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FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Neal Patrick MD, Facs Dunn
Status: Member
State/District: FL02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #1 ⇒ AP LARGE CAP GROWTH FUND AD CL [APGYX] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ ISHARES CORE S&P 500 ETF [IVV] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PGIM SHORT DURATION HIGH YIELD INCOME FUND CLASS Z (HYSZK) [MF] [MF]					
IRA #1 ⇒ PGIM TOTAL RETURN BOND FUND CLASS Z (PDBZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #1 ⇒ VANGUARD INTERNATIONAL GROWTH FUND (VWIGX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ KeyCorp (KEY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL [MGGIX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #2 ⇒ Regions Financial Corporation (RF) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ Southern Company (SO) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ VANGUARD HIGH DVD YIELD [VYM] [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #2 ⇒ VANGUARD INTERNATIONAL GROWTH FUND (VWIGX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ BA CA SWEEP ACCOUNT [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Cadence Bank (CADE) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Capital One Financial Corporation (COF) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA #3 ⇒ FLAHERTY AND CRUMRINE DYNAMIC PREFERRED [DFP] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ ISHARES US AEROSPACE [ITA] [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Johnson & Johnson (JNJ) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ KeyCorp (KEY) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL [MGGIX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Regions Financial Corporation (RF) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ SECTOR SPDR ENERGY [XLE] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ T ROWE CAPITAL APPRECIATION FUND INV CL [PRWCX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Truist Financial Corporation (TFC) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
U.S. Bancorp (USB) [ST]					<input type="checkbox"/>
IRA #3 ⇒ VANGUARD HIGH DVD YIELD [VYM] [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ VANGUARD INTERNATIONAL GROWTH FUND (VWIGX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ WASATCH SMALL CAP GR FD CL INV [WAAEX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA #3 ⇒ Wells Fargo & Company (WFC) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DIAMOND HILL LARGE CAP FD CL I [DHLRX] [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 ETF [IVV] [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES EDGE MSCI [USMV] [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS VALUE FD CL I [MEIIX] [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ML BANK SWEEP ACCOUNT [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PGIM JENNISON GLOBAL OPPORTUNITIES FUND CL Z [PRJZX] [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ SPDR BLOOMBERG 1-3 MNTH T BILL ETF [BIL] [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ BANK OF AMERICA SWEEP ACCOUNT [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ DIAMOND HILL LARGE CAP FD CL I [DHLRX] [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[GOVXX] [MF]					
NP DUNN TLF PLEDGE ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES CORE S&P 500 [IVV] [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES EDGE MSCI MIN VOL USA ETF [USMV] [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS VALUE FD CL I [MEIIX] [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL [MGGIX] [MF]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ PGIM JENNIFON GLOBAL OPPORTUNITIES FUND CL Z [PRJZX] [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ SPDR BLOOMBERG 1-3 MNTH T BILL [BIL] [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #2 ⇒ KeyCorp (KEY) [ST]		08/10/2022	P	\$1,001 - \$15,000	
IRA #2 ⇒ PGIM TOTAL RETURN BOND FUND CLASS Z (PDBZX) [MF]		08/31/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA #3 ⇒ AT&T Inc. (T) [ST]		08/15/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ Cadence Bank (CADE) [ST]		08/15/2022	P	\$1,001 - \$15,000	
IRA #3 ⇒ Cadence Bank (CADE) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ Capital One Financial Corporation (COF) [ST]		08/15/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ Capital One Financial Corporation (COF) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ Flaherty & Crumrine Dynamic Preferred and Income Fund Inc. (DFP) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		03/14/2022	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA #3 ⇒ ISHARES US AEROSPACE [ITA] [EF]		03/2/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ JP Morgan Chase & Co. (JPM) [ST]		08/15/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ JP Morgan Chase & Co. (JPM) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ KeyCorp (KEY) [ST]		08/15/2022	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA #3 ⇒ KeyCorp (KEY) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ MFS INTL INTRINSIC VALUE FUND CL I [MINIX] [MF]		03/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #3 ⇒ PGIM TOTAL RETURN BOND FUND CLASS Z (PDBZX) [MF]		03/14/2022	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA #3 ⇒ Regions Financial Corporation (RF) [ST]		08/15/2023	P	\$15,001 - \$50,000	
IRA #3 ⇒ Regions Financial Corporation (RF) [ST]		08/10/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ Truist Financial Corporation (TFC) [ST]		08/15/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ U.S. Bancorp (USB) [ST]		08/15/2022	P	\$15,001 - \$50,000	
