

UNITED STATES HOUSE OF REPRESENTATIVES  
2021 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

(Office Use Only)

Name: VILENTE GONZALEZ Daytime Telephone: 202 225 1531

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

HAND  
DELIVERED

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TEXAS</u> District: <u>15</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2021 Annual (Due: May 18, 2022)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

LEGISLATIVE RESOURCE CENTER  
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**Use additional sheets if more space is required.**



Name: MILANTI GONZALEZ

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Name: VICENTE GONZALEZ Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any noncapital, list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME:** The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,595. The 2022 limit is \$29,895. In addition, certain types of income (notably noncapital, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

# **SCHEDULE D - LIABILITIES**

Name: VICENTE GONZALEZ Page 6 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Blank the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X							
	MASTER CARD	12/21	REVOLVING CREDIT		X									

## **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
OWNER + DIRECTOR	VICENTE GONZALEZ P.C.
OWNER + DIRECTOR	TENANT SERVICES LLC
OWNER + DIRECTOR	UNITED GUNNIAL LLC
OWNER + DIRECTOR	IRON HILL LLC
OWNER + DIRECTOR	IRON BRAND GUNNIAL LLC
OWNER + DIRECTOR	RENTALWASTE FARM LLC

# **SCHEDULE F - AGREEMENTS**

Name: VILENTE GONZALEZ

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

## **SCHEDULE G - GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$100 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Shrimp Pudding (prior determination of personal hospitality received from the Committee on Ethics)	\$500

Name: VILLENTE GONZALEZ Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCISE DEDUCTION:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7942), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]



Name: VICENTE GONZALEZ Page 9 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

**Use additional sheets if more space is required.**

**FILER NOTES**  
(Optional)

Name: VICTOR GONZALEZ Page 10 of 10

NOTE NUMBER	NOTES
(1)	SEE ATTACHED
(2)	SEE ATTACHED
(3)	WAS REFERRED OUT PROACTIVELY BY SOME REPORTED INCOME DEPENDENT MEMBER.
(4)	SEE ATTACHED PROPERTY
(5)	SEE ATTACHED PROPERTY
(6)	THE INCREASE IN THE BALANCE OF THE IRA (401A/COUNT DURING 2011 CAN BE ATtributed TO THE FACTUAL RECEIPT OF A PERMANENT LUMP SUM PAYOUT IN 2016.

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**Portfolio Summary**

Total Portfolio Value

**\$428,612.91**

1 Month Ago	\$419,962.04
1 Year Ago	\$380,671.15
3 Years Ago	\$354,552.28
5 Years Ago	\$227,843.41

**Your 2021 tax forms from Edward Jones**

Edward Jones will furnish all Forms 1099-R and 1099-Q by Jan. 31, 2022, and all Consolidated 1099 Tax Statements by Feb. 15, 2022, per IRS requirements. We may not receive final information from issuers by Feb. 15, in which case your tax statement will not be final. Some issuers have until March 15 to provide final information. Visit us at [edwardjones.com/taxcenter](http://edwardjones.com/taxcenter) to learn more about your Edward Jones tax forms.

**Stay on track in 2022**

As the year winds down, it's a great time to reflect on what changed and where you're headed. Together, we'll help you evaluate your finances, make changes if needed and keep you on track to bring you closer to the future you see for yourself. Contact your financial advisor to help ensure 2022 starts off right.

Overview of Accounts				
Accounts	Account Holder	Account Number	Value 1 Year Ago	Current Value
Simplified Employee Pension Select Account	Vicente Gonzalez	[REDACTED]	\$2.43	\$2.43
Individual Retirement Account	Vicente Gonzalez	[REDACTED]	\$7,011.01	\$7,987.81
Guided Solutions Fund Account	Vicente Gonzalez	[REDACTED]	\$373,657.71	\$420,622.67
Simplified Employee Pension Account	Vicente Gonzalez	[REDACTED]	\$380,671.15	\$428,612.91
Guided Solutions Flex Account	Vicente Gonzalez	[REDACTED]		
Total Accounts				

Although account information is provided on this page, it does not guarantee an actual statement was produced. Refer to your account statement for the exact registration and more specific details regarding each account.

Financial Foundation

Reaching your personal financial goals depends on a strong foundation. At Edward Jones, we believe that foundation consists of regular reviews of your goals and their time frames, your comfort with risk (such as market volatility) and the way assets are allocated within your portfolio. Following is a summary of your financial foundation based on your discussions with your financial advisor.

Retirement Goal for Vicente Gonzalez

Retirement		Planned Retirement		Desired Annual Spending		Retirement Portfolio Objective	
Risk Tolerance		Vicente to Retire at Age 65		\$48,000		Growth Focus	
Medium to High		Vicente to Retire at Age 65		\$48,000		Growth Focus	

The Desired Annual Spending amount does not include variable expenses or debt payments you may have discussed with your financial advisor.

Accounts Assigned to your Retirement Goal

Accounts	Account Holder	Account Number	Portfolio Objective - Account
Simplified Employee Pension Account Select	Vicente Gonzalez	[REDACTED]	Balanced Toward Growth
Individual Retirement Account Guided Solutions Fund Account	Vicente Gonzalez	[REDACTED]	Growth Focus Review Due in Dec 2022
Simplified Employee Pension Account Guided Solutions Flex Account	Vicente Gonzalez	[REDACTED]	Growth Focus Review Due in Dec 2022

Note: It is important to review your account(s) to keep your investments aligned with your risk tolerance and positioned to achieve your goal. Any Review Due dates above refer to dates by which you must complete your next annual review. Please contact your financial advisor to update any missing or outdated Financial Foundation information or to schedule your next annual review.

Important disclosures: such as Statement of Financial Condition, Conditions that Govern Your Account, Account Safety, Errors, Complaints, Withholding, Free Credit Balance, Fair Market Value or Termination, relating to your account(s) are available on the last page of this package or at [www.edwardjones.com/statementdisclosures](http://www.edwardjones.com/statementdisclosures).

Edward D Jones & Co Custodian  
V Gonzalez and Associates PC  
FBO Vicente Gonzalez SEP

**Access your accounts on the go**  
Online Access offers a secure and convenient way to access the latest information on your accounts and assets, transfer funds, sign and receive documents electronically and communicate with your Edward Jones team. Visit [edwardjones.com/accounts](http://edwardjones.com/accounts) to learn more and sign up.

## Simplified Employee Pension - Select

Custodian: Edward D. Jones & Company

Portfolio Objective - Account: Balanced Toward Growth

Account Value	
<b>\$2.43</b>	
1 Month Ago	\$2.43
1 Year Ago	\$2.43
3 Years Ago	\$348,322.31
5 Years Ago	\$227,843.41

Value Summary	
This Period	Ending Value
\$2.43	\$2.43
Beginning value	
\$2.43	
Assets added to account	0.00
Assets withdrawn from account	0.00
Fees and charges	0.00
Change in value	0.00

For more information regarding the Value Summary section, please visit [www.edwardjones.com/investorcenter](http://www.edwardjones.com/investorcenter).

Rate of Return				
Your Personal Rate of Return for Assets Held at Edward Jones				
This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
0.00%	0.00%	0.00%	-4.34%	-1.56%

Performance Benchmarks				
Large US Cap Equities (S & P 500)	11.03%	28.71%	28.71%	26.04%
International Equities (S & P 700)	2.81%	9.90%	9.90%	14.69%
Taxable Fixed Income (Bloomberg Aggregate)	0.01%	-1.54%	-1.54%	4.79%
				3.57%

Account Holder(s) Vicente Gonzalez  
Account Number [REDACTED]  
Financial Advisor Frank Queller, 855-630-0241  
4500 N. 10th St Suite 40, McAllen, TX 78504

Statement Date Sep 25 - Dec 31, 2021

Rate of Return (continued)

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

Performance Benchmarks: Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Rate of Return information on account statements uses the dollar-weighted calculation. Time-weighted Rate of Return numbers for Advisory Solutions Fund and UMA accounts can be found on your Quarterly Performance Report through Online Account Access. If you are not an Online Access user, visit [edwardjones.com/access](http://edwardjones.com/access) to sign up.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [edwardjones.com/performance](http://edwardjones.com/performance).

Rate of Return Indexes Disclosure

**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**Bloomberg Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

Asset Details (as of Dec 31, 2021)

Additional details at [www.edwardjones.com](http://www.edwardjones.com)

Assets Held At Edward Jones

	Current Yield/Rate	Beginning Balance	Deposits	Withdrawals	Ending Balance
Retirement Money Market	0.01%*	\$2.43	—	—	\$2.43

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Asset Details (continued)

Cash & Money Market					
Ending Balance					

\* The average yield on the money market fund for the past seven days.

Total Account Value	\$2.43
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Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.

Retirement Summary

This Period	Cumulative
2021 Contributions	\$0.00
2020 Contributions	0.00

Your Relationship and Mailing Group(s)

Relationship - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

Mailing Group - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account Select	VICENTE GONZALEZ [REDACTED]
[REDACTED]	Vicente Gonzalez	Individual Retirement Fund Account	
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account	
[REDACTED]	Vicente Gonzalez	Guided Solutions Flex Account	
[REDACTED]	Vicente Gonzalez	Guided Solutions Pension Account	

For more information on this relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.

Edward Jones Trust Co As Cust  
FBO Vicente Gonzalez IRA

### What matters most to you?

What makes a good financial strategy? It begins and ends with your goals. Understanding the "why" behind your priorities helps your financial advisor recommend a strategy personalized for you. If you haven't reviewed your goals with your financial advisor lately, set some time aside to ensure your strategy is aligned with what you want to achieve.

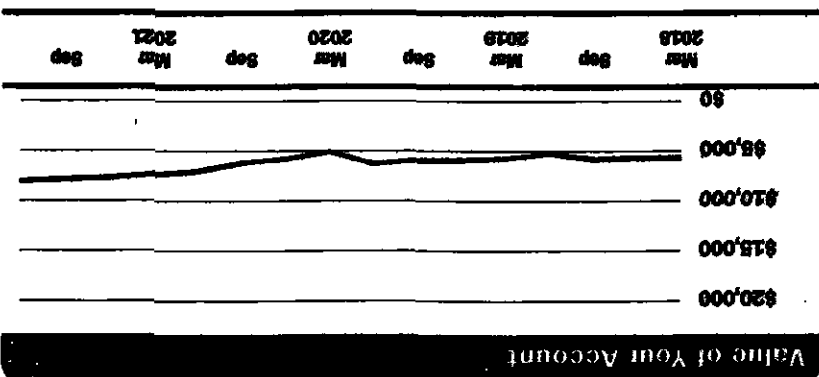
## Traditional Individual Retirement Account - Guided Solutions Fund Account

### Custodian: Edward Jones Trust Company

### Portfolio Objective - Account: Growth Focus

For more information about the Guided Solutions program go to [www.edwardjones.com/advisorbrochures](http://www.edwardjones.com/advisorbrochures).

Account Value	
<b>\$7,987.81</b>	
1 Month Ago	\$7,795.57
1 Year Ago	\$7,011.01
3 Years Ago	\$5,229.95
5 Years Ago	\$0.00



Value Summary		
Beginning Value	\$7,795.57	This Period
Assets Added to Account	0.00	
Assets Withdrawn from Account	0.00	
Fees and Charges	-10.00	
Change in Value	202.24	
Ending Value	\$7,987.81	

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).



Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	Performance Benchmarks			
	This Quarter	Year to Date	Last 12 Months	3 Years Annualized
—	5.09%	13.93%	13.93%	15.15%

Large US Cap Equities (S & P 500)	11.03%	28.71%	28.71%	28.04%	18.46%
International Equities (S & P 700)	2.81%	9.90%	9.90%	14.69%	10.64%
Taxable Fixed Income (Bloomberg Aggregate)	0.01%	-1.54%	-1.54%	4.79%	3.57%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

Performance Benchmarks: Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees. The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Rate of Return information on account statements uses the dollar-weighted calculation. Time-weighted Rate of Return numbers for Advisory Solutions Fund and UMA accounts can be found on your Quarterly Performance Report through Online Account Access. If you are not an Online Access user, visit [edwardjones.com/access](http://edwardjones.com/access) to sign up. Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information. For the most current information, contact your financial advisor or visit [edwardjones.com/performance](http://edwardjones.com/performance).

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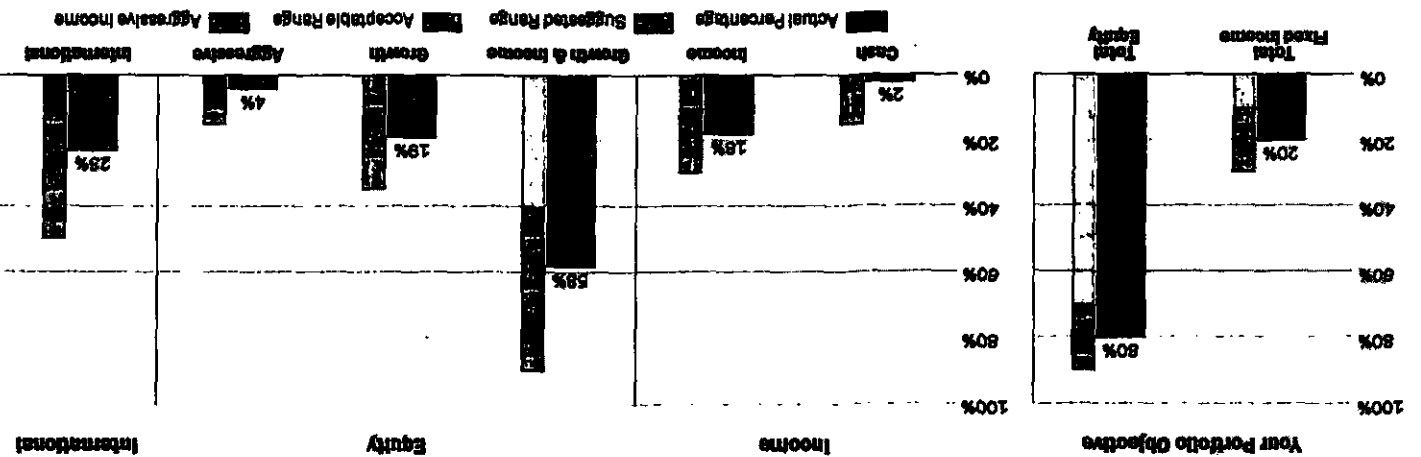
**S&P 700 Index:** The S&P 700 Index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**Bloomberg Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

Edward Jones Guided Solutions™

Portfolio Objective: Growth Focus

Comparing Your Diversification to Your Portfolio Objective



Retirement Fee Notice

Asset Details (as of Dec 31, 2021)

Additional details at [www.edwardjones.com/pensions](http://www.edwardjones.com/pensions)

Assets Held At Edward Jones

Beginning Balance	Deposits	Withdrawals	Ending Balance
\$9.99	\$5.60	—	\$15.59

\* The average yield on the money market fund for the past seven days.

