



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Frank Pallotta  
**Status:** Congressional Candidate  
**State/District:** NJ05

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2022  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apollo Strategic Growth Capital Units, each consisting of one Class A Ordinary Share and one-third W (APSG.U) [ST]	JT	\$1,001 - \$15,000	None		
Apple Inc. (AAPL) [ST]	JT	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Armada Acquisition Corp. I (AACI) [ST]	JT	\$1 - \$1,000	None		
Armada Acquisition Corp. I - Warrant (AACIW) [ST]	JT	\$1 - \$1,000	None		
Bilander Acquisition Corp. - Class A (TWCB) [ST]	JT	\$1,001 - \$15,000	None		
Bilander Acquisition Corp. - Warrant (TWCBW) [ST]	JT	\$1 - \$1,000	None		
Climate Change Crisis Real Impact I Acquisition Corporation Units, each consisting of one share of C (CLII.U) [ST]	JT	\$1 - \$1,000	None		
Climate Real Impact Solutions II Acquisition Corporation Class A (CLIM) [ST]	JT	\$15,001 - \$50,000	None		
Colonnade Acquisition Corp. II Class A Ordinary Shares	JT	\$1,001 - \$15,000	None		

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(CLAA) [ST]					
Colonnade Acquisition Corp. II Warrants, each whole warrant exercisable for one Class A ordinary sha (CLAA.W) [ST]	JT	\$1 - \$1,000	None		
Fast Radius, Inc. - Class A (FSRD) [ST]	JT	\$1 - \$1,000	None		
Fast Radius, Inc. - Warrants (FSRDW) [ST]		\$1 - \$1,000	None		
Federated Hermes ETF Trust Federated Hermes Short Duration Corporate ETF (FCSH) [ST]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Fortress Capital Acquisition Corp. Class A Ordinary Shares (FCAX) [ST]	JT	\$15,001 - \$50,000	None		
Fortress Capital Acquisition Corp. Warrants, each whole warrant exercisable for one Class A ordinary (FCAX.W) [ST]	JT	\$1 - \$1,000	None		
Fortress Value Acquisition Corp. III Class A (FVT) [ST]	JT	\$15,001 - \$50,000	None		
Fortress Value Acquisition Corp. III Warrants, each whole warrant exercisable for one share of Class (FVT.W) [ST]	JT	\$1 - \$1,000	None		
GreenLight Biosciences Holdings, PBC (GRNA) [ST]	JT	\$1,001 - \$15,000	None		
GreenLight Biosciences Holdings, PBC - Warrant (GRNAW) [ST]	JT	\$1 - \$1,000	None		
iShares 10-20 Year Treasury Bond ETF (TLH) [ST]	JT	\$1 - \$1,000	None		
iShares Core S&P 500 ETF (IVV) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares Core S&P Small-Cap ETF (IJR) [ST]	JT	\$1,001 - \$15,000	None		
iShares Core Total USD Bond Market ETF (IUSB) [ST]	JT	\$1,001 - \$15,000	None		
iShares ESG Aware MSCI EM ETF (ESGE) [ST]	JT	\$1,001 - \$15,000	None		
iShares ESG Aware MSCI USA ETF (ESGU) [ST]	JT	\$15,001 - \$50,000	None		

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iShares Global Tech ETF (IXN) [ST]	JT	\$1,001 - \$15,000	None		
iShares GSCI Commodity Dynamic Roll Strategy ETF (COMT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
iShares Healthcare Prov [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) [ST]	JT	\$15,001 - \$50,000	None		
iShares MSCI [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
iShares MSCI EAFE ETF (EFA) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares MSCI EAFE Growth ETF (EFG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
iShares MSCI EAFE Growth ETF (EFG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
iShares MSCI EAFE Value ETF (EFV) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
iShares MSCI Emerging Index Fund (EEM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares MSCI USA Min Vol Factor ETF (USMV) [ST]	JT	\$1,001 - \$15,000	None		
iShares MSCI USA Value Factor ETF (VLUE) [ST]	JT	\$1 - \$1,000	None		
iShares Russell 1000 ETF (IWB) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
iShares Russell 1000 Growth ETF (IWF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Russell 1000 Value ETF (IWD) [ST]	DC	\$15,001 - \$50,000	None		

