Filing ID #10052058

# Financial Disclosure Report

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## Filer Information

**Name:** Hon. Michael John Gallagher
**Status:** Member
**State/District:** WI08

## Filing Information

**Filing Type:** Annual Report
**Filing Year:** 2022
**Filing Date:** 08/13/2023

## Schedule A: Assets and "Unearned" Income

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| 529 FBO Minor 1 ⇒ Voya Age 0-4 OP C (VWVBX) [MF] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Barclays Bank savings account [BA] | SP | $50,001 - $100,000 | Interest | $201 - $1,000 | ☐ |
| Chase Bank [BA] | JT | $15,001 - $50,000 | Dividends | $1 - $200 | ☐ |
| Clearbridge Large Cap Growth (SBLYX) [MF] | JT | $50,001 - $100,000 | Capital Gains, Dividends | $5,001 - $15,000 | ☑ |
| Delaware IVY Science and Technology (ISTIX) [MF] | JT | $50,001 - $100,000 | Dividends | $5,001 - $15,000 | ☑ |
| Ford Interest Advantage [BA] | SP | $50,001 - $100,000 | Interest | $1,001 - $2,500 | ☐ |
| Freedom Bicentennial UL [WU] | | $100,001 - $250,000 | None | | ☐ |
| Invesco Equal Weight S&P 500 (VADDX) [MF] | JT | $100,001 - $250,000 | Capital Gains, Dividends | $15,001 - $50,000 | ☑ |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
|---|---|---|---|---|---|
| iShares Russell 1000 Growth ETF (IWF) [EF] | JT | $100,001 - $250,000 | None | | ✓ |
| iShares Russell 1000 Value ETF (IWD) [EF] | JT | $15,001 - $50,000 | None | | ✓ |
| John Hancock 401k ⇒ Institutional Index Fund [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | |
| John Hancock 401k ⇒ New Horizons Fund [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| John Hancock 401k ⇒ Retirement 2040 Fund [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| John Hancock 401k ⇒ Retirement 2050 Fund [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| John Hancock 401k ⇒ Small Cap Value Fund [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| MFS Value Fund (MEIIX) [MF] | JT | $100,001 - $250,000 | Capital Gains, Dividends | $15,001 - $50,000 | ✓ |
| Morgan Stanley Cash Equivalent Account [BA] | JT | $1,001 - $15,000 | Interest | $1 - $200 | |
| Morgan Stanley IRA - SP ⇒ iShares Core MSCI EAFE ETF (IEFA) [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Morgan Stanley IRA - SP ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ✓ |
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Growth ETF (IWF) [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Value ETF (IWD) [MF] | SP | $15,001 - $50,000 | Tax-Deferred | | ✓ |
| Morgan Stanley IRA - SP ⇒ iShares Russell 2000 Growth ETF (IWO) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |
| Morgan Stanley IRA - SP ⇒ iShares Russell 2000 Value ETF (IWN) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > $1,000? |
| --- | --- | --- | --- | --- | --- |
| Morgan Stanley IRA - SP ⇒<br>iShares Russell Mid-Cap Growth ETF (IWP) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Morgan Stanley IRA - SP ⇒<br>iShares Russell Mid-Cap Value ETF (IWS) [MF] | SP | $1,001 - $15,000 | Tax-Deferred | | ☑ |
| Morgan Stanley IRA - SP ⇒<br>Morgan Stanley Brokerage Sweep Account [BA] | SP | $1,001 - $15,000 | Interest | $1 - $200 | ☐ |
| Roth IRA ⇒<br>Franklin Income ADV (FRIAX) [MF] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Roth IRA ⇒<br>Hartford Equity Inc (HQIIX) [MF] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Roth IRA ⇒<br>PGIM Jennison Gowth Z (PJFZX) [MF] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Roth IRA ⇒<br>Vanguard Large-Cap ETF - DNQ (VV) [ST] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| Roth IRA ⇒<br>Wisdomtree US Largecap Div Fnd (DLN) [MF] | | $1,001 - $15,000 | Tax-Deferred | | ☐ |
| USAA Bank Account [BA] | | $1,001 - $15,000 | Interest | $1 - $200 | ☐ |
| Vanguard Consumer Dsc ETC (VCR) [MF] | JT | $50,001 - $100,000 | Dividends | $201 - $1,000 | ☐ |
| Vanguard Financials ETF (VFH) [MF] | JT | $50,001 - $100,000 | Dividends | $1,001 - $2,500 | ☐ |
| Voya Age 0-4 OP C (VWVAX) [MF] | DC | $1,001 - $15,000 | Tax-Deferred | | ☑ |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule B: Transactions

