Filing ID #10052089

Financial Disclosure Report

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Filer Information

Name: Hon. Michael Waltz
Status: Member
State/District: FL06

Filing Information

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 08/13/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bitcoin [OT] Description: Cryptocurrency		$50,001 - $100,000	None		☐
BoodleAI [OT] Description: Simple Agreement For Future Equity (SAFE)		$50,001 - $100,000	None		☐
Cresset Investment Account ⇒ Cresset-Diversified QOZ Fund II LP [HE] Description: Excepted investment fund		$250,001 - $500,000	None		☐
Cresset Investment Account ⇒ Fidelity Brokerage Sweep [BA]		$500,001 - $1,000,000	Interest	$1 - $200	☐
Cresset Investment Account ⇒ iShares National Muni Bond ETF (MUB) [EF]		$500,001 - $1,000,000	None		☑
Cresset Investment Account ⇒ Starwood Real Estate Investment Trust Class I [HE]		$250,001 - $500,000	Dividends	$15,001 - $50,000	☑
Cresset Investment Account ⇒ Virtus Asset Trust - Virtus Seix Floating Rate High Income Fund (SAMBX) [MF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Cresset Investment Account ⇒ Wise Origin Bitcoin Index Fund I, LP [HE] DESCRIPTION: Excepted Investment Fund		$15,001 - $50,000	None		✓
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		$100,001 - $250,000	Capital Gains, Dividends	$15,001 - $50,000	✓
Fidelity Brokerage ⇒ Fidelity Sweep Account [BA]		$100,001 - $250,000	Interest	$201 - $1,000	☐
Fidelity Brokerage ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		$100,001 - $250,000	Dividends	$2,501 - $5,000	✓
Fidelity Brokerage ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		$100,001 - $250,000	Dividends	$201 - $1,000	✓
Fidelity Brokerage ⇒ JP Morgan Equity Income Fund Cl A (OIEIX) [MF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ MainStay MacKay High Yield Municipal Bond Fund (MMHIX) [MF]		None	Dividends	$201 - $1,000	✓
Fidelity Brokerage ⇒ Nuveen All-American Municipal Bond Fund Class I (FAARX) [MF]		None	Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ Nuveen Preferred Securities & Income Fund Class I (NPSRX) [MF]		None	Capital Gains, Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ Schwab US Large Cap Value (SCHV) [EF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ SPDR Gold Shares (GLD) [EF]		$100,001 - $250,000	None		☐
Fidelity Brokerage ⇒ SPDR S&P 500 ETF (SPY) [EF]		$500,001 - $1,000,000	Capital Gains, Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Jun. 16, 2023, $335, Put		$15,001 - $50,000	None		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Dec. 5, 2023, $355, Put		$15,001 - $50,000	None		✓
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		$1 - $1,000	Dividends	$5,001 - $15,000	✓
Fidelity Brokerage ⇒ Vanguard Limited-Term Tax-Exempt Fund Admiral Shares (VMLUX) [MF]		$250,001 - $500,000	Dividends	$5,001 - $15,000	
Fidelity Brokerage ⇒ Vanguard Mega Cap Growth Index Fund (MGK) [EF]		None	Capital Gains, Dividends	$15,001 - $50,000	✓
Fidelity Brokerage ⇒ Vanguard Russell 1000 Value Index Fund (VONV) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	
Fidelity Brokerage ⇒ Vanguard Russell 2000 Value Index Fund (VTWV) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		$500,001 - $1,000,000	Dividends	$2,501 - $5,000	✓
Fidelity Brokerage ⇒ Vanguard Small Cap Growth Index Fund (VBK) [EF]		$1,001 - $15,000	Dividends	$1 - $200	
Fidelity Brokerage ⇒ Variant Alternative Income Fund (NICHX) [MF]		$250,001 - $500,000	Dividends	$15,001 - $50,000	
Fidelity Brokerage ⇒ Versus Capital Multi-Manager Real Estate Income Fund LLC (VCMIX) [MF]		$250,001 - $500,000	Dividends	$1,001 - $2,500	✓
