]		$1,001 - $15,000	Tax-Deferred		✓
LPL Financial SEP IRA ⇒ SPDR Gold Shares (GLD)SPDR Gold Shares (GLD) [EF]		$1,001 - $15,000	Tax-Deferred		✓
Norman Concord ⇒ 633-00-00-005 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$1,001 - $2,500	
Norman Concord ⇒ 633-08-01-010 [RP] LOCATION: Rock Hill, SC, US		$15,001 - $50,000	Rent	$1,001 - $2,500	
Norman Development ⇒ 020-23-01-001 [RP] LOCATION: Fort Mill, SC, US		$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	
Norman Development ⇒ 020-23-01-006 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Norman Development ⇒ 622-00-00-012 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	None		
Norman Development ⇒ 667-01-01-010 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	Rent	$15,001 - $50,000	
Norman Development ⇒ 667-01-01-019 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	Rent	$50,001 - $100,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Norman Development ⇒ 667-01-01-023 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	Rent	$15,001 - $50,000	
Norman Development ⇒ 667-01-01-092 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$50,001 - $100,000	
Norman Development ⇒ 667-01-01-093 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	
Norman Development ⇒ 667-01-01-107 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$50,001 - $100,000	
Norman Development ⇒ 667-01-01-116 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	
Norman Development ⇒ 667-01-01-119 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	None		
Norman Development ⇒ 667-01-01-134 [RP] LOCATION: Rock Hill, SC, US		$500,001 - $1,000,000	Rent	$50,001 - $100,000	
Norman Development ⇒ 670-00-00-142 [RP] LOCATION: Rock Hill, SC, US		$100,001 - $250,000	None		
Norman Development ⇒ 670-00-00-194 [RP] LOCATION: Rock Hil, SC, US		$1,001 - $15,000	None		
Norman Development ⇒ 670-00-00-195 [RP] LOCATION: Rock Hill, SC, US		$1,001 - $15,000	None		
Norman Development ⇒ Fairburn, GA Hotel [RP] LOCATION: Fairburn, GA, US		$250,001 - $500,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Norman Development ⇒ Medical Building [RP] LOCATION: Tryon, NC, US		$250,001 - $500,000	None		✓
Norman Development ⇒ Monument Sign [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$5,001 - $15,000	
Norman Development ⇒ Myrtle Beach, SC Hotel [RP] LOCATION: Myrtle Beach, SC, US		$100,001 - $250,000	None		
Norman Development ⇒ Waynesville Golf Course [RP] LOCATION: Waynesville, NC, US		$250,001 - $500,000	None		
Norman Green ⇒ 0533-0401-00715 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	Rent	$50,001 - $100,000	
Pfizer, Inc. (PFE) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	
Piedmont Hills ⇒ 667-01-01-002 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	None		
PNC Bank, National Association [IH]		$5,000,001 - $25,000,000	Tax-Deferred		
R&E ⇒ 1014-1016 Cherokee, 100% Interest [RP] LOCATION: Rock Hill, SC, US	JT	$50,001 - $100,000	None		
R&E ⇒ 598-17-01-021 [RP] LOCATION: Rock Hill, SC, US	JT	$15,001 - $50,000	None		
R&E ⇒ 632-14-01-031 [RP] LOCATION: Rock Hill, SC, US	JT	$100,001 - $250,000	Rent	$5,001 - $15,000	
R&E ⇒ 632-14-01-033 [RP] LOCATION: Rock Hill, SC, US	JT	$100,001 - $250,000	Rent	$5,001 - $15,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ralph David ⇒ 663-00-00-004 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$50,001 - $100,000	☐
RD Corners ⇒ 633-08-01-020 [RP] LOCATION: Rock Hill, SC, US		$250,001 - $500,000	None		☐
RD Corners ⇒ 633-08-01-021 [RP] LOCATION: Rock Hill, SC, US		$1,000,001 - $5,000,000	Rent	$100,001 - $1,000,000	☐
Rock Hill Investments ⇒ Cash Investment, 15% Interest [OT] DESCRIPTION: Cash investment company		$1,001 - $15,000	None		☐
South State Bank [BA]		$500,001 - $1,000,000	Interest	$201 - $1,000	☐
South State Bank IRA ⇒ Halliburton Company (HAL) [ST]		$15,001 - $50,000	Tax-Deferred		☐
South State Bank IRA ⇒ South State Bank IRA (Cash) [IH]	SP	$1,001 - $15,000	Tax-Deferred		☐
South State Bank IRA ⇒ South State Bank IRA (Cash) [IH]		$1,001 - $15,000	Tax-Deferred		☐
South State Corporation (SSB) [ST]		$500,001 - $1,000,000	Dividends	$15,001 - $50,000	☐
Southern Company (SO) [ST]		$1,001 - $15,000	Dividends	$1,001 - $2,500	☐
State FF ⇒ 474-53-51-260 [RP] LOCATION: Rock Hill, SC, US		$50,001 - $100,000	Rent	$2,501 - $5,000	☐
Truist Financial Corporation (TFC) [ST]		$50,001 - $100,000	Dividends	$2,501 - $5,000	☐
Walt Disney Company (DIS) [ST]		$15,001 - $50,000	Dividends	$1 - $200	☐
Warren Norman Company 401K Plan ⇒		$100,001 -	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
John Hancock Multi-Index 2020 [DB]		$250,000			
Wells Fargo IRA ⇒ Allspring Special Large Cap Value Fund (EIVAX) [MF]		$15,001 - $50,000	Tax-Deferred		✓
Wells Fargo IRA - SP ⇒ Allspring Special Large Cap Value Fund (EIVAX) [MF]	SP	$15,001 - $50,000	Tax-Deferred		✓