IRA #3 ⇒ VANGUARD HIGH DVD YIELD ETF [VYM] [EF]		03/24/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA #3 ⇒ Wells Fargo & Company (WFC) [ST]		08/15/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ AMERICAN CENTURY SMALL CAP VALUE FD CL I [ACVIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		07/27/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		02/10/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE IVY SYSTEMATIC EMRG MRKTS EQ FD CL I [IPOIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL [DVHIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL [DVHIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL [DVHIX] [MF]		05/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL [DVHIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]		05/12/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DIAMOND HILL LARGE CAP FD CL I [DHLRX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DIAMOND HILL LARGE CAP FD CL I [DHLRX] [MF]		07/27/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ DIAMOND HILL LARGE CAP FD CL I [DHLRX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		08/17/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ EVENTIDE GILEAD FD CLASS I [ETILX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		11/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		09/29/2022	S	\$100,001 - \$250,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		09/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		08/3/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		07/27/2022	P	\$50,001 - \$100,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		07/13/2022	P	\$100,001 - \$250,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		09/29/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		08/30/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		08/3/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		07/27/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		05/12/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		08/30/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		08/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		07/27/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		07/13/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES CORE S&P 500 [IVV] [EF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES EDGE MSCI MIN VOL USA [USMV] [EF]		09/29/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		11/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		09/29/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒		09/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES RUSSELL 1000 [IWD] [MF]					<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		08/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		08/3/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		07/13/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		08/17/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		07/27/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		05/12/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		07/27/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		02/10/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		09/29/2022	P	\$50,001 - \$100,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		09/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		07/27/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		05/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		05/12/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		09/29/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		08/30/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		05/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		05/12/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒		11/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MFS VALUE FD CL I [MEIIX] [MF]					
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS VALUE FD CL I [MEIIX] [MF]		08/17/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS VALUE FD CL I [MEIIX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS VALUE FD CL I [MEIIX] [MF]		07/27/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MFS VALUE FD CL I [MEIIX] [MF]		05/12/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MORGAN STANLEY INTL OPPORTUNITY PORT CL I [MIOIX] [MF]		05/12/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MORGAN STANLEY INTL OPPORTUNITY PORT CL I [MIOIX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		08/30/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		08/3/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL AMER MUNI BOND FD CL I [FAARX] [MF]		09/29/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL AMER MUNI BOND FD CL I [FAARX] [MF]		08/3/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL AMER MUNI BOND FD CL I [FAARX] [MF]		07/27/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		09/29/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		08/30/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		08/3/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		07/13/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN SHORT DURATION HI YLD MUNI BD FD CLASS I [NVHIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN SHORT DURATION HI YLD MUNI BD FD CLASS I [NVHIX] [MF]		05/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ NUVEEN SHORT DURATION HI YLD MUNI BD FD CLASS I [NVHIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PGIM JENNISON GLOBAL OPPORTUNITIE FUND CL Z FUND [PRJZX] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PGIM JENNISON GLOBAL OPPORTUNITIES FUND CL Z [PRJZX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PGIM JENNISON GLOBAL OPPORTUNITIES FUND CL Z [PRJZX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		07/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ PRINCIPAL MIDCAP FUND CLASS I [PCBIX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ SPDR BLOOMBERG 1-3 MNTH T BILL ETF [BIL] [EF]		09/29/2022	P	\$100,001 - \$250,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		07/13/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		05/18/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ T ROWE PRICE HEALTH SCIENCES FD INV CL [PRHSX] [MF]		01/31/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ WASATCH SMALL CAP GR FD CL INV [WAAEX] [MF]		05/12/2022	P	\$15,001 - \$50,000	