Fidelity Brokerage ⇒ Virtus Asset Trust - Virtus Seix Floating Rate High Income Fund (SAMBX) [MF]		None	Dividends	$1,001 - $2,500	✓
Fidelity Brokerage Sweep Account [BA]	DC	$15,001 - $50,000	Interest	$1 - $200	
Fidelity IRA ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		$50,001 - $100,000	Tax-Deferred		✓
Fidelity IRA ⇒ Fidelity Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		$50,001 - $100,000	Tax-Deferred		✓
Fidelity IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		$50,001 - $100,000	Tax-Deferred		
Fidelity IRA ⇒ Variant Alternative Income Fund (NICHX) [MF]		$100,001 - $250,000	Tax-Deferred		
Fidelity Roth IRA ⇒ Fidelity Brokerage Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		
Fidelity Roth IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		$50,001 - $100,000	Tax-Deferred		✓
Fidelity SEP IRA ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		$50,001 - $100,000	Tax-Deferred		
Fidelity SEP IRA ⇒ Fidelity Brokerage Sweep Account [IH]		$1,001 - $15,000	Tax-Deferred		
Fidelity SEP IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		$100,001 - $250,000	Tax-Deferred		✓
Fidelity SEP IRA ⇒ Variant Alternative Income Fund (NICHX) [MF]		$50,001 - $100,000	Tax-Deferred		
Hamlin Income Fund, LP (EIF) [HE]		$250,001 - $500,000	None		
Money Market Savings [BA]		$15,001 - $50,000	Interest	$1 - $200	
Promissory Note from Askari Associates, LLC [DO]		None	Interest, Repayment	$50,001 - $100,000	
Publishing Agreement with Brave Books, LLC [OT] DESCRIPTION: Publishing agreement to earn royalties at customary market terms		Undetermined	Royalties	$5,001 - $15,000	
Publishing Agreement with Potomac Books for "Warrior Diplomat: A Green Beret's Battles from Washingt [IP] DESCRIPTION: Royalties are paid to filer directly via University of Nebraska Press		Undetermined	Royalties	$201 - $1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
USAA Savings Account [BA]	SP	$1,001 - $15,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Cresset Investment Account ⇒ iShares National Muni Bond ETF (MUB) [MF]		12/5/2022	P	$500,001 - $1,000,000	
Cresset Investment Account ⇒ MainStay MacKay High Yield Municipal Bond Fund (MMHIX) [MF]		02/16/2022	S	$100,001 - $250,000	☐
Cresset Investment Account ⇒ Starwood Real Estate Investment Trust Class I [HE]		Monthly	P	$1,001 - $15,000	
Cresset Investment Account ⇒ Virtus Asset Trust - Virtus Seix Floating Rate High Income Fund (SAMBX) [MF]		05/18/2022	S	$250,001 - $500,000	☐
Cresset Investment Account ⇒ Wise Origin Bitcoin Index Fund I, LP [HE]		01/19/2022	P	$50,001 - $100,000	
Fidelity Brokerage ⇒ Akre Focus Fund (AKRIX) [MF]		10/4/2022	S (partial)	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Akre Focus Fund (AKRIX) [MF]		11/17/2022	S	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		05/17/2022	S (partial)	$250,001 - $500,000	☑
Fidelity Brokerage ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		12/2/2022	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		10/5/2022	S (partial)	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		11/18/2022	P	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Brokerage ⇒ JP Morgan Equity Income Fund Cl A (OIEIX) [MF]		10/4/2022	S (partial)	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Nuveen All-American Municipal Bond Fund Class I (FAARX) [MF]		06/3/2022	P	$250,001 - $500,000	
Fidelity Brokerage ⇒ Nuveen All-American Municipal Bond Fund Class I (FAARX) [MF]		12/2/2022	S	$250,001 - $500,000	☐