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
American Century IRA ⇒ American Century Select Fund Investor Class (TWCIX) [MF]	SP	12/21/2022	P	$1,001 - $15,000	
Catawba ⇒ 667-01-01-031 [RP] Location: Rock Hill, SC, US		05/1/2022	S	$50,001 - $100,000	✓
First Land Company ⇒ 121 Radiator Rd [RP] Location: Rock Hill, SC, US		11/1/2022	S	$100,001 - $250,000	✓
First Land Company ⇒ 277A Radiator Rd [RP] Location: Rock Hill, SC, US		11/1/2022	S	$15,001 - $50,000	✓
FM Hotel ⇒ 020-23-01-002 [RP] Location: Fort Mill, SC, US		05/1/2022	S	$1,000,001 - $5,000,000	✓
GBC Rock Hill ⇒ 667-01-01-147 [RP] Location: Rock Hill, SC, US		05/1/2022	S	$50,001 - $100,000	✓
LPL Brokerage Account ⇒ American Century Ultra Fund Investor Class (TWCUX) [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]	JT	04/5/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ AT&T Inc. (T) [ST]	JT	11/22/2022	S	$1,001 - $15,000	☑
LPL Brokerage Account ⇒ BlackRock High Yield Municipal Fund Institutional Shares [MAYHX] [MF]	JT	01/25/2022	S (partial)	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ BlackRock High Yield Municipal Fund Institutional Shares [MAYHX] [MF]	JT	03/7/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ BNY Mellon Equity Income Fund Class I [DQIRX] [MF]	JT	03/7/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ BNY Mellon Equity Income Fund Class I [DQIRX] [MF]	JT	04/5/2022	S	$1,001 - $15,000	☑
LPL Brokerage Account ⇒ BNY Mellon MidCap Index Fund Class I [DMIDX] [MF]	JT	10/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]	JT	04/5/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]	JT	03/7/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]	JT	07/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]	JT	08/1/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Carillon Scout Mid Cap Fund Class I [UMBMX] [MF]	JT	04/5/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Carillon Scout Mid Cap Fund Class I [UMBMX] [MF]	JT	07/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒	JT	10/6/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Carillon Scout Mid Cap Fund Class I [UMBMX] [MF]					
LPL Brokerage Account ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]	JT	04/5/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]	JT	08/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Delaware Healthcare Fund Class I [DLHIX] [MF]	JT	08/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Delaware Healthcare Fund Class I [DLHIX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	04/5/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	07/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	03/7/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	11/22/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]	JT	08/1/2022	S	$50,001 - $100,000	☐
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund	JT	04/5/2022	P	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
(EILDX) [MF]					
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]	JT	07/6/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]	JT	10/6/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]	JT	11/22/2022	S	$50,001 - $100,000	☐
LPL Brokerage Account ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]	JT	08/1/2022	S	$50,001 - $100,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	04/5/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	08/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	07/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Technology Fund Class I [FATIX] [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Fidelity Advisor® Technology Fund Class I [FATIX] [MF]	JT	01/25/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]	JT	04/5/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]	JT	08/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]	JT	07/6/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Franklin DynaTech Fund Advisor Class [FDYZX] [MF]	JT	01/6/2022	S	$50,001 - $100,000	☐
LPL Brokerage Account ⇒ Invesco 1 30 Laddered Treasury ETF [PLW] [MF]	JT	01/6/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Invesco Senior Floating Rate Fund Class Y [OOSYX] [MF]	JT	03/7/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Invesco Senior Floating Rate Fund Class Y [OOSYX] [MF]	JT	04/5/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Invesco Senior Floating Rate Fund Class Y [OOSYX] [MF]	JT	08/1/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ iShares 1-3 Year Treasury Bond ETF [SHY] [MF]	JT	07/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ iShares 1-3 Year Treasury Bond ETF [SHY] [MF]	JT	10/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ iShares 1-3 Year Treasury Bond ETF [SHY] [MF]	JT	11/22/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ iShares 1-3 Year Treasury Bond ETF [SHY] [EF]	JT	08/1/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ iShares S&P Mid-Cap 400 Value ETF [IJJ] [MF]	JT	10/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ iShares TIPS Bond [TIP] [EF]	JT	03/7/2022	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ iShares TIPS Bond ETF [TIP] [MF]	JT	04/5/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ iShares TIPS Bond ETF [TIP] [MF]	JT	03/7/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ iShares TIPS Bond ETF [TIP] [MF]	JT	01/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ iShares TIPS Bond ETF [TIP] [EF]	JT	07/6/2022	S	$15,001 - $50,000	
