



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Dr. Asif Mahmood
Status: Congressional Candidate
State/District: CA40

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2022
Filing Date: 05/6/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| Ameritrade ⇒ Clover Health Investments, Corp. - Class A Common stock (CLOV) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ eHealth, Inc. (EHTH) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ Jumia Technologies AG American Depositary Shares, each representing two Ordinary Shares (JMIA) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ Riot Blockchain, Inc (RIOT) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ Shake Shack, Inc. Class A (SHAK) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ Snap Inc. Class A (SNAP) [ST] | | \$1,001 - \$15,000 | None | | |
| Ameritrade ⇒ Upwork Inc. (UPWK) [ST] | | \$1,001 - \$15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|-------------------------|--------------------------|-------------------------------|-------------------------|
| Ameritrade ⇒ VelocityShares 3X Inverse Natural Gas ETN linked to the S&P GSCI Natural Gas INdex Excess Return (DGAZ) [ST] | | \$1 - \$1,000 | None | | |
| Forever Young Anti-Aging Clinic Inc. (Health) [OL] LOCATION: Bradbury, CA, US DESCRIPTION: Health | | \$250,001 - \$500,000 | Payment for Services | None | \$15,001 - \$50,000 |
| Invesco 403(b) ⇒ Invesco Capital Appreciation Fund - A [MF] | | \$15,001 - \$50,000 | None | | |
| Invesco 403(b) ⇒ Invesco Dividend Income Fund - Class A [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | \$201 - \$1,000 |
| Pulmonary & Hospitalist Assoc, Inc. (Health) [OL] LOCATION: Bradbury, CA, US DESCRIPTION: Health | | \$500,001 - \$1,000,000 | Payment for Services | \$100,001 - \$1,000,000 | \$100,001 - \$1,000,000 |
| Springfield State Bank [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Wells Fargo 401(k) ⇒ Atossa Therapeutics, Inc. (ATOS) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo 401(k) ⇒ Global Brokerage, Inc. - Class A (GLBR) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo Bank Accounts [BA] | | \$500,001 - \$1,000,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| Wells Fargo Investment Account ⇒ Atossa Therapeutics, Inc. (ATOS) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Block, Inc. Class A Common Stock, (SQ) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ DraftKings Inc. - Class A (DKNG) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Fastly, Inc. Class A (FSLY) [ST] | | \$1,001 - \$15,000 | None | | |

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|---|--------------|-----------------------|-----------------------|--------------------------------------|------------------------------|
| Wells Fargo Investment Account ⇒ Jumia Technologies AG American Depositary Shares, each representing two Ordinary Shares (JMIA) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Moderna, Inc. (MRNA) [ST] | | \$15,001 - \$50,000 | None | | |
| Wells Fargo Investment Account ⇒ Ocular Therapeutix, Inc. (OCUL) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo Investment Account ⇒ Overstock.com, Inc. (OSTK) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Penn National Gaming, Inc. (PENN) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Roku, Inc. - Class A (ROKU) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Silk Road Medical, Inc. (SILK) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ StoneCo Ltd. - Class A Common Share (STNE) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Uber Technologies, Inc. (UBER) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo Investment Account ⇒ Wynn Resorts, Limited (WYNN) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Atossa Therapeutics, Inc. (ATOS) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Beyond Meat, Inc. - Common stock (BYND) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Block, Inc. Class A Common Stock, (SQ) [ST] | | \$1,001 - \$15,000 | None | | |

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| Wells Fargo IRA ⇒ FuelCell Energy, Inc. (FCEL) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo IRA ⇒ Jumia Technologies AG American Depositary Shares, each representing two Ordinary Shares (JMIA) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Nikola Corporation (NKLA) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Novavax, Inc. (NVAX) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Ocular Therapeutix, Inc. (OCUL) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo IRA ⇒ Peloton Interactive, Inc. (PTON) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Pinterest, Inc. Class A (PINS) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Renren Inc. American Depositary Shares, each representing fifteen Class A ordinary shares (RENN) [ST] | | \$1 - \$1,000 | None | | |
| Wells Fargo IRA ⇒ Roku, Inc. - Class A (ROKU) [ST] | | \$15,001 - \$50,000 | None | | |
| Wells Fargo IRA ⇒ Turning Point Therapeutics, Inc. - Common stock (TPTX) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Uber Technologies, Inc. (UBER) [ST] | | \$1,001 - \$15,000 | None | | |
| Wells Fargo IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Apple Inc. (AAPL) [ST] | | \$100,001 - \$250,000 | None | | |
| Western International Securities (WIS) ⇒ | | \$1,001 - \$15,000 | None | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Block, Inc. Class A Common Stock, (SQ) [ST] | | | | | |
| Western International Securities (WIS) ⇒ Carvana Co. Class A (CVNA) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Fastly, Inc. Class A (FSLY) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Fidelity Govt Mmkt Capital Reserves Cl [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Western International Securities (WIS) ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Jumia Technologies AG American Depositary Shares, each representing two Ordinary Shares (JMIA) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Netflix, Inc. (NFLX) [ST] | | \$15,001 - \$50,000 | None | | |
| Western International Securities (WIS) ⇒ Nikola Corporation (NKLA) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ RH (RH) [ST] | | \$15,001 - \$50,000 | None | | |
| Western International Securities (WIS) ⇒ Wayfair Inc. Class A (W) [ST] | | \$1,001 - \$15,000 | None | | |
| Western International Securities (WIS) ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST] | | \$1,001 - \$15,000 | None | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|-----------------------------------|--------|-------------------------------|-----------------------|
| Pulmonary Hospitalist Assoc, Inc. | Salary | \$173,192.34 | \$231,416.00 |

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

| Source (Name and Address) | Brief Description of Duties |
|---|------------------------------------|
| Noridian/Medicare (Fargo, ND, US) | Health Services |
| LA Care (Los Angeles, CA, US) | Health Services |
| Health Net (Woodland Hills, CA, US) | Health Services |
| Blue Cross Blue Shield (Chicago, IL, US) | Health Services |
| CareMore Health (Cerritos, CA, US) | Health Services |
| APA ACO Inc. (Alhambra, CA, US) | Health Services |
| Allied Pacific of California IPA (Alhambra, CA, US) | Health Services |
| Global Care Medica Group IPA (Tarzana, CA, US) | Health Services |
| Family Care Specialist IPA (Tarzana, CA, US) | Health Services |
| Imperial Health Holdings Medical Group (Pasadena, CA, US) | Health Services |
| Highland Healthcare Camellia Gardens LLC (Pasadena, CA, US) | Health Services |
| Kaiser Permanente (Oakland, CA, US) | Health Services |

SCHEDULE A ASSET CLASS DETAILS

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|--|
| o Ameritrade LOCATION: US |
| o Invesco 403(b) |
| o Wells Fargo 401(k) |
| o Wells Fargo Investment Account LOCATION: US |
| o Wells Fargo IRA |
| o Western International Securities (WIS) |

LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Dr. Asif Mahmood , 05/6/2022