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Financial Disclosure Report

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Filer Information

Name: Hon. Joe Courtney
Status: Member
State/District: CT02

Filing Information

Filing Type: Annual Report
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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU]		$1,001 - $15,000	None		☐
Inherited Brokerage Account ⇒ Blackrock Emerging Markets Fund - MADCX [MF]	SP	$1,001 - $15,000	Dividends	None	☑
Inherited Brokerage Account ⇒ Blackrock High Yield Municipal Fund - MAYHX [MF]	SP	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Inherited Brokerage Account ⇒ Blackrock International Fund [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ Blackrock National Municipal Fund - MANLX [MF]	SP	$1,001 - $15,000	Dividends	None	☑
Inherited Brokerage Account ⇒ Blackrock Short Maturity [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	☑
Inherited Brokerage Account ⇒ Blackrock Technologies Opportunity Fund - BGSIX [MF]	SP	$15,001 - $50,000	Dividends	None	☑
Inherited Brokerage Account ⇒ Hartford Core Equity Fund - HGIIX [MF]	SP	None	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited Brokerage Account ⇒ Invesco S&P Emerging Markets [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ IShares 7-10 Year Treasury Bond - IEF [MF]	SP	$1,001 - $15,000	Dividends	None	✓
Inherited Brokerage Account ⇒ IShares Convertible Bond [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	
Inherited Brokerage Account ⇒ IShares Core S&P 500 - IVV [MF]	SP	$15,001 - $50,000	Dividends	None	✓
Inherited Brokerage Account ⇒ IShares Core S&P Small Cap - IJR [MF]	SP	$1,001 - $15,000	Dividends	None	✓
Inherited Brokerage Account ⇒ IShares Edge MSCI [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ IShares Edge MSCI USA Value [MF]	SP	$1,001 - $15,000	Dividends	None	
Inherited Brokerage Account ⇒ IShares ESG Aware MSCI [MF] Description: Name change from IShares Trust ISHRS	SP	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	✓
Inherited Brokerage Account ⇒ IShares TR MSCI EAFE Growth [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ IShares TR MSCI EAFE Value [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ IShares US Energy [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	✓
Inherited Brokerage Account ⇒ IShares US ETF TR (COMT) [MF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	✓
Inherited Brokerage Account ⇒ IShares US Healthcare [MF]	SP	$1,001 - $15,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ IShares US Medical Devices - IHI [MF]	SP	None	Dividends	None	✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited Brokerage Account ⇒ MFS Core Equity Fund [MF]	SP	$15,001 - $50,000	Dividends	$1 - $200	✓
Inherited Brokerage Account ⇒ Pimco Municipal Bond Fund - PMUPX [MF]	SP	$1,001 - $15,000	Dividends	None	
Inherited Brokerage Account ⇒ Sweep Account [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Clearbridge Large Cap Value [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Deposit Sweep Account [BA]	SP	$1,001 - $15,000	Tax-Deferred		
Inherited IRA ⇒ Franklin International Growth Advisor Class [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Inherited IRA ⇒ Franklin Investment Grade [MF] DESCRIPTION: Previously reported as Franklin Liberty IG Corp	SP	$1 - $1,000	Tax-Deferred		
Inherited IRA ⇒ Franklin Small Cap Value Fund [EF]	SP	$1,001 - $15,000	Tax-Deferred		
Inherited IRA ⇒ Franklin US Core Bond [MF] DESCRIPTION: Name change from Franklin Liberty US Core Bond	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Franklin US Large Cap [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ Franklin US Low Volatility [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ IShares 0-5 Year High [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Inherited IRA ⇒ IShares Edge MSCI [MF]	SP	None	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited IRA ⇒ IShares US Treasury Bond [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ JP Morgan Mortgage Backed Securities [EF]	SP	$1 - $1,000	Tax-Deferred		☑
Inherited IRA ⇒ Martin Currie Emerging Markets [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ Templeton Foreign Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ Vanguard 500 Index Fund [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ Vanguard FTSE Developed Markets [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ Vanguard FTSE Emerging Markets ETF [EF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ Western Asset Core Plus [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Inherited IRA ⇒ Western Asset Short Term [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