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iShares Russell 1000 Value ETF (IWD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Russell 2000 ETF (IWM) [ST]	JT	\$15,001 - \$50,000	None		
iShares Russell Mid-Cap ETF (IWR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares Russell Mid-Cap ETF (IWR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
iShares TIPS Bond ETF (TIP) [ST]	JT	\$1,001 - \$15,000	None		
iShares U.S. Energy ETF (IYE) [ST]	JT	\$15,001 - \$50,000	None		
iShares U.S. Treasury Bond ETF (GOVT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$1 - \$200
John Hancock Life Insurance Policy [WU]	JT	\$250,001 - \$500,000	None		
Local Bounti Corporation (LOCL) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	None
Local Bounti Corporation Redeemable Warrants, each whole warrant exercisable for one share of Common (LOCL.W) [ST]	JT	\$1 - \$1,000	None		
LVG Ownership [OL]	JT	\$100,001 - \$250,000	None		
LOCATION: Rumson, NJ, US DESCRIPTION: Partnership Interest					
Money Market Accts/Bank Accounts [BA]	JT	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
DESCRIPTION: Various Money Market Accounts					
NextEra Energy Partners, LP Common Units representing limited partner interests (NEP) [HE]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
NuScale Power Corporation Class A (SMR) [ST]	JT	\$1 - \$1,000	None		
Oyster Enterprises Acquisition Corp. - Class A (OSTR) [ST]	JT	\$1,001 - \$15,000	None		

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Oyster Enterprises Acquisition Corp. - Warrant (OSTRW) [ST]	JT	\$1 - \$1,000	None		
Pfizer, Inc. (PFE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Philip Morris International Inc (PM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	\$15,001 - \$50,000
Primary Residence [RP] LOCATION: Mahwah, NJ, US	JT	\$1,000,001 - \$5,000,000	None		
Progressive Corporation (PGR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Quantum FinTech Acquisition Corporation (QFTA) [ST]	JT	\$15,001 - \$50,000	None		
Quantum FinTech Acquisition Corporation Warrants, each warrant exercisable for one-half of one share (QFTA.W) [ST]	JT	\$1 - \$1,000	None		
RMG Acquisition Corp. III - Class A Ordinary Shares (RMGC) [ST]	JT	\$1,001 - \$15,000	None		
RMG Acquisition Corp. III - Warrant (RMGCW) [ST]	JT	\$1 - \$1,000	None		
Russell 1000 [EF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Russell 2000 [EF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Social Capital Hedosophia Holdings Corp. VI Class A Ordinary Shares (IPOF) [ST]	JT	\$15,001 - \$50,000	None		
Sports and other Memorabilia/Collectibles [CO]	JT	\$100,001 - \$250,000	None		
Sustainable Development Acquisition I Corp. - Class A (SDAC) [ST]	JT	\$15,001 - \$50,000	None		
Sustainable Development Acquisition I Corp. - Warrant (SDACW) [ST]	JT	\$1 - \$1,000	None		

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Swvl Holdings Corp - Warrant (SWVLW) [ST]	JT	\$1 - \$1,000	None		
Vanguard FTSE Emerging Markets ETF (VWO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard FTSE Emerging Markets ETF (VWO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard Short-Term Bond ETF (BSV) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Vanguard Short-Term Bond ETF (BSV) [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Vanguard Total Bond Market ETF (BND) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
VectoIQ Acquisition Corp. II - Class A (VTIQ) [ST]	JT	\$1,001 - \$15,000	None		
VectoIQ Acquisition Corp. II - Warrant (VTIQW) [ST]	JT	\$1 - \$1,000	None		
Verizon Communications Inc. (VZ) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Federal National Mortgage Association	1993	Home Mortgage	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Paradigm Quest LLC (Ontario, CA)	Advisor to a Canadian Mortgage Banking Firm

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Frank Pallotta , 05/16/2022