LPL Brokerage Account ⇒ iShares US Basic Materials ETF [IYM] [MF]	JT	11/28/2022	S	$50,001 - $100,000	
LPL Brokerage Account ⇒ JP Morgan Govt Bond HLGAX [MF]	JT	01/25/2022	P	$100,001 - $250,000	
LPL Brokerage Account ⇒ JP Morgan Govt Bond HLGAX [MF]	JT	10/6/2022	P	$50,001 - $100,000	
LPL Brokerage Account ⇒ JP Morgan Govt Bond HLGAX [MF]	JT	03/7/2022	P	$50,001 - $100,000	
LPL Brokerage Account ⇒ JPMorgan Equity Premium Income Fund (JEPIX) [MF]	JT	08/1/2022	P	$50,001 - $100,000	
LPL Brokerage Account ⇒ JPMorgan Equity Premium Income Fund Class I [JEPIX] [MF]	JT	10/6/2022	S	$15,001 - $50,000	
LPL Brokerage Account ⇒ JPMorgan Equity Premium Income Fund Class I [JEPIX] [MF]	JT	11/22/2022	S	$15,001 - $50,000	
LPL Brokerage Account ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]	JT	11/28/2022	S	$50,001 - $100,000	
LPL Brokerage Account ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]	JT	04/5/2022	S (partial)	$100,001 - $250,000	
LPL Brokerage Account ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]	JT	04/5/2022	S (partial)	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ JPMorgan Mortgage-Backed Securities Fund Class I [OMBIX] [MF]	JT	08/1/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ JPMorgan Mortgage-Backed Securities Fund Class I [OMBIX] [MF]	JT	11/22/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Manning & Napier High Yield Bond Series Class I [MNHAX] [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Manning & Napier High Yield Bond Series Class I [MNHAX] [MF]	JT	01/25/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Manning & Napier High Yield Bond Series Class I [MNHAX] [MF]	JT	03/7/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series (MNRIX) [MF]	JT	01/6/2022	P	$50,001 - $100,000	
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]	JT	08/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]	JT	03/7/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]	JT	07/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]	JT	09/1/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ MFS Mid Cap Value Fund Class I [MCVIX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ MFS Mid Cap Value Fund Class I[MCVIX] [MF]	JT	03/7/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ MFS Mid Cap Value Fund Class I[MCVIX] [MF]	JT	07/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Northern Stock Index Fund (NOSIX) [MF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Northern Stock Index Fund [NOSIX] [MF]	JT	03/7/2022	S (partial)	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Northern Stock Index Fund [NOSIX] [MF]	JT	07/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Northern Stock Index Fund [NOSIX] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ Nuveen Preferred Securities & Income Fund Class I [NPSRX] [MF]	JT	10/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Nuveen Preferred Securities & Income Fund Class I [NPSRX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Principal Real Estate Securities Fund Institutional Class [PIREX] [MF]	JT	01/6/2022	S	$1,001 - $15,000	☑
LPL Brokerage Account ⇒ Putnam Core Equity Fund Class Y [PMYYX] [MF]	JT	10/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ Putnam Core Equity Fund Class Y [PMYYX] [MF]	JT	01/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Putnam Large Cap Value Fund Class Y [PEIYX] [MF]	JT	04/5/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Putnam Large Cap Value Fund Class Y [PEIYX] [MF]	JT	07/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Putnam Large Cap Value Fund Class Y [PEIYX] [PEIYX] [MF]	JT	10/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ Putnam Small Cap Value Fund Class Y [PYSVX] [MF]	JT	07/6/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ Putnam Small Cap Value Fund Class Y [PYSVX] [MF]	JT	10/6/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ Shelton Capital Management Nasdaq-100 Index Fund Investor Shares [NASDX] [MF]	JT	03/7/2022	S	$50,001 - $100,000	☑