Exchange Traded & Closed End Funds	Price	Quantity	Value	Rate of Return*
Shares S&P Midcap 400 Value Fund Symbol: UJ Asset Category: Growth Estimated Yield: 1.84%	110.68	11	1,217.48	12.49%

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

Asset Details (continued)

Mutual Funds	Price	Quantity	Value	Rate of Return*
Federated Hermes Government Obligations CI Fm Symbol: GOFXX Asset Category: Cash/Equivalents	1.00	126.89	126.89	1.16%
Franklin Growth CI R6 Symbol: FIFRX Asset Category: Growth & Income	161.21	11.834	1,789.42	24.56%
Invesco Core Plus Bond CI R6 Symbol: CPBFX Asset Category: Income	11.04	65.629	724.54	5.43%
John Hancock Bond CI R6 Symbol: JHBSX Asset Category: Income	16.17	44.989	727.47	5.20%
MFS International Diversification CI R6 Symbol: MDIZX Asset Category: Growth & Income	28.07	67.655	1,896.11	8.72%
MFS Value CI R6 Symbol: MEIKX Asset Category: Growth & Income	54.33	31.112	1,690.31	11.13%
Total Account Value				\$7,987.81

\*Your Rate of Return for each individual asset above is as of December 31, 2021. Returns greater than 12 months are annualized.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [www.edwardjones.com/performance](http://www.edwardjones.com/performance).

Retirement Summary

This Period	Cumulative
2021 Contributions	\$0.00
2020 Contributions	0.00

Account Holder(s) Vicente Gonzalez  
Principal Advisor Frank Cuellar, 956-630-0241  
5500 N. Loop St Suite 40, McAllen, TX 78504

Statement Date Nov 27 - Dec 31, 2021

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Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/01	Dividend on Invesco Core Plus Bond RE on 64,848 Shares at Daily Accrual Rate		\$1.50
12/01	Reinvestment into Invesco Core Plus Bond RE @ 11.17	0.134	-1.50
12/01	Dividend on Jh Bond RE on 44,611 Shares at Daily Accrual Rate		1.98
12/01	Reinvestment into Jh Bond RE @ 16.30	0.121	-1.98
12/02	Long Term Capital Gain on Franklin Growth RE on 10,793 Shares @ 13.761		148.53
12/02	Dividend on Franklin Growth RE on 10,793 Shares @ 0.088		1.06
12/02	Short Term Capital Gain on Franklin Growth RE on 10,793 Shares @ 0.058		0.63
12/02	Reinvestment into Franklin Growth RE @ 144.17	0.004	-0.63
12/02	Reinvestment into Franklin Growth RE @ 144.17	0.007	-1.06
12/02	Reinvestment into Franklin Growth RE @ 144.17	1.03	-148.53
12/10	Program Fee		-10.00
12/13	Sell Federated Govt Obligations Fm @ 1.00	-10	10.00
12/15	Long Term Capital Gain on Invesco Core Plus Bond RE on 64,982 Shares @ 0.103		6.66
12/15	Short Term Capital Gain on Invesco Core Plus Bond RE on 64,982 Shares @ 0.007		0.47
12/15	Reinvestment into Invesco Core Plus Bond RE @ 11.06	0.042	-0.47
12/15	Reinvestment into Invesco Core Plus Bond RE @ 11.06	0.605	-6.66
12/17	Dividend on iShares S&P MC 400 Value ETF on 11 Shares @ 0.508714		5.60
12/17	Long Term Capital Gain on MFS Value RE on 30.4 Shares @ 1.014		30.83
12/17	Dividend on MFS Value RE on 30.4 Shares @ 0.195		5.93
12/17	Short Term Capital Gain on MFS Value RE on 30.4 Shares @ 0.05		1.54
12/17	Reinvestment into MFS Value RE @ 53.76	0.029	-1.54
12/17	Reinvestment into MFS Value RE @ 53.76	0.11	-5.93
12/17	Reinvestment into MFS Value RE @ 53.76	0.573	-30.83
12/20	Long Term Capital Gain on Jh Bond RE on 44,732 Shares @ 0.093		4.17
12/20	Reinvestment into Jh Bond RE @ 16.20	0.257	-4.17
12/29	Dividend on MFS INTL Diversification RE on 65,819 Shares @ 0.493		32.45
12/29	Long Term Capital Gain on MFS INTL Diversification RE on 65,819 Shares @ 0.204		13.43
12/29	Short Term Capital Gain on MFS INTL Diversification RE on 65,819 Shares @ 0.001		0.13
12/29	Reinvestment into MFS INTL Diversification RE @ 25.05	0.005	-0.13
12/29	Reinvestment into MFS INTL Diversification RE @ 25.05	0.536	-13.43
12/29	Reinvestment into MFS INTL Diversification RE @ 25.05	1.285	-32.45

For more information on this relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account Select	VICENTE GONZALEZ [REDACTED]
[REDACTED]	Vicente Gonzalez	Individual Retirement Account Guided Solutions Fund Account	
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account Guided Solutions Flex Account	

**Relationship** – You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

### Your Relationship and Mailing Group(s)

**Custodian: Edward Jones Trust Company**  
This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust Company for the period of time reflected on this statement.

Beginning Balance on Nov 27						Ending Balance on Dec 31					
Date	Transaction	Description	Deposits	Withdrawals	Balance	12/17	Deposit				
			5.60		\$15.59						
Total					\$5.60						
					\$15.59						

## Retirement Money Market Detail by Date

Edward Jones Trust Co As Cust  
V Gonzalez and Associates  
FBO Vicente Gonzalez SEP

## Protect yourself against fraud

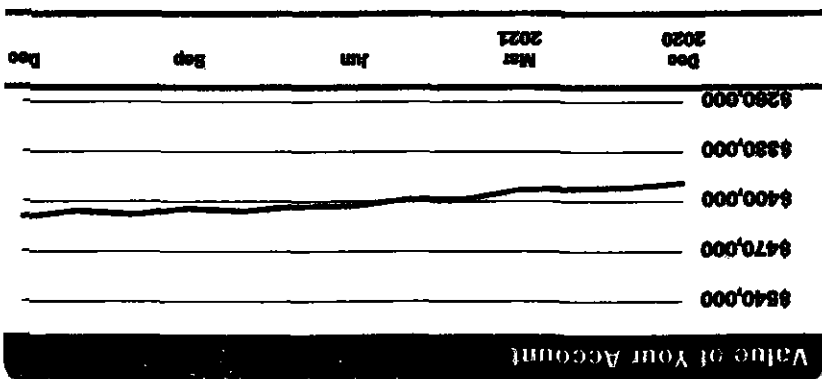
Anyone can become a target for fraud. That's why it's important to stay updated on common scams and learn how to avoid becoming a victim. Edward Jones offers a fraud awareness and prevention site with a list of common scams and tips on protecting yourself against them. Visit [edwardjones.com/privacy](http://edwardjones.com/privacy) to learn more.

## Simplified Employee Pension - Guided Solutions Flex Account

Custodian: Edward Jones Trust Company  
Portfolio Objective - Account: Growth Focus

For more information about the Guided Solutions program go to [www.edwardjones.com/advisoryprochures](http://www.edwardjones.com/advisoryprochures).

Account Value	
<b>\$420,622.67</b>	
1 Month Ago	\$412,164.04
1 Year Ago	\$373,667.71
3 Years Ago	\$0.00
5 Years Ago	\$0.00



## Value Summary

This Period	This Year
Beginning Value	\$412,164.04
Assets Added to Account	0.00
Assets Withdrawn from Account	0.00
Fees and Charges	-5,074.10
Change in Value	8,918.75
Ending Value	<b>\$420,622.67</b>

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).

Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	5.91%	12.66%	12.66%	—	—

Performance Benchmarks

Large US Cap Equities (S & P 500)	11.03%	28.71%	28.71%	28.04%	18.46%
International Equities (S & P 700)	2.81%	9.90%	9.90%	14.93%	10.64%
Taxable Fixed Income (Bloomberg Aggregate)	0.01%	-1.54%	-1.54%	4.79%	3.57%

Your Personal Rate of Return: Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

Performance Benchmarks: Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees. The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Rate of Return information on account statements uses the dollar-weighted calculation. Time-weighted Rate of Return numbers for Advisory Solutions Fund and UMA accounts can be found on your Quarterly Performance Report through Online Account Access. If you are not an Online Access user, visit [edwardjones.com/accs](http://edwardjones.com/accs) to sign up.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [edwardjones.com/performance](http://edwardjones.com/performance).

Rate of Return Indexes Disclosure

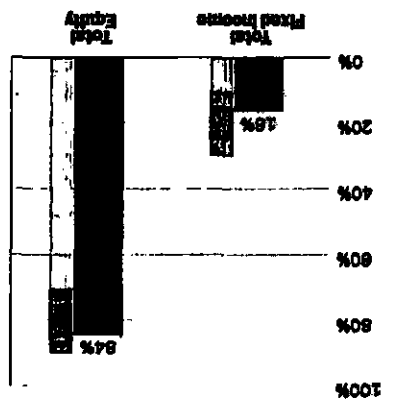
**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**Bloomberg Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

Edward Jones Guided Solutions™

Portfolio Objective: Growth Focus  
Comparing Your Diversification to  
Your Portfolio Objective



Additional details at [www.edwardjones.com/diversification](http://www.edwardjones.com/diversification)

Assets Held At Edward Jones

Retirement Money Market 0.01%*	Beginning Balance	\$9,918.89	Deposits	\$205.61	Withdrawals	-\$460.12	Ending Balance	\$9,664.38
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\* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Abbvie Incorporated Symbol: ABBV Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 3.84%	136.40	107	14,487.80	33.72%
Amazon Com Inc Symbol: AMZN Asset Category: Growth & Income Research Rating: Buy	3,334.34	3	10,003.02	2.38%
Apple Inc Symbol: AAPL Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 0.50%	177.57	144	25,570.08	36.74%
Blackberry Limited Symbol: BB Asset Category: Growth Research Rating: None	9.35	802	8,433.70	13.09%





Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Chevron Corp Symbol: CVX Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 4.57%	117.35	126	14,796.10	38.18%
Delta Air Lines Inc Del Symbol: DAL Asset Category: Growth Research Rating: None	39.08	182	7,112.56	-4.36%
Jumia Technologies Ag Symbol: JMLA Asset Category: Aggressive Research Rating: None	11.40	184	2,087.60	-70.44%
SI Green RLTY Corp Symbol: SLG Asset Category: Growth & Income Research Rating: None Estimated Yield: 5.20%	71.70	121	8,675.70	23.08%

**Estimated Yield**  
The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.

The Edward Jones Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Mutual Funds	Price	Quantity	Value	Rate of Return*
Hardor Midcap CI F Symbol: HMDFX Asset Category: Growth	34.70	1,191.948	41,360.60	10.32%
John Hancock Bond CI R6 Symbol: JHBSX Asset Category: Income	18.17	2,300.607	37,199.20	-0.39%
JPMorgan Equity Income CI R6 Symbol: OIEIX Asset Category: Growth & Income	23.85	2,967.22	70,768.20	28.23%
Lord Abbett Bond Debenture CI F3 Symbol: LBNXX Asset Category: Aggressive Income	8.33	2,322.259	19,344.42	3.45%

Asset Details (continued)

Mutual Funds	Price	Quantity	Value	Rate of Return*
Lord Abbett Growth Leaders CI F3 Symbol: LALO Asset Category: Growth & Income	42.27	963.011	40,708.47	8.64%
MFS Growth CI R6 Symbol: MFEXX Asset Category: Growth & Income	196.60	356.88	69,908.73	23.59%
MFS International Diversification CI R6 Symbol: MDIZX Asset Category: Growth & Income	26.07	1,619.749	40,607.11	8.38%
Total Account Value				\$420,622.67

\*Your Rate of Return for each individual asset above is as of December 31, 2021. Returns greater than 12 months are annualized. Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2008. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated. Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [www.edwardjones.com/performance](http://www.edwardjones.com/performance)

Retirement Summary

	This Period	Cumulative
2021 Contributions	\$0.00	\$0.00
2020 Contributions	0.00	0.00

Investment and Other Activity by Date

Date	Description	Quantity	Amount
11/29	Dividend on JPMorgan Equity Income R6 on 2,901.755 Shares @ 0.035		\$101.68
11/29	Reinvestment into JPMorgan Equity Income R6 @ 23.31	4.362	-101.68
12/01	Dividend on Jh Bond R6 on 2,281.267 Shares at Daily Accrual Rate		99.29
12/01	Reinvestment into Jh Bond R6 @ 16.30	6.091	-99.29
12/01	Dividend on Lord Abbett Bond Debenture F3 on 2,306.802 Shares at Daily Accrual Rate		59.91



Investment and Other Activity by Date (continued)

Date	Description	Quantity	Amount
12/01	Reinvestment into Lord Abbett Bond Debenture F3 @ 8.38	7.149	-59.91
12/08	Program Fee		-460.12
12/10	Dividend on Chevron Corp on 126 Shares @ 1.34		168.84
12/13	Long Term Capital Gain on Hartford Midcap F on 1,053.81 Shares @ 4.159		4,383.70
12/13	Reinvestment into Hartford Midcap F @ 34.09	128.592	-4,383.70
12/14	Long Term Capital Gain on JPMorgan Equity Income R6 on 2,906.117 Shares @ 0.392		1,140.62
12/14	Short Term Capital Gain on JPMorgan Equity Income R6 on 2,906.117 Shares @ 0.043		127.67
12/14	Reinvestment into JPMorgan Equity Income R6 @ 23.30	5.479	-127.67
12/14	Reinvestment into JPMorgan Equity Income R6 @ 23.30	48.954	-1,140.62
12/15	Long Term Capital Gain on MFS Growth R6 on 348.398 Shares @ 4.65		1,620.14
12/15	Reinvestment into MFS Growth R6 @ 191.01	8.482	-1,620.14
12/15	Dividend on SI Green RLT Corp on 121 Shares @ 0.3033		36.70
12/20	Long Term Capital Gain on Jh Bond R6 on 2,287.358 Shares @ 0.093		213.02
12/20	Reinvestment into Jh Bond R6 @ 16.20	13.149	-213.02
12/20	Short Term Capital Gain on Lord Abbett Bond Debenture F3 on 2,313.951 Shares @ 0.029		68.96
12/20	Reinvestment into Lord Abbett Bond Debenture F3 @ 8.30	8.308	-68.96
12/29	Dividend on MFS INTL Diversification R6 on 1,575.775 Shares @ 0.493		776.94
12/29	Long Term Capital Gain on MFS INTL Diversification R6 on 1,575.775 Shares @ 0.204		321.52
12/29	Short Term Capital Gain on MFS INTL Diversification R6 on 1,575.775 Shares @ 0.001		3.09
12/29	Reinvestment into MFS INTL Diversification R6 @ 25.05	0.123	-3.09
12/29	Reinvestment into MFS INTL Diversification R6 @ 25.05	12.835	-321.52
12/29	Reinvestment into MFS INTL Diversification R6 @ 25.05	31.016	-776.94
12/30	Dividend on Hartford Midcap F on 1,182.502 Shares @ 0.277		327.96
12/30	Reinvestment into Hartford Midcap F @ 34.72	9.446	-327.96
12/30	Dividend on JPMorgan Equity Income R6 on 2,960.55 Shares @ 0.053		159.22
12/30	Reinvestment into JPMorgan Equity Income R6 @ 23.87	6.67	-159.22

Retirement Money Market Detail by Date

Beginning Balance on Nov 27

Date	Transaction	Description	Deposits	Withdrawals	Balance
12/09	Withdrawal			-460.12	\$9,458.77
12/10	Deposit		168.84		\$9,627.61
12/15	Deposit		36.70		\$9,664.31
12/31	Income	Dividend on Retirement Money Market for 41 Days @ 0.01%	0.07		\$9,664.38

Retirement Money Market Detail by Date (continued)				
Date	Transaction	Description	Deposits	Withdrawals
Total			\$205.61	\$460.12
Ending Balance on Dec 31			\$9,684.38	

**Custodian: Edward Jones Trust Company**  
This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust Company for the period of time reflected on this statement.