Neal P Dunn Irrevocable Trust UAD 1996 ⇒ WASATCH SMALL CAP GR FD CL INV [WAAEX] [MF]		01/31/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		07/13/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ AB LARGE CAP GROWTH FUND ADV CL [APGYX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ AMERICAN CENTURY SMALL CAP VALUE FD CL I [ACVIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ BLACKROCK EQTY DIVIDEND FUND INSTL [MADVX] [MF]		07/27/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ DELAWARE IVY SYSTEMATIC EMRG MRKTS EQ FD CL I [IPOIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL [DVHIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ DELAWARE SMID CAP GROWTH INSTL (DFDIX) [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
EVENTIDE GILEAD FD CLASS I [ETILX] [MF]					
NP DUNN TLF PLEDGE ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		08/3/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		07/27/2022	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ FEDERATED HERMES GOVT OBLIGATIONS FD CL ADV [GOVXX] [MF]		07/13/2022	P	\$50,001 - \$100,000	
NP DUNN TLF PLEDGE ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		09/29/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		07/27/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ INVESCO QQQ TR SER 1 [QQQ] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		09/29/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		07/13/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES RUSSELL 1000 [IWD] [MF]		04/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		07/27/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ ISHARES TR RUSSELL 2000 [IWM] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP DUNN TLF PLEDGE ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		09/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ JOHN HANCOCK DISCIPLINED VALUE FUND CL I [JVLIX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		07/27/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ LORD ABBETT INTERMEDIATE TAX FREE FUND CL I [LAIIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		05/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS MUNI INCOME FD CL I [MIMIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MFS VALUE FD CL I [MEIIX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ MORGAN STANLEY SWEEP ACCOUNT [MF]		04/21/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NP DUNN TLF PLEDGE ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		12/21/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		08/3/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ MSIF GLOBAL OPPORTUNITY PORTFOLIO CL I [MGGIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL AMER MUNI BOND FD CL I [FAARX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL AMER MUNI BOND FD CL I [FAARX] [MF]		04/21/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		09/29/2022	P	\$15,001 - \$50,000	
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		07/13/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN ALL-AMER MUNI BD FD CL A [FLAAX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		08/3/2022	P	\$1,001 - \$15,000	
NP DUNN TLF PLEDGE ⇒ NUVEEN HIGH YIELD MUNI BOND FD CL I [NHMRX] [MF]		07/13/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒		09/29/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]					
NP DUNN TLF PLEDGE ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		05/18/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN HIGH YIELD MUNI BOND FUND CLASS A [NHMAX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN SHORT DURATION HI YLD MUNI BD FD CLASS I [NVHIX] [MF]		09/29/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ NUVEEN SHORT DURATION HI YLD MUNI BD FD CLASS I [NVHIX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ PGIM JENNISON GLOBAL OPPORTUNITIE FUND CL Z FUND [PRJZX] [MF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
NP DUNN TLF PLEDGE ⇒ SPDR BLOOMBERG 1-3 MNTH T BILL ETF [BIL] [EF]		09/29/2022	P	\$50,001 - \$100,000	
NP DUNN TLF PLEDGE ⇒ WASATCH SMALL CAP GR FD CL INV [WAAEX] [MF]		05/12/2022	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BANCORP	MAY 2018	REVOLVING LINE OF CREDIT	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
PARTNER COMMENTS: UNPAID	GULF RESOURCES, LLC
PRINCIPAL COMMENTS: UNPAID	NEAL P. DUNN CONSULTING
PARTNER COMMENTS: UNPAID	GLOBAL CT & PET IMAGING, LLC
PARTNER	PATIENT PRACTIONERS, LLC
PARTNER	WORLDWIDE EQUINE IMAGING, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- IRA #1 (Owner: SP)
DESCRIPTION: Held at Merrill Lynch
- IRA #2
DESCRIPTION: Held at Merrill Lynch
- IRA #3
DESCRIPTION: Held at Merrill Lynch
- Neal P Dunn Irrevocable Trust UAD 1996 (100% Interest)
DESCRIPTION: Owns 100% of USAA Life Insurance Policy.
- NP DUNN TLF PLEDGE

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Neal Patrick MD, Facs Dunn , 08/13/2023