LPL Brokerage Account ⇒ SPDR Bloomberg 1-3 Month T-Bill [BIL] [EF]	JT	03/7/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ SPDR Bloomberg 1-3 Month T-Bill [BIL] [EF]	JT	09/1/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Bloomberg 1-3 Month T-Bill [BIL] [EF]	JT	10/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Bloomberg 1-3 Month T-Bill ETF [BIL] [MF]	JT	04/5/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	JT	01/6/2022	P	$15,001 - $50,000	
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [MF]	JT	10/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [MF]	JT	07/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [EF]	JT	04/5/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [EF]	JT	03/7/2022	S (partial)	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [MF]	JT	11/22/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [MF]	JT	01/25/2022	S	$15,001 - $50,000	☐
LPL Brokerage Account ⇒ SPDR Gold Trust [GLD] [EF]	JT	01/25/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Brokerage Account ⇒ SPDR Gold Trust ETF [GLD] [MF]	JT	01/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Gold Trust ETF [GLD] [MF]	JT	07/6/2022	P	$1,001 - $15,000	
LPL Brokerage Account ⇒ SPDR Gold Trust ETF [GLD] [EF]	JT	03/7/2022	S	$1,001 - $15,000	☐
LPL Brokerage Account ⇒ SPDR Gold Trust ETF [GLD] [MF]	JT	11/22/2022	S	$15,001 - $50,000	☑
LPL Brokerage Account ⇒ Warner Bros. Discovery, Inc. - Series A (WBD) [ST]	JT	10/6/2022	S (partial)	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]		09/1/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ American Century Ultra® Fund Investor Class [TWCUX] [MF]		03/7/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ BNY Mellon Equity Income Fund Class I [DQIRX] [MF]		03/7/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ BNY Mellon Equity Income Fund Class I [DQIRX] [MF]		04/5/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ BNY Mellon MidCap Index Fund Class I [DMIDX] [MF]		10/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]		04/5/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Financial SEP IRA ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]		03/7/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ BNY Mellon Natural Resources Fund Class I [DLDRX] [MF]		08/1/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Carillon Scout Mid Cap Fund Class I [UMBMX] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Carillon Scout Mid Cap Fund Class I [UMBMX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		08/1/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Consumer Staples Select Sector SPDR Fund [XLP] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Delaware Healthcare Fund Class I [DLHIX] [MF]		08/1/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Delaware Healthcare Fund Class I [DLHIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒		07/6/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DWS Short Duration Fund - Class Inst [PPILX] [MF]					
LPL Financial SEP IRA ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ DWS Short Duration Fund - Class Inst [PPILX] [MF]		08/1/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]		04/5/2022	P	$15,001 - $50,000	
LPL Financial SEP IRA ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]		07/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Eaton Vance Short Duration Government Income Fund Class I [EILDX] [MF]		08/1/2022	S	$15,001 - $50,000	☐
LPL Financial SEP IRA ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]		08/1/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Fidelity Advisor® Energy Fund Class I [FANIX] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Fidelity Advisor® Technology Fund Class I [FATIX] [MF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Fidelity Advisor® Technology Fund Class I [FATIX] [MF]		01/25/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Financial SEP IRA ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]		08/1/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Fidelity Advisor® Utilities Fund Class I [FUGIX] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Franklin DynaTech Fund Advisor Class [FDYZX] [MF]		01/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ iShares S&P Mid-Cap 400 Value ETF [IJJ] [EF]		10/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ JPMorgan Equity Premium Income Fund Class I [JEPIX] [MF]		08/1/2022	P	$15,001 - $50,000	
LPL Financial SEP IRA ⇒ JPMorgan Equity Premium Income Fund Class I [JEPIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]		01/25/2022	P	$15,001 - $50,000	
LPL Financial SEP IRA ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]		10/6/2022	P	$15,001 - $50,000	
LPL Financial SEP IRA ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]		03/7/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]		01/6/2022	S	$15,001 - $50,000	☐
LPL Financial SEP IRA ⇒ JPMorgan Government Bond Fund Class I [HLGAX] [MF]		04/5/2022	S	$15,001 - $50,000	☐