### Your Relationship and Mailing Group(s)

**Relationship** - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

**Mailing Group** - You have asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account	VICENTE GONZALEZ [REDACTED]
[REDACTED]	Vicente Gonzalez	Individual Retirement Account	
[REDACTED]	Vicente Gonzalez	Simplified Employee Pension Account	
[REDACTED]	Vicente Gonzalez	Guided Solutions Flex Account	

For more information on this relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.

If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisor/brochures](http://www.edwardjones.com/advisor/brochures).

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## About Edward Jones

Edward D. Jones & Co., L.P., is dually registered with the Securities and Exchange Commission (SEC) as a broker-dealer and an investment adviser. Edward Jones is also a member of Financial Industry Regulatory Authority (FINRA).

**Statement of Financial Condition** — Edward Jones' Statement of Financial Condition is available at [edwardjones.com/about/financial-reports.html](http://edwardjones.com/about/financial-reports.html), your local office or by mail upon written request.

## About Your Account

**Account Information** — Your account agreement(s) contain the conditions that govern your account. Contact your financial advisor if you have any changes to your financial situation, contact information or investment objectives.

**Account Accuracy** — If you believe there are errors on your account, promptly notify your financial advisor or Client Relations. To further protect your rights, including rights under the Securities Investor Protection Act (SIPA), re-confirm any oral communication by sending us a letter within 30 days. If you think there is an error with, or you have a question about, your electronic transfers, contact Client Relations.

**Complaints about Your Account** — If you have a complaint, call Client Relations or send a letter to Edward Jones, Attn: Complaints Investigations, 12555 Manchester Rd., St. Louis, MO 63131 or send an email to [complaints@edwardjones.com](mailto:complaints@edwardjones.com)

**Pricing** — For the most current prices of your investments, contact your financial advisor or visit Online Access. While we believe our pricing information is reliable, some information is provided by third parties and we cannot guarantee its accuracy.

**Systematic and Money Market Transactions** — Additional transaction details may be available upon written request to Edward Jones, Attn: Trade Operations Dept.

**Fair Market Value for Individual Retirement Accounts** — Your account's fair market value as of Dec. 31 will be reported to the Internal Revenue Service (IRS) as required by law.

## CONTACT INFORMATION

Client Relations		Online Access		Other Contacts	
☎	Toll Free Phone 800-441-2357	📧	<a href="http://edwardjones.com/access">edwardjones.com/access</a>	☎	Edward Jones Personal MasterCard® 866-874-6711
	Monday – Friday 7 a.m. – 7 p.m. CT				Edward Jones Business MasterCard® 866-874-6712
✉	201 Progress Parkway Maryland Heights, MO 63043	☎	800-441-5203	☎	Edward Jones VISA® Debit Card 866-289-6636
					Edward Jones Online Support

**Withholding on Distributions or Withdrawals** — Federal law requires Edward Jones to withhold income tax on distribution(s) from your retirement accounts and other plans, unless you elect not to have withholding apply by completing the appropriate form and returning it to the address specified on the form. Your election will remain in effect until you change or revoke it by completing and returning a new form. If you elect not to have withholding or do not have enough income tax withheld from your distributions, or if payments of estimated taxes are not sufficient, you may be responsible for payments of estimated taxes and/or incur penalties as a result. State withholding, if applicable, is subject to the state's withholding requirements.

**Fees and Charges** — The "Fees and Charges" amount shown in your Value Summary includes the following:

- Account fees (e.g., advisory program asset-based fees and retirement account fees);
- Fees and charges for services (e.g., check orders and wire transfers); and
- Margin loan interest.

This amount does not include transaction-based fees and charges on the purchase or sale of a security or other product (e.g., systematic investing fees, commissions, sales charges, and markup/markdowns). These fees and charges are shown in the activity section(s) of your statement or on your trade confirmations. For more information, contact your financial advisor.

**Rights to Your Money Market Fund, Bank Deposit and Free Credit Balances** — The uninvested cash in your account ("Free Credit Balances") is payable on demand. You may instruct us to liquidate your insured Bank Deposit or Money Market Fund balance(s). We will disburse the proceeds to you or place them in your accounts. Your instructions must be made during normal business hours and are subject to the terms and conditions of the account agreement(s).

To learn more about fees and costs, revenue sharing, and the compensation received by Edward Jones and your financial advisor, please talk with your financial advisor or visit [edwardjones.com/](http://edwardjones.com/) disclosures.

J.P.Morgan

Statement Period  
December 01 - December 31, 2021Account Number  
[REDACTED]

(2)

33914 BDS 079 005 38621 - NNNNNNNNNNNN  
VICENTE GONZALEZ JR TOD

## Investment Statement

Account Value with Accruals		
Account Description	Previous Period	This Period
Managed	340,594.09	345,525.35
<b>ACCOUNT VALUE</b>	<b>\$340,594.09</b>	<b>\$345,525.35</b>

See page 3 for footnotes and more detail.

## Questions?

For Full Service Accounts, Call Private Client Advisor

6 (956) 632 2254 Gerardo Juarez

**Customer Service**  
(888) 994 5626  
**Branch Address**  
200 South Tenth Street  
McAllen, TX, 78501

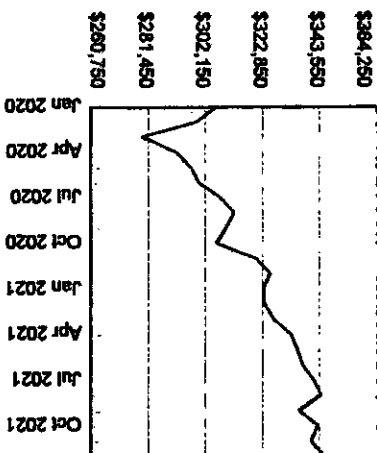
www.chase.com More contact information on page 25

If you have any questions about your statement or concerns about your account, please call us at the toll free number provided above.

INVESTMENT AND INSURANCE PRODUCTS ARE: • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY  
• NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES  
• SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

Page 1 of 36

Account Value with Accruals  
(January 2020 to December 2021)



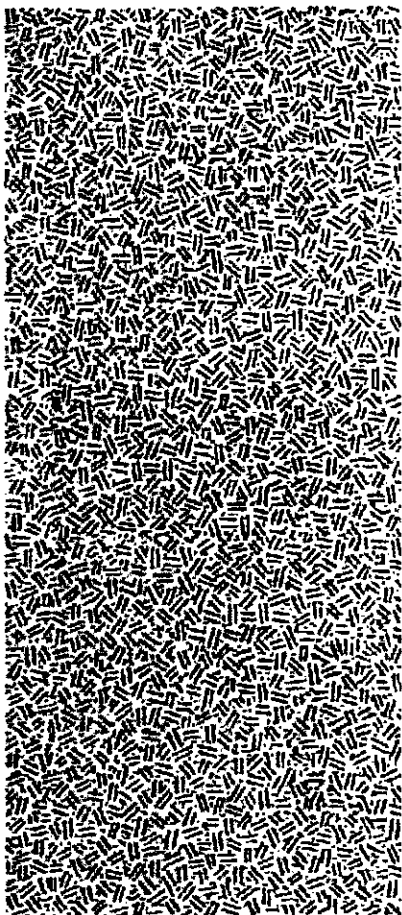
Account is held at J.P. Morgan Securities LLC (JPMS), member Financial Industry Regulatory Authority (FINRA) and Securities Investor Protection Corporation (SIPC). This statement summary is provided for convenience purposes only. For information about your JPMS account(s), please refer to your official JPMS account statement(s), which follows this statement summary. Neither this statement summary nor your official JPMS account statement(s) should be used for tax reporting purposes.

STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

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J.P.Morgan

Statement Period  
December 01 - December 31, 2021  
Last Statement: November 30, 2021

Account Number

Account Value With Accruals: **\$345,525.35**

Account Activity Summary

TFR ON DEATH IND

VCENTE GONZA EZ JR TOD  
Chase Strategic Portfolio  
Conservative - Tier 1

Description	This Period	Year-to-Date
Beginning Account Value	\$340,894.09	\$328,889.68
Deposits (Cash & Securities)	0.00	0.00
Withdrawals (Cash & Securities)	0.00	0.00
Net Deposits / Withdrawals	\$0.00	\$0.00
Income	8,323.80	11,819.07
Fees <sup>1</sup>	(363.92)	(4,345.38)
Change In Investment Value	(3,028.62)	12,381.98
ENDING ACCOUNT VALUE	\$345,525.35	\$345,525.35
Net Accrued Income	0.00	0.00
Account Value With Accruals	\$345,525.35	\$345,525.35

<sup>1</sup> Account fees, management fees, and debt interest are included. Trade related fees charged by brokers and commissions impact the total cost or proceeds of your trades and are not included here.

Month End Closing Method: First In, First Out (FIFO)

Your Broker/Dealer is J.P. MORGAN SECURITIES LLC, 4 Chase Metrotech Center, Brooklyn, New York 11245-0001

INVESTMENT AND INSURANCE PRODUCTS ARE: • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY  
• NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES  
• SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

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STATEMENT SUMMARY

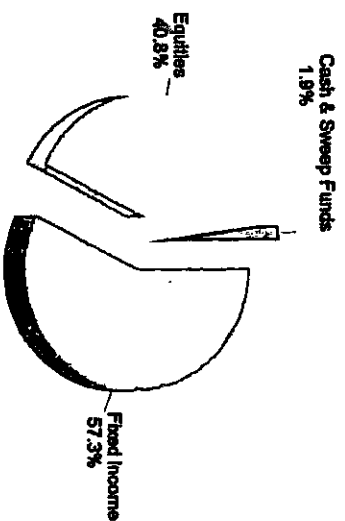
MANAGED

IMPORTANT INFORMATION

## Asset Allocation Summary

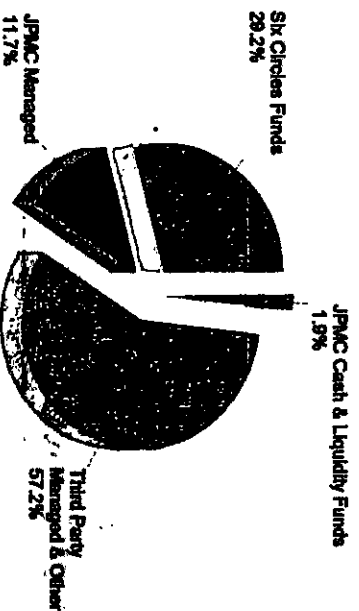
Description	Market value Previous Period	Market value This Period	Total Change (\$)
Cash & Sweep Funds	5,555.64	6,518.36	962.74
Equities	135,559.55	141,137.48	5,577.93
Fixed Income	199,478.90	197,889.49	(1,509.41)
<b>TOTAL ACCOUNT VALUE</b>	<b>\$340,594.09</b>	<b>\$345,525.33</b>	<b>\$4,931.26</b>

## Asset Allocation



The allocation percentage is derived from net positive market values only.

## Manager Allocation



For additional information, please see the Manager Allocation message within Important Information at the end of the statement.

## Assets and Liabilities Summary

Description	Previous Period	This Period
Long Cash and Sweep Funds	5,855.64	6,518.36
Long Market Value	335,038.45	339,006.97
<b>Total Assets</b>	<b>\$340,894.09</b>	<b>\$345,525.35</b>
<b>Total Liabilities</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>TOTAL ACCOUNT VALUE</b>	<b>\$340,894.09</b>	<b>\$345,525.35</b>
<b>Total Account Value with Accruals</b>	<b>\$340,894.09</b>	<b>\$345,525.35</b>

## Income Summary

Description	This Period	Year-to-Date
Dividends	6,214.11	9,707.68
Interest	0.15	1.85
Distributions	2,109.54	2,109.54
<b>Total Income from Taxable Investments</b>	<b>\$8,323.80</b>	<b>\$11,819.07</b>
<b>Total Income from Non-Taxable Investments</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>TOTAL INCOME</b>	<b>\$8,323.80</b>	<b>\$11,819.07</b>

Taxable and Non-taxable income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

## Unrealized Gain / Loss Summary

Description	This Period
Short-Term Gain	3,361.57
Short-Term Loss	(1,413.88)
<b>Short-Term Net Gain / Loss</b>	<b>\$1,947.69</b>
Long-Term Gain	45,771.52
Long-Term Loss	(2,421.48)
<b>Long-Term Net Gain / Loss</b>	<b>\$43,350.04</b>
<b>TOTAL UNREALIZED GAIN / LOSS **</b>	<b>\$45,297.73</b>

\*\*Wash sale adjustments (disallowed losses) are reflected in these totals.

Unrealized Gain / Loss represents Gain / Loss data since the date of acquisition.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

STATEMENT SUMMARY

IMAGED

IMPORTANT INFORMATION

## Realized Gain / Loss Summary

Description	This Period	Year-to-Date
Short-Term Gain	0.00	2,420.12
Short-Term Loss	0.00	(995.51)
Short-Term Net Gain / Loss	\$0.00	\$1,424.61
Long-Term Gain	0.00	10,057.18
Long-Term Loss	0.00	(60.50)
Long-Term Net Gain / Loss	\$0.00	\$9,996.68
<b>TOTAL REALIZED GAIN / LOSS **</b>	<b>\$0.00</b>	<b>\$11,421.29</b>
Long Term Disallowed Loss	0.00	23.51

\*\*Wash sale adjustments (disallowed losses) are reflected in these totals.

Realized gain/loss information is provided for transactions in your account as of the trade date and excludes transactions where cost basis information has not been provided or is unavailable.

Gain/loss calculations do not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement.

Cost basis and realized gain/loss on statements are provided for informational purposes only and should not be used for tax purposes or otherwise relied upon without assistance of your tax advisor.