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| 529 FBO Minor 1 ⇒<br>Voya Age 0-4 OP C (VWVAX) [MF] | | 04/11/2022 | P | $1,001 - $15,000 | |
| ClearBridge Large Cap Growth Fund (SBLYX) [MF] | | 12/14/2022 | S (partial) | $50,001 - $100,000 | ☐ |
| Delaware Ivy Science and Technology Fund Class I (ISTIX) [MF] | | 12/6/2022 | P | $1,001 - $15,000 | |
| Invesco Equally-Weighted S&P 500 Fund (VADDX) [MF] | | 04/11/2022 | S (partial) | $1,001 - $15,000 | ☑ |
| Invesco Equally-Weighted S&P 500 Fund (VADDX) [MF] | | 12/28/2022 | S (partial) | $15,001 - $50,000 | ☑ |
| iShares Russell 1000 Growth ETF (IWF) [EF] | | 12/14/2022 | P | $50,001 - $100,000 | |
| iShares Russell 1000 Growth ETF (IWF) [EF] | | 12/15/2022 | P | $1,001 - $15,000 | |
| iShares Russell 1000 Growth ETF (IWF) [EF] | | 12/20/2022 | P | $15,001 - $50,000 | |
| iShares Russell 1000 Value ETF (IWD) [EF] | | 12/28/2022 | P | $15,001 - $50,000 | |
| MFS Value Fund Class I (MEIIX) [MF] | | 12/2/2022 | S (partial) | $1,001 - $15,000 | ☑ |
| MFS Value Fund Class I (MEIIX) [MF] | | 12/28/2022 | S (partial) | $1,001 - $15,000 | ☑ |
| Morgan Stanley IRA - SP ⇒<br>iShares Core MSCI EAFE ETF (IEFA) [EF] | SP | 12/9/2022 | S (partial) | $1,001 - $15,000 | ☐ |
| Morgan Stanley IRA - SP ⇒<br>iShares Core MSCI EAFE ETF (IEFA) [EF] | SP | 03/18/2022 | P | $1,001 - $15,000 | |
| Morgan Stanley IRA - SP ⇒<br>iShares Core MSCI Emerging Markets ETF (IEMG) [EF] | SP | 12/9/2022 | P | $1,001 - $15,000 | |
| Morgan Stanley IRA - SP ⇒<br>iShares Core MSCI Emerging Markets ETF (IEMG) [EF] | SP | 03/18/2022 | P | $1,001 - $15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > $200? |
|---|---|---|---|---|---|
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 12/9/2022 | P | $1,001 - $15,000 | |
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Growth ETF (IWF) [EF] | SP | 06/10/2022 | P | $1,001 - $15,000 | |
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | 06/10/2022 | S (partial) | $1,001 - $15,000 | ☐ |
| Morgan Stanley IRA - SP ⇒ iShares Russell 1000 Value ETF (IWD) [EF] | SP | 03/18/2022 | S (partial) | $1,001 - $15,000 | ☐ |
| Morgan Stanley IRA - SP ⇒ iShares Russell Mid-Cap Value ETF (IWS) [EF] | SP | 12/9/2022 | S (partial) | $1,001 - $15,000 | ☐ |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

## Schedule C: Earned Income

| Source | Type | Amount |
|---|---|---|
| Force Residuals CA Inc. | Performance Residuals - Spouse | N/A |

## Schedule D: Liabilities

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|---|---|---|---|---|
| JT | Nicolet National Bank | July 2019 | Mortgage on Primary Residence | $100,001 - $250,000 |

## Schedule E: Positions

None disclosed.

## Schedule F: Agreements

None disclosed.

## Schedule G: Gifts

None disclosed.

## Schedule H: Travel Payments and Reimbursements

None disclosed.

## Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

## Schedule A and B Asset Class Details

- 529 FBO Minor 1
  Location: WI
- John Hancock 401k (Owner: SP)
- Morgan Stanley IRA - SP (Owner: SP)
- Roth IRA

## Exclusions of Spouse, Dependent, or Trust Information

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

## Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Michael John Gallagher , 08/13/2023