PRELIMINARY INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS **EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. TRUSTS -- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filling? D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the UNITED STATES HOUSE OF REPRESENTATIVES Name: exceeding \$1,000 during the reporting period? exchange any securities or reportable real estate in a transaction B. Did you, your spouse, or your dependent child purchase, sell, or A. Did you, your spouse, or your dependent child: 2021 FINANCIAL DISCLOSURE STATEMENT REPORT Receive more than \$200 in unearned income from any reportable a. Own any reportable asset that was worth more than \$1,000 at the STATUS end of the reporting period? or JOAQUIN CASTRO House of Representatives Member of the U.S. 2021 Annual (Due: May 16, 2022) District: ¥ 8 7 7 80 ž 7 <u>z</u> Yes 20 Daytime Telephone: (202) 2 25-3256 Amendment Š 중 중 중 중 For Use by Members, Officers, and Employees LEGIS ATIVE RESOURCE CONTER ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period? H. Did you, your spouse, or your dependent child receive any G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single F. Did you have any reportable agreement or arrangement withan outside entity during the reporting period or in the current calendar source during the reporting period year up through the date of filing? Employee Officer or **Employing Office:** Termination Date of Termination: 12 Fi (Office Use Only) DELIVERED HAND Shared Staff Filer Type: (If Applicable) ¥09 **8 ¥89** ¥88 **¥**99 Yes Yes Š. Principal Assistant 중 중 Ş ᇂ Z S Page 1 of Z 又 又 7 7

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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							Examples:		For bank and other cash accounts, total the amount in all inderest-bearing accounts, total the amount in all inderest-bearing accounts, if the total is over \$5,000, fest every frencial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its citivities, and its geographic location in Block A. Excelute: Your personal residence, including second homes and vacation homes (urless there was rental income said vacation homes (urless there was rental income said privately-traded fund that is an Excepted treatment from privately-traded fund that is an exact or income source is that of your spouse (SP) or income source is that of your spouse (SP) in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.	BLOCK A Assets and/or income Sources Identify (a) each asset hald for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in 'unearmed' income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in
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SCHEDULE C - EARNED INCOME

Name: JOAQUIN CASTRO

Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse parent income exceeding \$1,000. See examples below.

INCOME LIMITS and PROHIBITED INCOME: The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,595. The 2022 limit is \$29,895. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited. EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	figuration of the board for the restriction of the forest of the first	roading are coming promotion.	
	Source (include date of receipt for honoraria)	Туре	Amount
	Koene State	Approved Teaching Fee	\$6,000
Examples:	State of Maryland	Legislative Pension	\$18,000
	Civil War Roundtable (Oct. 2)	Spousa Speech	\$1,000
	Ontario County Board of Education	Spouse Salary	NIA
Arco	Arcoiris	Spouse SAlmy	N/A
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SCHEDULE D - LIABILI

SCHEDULE D LIABILITIES	Name: JOADUN (ASTRO) Page 6 of 10	
Report liabilities of over \$10, period. Members: Members rent it out or are a Member); to you by a spouse or the ch \$10,000. *Column K is for lie	Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount dwed during the reporting period. Members: Members are required to report at liabilities secured by real properly including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.	

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	8ms	U.S.	Example			
		1.5. Dept of Education	First Bank of Wilmington, DE	Craditor		
	3/13	6/00	5/20	Liability Incurred MO/YR	}	
	Mortgage - Personal	LARU School LOANS	Mortgage on Rental Property, Dover, DE	Type of Liability		
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				\$25,000,001- \$50,000,000	-	
				Over \$50,000,000		
				Over \$1,000,000* (Spouse/DC Liability)	~	

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude:

Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Position Name of Organization
Director	College Advising Corp.
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SCHEDULE F

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or defend of payments by a former or current employer other than the U.S. government, or continuing participation in an employee welfare or benefit plan maintained by a former	SCHEDULE F - AGREEMENTS
with respect to: future employment, a leave of absence during the period of government service nment; or continuing participation in an employee welfare or benefit plan maintained by a former	Name: JOAQUIN (ASTRO
I of government service; maintained by a former	Page 7 of 10

employer. Date JOAGUIN CASTAL Parties to Agreement Jahre Undekenne Terms of Agreement etiement Anno1

SCHEELER OF GIFTS

acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics. Report the seutre (by barne) to be deschatton, and the value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gifts to personal hospitality from an individual (which may not include a registered lobbylist or foreign agent), local meals, and gifts to a spouse oldependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits

ú.	Source	Description	Value
Example	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500
//	Nove		

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENT

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Name:	
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Identify the source and list travel titnerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y7N)
	Government of China (MECEA)	Aug. 6-11	DC-Boting, China-DC	*	*	z
Examples	Habitat for Humanny (Charity Fundraiser)	Mar. 3-4	DC-Boston-OC	Ψ.	*	Υ
Junt	Stronford Allumni Hall of Fame Oct. 22-23 Hotel Expenses On by	Oct. 22-25	More I Expenses On by	Y	×	N
Cere	Ceremony			•		
			3.00			

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: JOASULA CASTRO Page 9 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.	an event to a charitable organization	in lieu of paying an honorari	um to yeu. A separate
Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2021	\$2,000
ATE MONINGENIA	Avadie	Aug. (3, 202)	June
NONE			
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