



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Elizabeth Mathis  
**Status:** Congressional Candidate  
**State/District:** IA02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2022  
**Filing Date:** 05/16/2022  
**Period Covered:** 01/01/2021– 04/30/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Aflac Pension Plan [DB]		Undetermined	None		
Aflac Pension Plan [DB]	SP	Undetermined	None		
Amperage, LLC [OL]	SP	None	Partnership Income	None	\$100,001 - \$1,000,000
LOCATION: Cedar Rapids, IA, US					
DESCRIPTION: Marketing & Fundraising					
COMMENTS: Mr. Mathis was a 1/3 owner of Amperage, LLC. The value reported represents his interest in the company. Mr. Mathis sold his interest in Amperage LLC on 12/31/21.					
Amperage, LLC 401(k) Plan ⇒ DFA Five-Year Global Fixed Income Portfolio Institutional Class (DFGBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA Inflation-Protected Securities Portfolio Institutional Class (DIPSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA Short-Term Government Portfolio Institutional Class (DFFGX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

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Amperage, LLC 401(k) Plan ⇒ DFA U.S. Large Cap Value Portfolio Institutional Class (DFLVX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Large Company Portfolio (DFUSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Micro Cap Portfolio Institutional Class (DFSCX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Small Cap Portfolio Institutional Class (DFSTX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Amperage, LLC 401(k) Plan ⇒ DFA U.S. Targeted Value Portfolio Institutional Class (DFVX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
CUNA Mutual Group Life Insurance [WU]	SP	\$1 - \$1,000	None		
Four Oaks Retirement Plan ⇒ Principal LifeTime Hybrid 2020 CIT [MF]		\$100,001 - \$250,000	Tax-Deferred		
Iowa Public Employees' Retirement System Defined Benefit Plan [DB]		\$15,001 - \$50,000	None		
ME & V Investments LLC ⇒ Commercial Real Estate [RP]	SP	\$500,001 - \$1,000,000	Partnership Income	\$5,001 - \$15,000	\$15,001 - \$50,000
LOCATION: Cedar Falls, IA, US					
COMMENTS: Mr. Mathis is a 1/3 owner of ME&V Investments LLC. The value reported represents his interest in the property.					
Northwestern Mutual Life Insurance [WU]	SP	\$1,001 - \$15,000	None		
Northwestern Mutual Life Insurance [WU]		\$15,001 - \$50,000	None		
Principal Rollover IRA ⇒ American Century Emerging Markets Fund I Class (AMKIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ American Century Emerging Markets Fund I Class (AMKIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

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Principal Rollover IRA ⇒ Bank Deposit [BA]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Bank Deposit [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ BlackRock Emerging Markets Fund, Inc. Institutional Shares (MADCX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ BlackRock Emerging Markets Fund, Inc. Institutional Shares (MADCX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Growth Opportunities Fund Class Z (FZAHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Growth Opportunities Fund Class Z (FZAHX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® International Capital Appreciation Fund Class Z (FIDZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® International Capital Appreciation Fund Class Z (FIDZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Real Estate Income Fund Class Z (FIKMX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Real Estate Income Fund Class Z (FIKMX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Strategic Income Fund Class Z (FIWDX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Strategic Income Fund Class Z (FIWDX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

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Principal Rollover IRA ⇒ Fidelity Advisor® Total Bond Fund Class Z (FBKWX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Fidelity Advisor® Total Bond Fund Class Z (FBKWX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ First Trust Capital Strength ETF (FTCS) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ First Trust Capital Strength ETF (FTCS) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P 500 ETF (IVV) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan Government Bond Fund Class I (HLGAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan Government Bond Fund Class I (HLGAX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan Mid Cap Growth Fund Class I (HLGEX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