Fidelity Brokerage ⇒ Nuveen Preferred Securities & Income Fund Class I (NPSRX) [MF]		02/16/2022	S	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Nuveen Preferred Securities & Income Fund Class I (NPSRX) [MF]		12/2/2022	S	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Schwab U.S. Large-Cap Value ETF (SCHV) [EF]		12/12/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Jun. 16, 2023, $470, Call		04/28/2022	S	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Jun. 16, 2023, $335, Put		04/28/2022	S	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Jun. 16, 2023, $395, Put		04/28/2022	P	$15,001 - $50,000	
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Dec. 15, 2023, $440, Call		10/6/2022	S	$1,001 - $15,000	☐
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP] DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Dec. 15, 2023, $355, Put		10/6/2022	P	$15,001 - $50,000	
Fidelity Brokerage ⇒ SPDR S&P 500 (SPY) [OP]		10/6/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: SPDR S&P 500 ETF Trust (SPY), Dec. 15, 2023, $300, Put					
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		Quarterly	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		02/16/2022	S (partial)	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		04/11/2023	S (partial)	$50,001 - $100,000	☐
Fidelity Brokerage ⇒ Vanguard Intermediate Term Tax Exempt (VWIUX) [MF]		12/2/2022	S	$250,001 - $500,000	☐
Fidelity Brokerage ⇒ Vanguard Mega Cap Growth Index Fund (MGK) [EF]		10/5/2022	S	$15,001 - $50,000	☑
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		02/2/2022	S (partial)	$15,001 - $50,000	☐
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		04/11/2022	S (partial)	$50,001 - $100,000	☐
Fidelity Brokerage ⇒ Vanguard Short Term Tax Exempt Admiral (VWSUX) [MF]		12/2/2022	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ Versus Capital Multi-Manager Real Estate Income Fund LLC (VCMIX) [MF]		04/12/2022	P	$250,001 - $500,000	
Fidelity Brokerage ⇒ Virtus Asset Trust - Virtus Seix Floating Rate High Income Fund (SAMBX) [MF]		02/16/2022	P	$100,001 - $250,000	
Fidelity Brokerage ⇒ Virtus Asset Trust - Virtus Seix Floating Rate High Income Fund (SAMBX) [MF]		05/18/2022	S	$100,001 - $250,000	☐
Fidelity IRA ⇒ Akre Focus Fund (AKRIX) [MF]		12/2/2022	S	$50,001 - $100,000	☐
Fidelity IRA ⇒ Cliffwater Corporate Lending Fund (CCLFX) [MF]		02/14/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity Roth IRA ⇒ ARK Innovation ETF (ARKK) [EF]		02/15/2022	S	$50,001 - $100,000	☐
Fidelity Roth IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		02/15/2022	P	$50,001 - $100,000	
Fidelity SEP IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		12/5/2022	P	$50,001 - $100,000	
Fidelity SEP IRA ⇒ ProShares S&P 500 Dividend Aristocrats ETF (NOBL) [EF]		02/15/2022	P	$1,001 - $15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
BRAVE BOOKS, LLC	Royalties	$11,000.00
Julia Nesheiwat LLC	Spouse consulting fees	N/A
TC Energy	Spouse salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Village Capital	August 2020	Mortgage	$500,001 - $1,000,000
SP	Nelnet	May 1998	Student loans	$15,001 - $50,000
SP	USAA	January 2015	Credit card	$10,000 - $15,000
JT	Fidelity	June 2022	Margin loan	$500,001 - $1,000,000

Schedule E: Positions

Position	Name of Organization
Directors	Arms Wide Open Foundation
Member	Warrior Diplomat, LLC

Schedule F: Agreements

Date	Parties To	Terms of Agreement
June 2012	Potomac Books; Filer	Publishing agreement to earn royalties from sales of "Warrior Diplomat" - also described on Schedule A
January 2022	Brave Books, LLC; Filer	Publishing agreement to earn royalties from book sales - also described on Schedule A

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Cresset Investment Account
  Location: US
- Fidelity Brokerage
  Location: US
- Fidelity IRA
- Fidelity Roth IRA
- Fidelity SEP IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Waltz , 08/13/2023