# J.P.Morgan

TRF ON DEATH IND (Acct # [REDACTED])

VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 31, 2021

## Holdings

Chase Strategic Portfolio

The total cost basis for each security position and the unrealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon. If you have questions related to the tax treatment of your investments, please consult your tax advisor. Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided. J.P. Morgan has not, and cannot, validate the cost basis of positions reported by you or your agent, and are displayed solely for your convenience. Information on this statement related to cost and gain/loss calculations does not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement. Any free credit balances in your brokerage account are payable to you upon demand.

For Mutual Funds, itemized cost basis displays each purchase, the aggregation of long term and short term investments, and/or the aggregation of long term and short term positions which origination cannot be determined, noted as 'Other'. For Mutual Funds, Market Value vs. Purchases is provided to assist you in comparing your total purchase, excluding reinvested distributions, with the current market value of the position. For Mutual Funds which you choose not to reinvest distributions, 'Cumulative Cash Distributions' is displayed to assist you in monitoring the position. The Cumulative Cash Distributions will only reflect cash distributions made after June 1, 2018. Investment Gain/Loss calculates the difference between your cost of Purchases from the current value of the fund, plus Cumulative Cash Distributions. In any Mutual Fund tax lot is noted 'Other' or cost basis is not available. Investment Gain/Loss will display 'Not Available'. Investment Gain/Loss display is for informational purposes only as does not reflect your total unrealized gain or loss, nor should it be used for tax purposes.

## CASH & SWEEP FUNDS

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
J P MORGAN DEPOSIT SWEEP MCD JPMORGAN CHASE BANK NA EST. 30 DAY AVG YIELD 0.03% AMT DEPOSITED FDC INSURED SUBJECT TO APPLICABLE LIMITS NOT COVERED BY SIPC Symbol: ACPDM		6,518.36	1	6,518.36				

## TOTAL CASH & SWEEP FUNDS

\$6,518.36

## EQUITIES

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
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See additional footnotes on the last page of the Holdings section.

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## EQUITIES (continued)

Chase Strategic Portfolio

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
FIDELITY SALEM STR TR	27 Oct 2021	5,135	165.32	848.92	166.02 A	611.42	37.50 ST	10.37
FIDELITY 500 INDEX FUND EST								
YIELD: 1.22%								
Symbol: FXAX								
Market Value vs. Purchases				848.92		811.42		
Cumulative Cash Distributions				2.89				
Investment Gain/Loss				40.49				
J P MORGAN EXCHANGE	19 Oct 2018	53	67.2	3,561.60	46.46	2,462.36	1,099.22 LT	77.33
TRADED FD TR BETABUILDERS								
CDA ETF NEW EST YIELD:								
2.17%								
Symbol: BBGA								
J P MORGAN EXCHANGE	28 Jan 2021	58	54.11	3,138.38	56.44	3,273.66	(135.28) ST	171.74
TRADED FD TR BETABUILDERS								
DEVELOPED ASIA EX JAPAN								
ETF NEW EST YIELD: 5.47%								
Symbol: BBAX								
J P MORGAN EXCHANGE	09 Jun 2020	169	59.74	10,096.06	51.76	8,747.14	1,348.92	265.16
TRADED FD TR BETABUILDERS								
EUROPE ETF NEW EST YIELD:								
2.63%								
Symbol: BBEU								
J P MORGAN EXCHANGE	28 Jan 2021	59		3,883.10	46.1	2,996.47	886.63 LT	
TRADED FD TR BETABUILDERS	19 May 2021	46		3,524.66	52.96	3,125.82	398.84 ST	
JAPAN ETF NEW EST YIELD:								
2.89%								
Symbol: BBJP								
J P MORGAN EXCHANGE	09 Jun 2020	120	55.08	6,609.60	51.37	6,164.09	445.51	180.80
TRADED FD TR BETABUILDERS								
JAPAN ETF NEW EST YIELD:								
2.89%								
Symbol: BBJP								
SIX CIRCLES TR	09 Jun 2020	74		4,075.92	47.63	3,524.75	551.17 LT	
NTL UNCONSTRAINED EQUITY	28 Jan 2021	46		2,533.68	57.38	2,639.34	(105.66) ST	
FD EST YIELD: 2.44% DIV 4								
CAP GAIN RENVEST								
Symbol: CUEX								
	19 Oct 2018	298,929		3,318.11	9.89	2,957.79	360.32 LT	808.13
	03 Apr 2019	606,709		6,734.47	9.89	6,003.16	731.31 LT	
	21 Nov 2019	41,532		461.01	9.89	410.94	50.07 LT	

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## EQUITIES (continued)

Chase Strategic Portfolio

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
<b>SIX CIRCLES TR</b>								
11 Feb 2020		326,967		3,629.33	9.89	3,235.22	394.11 LT	
26 Apr 2021		205,778		2,284.14	9.89	2,036.10	248.04 ST	
27 Oct 2021		287,026		3,165.99	9.89	2,840.02	345.97 ST	
LT REINVEST		54.49		604.84	9.89	539.16	65.88 LT	
ST REINVEST		46,194		512.75	9.89	457.07	55.68 ST	
<b>Market Value vs. Purchases</b>				<b>20,730.64</b>		<b>17,483.23</b>		
<b>Investment Gain/Loss</b>				<b>3,247.41</b>				
<b>SIX CIRCLES TR</b>								
U S UNCONSTRAINED EQUITY FD								
EST YIELD: 1.30% DIV & CAP								
Symbol: CUSUX								
19 Oct 2018		171,899		2,580.20	11.36	1,963.09	627.11 LT	
12 Feb 2019		71,289		1,070.05	11.36	809.98	260.07 LT	
05 Mar 2019		268,185		4,025.46	11.36	3,047.08	978.38 LT	
03 Apr 2019		779,907		11,706.40	11.36	8,861.20	2,845.20 LT	
11 Feb 2021		258,881		3,885.80	11.36	2,941.37	944.43 ST	
LT REINVEST		115.24		1,729.75	11.36	1,309.34	420.41 LT	
ST REINVEST		280,376		4,208.44	11.36	3,185.59	1,022.85 LT	
<b>Market Value vs. Purchases</b>				<b>29,208.11</b>		<b>17,612.72</b>		
<b>Investment Gain/Loss</b>				<b>11,895.39</b>				
<b>SPDR S&amp;P 500 ETF TRUST</b>								
EST YIELD: 1.20%								
Symbol: SPY								
05 Feb 2016		12		5,699.52	188.06	2,256.66	3,442.86 LT	
16 Mar 2016		48		22,798.08	202.74	9,731.51	13,066.57 LT	
19 Jul 2016		32		15,198.72	215.87	6,907.79	8,290.93 LT	
<b>Market Value vs. Purchases</b>				<b>43,896.32</b>		<b>18,895.96</b>		
<b>Investment Gain/Loss</b>				<b>25,000.36</b>				
<b>VANGUARD INDEX TR</b>								
VANGUARD 500 INDEX FD								
ADMIRAL EST YIELD: 1.24%								
DIV & CAP GAIN REINVEST								
Symbol: VFIAX								
26 Sep 2016		8.16		3,589.01	237.46	1,937.70	1,651.31 LT	
06 Jul 2017		22.101		9,720.68	237.46	5,248.18	4,472.50 LT	
21 Sep 2018		18.281		8,040.53	237.46	4,341.07	3,699.46 LT	
LT REINVEST		3.571		1,570.63	237.46	847.98	722.65 LT	
ST REINVEST		0.748		328.98	237.46	177.62	151.37 ST	
<b>Market Value vs. Purchases</b>				<b>23,249.85</b>		<b>11,826.86</b>		
<b>Investment Gain/Loss</b>				<b>11,722.90</b>				

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## EQUITIES (continued)

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
<b>TOTAL EQUITIES</b>				<b>\$141,137.48</b>		<b>\$93,494.31</b>	<b>\$47,643.17</b>	<b>\$2,414.41</b>

## FIXED INCOME

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
<b>ISHARES TRUST</b>								
ISHARES MBS ETF EST YIELD: 1.05%		63	107.43	6,768.09	105.57	6,651.10	116.99	71.38
Symbol: MBB	12 Feb 2019	53		5,693.79	105.08	5,569.24	124.55	
	21 Nov 2019	3		322.29	108.13	324.39	(2.10)	
	13 Jul 2021	7		752.01	108.21	757.47	(5.46)	

<b>JPMORGAN TR II</b>								
CORE BD FD ULTRA CL EST YIELD: 2.13% DIV & CAP		1,432.107	11.83	16,941.83	11.57 A	16,569.94	371.89	360.39
Symbol: JCBUX	02 Feb 2018	450.923		5,334.42	11.57	5,217.33	117.09	
	19 Mar 2018	467.871		5,534.91	11.57	5,413.42	121.49	
	21 Nov 2019	11.695		136.35	11.57	135.32	3.03	
	09 Mar 2020	36.206		451.96	11.57	442.05	9.93	
	13 Jul 2021	209.18		2,474.80	11.57	2,420.25	54.55	
	LT REINVEST	207.146		2,450.54	11.57	2,396.84	53.70	
	ST REINVEST	47.086		557.03	11.57	544.73	12.30	

## Market Value vs. Purchases Investment Gain/Loss

<b>PIMCO TOTAL RETURN FUND</b>								
INSTL CL EST YIELD: 2.08% DIV & CAP GAIN REINVEST		178.863	10.27	3,143.40	10.26 A	3,140.62	2.78	65.50
Symbol: PTTX	01 Dec 2017	178.863		1,836.92	10.26	1,835.34	1.58	
	21 Nov 2019	8.048		82.65	10.26	82.58	0.07	
	LT REINVEST	95.563		981.43	10.26	980.57	0.86	
	ST REINVEST	7.05		72.40	10.26	72.29	0.11	
	LT OTHER	16.552		169.99	10.26	169.84	0.15	

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# J.P.Morgan

TFR ON DEATH IND (Acct # **0000000000**)

VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 31, 2021

## FIXED INCOME (continued)

Chase Strategic Portfolio

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
<b>PIMCO TOTAL RETURN FUND</b>								
Market Value vs. Purchases				3,143.40		1,916.79		
Investment Gain/Loss				Not Available				
<b>SIX CIRCLES TR</b>								
GLOBAL BD FD EST YIELD:		*4,129.393	9.87	40,767.11	10.19	42,075.54	(1,318.43)	-
1.17% DV & CAP GAIN								
REINVEST	11 Aug 2020	1,827.136		18,033.83	10.21	18,655.06	(621.23)	474.88
	04 Nov 2020	1,821.792		17,961.09	10.2	18,562.28	(601.19)	
Symbol: CGLBX	13 Jul 2021	363.428		3,587.03	10.1	3,670.62	(83.59)	
	LT REINVEST	5,598		55.23	10.19	57.02	(1.79)	
	ST REINVEST	111.441		1,099.92	9.97	1,110.56	(10.64)	
Market Value vs. Purchases				40,767.11		40,907.96		
Investment Gain/Loss				(150.85)				
<b>SIX CIRCLES TR</b>								
ULTRA SHORT DURATION FD EST		*341.774	9.95	3,400.65	10.01	3,421.40	(20.75)	-
YIELD: 0.96% DV & CAP								
GAIN REINVEST	19 Oct 2018	223.509		2,223.91	10.01	2,237.54	(13.63)	33.47
	21 Nov 2019	46.856		466.22	10.01	469.08	(2.86)	
Symbol: CUSDX	13 Jul 2021	44.586		443.63	10.01	446.34	(2.71)	
	LT REINVEST	23.102		229.86	10.01	231.21	(1.35)	
	ST REINVEST	3.721		37.02	10.01	37.23	(0.21)	
Market Value vs. Purchases				3,400.65		3,162.85		
Investment Gain/Loss				247.80				
<b>SIX CIRCLES TRUST</b>								
CREDIT OPPORTUNITIES FUND		*656.632	10.28	6,760.18	10.32	6,775.75	(25.57)	-
EST YIELD: 3.28% DV & CAP								
GAIN REINVEST	22 Feb 2021	636.297		6,541.13	10.32	6,566.59	(25.46)	221.28
Symbol: CRDOX	ST REINVEST	20.335		209.04	10.29	209.16	(0.12)	
Market Value vs. Purchases				6,760.18		6,566.59		
Investment Gain/Loss				193.59				
<b>VANGUARD CHARLOTTE FDS</b>								
TOTAL INTL BD INDEX FD		*2,326.838	22.06	51,330.05	21.74	50,578.19	751.86	-
ADMIRAL CL EST YIELD:	12 Dec 2016	1,149.973		25,368.40	21.74	24,996.64	371.56	1,556.65
3.03% DV & CAP GAIN	20 Apr 2017	661.809		19,452.71	21.74	19,167.79	284.92	

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STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

## FIXED INCOME (continued)

Chase Strategic Portfolio

Description	Acquisition Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain/Loss	Est. Accrued Inc. Est. Annual Inc.
<b>VANGUARD CHARLOTTE FDS</b>								
REINVEST	LT REINVEST	212.481		4,687.33	21.74	4,618.63	68.70	LT
Symbol: VTABX	ST REINVEST	82.575		1,821.80	21.74	1,794.93	26.87	ST
Market Value vs. Purchases				61,330.06		44,164.83		
Investment Gain/Loss				7,165.22				
<b>VANGUARD SCOTTS DALE FUNDS</b>	26 Apr 2021	163	60.83	9,918.29	61.52	10,027.76	(112.47)	ST
VANGUARD SHORT TERM TREASURY ETF EST YIELD: 0.36%								35.21
Symbol: VGSH								
<b>VANGUARD SHORT TERM BOND</b>								
ETF EST YIELD: 1.18%		415	80.83	33,644.46	82.32	34,160.80	(516.35)	
Symbol: BSV	19 May 2021	40		3,233.20	82.27	3,290.80	(57.60)	ST
	26 Jul 2021	375		30,311.25	82.32	30,870.00	(558.75)	ST
<b>VANGUARD TOTAL INTERNATIONAL BOND ETF EST</b>								
YIELD: 3.07%		459	55.16	25,318.44	56.42	26,813.83	(1,495.39)	
Symbol: BNDX	24 Sep 2019	177		9,763.32	56.9	10,425.30	(661.98)	LT
	21 Nov 2019	33		1,820.28	58.1	1,917.30	(97.02)	LT
	09 Mar 2020	107		5,902.12	58.44	6,263.06	(360.94)	LT
	11 Feb 2021	85		4,688.60	58.03	4,932.55	(243.95)	ST
	26 Apr 2021	36		1,985.76	57.16	2,057.76	(72.00)	ST
	13 Jul 2021	21		1,158.36	58.47	1,227.86	(69.50)	LT

## TOTAL FIXED INCOME

\$187,888.49

\$200,214.93

(\$12,326.44)

\$3,983.23

Total Account Value : \$345,525.35

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EMENT SUMMARY

IMPORTANT INFORMATION

J.P.Morgan

TFR ON DEATH IND (Acct # ~~XXXXXXXXXX~~)

VICENTE GONZALEZ JR TOD

Statement Period: December 01 - December 31, 2021

Chase Strategic Portfolio

Holdings (continued)

Unless otherwise noted, all positions are held in your cash account. F - TEFRA Account G - Good Faith Account I - Income Account L - Non Purpose Loan Account  
M - Margin Account R - DVP/RVP Account S - Short Account

AI Pricing Method: a - Net Investment b - Appraised Value c - The firm did not receive price information compliant with applicable reporting requirements.

A - Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term  
MT - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale

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STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

## Activity

Chase Strategic Portfolio

## CASH FLOW SUMMARY

Description	This Period	Year-to-Date
Opening Cash Balance	\$8,588.94	\$2,748.71
Trade and Investment Activity	0.00	97,877.59
Income	8,323.80	11,819.07
Total Credits	\$8,323.80	\$109,696.98
Trade and Investment Activity	(6,997.14)	(101,591.63)
Fees <sup>1</sup>	(303.92)	(4,345.36)
Total Debits	(\$7,301.06)	(\$105,936.99)
Net Cash Activity	\$987.74	\$3,769.67
CLOSING CASH BALANCE	\$9,576.38	\$9,576.38

<sup>1</sup> "Opening Cash Balance" and "Closing Cash Balance" include Sweep Funds.<sup>1</sup> Account fees, management fees, and debt interest are included. Trade related fees charged by brokers and commissions impact the total cost or proceeds of your trades and are not included here.

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

§ EMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

## TRADE AND INVESTMENT ACTIVITY

For transaction descriptions that direct you to "See Note on Back", the notes will be located in the "Messages" section within "Important Information".

Trade Date	Transaction Closing Method	Description	Quantity	Price	Cost	Proceeds
01 Dec 2021	REINVEST	PIMCO TOTAL RETURN FUND INSTL CL REINVEST PRICE \$ 10.32	0.556		(5.74)	
01 Dec 2021	REINVEST	Symbol: PTRRX				
01 Dec 2021	REINVEST	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL REINVEST PRICE \$ 22.87	1.265		(28.92)	
01 Dec 2021	REINVEST	Symbol: VTABX				
14 Dec 2021	REINVEST	JPMORGAN TR II CORE BD FD ULTRA CL REINVEST PRICE \$ 11.89 RECORD 12/16/21 PAY 12/14/21	10.539		(125.31)	
14 Dec 2021	REINVEST	Symbol: JCBUX				
20 Dec 2021	REINVEST	SIX CIRCLES TR GLOBAL BD FD REINVEST PRICE \$ 10.05 RECORD 12/16/21 PAY 12/20/21	2.04		(20.50)	
20 Dec 2021	REINVEST	Symbol: CGLBX				
20 Dec 2021	REINVEST	SIX CIRCLES TR INTL UNCONSTRAINED EQUITY FD REINVEST PRICE \$ 10.68	46.194		(493.35)	
20 Dec 2021	REINVEST	Symbol: CUEX				
20 Dec 2021	REINVEST	SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD REINVEST PRICE \$ 14.89	22.069		(324.20)	
20 Dec 2021	REINVEST	Symbol: CUSUX				
20 Dec 2021	REINVEST	SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD REINVEST PRICE \$ 14.69 RECORD 12/16/21 PAY	145.508		(2,137.51)	

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.



TRADE AND INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds
Settle Date	Closing Method					
SIX CIRCLES TR						
12/20/21						
Symbol: CUSUX						
20 Dec 2021	REINVEST	SIX CIRCLES TR	112.799		(1,657.01)	
20 Dec 2021		U S UNCONSTRAINED EQUITY FD				
		REINVEST PRICE \$ 14.69				
		RECORD 12/16/21 PAY				
		12/20/21				
Symbol: CUSUX						
SIX CIRCLES TRUST						
20 Dec 2021	REINVEST	CREDIT OPPORTUNITIES FUND	0.073		(0.75)	
20 Dec 2021		REINVEST PRICE \$ 10.26				
		RECORD 12/16/21 PAY				
		12/20/21				
Symbol: CRDOX						
SIX CIRCLES TRUST						
20 Dec 2021	REINVEST	CREDIT OPPORTUNITIES FUND	0.545		(5.59)	
20 Dec 2021		REINVEST PRICE \$ 10.26				
		RECORD 12/16/21 PAY				
		12/20/21				
Symbol: CRDOX						
VANGUARD INDEX TR						
21 Dec 2021	REINVEST	VANGUARD 500 INDEX FD	0.192		(80.85)	
21 Dec 2021		ADAMAL REINVEST PRICE				
		\$421.39				
Symbol: VTABX						
VANGUARD CHARLOTTE FDS						
23 Dec 2021	REINVEST	TOTAL INTL BD INDEX FD	51.047		(1,132.29)	
23 Dec 2021		ADAMAL CL REINVEST PRICE \$				
		22.18				
Symbol: VTABX						
VANGUARD CHARLOTTE FDS						
23 Dec 2021	REINVEST	TOTAL INTL BD INDEX FD	14.719		(326.47)	
23 Dec 2021		ADAMAL CL REINVEST PRICE \$				
		22.18 RECORD 12/21/21 PAY				

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

**TRADE AND INVESTMENT ACTIVITY (continued)**

Trade Date Settle Date	Transaction Closing Method	Description	Quantity	Price	Cost	Proceeds
23 Dec 2021 23 Dec 2021	REINVEST	VANGUARD CHARLOTTE FDS 12/23/21 Symbol: VTABX	0.234		(5.20)	
		VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL REINVEST PRICE \$ 22.18 RECORD 12/21/21 PAY 12/23/21 Symbol: VTABX				
29 Dec 2021 29 Dec 2021	REINVEST	PIMCO TOTAL RETURN FUND INSTL CL REINVEST PRICE \$ 10.27 Symbol: PTRRX	0.736		(7.56)	
30 Dec 2021 30 Dec 2021	REINVEST	JPMORGAN TR II CORE BD FD ULTRA CL REINVEST PRICE \$ 11.80 Symbol: JGBUX	2.547		(30.06)	
30 Dec 2021 30 Dec 2021	REINVEST	SIX CIRCLES TR GLOBAL BD FD REINVEST PRICE \$ 9.86 Symbol: CGLBX	59.065		(562.38)	
30 Dec 2021 30 Dec 2021	REINVEST	SIX CIRCLES TR ULTRA SHORT DURATION FD REINVEST PRICE \$ 9.85 Symbol: CLSDX	0.916		(8.11)	
30 Dec 2021 30 Dec 2021	REINVEST	SIX CIRCLES TRUST CREDIT OPPORTUNITES FUND REINVEST PRICE \$ 10.27 Symbol: CRDOX	2.376		(24.40)	

**Total Securities Bought & Sold**

**Total Other Investment Activity**

**(\$8,987.14)**

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

## TRADE AND INVESTMENT ACTIVITY (continued)

Trade Date	Transaction	Description	Quantity	Price	Cost	Proceeds
Settle Date	Closing Method					
TOTAL TRADE AND INVESTMENT ACTIVITY						
						(\$6,997.16)

## INCOME

Taxable and non-taxable income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

### Income from Taxable Investments

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
01 Dec 2021	DIVIDEND	PIMCO TOTAL RETURN FUND INSTL CL RECORD 11/30/21 PAY 11/30/21 Symbol: PRTTX				5.74	5.74
01 Dec 2021	DIVIDEND	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMERAL CL RECORD 11/29/21 PAY 12/01/21 Symbol: VTABX			28.92		28.92
06 Dec 2021	DIVIDEND	VANGUARD SCOTTSDEALE FUNDS VANGUARD SHORT TERM TREASURY ETF CASH DIV ON 163 SHS REC 12/02/21 PAY 12/02/21 Symbol: VGSX	163	0.0146		2.41	2.41
06 Dec 2021	DIVIDEND	VANGUARD SHORT TERM BOND ETF CASH DIV ON 416 SHS REC 12/02/21 PAY 12/02/21 Symbol: BSV	415	0.0718	28.81		28.81
06 Dec 2021	DIVIDEND	VANGUARD TOTAL INTERNATIONAL BOND ETF CASH DIV ON 459 SHS REC 12/02/21 PAY 12/02/21 Symbol: BNDX	459	0.0339		15.56	15.56
13 Dec 2021	DIVIDEND	FIDELITY SALEM STR TR FIDELITY 500 INDEX FUND RECORD 12/10/21 PAY				2.99	2.99

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.



Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
12/13/21	FIDELITY SALEM STR TR	Symbol: FXAX					
14 Dec 2021	DISTR LT CAP GAINS	JPMORGAN TR II CORE BD FD ULTRA CL LT CAP-GAIN DIV RECORD 12/10/21 PAY 12/14/21 DIVIDEND RATE 0.09800 Symbol: JCBUX	125.31			125.31	125.31
20 Dec 2021	DIV - ST CAP GAINS	SIX CIRCLES TR GLOBAL BD FD ST CAP-GAIN DIV RECORD 12/16/21 PAY 12/20/21 DIVIDEND RATE 0.00500 Symbol: CGLBX	20.50			20.50	20.50
20 Dec 2021	DIVIDEND	SIX CIRCLES TR INTL UNCONSTRAINED EQUITY FD RECORD 12/16/21 PAY 12/20/21 Symbol: CUEX	493.35			493.35	493.35
20 Dec 2021	DISTR LT CAP GAINS	SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD LT CAP-GAIN DIV RECORD 12/16/21 PAY 12/20/21 DIVIDEND RATE 0.89400 Symbol: CUSUX	1,657.01			1,657.01	1,657.01
20 Dec 2021	DIVIDEND	SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD RECORD 12/16/21 PAY 12/20/21 Symbol: CUSUX	324.20			324.20	324.20
20 Dec 2021	DIV - ST CAP GAINS	SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD ST CAP-GAIN DIV RECORD 12/16/21 PAY 12/20/21 DIVIDEND RATE 1.28300 Symbol: CUSUX	2,137.51			2,137.51	2,137.51

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.



## Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
20 Dec 2021	DISTR LT CAP GAINS	SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND LT CAP-GAIN DIV RECORD 12/16/21 PAY 12/20/21 DIVIDEND RATE 0.00100 Symbol: CRDOX				0.75	0.75
20 Dec 2021	DIV - ST CAP GAINS	SIX CIRCLES TRUST CREDIT OPPORTUNITIES FUND ST CAP-GAIN DIV RECORD 12/16/21 PAY 12/20/21 DIVIDEND RATE 0.00800 Symbol: CRDOX			5.59		5.59
21 Dec 2021	DIVIDEND	VANGUARD INDEX TR VANGUARD 500 INDEX FD ADMIRAL RECORD 12/17/21 PAY 12/21/21 Symbol: VTMAX			80.85		80.85
23 Dec 2021	DISTR LT CAP GAINS	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL LT CAP-GAIN DIV RECORD 12/21/21 PAY 12/23/21 DIVIDEND RATE 0.14400 Symbol: VTASX			326.47		326.47
23 Dec 2021	DIVIDEND	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL RECORD 12/21/21 PAY 12/23/21 Symbol: VTASX			1,132.23		1,132.23
23 Dec 2021	DIV - ST CAP GAINS	VANGUARD CHARLOTTE FDS TOTAL INTL BD INDEX FD ADMIRAL CL ST CAP-GAIN DIV RECORD 12/21/21 PAY 12/23/21 DIVIDEND RATE 0.00200 Symbol: VTASX			5.20		5.20
27 Dec 2021	DIVIDEND	J P MORGAN EXCHANGE TRADED FD TR BETA BUILDERS	53	0.5531		29.31	29.31

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

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MANAGED

IMPORTANT INFORMATION

Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
27 Dec 2021	DIVIDEND	J P MORGAN EXCHANGE CDA ETF NEW CASH DIV ON 53 SHS REC 12/27/21 PAY 12/27/21 Symbol: BBGA	68	1.1644		67.53	67.53
27 Dec 2021	DIVIDEND	J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS DEVELOPED ASIA EX JAPAN ETF NEW CASH DIV ON 58 SHS REC 12/27/21 PAY 12/27/21 Symbol: BBAX	169	0.5334		90.14	90.14
27 Dec 2021	DIVIDEND	J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS EUROPE ETF NEW CASH DIV ON 169 SHS REC 12/27/21 PAY 12/27/21 Symbol: BBEU	120	1.5902		190.82	190.82
27 Dec 2021	DIVIDEND	J P MORGAN EXCHANGE TRADED FD TR BETABUILDERS JAPAN ETF NEW CASH DIV ON 120 SHS REC 12/27/21 PAY 12/27/21 Symbol: BBJP					
29 Dec 2021	DIVIDEND	PIMCO TOTAL RETURN FUND INSTL CL RECORD 12/27/21 PAY 12/28/21 Symbol: PTTTRX				7.56	7.56
29 Dec 2021	DIVIDEND	VANGUARD SCOTTS DALE FUNDS VANGUARD SHORT TERM TREASURY ETF CASH DIV ON 163 SHS REC 12/27/21 PAY 12/28/21 Symbol: VGSH	163	0.016		2.61	2.61
29 Dec 2021	DIVIDEND	VANGUARD SCOTTS DALE FUNDS VANGUARD SHORT TERM TREASURY ETF LT CAP GNS 163 SHS REC 12/27/21 PAY	163	0.1649		26.88	26.88

See additional footnotes on the last page of this account.