IRA ⇒ Brown Advantage Sustainable [MF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Calvert Small Cap [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Calvert US Large Cap Value (CFJIX) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ CCM Community Impact Fund (CRANX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Delaware Corporate Bond Fund (DGCIX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ ISH USD High Yield [MF]		$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA ⇒ IShares ESG Aware MSCI [MF]		$50,001 - $100,000	Tax-Deferred		✓
IRA ⇒ IShares Inc IShares ESG (ESGE) [EF]		$15,001 - $50,000	Tax-Deferred		
IRA ⇒ IShares US Treasury Bond (GOVT) [EF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ Merrill Cash/Money Accounts [BA]		$1,001 - $15,000	Tax-Deferred		
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		$15,001 - $50,000	Tax-Deferred		✓
IRA ⇒ Nuveen ESG Large Cap Value [MF]		$50,001 - $100,000	Tax-Deferred		✓
IRA ⇒ SPDR Bloomberg Barclays [MF]		None	Tax-Deferred		✓
IRA ⇒ TIAA CREF Core Impact [EF] DESCRIPTION: Formerly reported as TIAA CREF Social Choice Core Impact		$50,001 - $100,000	Tax-Deferred		✓
IRA ⇒ Vanguard Total International Bond [EF]		$1,001 - $15,000	Tax-Deferred		✓
M&T Bank Accounts [BA]		$50,001 - $100,000	Interest	$1 - $200	
Manulife Financial Corporation (MFC) [ST]		None	Capital Gains, Dividends	$1,001 - $2,500	✓
Mass Mutual Whole Life Policy [WU]		$15,001 - $50,000	None		
People's United Financial, Inc. (PBCT) [ST]		None	Capital Gains, Dividends	$2,501 - $5,000	✓
Prudential Annuities (Inherited) [WU]	SP	Undetermined	Annuity Distribution	$1,001 - $2,500	
Rollover IRA ⇒	SP	$15,001 - $50,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Brown Advantage Sustainable [MF]					
Rollover IRA ⇒ Calvert Small Cap [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Calvert US Large Cap Value [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ CCM Community Impact Fund (CRANX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Delaware Corporate Bond [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ ISH USD High Yield [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA ⇒ IShares ESG Aware MSCI [MF] DESCRIPTION: Name change from IShares Trust ISHRS	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ IShares Inc IShares ESG [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Rollover IRA ⇒ IShares US Treasury Bond [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [EF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ SPDR Bloomberg Barclays [MF]	SP	None	Tax-Deferred		☑
Rollover IRA ⇒ Sweep Account [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	$15,001 - $50,000	Tax-Deferred		☑
Rollover IRA ⇒ Vanguard Total International Bond [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Saint Francis Hospital and Medical Center Pension Plan [PE]		Undetermined	None		☐
TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF]	SP	$100,001 - $250,000	Tax-Deferred		☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited Brokerage Account ⇒ Blackrock Emerging Markets [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Blackrock High Yield [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Blackrock International FD [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock International FD [IR]	SP	03/4/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock National Municipal [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Blackrock National Municipal [IR]	SP	04/5/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock National Municipal [IR]	SP	03/4/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock National Municipal [IR]	SP	01/28/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock National Municipal [IR]	SP	01/27/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Blackrock Short Maturity [IR]	SP	07/21/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited Brokerage Account ⇒ Blackrock Technology Opportunities [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Hartford Core Equity Fund [IR]	SP	07/21/2022	S	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Hartford Core Equity Fund [IR]	SP	01/27/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Invesco S&P Emerging Markets [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares 7-10 Year Treasury Bond [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Ishares 7-10 Year Treasury Bond [IR]	SP	04/5/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares Core S&P 500 [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares Core S&P 500 [IR]	SP	04/5/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares Core S&P 500 [IR]	SP	03/3/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares Core S&P 500 [IR]	SP	01/27/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares Core S&P Small Cap ETF [IR]	SP	01/27/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Ishares EDGE MSCI [IR]	SP	07/21/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Ishares EDGE MSCI [IR]	SP	01/27/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares EDGE MSCI [IR]	SP	01/27/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒	SP	05/16/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ishares ESG Aware MSCI EAFE [IR]			(partial)		☐
Inherited Brokerage Account ⇒ IShares TR MSCI EAFE Growth [IR]	SP	03/3/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares TR MSCI EAFE Value [IR]	SP	01/27/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Ishares US Energy ETF [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares US ETF TR [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ Ishares US ETF TR [IR]	SP	01/27/2022	S (partial)	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ Ishares US Healthcare [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ IShares US Medical Devices [MF]	SP	07/21/2022	S	$1,001 - $15,000	☐
Inherited Brokerage Account ⇒ MFS Core Equity FD [IR]	SP	07/21/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ MFS Core Equity FD [IR]	SP	01/28/2022	P	$1,001 - $15,000	
Inherited Brokerage Account ⇒ MFS Core Equity FD [IR]	SP	01/27/2022	P	$1,001 - $15,000	
Inherited IRA ⇒ Clearbridge Large Cap Growth [IR]	SP	07/29/2022	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Clearbridge Large Cap Value [IR]	SP	07/29/2022	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Franklin US Core Bond [IR]	SP	04/29/2022	P	$1,001 - $15,000	
Inherited IRA ⇒ Franklin US Large Cap [IR]	SP	08/31/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA ⇒ Franklin US Low Volatility [IR]	SP	07/29/2022	P	$1,001 - $15,000	
Inherited IRA ⇒ Ishares 0-5 Year High [IR]	SP	04/29/2022	P	$1,001 - $15,000	
Inherited IRA ⇒ Ishares EDGE MSCI [IR]	SP	08/31/2022	S	$1,001 - $15,000	☐
Inherited IRA ⇒ JP Morgan Mortgage Backed [IR]	SP	04/29/2022	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Vanguard 500 Index Fund [IR]	SP	07/29/2022	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Western Asset Core Plus Bond [IR]	SP	02/28/2022	S (partial)	$1,001 - $15,000	☐
Inherited IRA ⇒ Western Asset Short Term [IR]	SP	02/28/2022	P	$1,001 - $15,000	
IRA ⇒ Blackrock Liquidity Fund [IR]		10/11/2022	S	$1,001 - $15,000	☐
IRA ⇒ Blackrock Liquidity Fund [IR]		07/18/2022	P	$1,001 - $15,000	
IRA ⇒ Blackrock Liquidity Fund T [IR]		07/15/2022	S	$1,001 - $15,000	☐
IRA ⇒ Blackrock Liquidity Fund T [IR]		05/17/2022	P	$1,001 - $15,000	
IRA ⇒ Blackrock Liquidity Fund T [IR]		05/16/2022	P	$1,001 - $15,000	
IRA ⇒ Brown Adv Sustainable [IR]		07/15/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Brown Adv Sustainable [IR]		05/17/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ Brown Adv Sustainable [IR]		05/16/2022	P	$1,001 - $15,000	
IRA ⇒ Brown Adv Sustainable [IR]		02/10/2022	P	$1,001 - $15,000	
IRA ⇒ Brown Adv Sustainable [IR]		01/6/2022	P	$1,001 - $15,000	
IRA ⇒ Calvert Small Cap Fund CL 1 [IR]		07/15/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Calvert Small Cap Fund CL 1 [IR]		05/16/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Calvert Small Cap Fund CL 1 [IR]		01/6/2022	P	$1,001 - $15,000	
IRA ⇒ Calvert US LRG Cap Value [IR]		10/11/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Calvert US LRG Cap Value [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒ CCM Community Impact Bond [IR]		10/11/2022	P	$1,001 - $15,000	
IRA ⇒ CCM Community Impact Bond [IR]		07/18/2022	P	$1,001 - $15,000	
IRA ⇒ CCM Community Impact Bond [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒ Delaware Corporate Bond [IR]		10/11/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Delaware Corporate Bond [IR]		07/18/2022	P	$1,001 - $15,000	
IRA ⇒ Delaware Corporate Bond [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒		05/17/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Delaware Corporate Bond [IR]					
IRA ⇒ Delaware Corporate Bond [IR]		05/16/2022	P	$1,001 - $15,000	