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Principal Rollover IRA ⇒ JPMorgan Mid Cap Growth Fund Class I (HLGEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan U.S. Value Fund Class I (VGIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ JPMorgan U.S. Value Fund Class I (VGIIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Mid Cap Value Fund Class I (MCVIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Mid Cap Value Fund Class I (MCVIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Value Fund Class I (MEIIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ MFS Value Fund Class I (MEIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ PGIM Total Return Bond Fund -Class Z (PDBZX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PGIM Total Return Bond Fund -Class Z (PDBZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO Income Fund Class I-3 (PIPNX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO Income Fund Class I-3 (PIPNX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO RAE US Small Fund Institutional Class (PMJIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ PIMCO StocksPLUS® International Fund (Unhedged) Class I-3 (PSKNX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒		\$15,001 - \$50,000	Tax-Deferred		

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Transamerica International Equity I (TSWIX) [MF]					
Principal Rollover IRA ⇒ Transamerica International Equity I (TSWIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Transamerica Short-Term Bond Fund Class I (TSTIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Transamerica Short-Term Bond Fund Class I (TSTIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory Floating Rate Fund Class Y (RSFYX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory Floating Rate Fund Class Y (RSFYX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory RS Small Cap Growth Fund Class Y (RSYEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory Trivalent International Small-Cap Fund Class I (MISIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Principal Rollover IRA ⇒ Victory Trivalent International Small-Cap Fund Class I (MISIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Variable Life Insurance ⇒ BNY Melon Opportunistic Small Cap [MF]		\$1,001 - \$15,000	None		
Principal Variable Life Insurance ⇒ Fidelity VIP Equity Income [MF]		None	None		
Principal Variable Life Insurance ⇒ Invesco Core Equity [MF]		None	None		
Principal Variable Life Insurance ⇒ Principal Capital Appreciation [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒		\$15,001 - \$50,000	None		

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Principal Core Plus Bond [MF]					
Principal Variable Life Insurance ⇒ Principal Diversified International [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Equity Income [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Large Cap Growth I [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Mid Cap [MF]		\$15,001 - \$50,000	None		
Principal Variable Life Insurance ⇒ Principal Real Estate Securities [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Variable Life Insurance ⇒ Principal Short-Term Income [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Variable Life Insurance ⇒ Principal SmallCap [MF]		\$1,001 - \$15,000	Tax-Deferred		
Principal Variable Life Insurance ⇒ Putnam Growth Opportunities Fund Class A (POGAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
Ohnward Bank & Trust	Board Fees	N/A	\$3,250.00
Amperage, LLC	Spouse Salary	N/A	N/A
Four Oaks Family and Children's Services	Salary	N/A	\$16,988.79
State of Iowa	Salary	\$7,692.32	\$23,951.15

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Hartland Bank-Waterloo (f/k/a NXT Bank - Waterloo)	March 2018	Business Loans for Amperage, LLC	\$100,001 - \$250,000
	COMMENTS: The amount reported represents Mr. Mathis's share of the liability. As of present, Mr. Mathis no longer owes this liability.			
SP	Hartland Bank-Waterloo (f/k/a NXT Bank - Waterloo)	July 2021 (refinance)	Business Loans & Mortgage for ME & V Investments, LLC	\$100,001 - \$250,000
	COMMENTS: The amount reported represents Mr. Mathis's share of the liability.			
SP	U.S. Small Business Administration	April 2020 & February 2021	Paycheck Protection Program loans for Amperage, LLC	\$250,001 - \$500,000
	COMMENTS: Amperage LLC received two PPP loans. One in April 2020 and one in February, 2021. Both loans have since been fully forgiven in April 2021, and July 2021, respectively. The amount reported represents Mr. Mathis's share of the liability.			

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Ohnward Bank & Trust
COMMENTS: Resigned July 2021	
Board Member	Wartburg College Board of Regents
State Senator	Iowa Senate
Chief Community Officer	Four Oaks Family and Children's Services
COMMENTS: Resigned May 2021	

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- o Amperage, LLC 401(k) Plan (Owner: SP)
- o Four Oaks Retirement Plan
- o ME & V Investments LLC (Owner: SP)  
LOCATION: US

- Principal Rollover IRA (Owner: SP)
- Principal Rollover IRA
- Principal Variable Life Insurance

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Elizabeth Mathis , 05/16/2022