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## Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
		<b>VANGUARD SCOTTSDALE FUNDS</b>					
		12/29/21					
		Symbol: VGSH					
29 Dec 2021	DIV - ST CAP GAINS	VANGUARD SCOTTSDALE FUNDS	163	0.023		3.75	3.75
		VANGUARD SHORT TERM TREASURY ETF S/T CAP GNS					
		163 SHS REC 12/27/21 PAY 12/29/21					
		Symbol: VGSH					
29 Dec 2021	DIVIDEND	VANGUARD SHORT TERM BOND ETF CASH DIV ON 415 SHS REC 12/27/21 PAY 12/29/21	415	0.0725	30.07		30.07
		Symbol: BSV					
29 Dec 2021	DIVIDEND	VANGUARD SHORT TERM BOND ETF LT CAP GNS 415 SHS REC 12/27/21 PAY 12/29/21	415	0.21	87.15		87.15
		Symbol: BSV					
29 Dec 2021	DIV - ST CAP GAINS	VANGUARD SHORT TERM BOND ETF S/T CAP GNS 415 SHS REC 12/27/21 PAY 12/29/21	415	0.0115	4.77		4.77
		Symbol: BSV					
29 Dec 2021	DIVIDEND	VANGUARD TOTAL INTERNATIONAL BOND ETF CASH DIV ON 459 SHS REC 12/27/21 PAY 12/29/21	459	1.2516	574.48		574.48
		Symbol: BNDX					
29 Dec 2021	DIVIDEND	VANGUARD TOTAL INTERNATIONAL BOND ETF LT CAP GNS 459 SHS REC 12/27/21 PAY 12/29/21	459	0.3606	165.52		165.52
		Symbol: BNDX					
29 Dec 2021	DIV - ST CAP GAINS	VANGUARD TOTAL INTERNATIONAL BOND ETF S/T CAP GNS 459 SHS REC 12/27/21 PAY 12/29/21	459	0.0059	2.71		2.71
		Symbol: BNDX					

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

S EMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

Income from Taxable Investments (continued)

Date	Transaction	Description	Quantity	Rate	Debit Amount	Credit Amount	Net Amount
30 Dec 2021	DIVIDEND	JPMORGAN TR II CORE BD FD UL TRA CL RECORD 12/28/21 PAY 12/30/21 Symbol: JCBUX	30.06			30.06	30.06
30 Dec 2021	DIVIDEND	SIX CIRCLES TR GLOBAL BD FD RECORD 12/28/21 PAY 12/30/21 Symbol: CGLBX	582.38			582.38	582.38
30 Dec 2021	DIVIDEND	SIX CIRCLES TR ULTRA SHORT DURATION FD RECORD 12/28/21 PAY 12/30/21 Symbol: CUSDX	9.11			9.11	9.11
30 Dec 2021	DIVIDEND	SIX CIRCLES TRUST CREDIT OPPORTUNITES FUND RECORD 12/28/21 PAY 12/30/21 Symbol: CRDOX	24.40			24.40	24.40

Total Dividends \$8,214.11 \$8,214.11

Total Distributions \$2,109.84 \$2,109.84

TOTAL INCOME FROM TAXABLE INVESTMENTS \$8,323.86 \$8,323.86

Total Income \$8,323.86 \$8,323.86

FEEs	Date	Description	Debit Amount	Credit Amount
	21 Dec 2021	ADVISORY FEE FOR THE PERIOD 11-01-2021 TO 11-30-2021	(363.92)	

See additional footnotes on the last page of this account.

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.



**FEES (Continued)**

Date	Description	Debit Amount	Credit Amount
<b>TOTAL FEES 1</b>			
		(\$363.92)	

1 Account fees, management fees, and debt interest are included. Trade related fees charged by brokers and commissions impact the total cost or proceeds of your trades and are not included here.

**SWEEP PROGRAM ACTIVITY**

J P MORGAN DEPOSIT SWEEP MGD,JPMORGAN CHASE BANK NA,Symbol: QCPM

Date	Transaction	Description	Quantity	Price	Debit Amount	Credit Amount
<b>OPENING BALANCE</b>						
01 Dec 2021	REINVEST	MONTHLY INTEREST REINVESTED	0.15		(0.15)	
06 Dec 2021	PURCHASE	INTRA-DAY DEPOSIT	47.78		(47.78)	
13 Dec 2021	PURCHASE	INTRA-DAY DEPOSIT	2.99		(2.99)	
22 Dec 2021	REDEMPTION	INTRA-DAY WITHDRAWAL	(363.920)			363.92
27 Dec 2021	PURCHASE	INTRA-DAY DEPOSIT	377.8		(377.80)	
29 Dec 2021	PURCHASE	INTRA-DAY DEPOSIT	897.94		(897.94)	
<b>CLOSING BALANCE</b>			6,618.38	1		

J P MORGAN DEPOSIT SWEEP MGD,JPMORGAN CHASE BANK NA,Symbol: QCPM

Date	Transaction	Description	Quantity	Price	Debit Amount	Credit Amount
01 Dec 2021	INTEREST	MONTHLY INTEREST 10/30-11/30				0.15

**SWEEP PROGRAM ACTIVITY**

<b>Sweep Program Dividend/Interest</b>						
					(\$1,326.66)	\$363.92
<b>Total Sweep Program Activity</b>					(\$1,326.66)	\$363.92

A - Average Cost B - Adjusted for Amortization or Accretion D - Acquisition Date = Date of Death E - Adjusted for Option Exercise or Assignment K - Gifted Security LT - Long Term MT - Mixed Term N - Noncovered Provide - Please provide this information ST - Short Term T - Cost Basis provided by Third Party W - Adjusted for Wash Sale

Closing Methods: MILMG - Maximum Loss, Minimum Gain LIFO - Last In, First Out FIFO - First In, First Out HC - High Cost LC - Low Cost

LTHC - Long Term, High Cost PRO - Pro Rate VSP - Specific Match (the closing transaction was specifically matched to this lot)

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

# J.P.Morgan

Important Information

VICENTE GONZALEZ JR TOD

Statement Period : December 01 - December 31, 2021

## Additional Contact Information

Account(s)

TFR ON DEATH IND [REDACTED]

Contact

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Custodian

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For questions, please contact us using the information provided on the front of this statement.

STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

## Messages

### TRADE AND INVESTMENT ACTIVITY DESCRIPTION NOTES

"S" The transaction described is subject to the U.S. Treasury or Agency Debt and Agency MBS falls change trading practice published by TMFG and SIFMA at [http://www.sifma.org/capital\\_markets/docs/Falls-Charge-Trading-Practice.pdf](http://www.sifma.org/capital_markets/docs/Falls-Charge-Trading-Practice.pdf) and <http://www.sifma.org/Services/Standard-Forms-and-Documents/Securitized-Products/Securitized-Products-Falls-Charge-Trading-Practice>

"T" J.P. Morgan Securities LLC (JPMs) receives compensation for directing customer orders for equity securities. The source and nature of such compensation received on this transaction, if any, will be furnished to you upon written request.

"U" You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the Prospectus disclosed rate due to rounding calculations. Please refer to the Prospectus, Statement of Additional Information or contact us for further information.

"V" A paper copy of the offering document relating to this transaction is available, upon request, by calling 1-866-803-9204 during normal business hours.

"W" The securities have not been registered under the US Securities Act of 1933, any may only be offered and sold pursuant to registration under the 1933 Act or an available exemption therefrom or in accordance with Regulation S.

"X" These securities have not been registered under the US Securities Act of 1933, and were issued pursuant to the exemption provided by Section 3(c)(7) of the Investment Company Act of 1940. The securities may only be offered and sold (i) pursuant to registration under the 1933 Act of an exemption there from and (ii) in compliance with the provisions of Section 3(c)(7).

"Y" To obtain a paper copy of the official statement, please call 877-430-3544 for domestic accounts or 347-643-6233 for international accounts. Alternatively, you may call the number on this statement.

"Z" JPMs or an affiliate is a market maker in the security. As a market maker, JPMs or its affiliate may have acted as principal, may have had a long or short position in the security, and may have profited or lost in connection with the transaction.

### MANAGER ALLOCATION

The following definitions are provided for your reference:

- JPMC Managed: Includes mutual funds, exchange-traded funds (ETFs) and other registered funds that are managed by JPMorgan Chase & Co. (JPMC). This does not include J.P. Morgan Cash & Liquidity Funds or Six Circles Funds.
- JPMC Cash & Liquidity Funds: Includes cash, JPMC deposit sweeps and JPMC money market mutual funds.
- Third-Party Managed & Other: Includes mutual funds, ETFs, separately managed accounts and other investments that are managed or issued by companies other than JPMC.
- Six Circles Funds: Mutual funds managed by JPMC and sub-advised by third-parties; JPMC does not retain a fee for fund management or other fund services.
- JPMC Separately Managed Account (SMA): Includes fixed income, equity and alternative SMAs managed by JPMC.

Please note that the manager allocation for the strategies listed below will reflect the expected targeted allocations for each investment portfolio, rather than the actual allocations. While these targets are generally indicative of actual holdings, differences may arise due to factors such as relative asset performance prior to portfolio rebalancing:

- J.P. Morgan Core Advisory Portfolio models that contain an SMA component; and
- Select Advisory Strategies model-based strategies.

### FRACTIONAL SHARES

Fractional Shares will not be adjusted for certain corporate actions. Any remaining position less than 1 share will be liquidated.

For questions, please contact us using the information provided on the front of this statement.



## Messages (continued)

### LARGE TRADER REPORTING

A "large trader" is a legal entity or natural person who, directly or indirectly, through the exercise of investment discretion, effects transactions in the National Market System (NMS) securities that equal or exceed either:

- 2 million shares or \$20 million during any calendar day, OR
- 20 million shares or \$200 million over any calendar month.

The U.S. Securities and Exchange Commission (SEC) Rule 13h-1 Large Trader Reporting System requires U.S. and non-U.S. market participants who meet the definition of large trader to:

- File an electronic Form 13H with the SEC (via EDGAR) to obtain a large trader identification number (LTID); and
- Promptly disclose to U.S. broker-dealers that execute trades or carry accounts for the large trader, their LTID and the accounts to which the LTID's apply.

If you are a large trader and have completed a Form 13H, you will receive an LTID from the SEC. In order to ensure that LTID's are captured and reported as required under the SEC rule, you are required to promptly report your LTID to us and identify each account to which the LTID should be applied.

### ELECTRONIC FUNDS TRANSFER NOTICE

In case of errors or questions about electronic fund transfers to/from your account (via the Automated Clearing House (ACH) Network), your account statement or transaction record, please call us immediately at 1-800-362-5749 or write to us at J.P. Morgan Securities LLC, Mail Code: IL-1-0231, 4th Floor, 131 South Dearborn, Chicago, IL 60603-5506.

Please provide the following information:

1. Your name and account number,
2. The dollar amount of the suspected error, and
3. A description of the error or the transfer you are unsure about, please explain as clearly as you can why you believe it is an error or why you need more information.

Please note: We must hear from you no later than 60 days after we sent the first account statement on which the problem or error appeared. If you tell us verbally, we may require that you send us your complaint or question in writing within 10 business days. We will determine whether an error occurred within 10 business days after we hear from you and will correct any error promptly. If we need more time, however, we may take up to 45 days to investigate your complaint or question; for errors involving new accounts or foreign-initiated transactions, we may take up to 60 days to investigate. If we decide to do this, we will credit your account within 10 business days for the amount you think is in error (new accounts may take up to 20 days). However, if we ask you to put your complaint or question in writing and we do not receive it within 10 business days, we may not credit your account. If we determine at the conclusion of the investigation that there was no error, we will charge your account for the credited amount. We will tell you the results within three business days after completing our investigation. If we decide that there was no error, we will send you a written explanation. You may ask for copies of the documents that we used in our investigation.

### TAX REPORTING REMINDER

If any information regarding 2021 interest, dividends, miscellaneous income, gross proceeds or original issue discount is required to be reported to the IRS for this account, a Consolidated Form 1099 will be mailed to you no later than February 15, 2022, or a Form 1042-S will be mailed to you by March 15, 2022. Please note that reclassification of income by an issuer, corporate action or other adjustment may require us to send you a corrected Consolidated Form 1099.

### INVESTMENT RESTRICTIONS IN MANAGED ACCOUNTS

You can choose not to invest in certain trades, industries or securities. If you would like to add, change or remove a "Do Not Buy" investment restriction in your managed account, please call the appropriate number on the front of this statement.

For questions, please contact us using the information provided on the front of this statement.

STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

**Messages (continued)**

**EXTENDED HOURS TRADING RISK DISCLOSURE**

You should consider the following points before engaging in extended hours trading. "Extended hours trading" means trading outside of "regular trading hours." "Regular trading hours" generally means the time between 9:30 a.m. and 4:00 p.m. Eastern Standard Time.

**Risk of Lower Liquidity.** Liquidity refers to the ability of market participants to buy and sell securities. Generally, the more orders that are available in a market, the greater the liquidity. Liquidity is important because with greater liquidity it is easier for investors to buy or sell securities, and as a result, investors are more likely to pay or receive a competitive price for securities purchased or sold. There may be lower liquidity in extended hours trading as compared to regular market hours. As a result, your order may only be partially executed, or not at all.

**Risk of Higher Volatility.** Volatility refers to the changes in price that securities undergo when trading. Generally, the higher the volatility of a security, the greater its price swings. There may be greater volatility in extended hours trading than in regular market hours. As a result, your order may only be partially executed, or not at all, or you may receive an inferior price in extended hours trading than you would during regular market hours.

**Risk of Changing Prices.** The prices of securities traded in extended hours trading may not reflect the prices either at the end of regular market hours, or upon the opening the next morning. As a result, you may receive an inferior price in extended hours trading than you would during regular market hours.

**Risk of Unlinked Markets.** Depending on the extended hours trading system or the time of day, the prices displayed on a particular extended hours trading system may not reflect the prices in other concurrently operating extended hours trading systems dealing in the same securities. Accordingly, you may receive an inferior price in one extended hours trading system than you would in another extended hours trading system.

**Risk of News Announcements.** Normally, issuers make news announcements that may affect the price of their securities after regular trading hours. Similarly, important financial information is frequently announced outside of regular trading hours. In extended hours trading, these announcements may occur during trading, and if combined with lower liquidity and higher volatility, may cause an exaggerated and unsustainable effect on the price of a security.

**Risk of Wider Spreads.** The spread refers to the difference in price between what you can buy a security for and what you can sell it for. Lower liquidity and higher volatility in extended hours trading may result in wider than normal spreads for a particular security.

**IMPORTANT INFORMATION REGARDING PURCHASES INDICATED AS AVERAGE PRICE**

Your orders are processed in either (1) one execution at the confirmed price or (2) more than one execution, in which case the confirmed price is an average price. Please contact your J.P. Morgan representative for details regarding actual prices.

**DELIVERED TRADE CONFIRMATIONS**

As a reminder, if you've elected to receive trade confirmations by electronic delivery, you can find current and past copies online in the Trade Confirmations section under Statements & Documents. You can also find disclosures and important information about those trades, via a link on that page titled "See Important disclosures for your confirmations".

For questions, please contact us using the information provided on the front of this statement.

## Messages (continued)

### CUSTOMER FREE CREDIT BALANCES

For accounts that are held at JPMIS, customer free credit balances may be used in this firm's business subject to the limitation of 17CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operation, upon demand, the delivery of:

- any free credit balances to which you are entitled
- any fully-paid securities to which you are entitled
- any securities purchased on margin upon full payment of any indebtedness to us

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

If this is a margin account and we maintain a special memorandum account for you, this is a combined statement of your general account and a special memorandum account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of this separate account, as required by Regulation T, is available for your inspection.

Unless we hear from you to the contrary, it is our understanding that any free credit balances in your account are being maintained to facilitate your intention to invest such amounts through us.

### IMPORTANT INFORMATION ABOUT YOUR INVESTMENTS AND POTENTIAL CONFLICTS OF INTEREST

Conflicts of interest will arise whenever J.P. Morgan Chase & Co. or any of its affiliates (together, "J.P. Morgan") has an actual or perceived economic or other incentive in its management of clients' portfolios to act in a way that benefits J.P. Morgan. Conflicts will result, for example (to the extent the following activities are permitted in the account): (1) when J.P. Morgan invests in an investment product, such as a mutual fund, exchange-traded fund ("ETF"), structured product, separately managed account or hedge fund issued or managed by an affiliate, such as J.P. Morgan Investment Management Inc. ("JPMIM"); (2) when a J.P. Morgan entity obtains services, including trade execution and trade clearing, from an affiliate; (3) when J.P. Morgan receives payment as a result of purchasing an investment product for a client's account; or (4) when J.P. Morgan receives payment for providing services (including shareholder servicing, recordkeeping or custody) with respect to investment products purchased for a client's portfolio. Other conflicts will result because of relationships that J.P. Morgan has with other clients or when J.P. Morgan acts for its own account.