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		01/6/2022	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		08/1/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		03/7/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Manning & Napier Real Estate Series Class I [MNRIX] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ MFS Mid Cap Value Fund Class I [MCVIX] [MF]		03/7/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ MFS Mid Cap Value Fund Class I [MCVIX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Northern Stock Index Fund [NOSIX] [MF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Northern Stock Index Fund [NOSIX] [MF]		07/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Northern Stock Index Fund [NOSIX] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ NOSIX [Northern Stock Index Fund] [EF]		03/7/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Principal Real Estate Securities Fund [PIREX] [MF]		01/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Putnam Core Equity Fund Class Y [PMYYX] [MF]		10/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Putnam Core Equity Fund Class Y [PMYYX] [MF]		01/6/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LPL Financial SEP IRA ⇒ Putnam Large Cap Value Fund Class Y [PEIYX] [MF]		04/5/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Putnam Small Cap Value Fund Class Y [PYSVX] [MF]		07/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Putnam Small Cap Value Fund Class Y [PYSVX] [MF]		10/6/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Shelton Capital Management Nasdaq-100 Index Fund Investor Shares [NASDX] [MF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ Shelton Capital Management Nasdaq-100 Index Fund Investor Shares [NASDX] [MF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ Shelton Capital Management Nasdaq-100 Index Fund Investor Shares [NASDX] [MF]		03/7/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [EF]		01/6/2022	P	$1,001 - $15,000	
LPL Financial SEP IRA ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [EF]		01/25/2022	S	$1,001 - $15,000	☐
LPL Financial SEP IRA ⇒ SPDR Dow Jones Industrial Average ETF Trust [DIA] [EF]		03/7/2022	S	$1,001 - $15,000	☐
Norman Development ⇒ Medical Building [RP] LOCATION: Tryon, NC, US		05/1/2022	P	$250,001 - $500,000	
Wells Fargo IRA ⇒ Allspring Special Large Cap Value Fund (EIVAX) [MF]		12/12/2022	P	$1,001 - $15,000	
Wells Fargo IRA - SP ⇒ Allspring Special Large Cap Value Fund (EIVAX) [MF]	SP	12/12/2022	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	South State	March 2016	finance real property holdings	$500,001 - $1,000,000
	South State	June 2016	line of credit from property holdings	$500,001 - $1,000,000
	Bank of York	August 2014	finance property investment	$500,001 - $1,000,000
	Family Trust	January 2021	Personal residence mortgage	$250,001 - $500,000
	TD Bank	Nov 2010	finance personal property investment	$500,001 - $1,000,000

Schedule E: Positions

Position	Name of Organization
member	4 Norm
member	Catawba
member	Cowland
member	First Land Company
member	FM Hotel
member	Four Bells
member	Galleria Land
member	GBC Rock Hill
member	India Hook Development
member	Kanawah
member	Landcaster Land
member	Landover Beach
member	Landover One
member	Landover Timber
member	Laurel Creek

Position	Name of Organization
member	Norman Concord
managing member	Norman Development
member	Norman Green
member	Piedmont Hills
member	Ralph David
member	RD Corners
member	Carmel-Norm
member	Firstover Corners

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2014	Warren Norman Company	401k plan held with former employer. Tax Deferred.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 4 Norm
  Location: US
- America Funds
  Location: US
- American Century IRA (Owner: SP)
- American Century IRA
- Catawba
  Location: US
- Cowland
  Location: US
- First Land Company
  Location: US

- Firstover Corners
  Location: US
- FM Hotel
  Location: US
- Four Bells
  Location: US
- Galleria Land
  Location: US
- GBC Rock Hill
  Location: US
- India Hook Development
  Location: US
- Kanawah
  Location: US
- Lancaster Land
  Location: US
- Landover Beach
  Location: US
- Landover One
  Location: US
- Landover Timber
  Location: US
- Laurel Creek
  Location: US
- LPL Brokerage Account (Owner: JT)
  Location: US
- LPL Financial SEP IRA
- Norman Concord
  Location: US
- Norman Development
  Location: US
- Norman Green
  Location: US
- Piedmont Hills
  Location: US
- R&E (Owner: JT)
  Location: US
- Ralph David
  Location: US
- RD Corners
  Location: US
- Rock Hill Investments
  Location: US
- South State Bank IRA (Owner: SP)

- South State Bank IRA
- State FF
  LOCATION: US
- Warren Norman Company 401K Plan
- Wells Fargo IRA
- Wells Fargo IRA - SP (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ralph W. Norman Jr., 08/13/2023