IRA ⇒ Delaware Corporate Bond [IR]		02/10/2022	P	$1,001 - $15,000	
IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]		10/11/2022	P	$1,001 - $15,000	
IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]		05/16/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]		05/16/2022	P	$1,001 - $15,000	
IRA ⇒ Ishares US Treasury Bond ETF [IR]		10/11/2022	P	$1,001 - $15,000	
IRA ⇒ Ishares US Treasury Bond ETF [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒ Nuveen ESG Large Cap Growth [IR]		05/16/2022	P	$1,001 - $15,000	
IRA ⇒ Nuveen ESG Large Cap Growth [IR]		02/10/2022	P	$1,001 - $15,000	
IRA ⇒ Nuveen ESG Large Cap Growth [IR]		01/6/2022	P	$1,001 - $15,000	
IRA ⇒ Nuveen ESG Large Cap Value [IR]		07/15/2022	P	$1,001 - $15,000	
IRA ⇒ Nuveen ESG Large Cap Value [IR]		05/16/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ SPDR Bloomberg Barclays [IR]		07/15/2022	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ SPDR Bloomberg Barclays [IR]		05/16/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ SPDR Bloomberg Barclays [IR]		02/10/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ TIAA CREF Core Impact Bond [IR]		07/15/2022	S (partial)	$1,001 - $15,000	☐
IRA ⇒ Vanguard Total International Bond [IR]		10/11/2022	P	$1,001 - $15,000	
Manulife Financial Corporation (MFC) [ST]		04/5/2022	S	$1,001 - $15,000	☑
People's United Financial, Inc. (PBCT) [ST]		03/3/2022	S	$15,001 - $50,000	☑
Rollover IRA ⇒ Blackrock Liquidity Fund [IR]	SP	10/11/2022	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Blackrock Liquidity Fund [IR]	SP	07/18/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Blackrock Liquidity Fund [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Blackrock Liquidity Fund T [IR]	SP	07/15/2022	S	$1,001 - $15,000	☐
Rollover IRA ⇒ Blackrock Liquidity Fund T [IR]	SP	05/16/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Blackrock Liquidity Fund T [IR]	SP	05/17/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Brown Adv Sustainable [IR]	SP	05/17/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Brown Adv Sustainable [IR]	SP	05/16/2022	P	$1,001 - $15,000	
Rollover IRA ⇒	SP	01/6/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Brown Adv Sustainable [IR]					
Rollover IRA ⇒ Calvert Small Cap Fund CL 1 [IR]	SP	07/15/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Calvert Small Cap Fund CL 1 [IR]	SP	01/6/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Calvert Small Cap Fund CL 1 [IR]	SP	05/16/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ CCM Community Impact Bond [IR]	SP	10/11/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ CCM Community Impact Bond [IR]	SP	07/18/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ CCM Community Impact Bond [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	10/11/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	07/18/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	05/17/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	05/16/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Delaware Corporate Bond [IR]	SP	02/10/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Ishares ESG Aware MSCI EAFE [IR]	SP	05/16/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rollover IRA ⇒ Ishares US Treasury Bond ETF [IR]	SP	10/11/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Ishares US Treasury Bond ETF [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [IR]	SP	05/16/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [IR]	SP	01/6/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen ESG Large Cap Value [IR]	SP	07/15/2022	P	$1,001 - $15,000	
Rollover IRA ⇒ Nuveen ESG Large Cap Value [IR]	SP	05/16/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ SPDR Bloomberg Barclays [IR]	SP	07/15/2022	S	$15,001 - $50,000	☐
Rollover IRA ⇒ SPDR Bloomberg Barclays [IR]	SP	05/16/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ TIAA CREF Core Impact Bond [IR]	SP	07/15/2022	S (partial)	$1,001 - $15,000	☐
Rollover IRA ⇒ Vanguard Total International Bond [IR]	SP	10/11/2022	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Connecticut Children's Medical Center	Spouse Salary	N/A

Schedule D: Liabilities

None disclosed.

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Inherited Brokerage Account (Owner: SP)
  Location: US
  Description: Account inherited in 2019
- Inherited IRA (Owner: SP)
  Description: IRA inherited in December 2018
- IRA
- Rollover IRA (Owner: SP)
- TR 403(b) (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Joe Courtney , 05/9/2023