JPMIM or its affiliates may be sponsors or managers of ETFs and other registered funds ("J.P. Morgan Funds") that J.P. Morgan purchases for the client's portfolio. In such case, JPMIM or its affiliates receive a fee for managing the J.P. Morgan Funds. Because fees paid to JPMIM and its affiliates will be offset against the advisory account fee, J.P. Morgan will keep no more revenue when the client's portfolio is invested in J.P. Morgan Funds than when it is invested in third party funds.

All funds have various internal fees and other expenses, that are paid by managers or issuers of the funds or by the fund itself, but that ultimately are borne by the investor. J.P. Morgan may receive administrative and servicing and other fees for providing services to both J.P. Morgan Funds and third party funds, if applicable, that are held in the client's portfolio. These payments may be made by sponsors of funds (including affiliates of JPMIM) or by the funds themselves and may be based on the value of the funds in the client's portfolio. Funds or their sponsors may have other business relationships with J.P. Morgan outside of its portfolio management role or with the broker-dealer affiliates of J.P. Morgan, which may provide brokerage or other services that pay commissions, fees and other compensation.

J.P. Morgan has an incentive to allocate assets to new J.P. Morgan Funds to help it develop new investment strategies and products. J.P. Morgan has an incentive to allocate assets of the portfolios to a J.P. Morgan Fund that is small, pays greater fees to J.P. Morgan affiliates or to which J.P. Morgan has provided seed capital. In addition, J.P. Morgan has an incentive not to sell or withdraw portfolio assets from a J.P. Morgan Fund in order to avoid or delay the sale or withdrawal's adverse impact on the fund. Accounts managed by J.P. Morgan have significant ownership in certain J.P. Morgan Funds. J.P. Morgan faces conflicts of interest when considering the effect of sales or redemptions on such funds and on other fund shareholders in deciding whether and when to redeem its shares. A large sale or redemption of shares by J.P. Morgan acting on behalf of its clients could result in the underlying J.P. Morgan Fund selling securities when it otherwise would not have done so, potentially increasing transaction costs and adversely affecting fund performance. A large sale or redemption could also significantly reduce the assets of the fund, causing decreased liquidity and, depending on any applicable expense caps, a higher expense ratio, or liquidation of the fund. J.P. Morgan has policies and controls in place to govern and monitor its activities and processes for identifying and managing conflicts of interest.

For questions, please contact us using the information provided on the front of this statement.

**Messages (continued)****Investment Advisory Accounts (Other Than J.P. Morgan Automated Investing)**

Investment strategies are selected from both J.P. Morgan and third-party asset managers and are subject to a review process by our manager research teams. From this pool of strategies, our portfolio construction teams select those strategies we believe fit our asset allocation goals and forward looking views in order to meet the portfolio's investment objective.

As a general matter, we prefer J.P. Morgan managed strategies. We expect the proportion of J.P. Morgan managed strategies will be high (in fact, up to 100 percent) in strategies such as, for example, cash and high-quality fixed income, subject to applicable law and any account-specific considerations.

While our internally managed strategies generally align well with our forward looking views, and we are familiar with the investment processes as well as the risk and compliance philosophy of the firm, it is important to note that J.P. Morgan receives more overall fees when internally managed strategies are included. We offer the option of choosing to exclude J.P. Morgan managed strategies (other than cash and liquidity products) in certain portfolios.

The Six Circles Funds are mutual funds managed by J.P. Morgan and sub-advised by third parties. Although considered internally managed strategies, J.P. Morgan does not retain a fee for fund management or other fund services.

**J.P. Morgan Automated Investing Accounts**

When selecting ETFs for this program, this program's portfolio manager limits its selection to J.P. Morgan ETFs. As a result, this program's portfolio manager will choose J.P. Morgan ETFs even in cases where there are third party ETFs that are less expensive, or that have longer track records or superior historical returns. J.P. Morgan has a conflict of interest when it determines the portfolio's target asset classes, asset allocation goals or ongoing allocations, because it will allocate only to asset classes where J.P. Morgan ETFs are available.

The clients' portfolios will contain 100% J.P. Morgan ETFs. You should not invest in this program if you are not comfortable holding an investment portfolio that is comprised of 100% J.P. Morgan ETFs. It is important to note that J.P. Morgan will receive more overall fees when J.P. Morgan ETFs are used. Additionally, the J.P. Morgan ETFs in this program are not required to be reviewed or approved by the research process applicable to other programs for which J.P. Morgan Securities LLC ("JPMS") serves as investment adviser. Consequently, investment decisions regarding J.P. Morgan ETFs for the program will be different from, and may, in certain circumstances, be inconsistent with, the investment decisions made by J.P. Morgan for other advisory programs. Furthermore, the J.P. Morgan ETFs used in this program may or may not be approved for solicitation in the JPMS full service brokerage platform.

These conflicts may be heightened by the collaboration of this program's portfolio manager and the portfolio managers of the J.P. Morgan Funds in designing portfolios for this program.

Please review the JPMS and JPMIM ADV brochures located at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) for additional important information regarding this program and its conflicts of interest.

## Messages (continued)

### MARGIN ACCOUNT REMINDERS

If you own a margin account, we would like to remind you that:

Securities and other assets in your account are our collateral for any margin loan made to you. If the securities and other assets in your account decline in value, so does the value of the collateral supporting your loan, and, as a result, we can take action, such as issue a margin call and/or sell securities or other assets in any of your accounts held at J.P. Morgan Securities LLC to maintain the required equity in your account. It is important that you fully understand the risks involved in trading securities on margin. These risks include the following:

- You can lose more funds than you deposit in your margin account.
- We can force the sale of securities or other assets in your account(s).
- We can sell your securities or other assets without contacting you.
- You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call.
- We can increase our "house" maintenance margin requirements at any time and are not required to provide you with advance written notice.
- You are not entitled to an extension of time on a margin call.

Further, if you have a margin account with us, as permitted by law we may use certain securities in your account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith. If you carry a margin balance, your account statement will reflect the current annual interest rate applicable to your margin loan. Please review the current rate, as under certain circumstances the rate may change without advance notice. If you have any questions or concerns about your current interest rate, please speak to your J.P. Morgan representative.

If you are a customer with a margin account, you have consented to our right (to the extent permitted by applicable law) to use, lend or pledge any securities held by J.P. Morgan Securities LLC in your margin account. In certain circumstances, such loans or other use may limit, in whole or in part, your ability to receive dividends directly from the issuing company and/or your right to exercise voting and other attendant rights of ownership with respect to the loaned, sold or pledged securities. Such circumstances include, but are not limited to, loans of securities that you own in your margin account that continue over record dates for voting purposes and ex-dividend dates for dividend distributions. If you do not receive dividends directly from the issuing company, you may receive payments-in-lieu of dividends, which could cause you to lose the benefit of the preferential tax treatment accorded to dividends.

### TRADING AWAY AND ASSOCIATED COSTS

If Portfolio Managers select broker-dealers other than JPMS or its affiliates to place trades, which is known as "trading away", you may incur trading costs in addition to the advisory fee charged by JPMS. Incurred trading away costs will not be identified separately on your account statement because they are incorporated into the net price of the trade. Please note that fixed income securities are primarily traded away. More information on trading away, including summary trading away information for Portfolio Managers, is available in the Trading Practices Disclosures for Wrap Fee Programs document at [jpmorgan.com/managed-account-disclosures](https://www.jpmorgan.com/managed-account-disclosures).

### RESTRICTIONS ON CERTAIN U.S. MARIJUANA-RELATED BUSINESS SECURITIES

Beginning on November 8, 2021, we will no longer accept orders, except for sales of existing positions, or take custody of securities issued by businesses that:

- Are directly connected to marijuana-related activities;
- Have operations in the United States; and
- ARE NOT listed on the New York Stock Exchange (NYSE), the National Association of Securities Dealers Automated Quotations (NASDAQ), the Toronto Stock Exchange (TSX) or the TSX Venture Exchange (TSXV).

This includes securities that can be converted or exchanged into securities issued by these businesses, such as warrants and convertible debt.

If you hold these positions in your account(s), you will receive, if you have not already, a separate communication with more detailed information.

If you have questions, please contact us at the number on the front of your statement or reach out to your J.P. Morgan Advisor.

For questions, please contact us using the information provided on the front of this statement.

**Messages (continued)**

**IMPORTANT INFORMATION ABOUT DISCLOSURES RELATED TO 17 CFR SECTION 242.606 AND FINRA RULE 4340**

The following written disclosures were not previously provided to you.

**SEC REGULATION NMS RULES 605 AND 606-ORDER EXECUTION AND ROUTING DISCLOSURES**

Under SEC Rule 605, market centers that trade national market system securities are required to make available to the public monthly electronic reports that include uniform statistical measures of execution quality. Under SEC Rule 606, broker-dealers that route customer orders in equity and option securities are required to make publicly available quarterly reports that, among other things, identify the venues to which customer orders are routed for execution. In addition, SEC Rule 606 requires broker-dealers to disclose to customers, on request, the venues to which their individual orders were routed.

JPMs has contracted with an outside vendor to prepare statistical reports to comply with these rules. To download and view the most recent disclosures, please visit [jpmorgan.com/pages/disclosures](http://jpmorgan.com/pages/disclosures). A written copy of the SEC 605 and 606 reports can also be furnished to you upon request.

**FINRA RULE 4340: CALLABLE SECURITIES-ALLOCATION PROCEDURES AND CUSTOMER NOTICE**

If JPMs has in its possession or under its control any security that may be called or redeemed prior to maturity, then JPMs will follow the allocated procedures described at [jpmorgan.com/global/disclosures/callable](http://jpmorgan.com/global/disclosures/callable), securities, which demonstrate how we allocate among customers the securities to be redeemed or selected as called in the event of a partial redemption or call. You may also request hard copies of this procedure from your JPMs representative.

**UPDATES TO THE GUIDE TO INVESTMENT SERVICES AND BROKERAGE PRODUCTS**

We will be making following updates to our Guide to Investment Services and Brokerage Products (the Guide). To view a current version of the Guide at any time, go to [jpmorgan.com/investmentGuide](http://jpmorgan.com/investmentGuide).

If you have any questions regarding these updates, please contact your J.P. Morgan Advisor(s).

**WHO WE ARE, WHO WE SERVE AND HOW WE SERVE YOU**

- In this section and throughout the Guide, certain names will be updated and added to reflect changes in our business. What was formerly referred to as National Branch in the guide will now be J.P. Morgan Investments Direct (JPMID).
- A new group of remote advisors offering a single advisory product, J.P. Morgan Personal Advisors (JPMIPA), will be introduced in the guide.
- JPMID and JPMIPA advisors share the same title, Financial Advisor, which represents a change from the previous title of Financial Consultant used for JPMID, formerly National Branch.

**INVESTMENT ACCOUNTS & SERVICES**

- Under "A. Different Types of Investment Accounts" then "Brokerage Accounts", we added language noting that:  
As of February 1, 2022, in accordance with the Department of Labor (DOL) 2020 DOL Fiduciary Exemption, "Improving Investment Advice for Workers and Retirees," we acknowledge that when we provide "investment advice" to you regarding your qualified retirement plan account or IRA (collectively, "retirement accounts"), within the meaning of the Employee Retirement Income Security Act and/or the Internal Revenue Code, as applicable, we are fiduciaries under those laws governing retirement accounts. The way we make money creates some conflicts with your interests, so when providing such investment advice, including rollover and transfer recommendations for retirement accounts and investment recommendations for your brokerage retirement accounts, we operate under a special rule that requires us to act in your best interest and not put our interest ahead of yours. We generally will not permit principal trading in full service brokerage accounts.
- Under "B. Different Types of Brokerage Accounts", we will note that JPMIPA Advisors do not offer full-service retirement brokerage accounts. In the "Full-Service Brokerage Accounts" table:
  - We will update contact information for JPMID Financial Advisors.
  - We are adding language noting that you may no longer invest in J.P. Morgan-Issued Structured Investments or new issues/syndicates of equity or fixed income securities, and J.P. Morgan-Issued Exchange Traded Notes in full-service brokerage retirement accounts.
  - We are adding language that we may no longer recommend the purchase of, or investment in, non-US equity securities (excluding ADRs) not debt securities issued by J.P. Morgan in full-service brokerage retirement accounts. This language was also added under "Products Available for Brokerage Accounts", in the "Risks and Other Relevant Information" section.
  - Under "B. Different Types of Brokerage Accounts", we are adding language noting that our JPMIPA team is a single product channel and only offers one discretionary advisory program, J.P. Morgan Personal Advisors.

For questions, please contact us using the information provided on the front of this statement.

## Messages (continued)

## PRODUCTS AVAILABLE FOR BROKERAGE ACCOUNTS

- Under "B. Fixed Income/Bonds":
  - In the "Description" section, we are adding language noting that new bond issuance/syndicate may not be purchased in full-service brokerage retirement accounts, and that the purchase of, or investment in, corporate bonds issued by J.P. Morgan may not be recommended in full-service brokerage retirement accounts.
  - In the "Fees/Mark-ups" section, we will note that Bond transactions in brokerage retirement accounts are charged a commission.
- Under "D. Structured Investments":
  - In the "Fees" section we will remove language noting a marketing-related fee associated with the issue price of a Structured Product Purchased in a brokerage
  - In the "Restrictions" section, we will add a bullet noting Structured Products may not be available for all investors or account types.
- Under "F. Money Market Funds", in the section "Compensation J.P. Morgan receives from money market fund companies", we will make an update noting that advisors do not earn compensation on money market fund sales
- Under "H. Annuities":
  - We will change references to "Fixed Deferred Annuities" to "Fixed Rate Annuities".
  - Under "Compensation", we are noting the potential conflict of interest that exists when, because of varying rates of compensation among annuity products, selling one annuity over another results in higher compensation being paid.
  - Under "Paid to CIA and Private Client Advisors" we are clarifying commissions for Fixed Rate Annuities and Single Premium Immediate Annuities.
- Under "Risks and other relevant information" then "Variable Annuities", we will note that advisory or subadvisory fees paid to J.P. Morgan Investment Management, which is an affiliate of CIA, creates a potential conflict of interest.

