



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Rose Penelope Lagoc Yee
Status: Congressional Candidate
State/District: CA01

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2022
Filing Date: 05/17/2022
Period Covered: 01/01/2021– 05/16/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cash ⇒ Checking [BA]		\$50,001 - \$100,000	None		
Cash ⇒ Cryptocurrency [OT]		\$50,001 - \$100,000	None		
DESCRIPTION: Crypto currency jointly owned with spouse					
Cash ⇒ Savings Accounts [BA]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Green Retirement, Inc. [OL]	JT	\$1,000,001 - \$5,000,000	None		
LOCATION: Alameda, CA, US DESCRIPTION: Green Retirement, Inc. is a 401k consulting business jointly owned by my spouse and me.					
Investments ⇒ AMC Entertainment Holdings, Inc. Class A (AMC) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	None
Investments ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	\$2,501 - \$5,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Investments ⇒ Argo Blockchain plc - American Depository Shares (ARBK) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Investments ⇒ Array Technologies, Inc. (ARRY) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Atlas Crest Investment Corp. Units, each consisting of one Class A common stock, and one-third of on (ACIC.U) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ BlackBerry Limited (BB) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		\$100,001 - \$250,000	Capital Gains	\$100,001 - \$1,000,000	None
Investments ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		\$50,001 - \$100,000	None		
Investments ⇒ BYD Company Ltd H Shares (BYDDF) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	None
Investments ⇒ BYD Company Ltd Unsponsored ADR representing H Shares (BYDDY) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	None
Investments ⇒ Canadian Solar Inc. - common shares (CSIQ) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	\$1,001 - \$2,500
Investments ⇒ Canoo Inc. - Class A (GOEV) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Cenntro Electric Group Limited - Ordinary Shares (NAKD) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None
Investments ⇒ Clover Health Investments, Corp. - Class A Common stock (CLOV) [ST]		\$1 - \$1,000	None		
Investments ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]		\$50,001 - \$100,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Investments ⇒ Consolidated Water Co. Ltd. - Ordinary Shares (CWCO) [ST]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
Investments ⇒ Danaher Corporation (DHR) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	None
Investments ⇒ DiDi Global Inc. American Depositary Shares (DIDI) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ DocuSign, Inc. (DOCU) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None
Investments ⇒ Fortive Corporation (FTV) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Investments ⇒ GoPro, Inc. - Class A (GPRO) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ JinkoSolar Holding Company Limited American Depositary Shares (JKS) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None
Investments ⇒ Li Auto Inc. - American Depositary Shares (LI) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	None
Investments ⇒ Lucky Strike Res Ltd Ordinary Shares (LKYSF) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Nokia Corporation Sponsored American Depositary Shares (NOK) [ST]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	None
Investments ⇒ Ocean Power Technologies, Inc. (OPTT) [ST]		\$1 - \$1,000	None		
Investments ⇒ Organigram Holdings Inc. - Common Shares (OGI) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Palantir Technologies Inc. Class A (PLTR) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None
Investments ⇒ PayPal Holdings, Inc. (PYPL) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Investments ⇒ Peridot Acquisition Corp. Units, each consisting of one Class A ordinary share, and one-half of one (PDAC.U) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Slack Technologies, Inc. Class A (WORK) [ST]		\$1,001 - \$15,000	None		
Investments ⇒ Snap Inc. Class A (SNAP) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	None
Investments ⇒ Tesla, Inc. (TSLA) [ST]		\$500,001 - \$1,000,000	None		
Investments ⇒ United Airlines Holdings, Inc. (UAL) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	None
Investments ⇒ XPeng Inc. American depositary shares, each representing two Class A ordinary shares (XPEV) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	\$1,001 - \$2,500
Investments ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Clover Health Investments, Corp. - Class A Common stock (CLOV) [ST]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Organigram Holdings Inc. - Common Shares (OGI) [ST]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Palantir Technologies Inc. Class A (PLTR) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None
Roth IRA ⇒ Sundial Growers Inc. - Common Shares (SNDL) [ST]		\$1,001 - \$15,000	None		
Roth IRA ⇒ Tesla, Inc. (TSLA) [ST]		\$250,001 - \$500,000	None		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Green Retirement, Inc.	Salary	\$60,000.00	\$60,000.00
Green Retirement, Inc.	Spouse's Income	\$75,000.00	\$75,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Suntrust Bank	May 2014	Boat loan	\$50,001 - \$100,000
JT	Chase Bank	May 2021	Car loan	\$50,001 - \$100,000
JT	US Bank	October 2021	Car Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Co-Founder	WeTheChange
Chair, Social Good Advisory Board	Pink Panda Finance
Board Member	American Sustainable Business Council

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

o Cash LOCATION: US
o Investments LOCATION: US
o Roth IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Rose Penelope Lagoc Yee , 05/17/2022