## COMPENSATION &amp; POTENTIAL CONFLICTS

- Under "A. Advisor Compensation" and "B. J.P. Morgan Private Client Advisor Compensation", we will update the description of available Special Compensation Awards.
- Under "C. JPMID and JPMFA Financial Advisor Compensation," we are providing detail on the compensation to JPMID and JPMFA Financial Advisors.
- Under "E. Compensation to J.P. Morgan Securities LLC and Affiliates":
  - In the "Compensation for Other Services" section, we are adding language noting that certain funds track financial indexes in which the Advisor or an affiliate retains various intellectual property rights. As a result, JPMC may be entitled to receive index licensing fees from unaffiliated licensees of these indexes.
  - In the Principal Trading and Agency Cross Transactions Compensation," we will note that we generally do not permit principal trading in full-service brokerage retirement accounts.
- Under "F. Conflicts of Interest & Other Disclosures", we are correcting the explanation of compensation advisors may receive for referrals to J.P. Morgan Self-Directed Investing or J.P. Morgan Authorized Investment accounts and updated the list of asset management firms that hold greater than 5% ownership in J.P. Morgan stock.

For questions, please contact us using the information provided on the front of this statement.

STATEMENT SUMMARY

MANAGED

IMPORTANT INFORMATION

### Important Information about Your Account Statement(s)

Unless otherwise indicated, accounts are held at J.P. Morgan Securities, LLC (JPMS), member FINRA and SIPC. JPMS is not a member of the Federal Deposit Insurance Corporation (FDIC).

**NON-DISCRETIONARY:** JPMS brokerage accounts are non-discretionary and all investment decisions are made by the client. For managed accounts, discretionary services are provided by JPMS, an affiliate or an authorized third party.

**ACCOUNT PROTECTION:** As a member of the Securities Investor Protection Corporation (SIPC), JPMS provides account protection for the net equity of a customer's funds and securities positions. SIPC provides \$500,000 of primary net equity protection, including \$250,000 for claims for cash (SIPC Coverage). Account protection applies when a SIPC member firm fails financially and is unable to meet its obligations to its securities customers, but does not apply to losses from the rise or fall in the market value of investments or to SIPC-eligible assets such as futures, options on futures, foreign exchange transactions, or any investment contracts that are not registered as securities or deposit account balances. For more information about SIPC Coverage, including the SIPC Brochure, visit [www.sipc.org](http://www.sipc.org) (follow the link to How SIPC Protects Investors) or call SIPC at (202) 571-8300.

**CUSTODY:** JPMS carries your account and acts as your custodian for funds and securities received, which have been deposited directly with us or received as a result of transactions we process for your account. Inquiries regarding your Statement may be directed to JPMS at (847) 843-9933.

As used in the course of these statements, "J.P. Morgan" is the global brand name for JPMorgan Chase & Co. and its subsidiaries and affiliates worldwide.

**MARKET PRICES:** The market value of your holdings is as of the last business day of the statement period or the last available price. Prices for determining market values represent estimates. These estimates are obtained from multiple sources deemed to be reliable. This information is not guaranteed for accuracy and is furnished for the exclusive use of the client.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values are only indicative.

**ESTIMATED PRICING AND COST BASIS:** Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such assets may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

Pricing estimates may be based on bids, prices within the bid offer spread, closing prices or matrix methodology that uses data relating to other securities whose prices are more ascertainable to produce a hypothetical price based on the estimated yield spread relationship between the securities. Pricing estimates do not constitute bids for any securities. Actual prices realized at sale may be more or less than those shown on your statement.

Unpledged Direct Participation Program (DPP) and Real Estate Investment (REIT) Securities: DPP and REIT securities are generally illiquid and the value of the security will, generally, be different

VICENTE GONZALEZ JR TOD

Statement Period : December 01 - December 31, 2021

from its purchase price. Accurate valuation information is not available. The total cost basis for each security position and the unrealized gain/loss are provided solely as a general indication of performance and should not be used for tax purposes or otherwise relied upon without the assistance of your tax advisor. With respect to security positions received into your account, cost basis information, if any, has been provided by you. Further information is available upon request.

You may hold positions where the original cost basis has been adjusted to reflect amortization or accretion.

For Regulated Investment Companies or Dividend Reinvestment Plan sales, for which the average price method has been chosen, positions are closed out on a First-In-First-Out (FIFO) basis.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

**DIVIDEND INCOME:** Dividends credited to your account may include capital gains, non-taxable dividends and/or dividends on foreign stock. You may wish to consult your tax advisor with regard to your tax liability on these dividends.

**ESTIMATED ACCRUED INCOME, ESTIMATED ANNUAL INCOME AND ESTIMATED YIELD CALCULATIONS:** The following calculation descriptions are provided for your reference. Please note that other factors may affect your specific calculations, so if you would like more information, please contact your J.P. Morgan representative or call us at the number on the front of this statement. In general, Estimated Accrued Income is calculated by multiplying the current coupon rate with the current face amount for the number of days since the bond's last interest payment. Estimated Annual Income (EAI) is calculated by multiplying either the current coupon rate or an estimated annual dividend (generally calculated by annualizing the most recent regular cash dividend) by the quantity of the security held. For balances other than sweep program balances, Estimated Yield (EY) is calculated by dividing EAI by the market value of the security. You should also know that: (i) the figures shown in this statement are estimates based on mathematical calculations using data obtained from outside sources; they are provided for informational purposes only, and are not a projection or guarantee of future returns; (ii) because prices of securities, coupon and dividend rates are subject to change at any time, these estimates should not be relied upon exclusively for making investment, trading, or tax decisions; (iii) because different asset types (e.g., equities versus fixed income securities) tend to have different investment characteristics, these estimates should not be compared across asset types; (iv) EAI and EY for certain types of securities might include return of principal or capital gains, in which case the EAI and EY would be overstated. There is no guarantee that your investments will actually generate the EAI or EY presented, and your actual income and yield might be higher or lower.

**IMPORTANT INFORMATION REGARDING AUCTION RATE SECURITIES (ARS):** ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors

For questions, please contact us using the information provided on the front of this statement.



**Ameritrade**  
Member FINRA/SIPC

PO BOX 2209  
OMAHA, NE 68103-2209  
Client Services: 800-669-3900

**Tax Information**  
Account 

VINCENT GONZALEZ JR

Statement Date: 01/26/2022  
Document ID: QS47 F1Y 4501

**2021**

PAYERS TIN: 47-0633629

RECIPIENTS TIN: XXX-XX-4912

(1) *Partners filing requirements (see instructions)*

**Summary Information**

**DIVIDENDS AND DISTRIBUTIONS** 2021 1099-DIV\* OMB No. 1545-0110

**MISCELLANEOUS INFORMATION** 2021 1099-MISC\* OMB No. 1545-0115

1a- Total ordinary dividends (includes lines 1b, 5, 2e)	760.00	2- Royalties	0.00
1b- Qualified dividends	760.00	3- Other income	0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interest	0.00
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		
2f- Section 897 capital gain	0.00		
3- Nondividend distributions	0.00		
4- Federal income tax withheld	0.00		
5- Section 199A dividends	0.00		
6- Investment expenses	0.00		
8- Foreign country or US possession:	7- Foreign tax paid:		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Long	D (basis reported to the IRS)	10,844.90	10,149.69	0.00	0.00	695.21
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>10,844.90</b>	<b>10,149.69</b>	<b>0.00</b>	<b>0.00</b>	<b>695.21</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>10,844.90</b>	<b>10,149.69</b>	<b>0.00</b>	<b>0.00</b>	<b>695.21</b>
<b>Withholding</b>		<b>Amount</b>				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

## Summary Information

(continued)

Account   
01/20/2022

## INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	34.39
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1089-DIV total withheld	0.00
1089-INT total withheld	0.00
1089-OID total withheld	0.00
1089-MISC total withheld	0.00
1089-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- Partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	6.50
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

2021 1009-B\* OMB No. 1545-0719

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS (Ordinary gains or losses are identified in the Additional Information column) (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

\*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1d- Proceeds & 8- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
1c- Date sold or disposed	Quantity					
FREEPORT-MCMORAN INC COM CL B / CUSIP: 35671D857 / Symbol: FCX	300,000	09/26/13	10,844.90	10,149.89	695.21	Sale
07/26/21			10,844.90	10,149.89	695.21	
Totals :						

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## Detail for Dividends and Distributions

2021

01/20/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
FREEPORT-MCMORAN INC COM CL B	35671D857 FCX		05/03/21	22.50	Qualified dividend	
			08/02/21	22.50	Qualified dividend	
				46.00	Total Dividends & distributions	
HSBC HOLDINGS PLC ADR SPONSORED	404280406 HSBC		04/29/21	487.50	Qualified dividend	
			09/30/21	227.50	Qualified dividend	
				715.00	Total Dividends & distributions	
				760.00	Total Dividends & distributions	

## Detail for Interest Income

2021

01/20/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1				
		01/31/21	2.10	Interest- money mkt	
		01/31/21	0.78	Interest- money mkt	
		02/28/21	1.90	Interest- money mkt	
		02/28/21	0.70	Interest- money mkt	
		03/31/21	2.10	Interest- money mkt	
		03/31/21	0.78	Interest- money mkt	
		04/30/21	2.03	Interest- money mkt	
		04/30/21	0.75	Interest- money mkt	
		05/31/21	2.10	Interest- money mkt	
		05/31/21	0.78	Interest- money mkt	
		06/30/21	2.03	Interest- money mkt	
		06/30/21	0.76	Interest- money mkt	
		07/31/21	2.10	Interest- money mkt	
		07/31/21	0.78	Interest- money mkt	
		08/31/21	2.10	Interest- money mkt	
		08/31/21	0.87	Interest- money mkt	
		09/30/21	2.03	Interest- money mkt	
		09/30/21	0.85	Interest- money mkt	
		10/31/21	2.10	Interest- money mkt	
		10/31/21	0.88	Interest- money mkt	
		11/30/21	2.03	Interest- money mkt	
		11/30/21	0.85	Interest- money mkt	
		12/31/21	2.10	Interest- money mkt	
		12/31/21	0.88	Interest- money mkt	
			34.39	Total Interest	
			34.39	Total Interest	

## Fees and Expenses

2021

01/20/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
HSBC HOLDINGS PLC ADR SPONSORED	404280406 HSBC	04/29/21	-3.25	Depository receipt fee	
		09/30/21	-3.25	Depository receipt fee	
			-6.50	Total Depository receipt fee	
			-6.50	Total Depository receipt fee	

## Foreign Income and Taxes Summary

8021

Account

01/20/2022

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
UK	HSBC HOLDINGS PLC ADR SPONSORED	404280406	0.00	715.00	0.00	715.00	0.00
Total UNITED KINGDOM			0.00	715.00	0.00	715.00	0.00
Grand Total				715.00			
Total Foreign Source Income*				715.00			
Qualified Foreign Source Income**				715.00			
Foreign Tax				0.00			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

**Common instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup withholding. Shows backup withholding. Generally, a payer must backup withheld if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nonresidence. If this 1099-DIV includes amounts belonging to another person, you are considered a nonresidence recipient. You must file as the "payer" the respective Form 1099-DIV, INT, or OID Copy A (with a Form 1066) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B (Form 1040) and amounts to each owner. A spouse is not required to file a nonresidence return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099-DIV to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 8938.

Keep tax documents for your records.

**1099-DIV instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividend" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and you only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rates Gain Worksheet in the instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2a and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8865 and Form 8866-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the instructions for Forms 1040 and 1040-SR.

**Instructions for Recipient**

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7. Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the instructions for Form 6251.

Lines 13-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/form1099DIV](http://www.irs.gov/form1099DIV).

**1099-INT instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zeroed-in bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you make an election under section 1277(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1277(b). Report the accrued market discount on your income tax return as directed in the instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you

notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the instructions for Schedule B (Form 1040 or 1040-SR) to determine the interest amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(i).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/form1099INT](http://www.irs.gov/form1099INT).

**1099-B instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8948. Indicates where to report the transaction on Form 8948 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8948.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the name of the corporation, P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8948 or on Schedule D (Form 1040 or 1040-SR).



(whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the instructions for Form 8948, the instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the instructions for Form 8948, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the instructions for Form 8948, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the instructions for Form 8948, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8948 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or acrp credited to your account by a barrier exchange. See Pub. 525.

Lines 14-18. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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Exhibit 4 Properties of Vicente González

Schedule A - Assets "Unearned Income"							
Entity	Type	Assets/Income Source	Address	City	Value of Assets	Type of Income	Calendar Year 2021
Limited Liability Corp.							
United Colonial Group LLC	Raw Land	Cibolo Rd.	712 Sand Piper Ave.	Edinburg, Texas	\$50,001-\$100,000	Capital Gains	None
Tenant Services LLC	APT	4-Plex	721 Sand Piper Ave.	McAllen, Texas	\$100,001-\$250,000	Rent	\$15,001-\$50,000
United Colonial Group LLC	APT	4-Plex	720 Sand Piper Ave.	McAllen, Texas	\$250,001-\$500,000	Rent	\$15,001-\$50,000
Tenant Services LLC	APT	4-Plex	705 Mynah Ave.	McAllen, Texas	\$500,001-\$750,000	Rent	\$15,001-\$50,000
Tenant Services LLC	APT	4-Plex	2311 Iris St.	McAllen, Texas	\$750,001-\$1,000,000	Rent	\$15,001-\$50,000
Tenant Services LLC	APT	6-Plex	604 Bluebird Ave.	McAllen, Texas	\$1,000,001-\$2,500,000	Rent	\$15,001-\$50,000
United Colonial Group LLC	APT	604 Bluebird Ave.	233 Monte Capitulo Rd.	McAllen, Texas	\$2,500,001-\$5,000,000	Rent	\$15,001-\$50,000
None	APT	Monte Capitulo Rd.	1548 Dove Ave.	McAllen, Texas	\$5,000,001-\$10,000,000	Rent	\$15,001-\$50,000
United Colonial Group LLC	Real Estate	1548 Dove Ave.	121 N. 10th St.	McAllen, Texas	\$10,000,001-\$25,000,000	Rent	\$15,001-\$50,000
10th St. Suites LLC	Real Estate	3711 N. 10th St.	143 Duddington Pl. SE	Washington, D.C.	\$25,000,001-\$50,000,000	Rent	\$15,001-\$50,000
None	Real Estate	143 Duddington Pl. SE	1008 S. Carolina Ave. SE	Washington, D.C.	\$50,000,001-\$100,000,000	Rent	\$15,001-\$50,000
None	Real Estate	1008 S. Carolina Ave. SE	33 Carrera San Jeronimo	Madrid, Spain	\$100,000,001-\$250,000,000	Rent	\$15,001-\$50,000
Rechtsanwalt LLC	Real Estate	33 Carrera San Jeronimo	476 Weldge-Lewis Rd.	Fredericksburg, Texas	\$250,000,001-\$500,000,000	Capital Gains	None

Exhibit 5 Properties of Lorena Saenz Gonzalez				
Schedule A- Assets & "UNEARNED INCOME"				
Assets/Income Source	City	Value of Assets	Type of Income	Calendar Year 2021
5409 N 8th St.	McAllen, Texas	\$100,001-\$250,000	Capital Gains	None
2005 Gurnwood Ave.	McAllen, Texas	\$50,001-\$100,000	Capital Gains	\$2,000
721 N 21st	McAllen, Texas	\$50,001-\$100,000	Capital Gains	\$2,000