

UNITED STATES HOUSE OF REPRESENTATIVES 2020 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

DEC 03 2021

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LEGISLATIVE RESOURCE CENTER

4877 DEC - 7 PM 12:50

Name: David Philip Roe

Daytime Telephone: _____

A \$200 penalty shall be assessed for any individual who files this report after the deadline.

FILER STATUS	☒ Member of the U.S. House of Representatives	State: TN District: 01	☐ Officer or Employee	Employing Office: _____	Start Filer Type: (If Applicable) Shared ☐ Principal Assistant ☐
REPORT TYPE	☐ 2020 Annual (Due: May 17, 2021)	☐ Amendment	☒ Termination	Date of Termination: 01.03.2021	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? OR b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes ☒ No ☐	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes ☒ No ☐	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes ☐ No ☒
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes ☒ No ☐	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for traveling more than \$415 in value from a single source during the reporting period?	Yes ☐ No ☒
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes ☐ No ☒	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes ☐ No ☒
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes ☐ No ☒	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes ☐ No ☒
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☒

David Philip Roe

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: David Philip Roe

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	NONE	1	2	3	4	5	6	7	8	9	10		
	NONE																																		
	\$1-\$1,000																																		
	\$1,001-\$15,000																																		
	\$15,001-\$50,000																																		
	\$50,001-\$100,000																																		
	\$100,001-\$250,000																																		
	\$250,001-\$500,000																																		
	\$500,001-\$1,000,000																																		
	\$1,000,001-\$5,000,000																																		
	\$5,000,001-\$25,000,000																																		
	\$25,000,001-\$50,000,000																																		
	Over \$50,000,000																																		
	Spouse/DC Asset over \$1,000,000*																																		
	BANK OF TENNESSEE (DR) LIVING TRUST																																		
	ML - CRUT - ADVISORY																																		
	ML - ALT INVESTMENTS																																		
	ML - PHIL'S ADVISORY TR																																		
	ML - COLLEGE SAVINGS																																		
	ML - PHIL'S CMA																																		
	ML - ADVISORY INHERITED IRA																																		

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: David Phillip Roe

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income													BLOCK E Transaction		
DC LT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	NONE	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(b)(6), or E	
	None																																				
	UBS - DPC/CPR JTWRKS RESOURCE MGMT ACCT																																				See Schedule 7
	UBS - CPJ LIVING TRUST																																				See Schedule 8
	UBS - CPJ LIVING TRUST (BLACKROCK MUNI)																																				See Schedule 9
	UBS - JEANES INVEST. LLC																																				See Schedule 10
	UBS - CPJ IRREVOCABLE INHERITANCE TRUST																																				See Schedule 11
	UBS - DBJ EXEMPT FAMILY SHARE TRUST																																				See Schedule 12

Use additional sheets if more space is required.

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"

Name: David Phillip Roe

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	NONE	1	2	3	4	5	6	7	8	9	10	11	12	P, S, Slightly or E
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																						
	UBS - DRJ MARITAL SHARE TWO TRUST																																			See Schedule 13
	UBS - DRJ SWING SHARE ONE TRUST																																			See Schedule 14
	UBS - CLARINDA IRA																																			See Schedule 15

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: David Philip Roe

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any property or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets held for your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (month or quarter, month, or day, apply)	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K		
	Example Mega Corp. Stock			X		X	2020		X											
	ML - CRUT - ADVISORY						2020													
	ML - ALI INVESTMENTS						2020													
	ML - PHIL'S ADVISORY TRIRA						2020													
	ML - COLLEGE SAVINGS						2020													
	ML - PHIL'S CMA						2020													
	ML - ADVISOR INHERITED IRA						2020													
	UBS - DPMCPR JTWROS RESOURCE MGMT ACCT						2020													
	UBS - CPU LIVING TRUST						2020													
	UBS - CPU LIVING TRUST (BLACKROCK MUNI)						2020													
	UBS - JEANES INVESTMENT LLC						2020													
	UBS - CPU IRREV INHERITANCE TRUST						2020													
	UBS - DRJ EXEMPT FAMILY SHARE TRUST						2020													
	UBS - DRJ MARITAL SHARE TWO TRUST						2020													
	UBS - DRJ SWING SHARE ONE TRUST						2020													
	UBS - CLARINDA IRA						2020													

Use additional sheets if more space is required.

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Use additional sheets if more space is required.

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 1

David Phillip Roe

Online at: www.mymerrill.com

DR DAVID PHILIP ROE TTEE
U/A DTD 08/08/2007
BY DAVID PHILIP ROE

24-Hour Assistance: (800) MERRILL



Net Portfolio Value:

\$510,563.64

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

CRUT - Advisory

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2020 - December 31, 2020

	This Statement	Year to Date
Total Credits	4,294.93	11,715.41
Total Debits	(6,730.10)	(31,361.00)
Securities You Transferred In/Out	.	.
Market Gains/(Losses)	10,598.66	41,910.83

ASSETS

	December 31	November 30
Cash/Money Accounts	47,313.00	33,674.36
Fixed Income	145,788.59	155,983.94
Equities	.	.
Mutual Funds	322,293.50	311,511.95
Options	.	.
Other	.	.
Subtotal (Long Portfolio)	515,376.09	501,170.25
Estimated Accrued Interest	1,145.66	1,229.90
TOTAL ASSETS	\$516,520.75	\$502,400.15

LIABILITIES

Debit Balance	(5,957.11)	.
Short Market Value	.	.
TOTAL LIABILITIES	(5,957.11)	.
NET PORTFOLIO VALUE	\$510,563.64	\$502,400.15

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

CRUT - Advisory

24-Hour Assistance: (800) MERRILL

December 01, 2020 - December 31, 2020

CASH FLOW

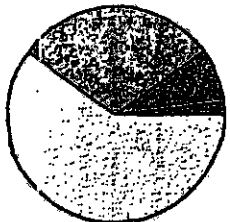
	This Statement	Year to Date
Opening Cash/Money Accounts	\$38,674.36	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(6,132.60)	(24,530.40)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	(597.50)	(6,930.60)
Advisory and other fees	(6,730.10)	(37,367.00)
Subtotal	(6,730.10)	(37,367.00)
Net Cash Flow	(6,730.10)	(37,367.00)

OTHER TRANSACTIONS

Dividends/Interest Income	4,294.93	11,715.41
Security Purchases/Debits	-	(120,211.78)
Security Sales/Credits	10,116.70	158,174.14
Closing Cash/Money Accounts	\$41,955.89	
Fees Included in Transactions Above		
Commissions/Trading Fees	-	(0.84)

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1% Includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



Equities
Fixed Income
Cash/Money Accounts
Alternative Investments
TOTAL

Allocation

59.93%

30.20%

8.12%

1.75%

100%

CRUT - Advisory

INVESTMENT ADVISORY PROGRAM

December 01, 2020 - December 31, 2020

YOUR INVESTMENT STRATEGY - Custom Manager - Advisor

CDO EQUITY MF/EITF 57.50% RATE: *
SPDR BARCLAYS 1-3 MONTH T-BILL 1.88% RATE: *
Cash Allocation 5.00% RATE: *

ISHARES GOLD TRUST 1.89% RATE: *
CDO 1-5 YR CORP LADDER 33.74% RATE: 0.100%

The "Rate" above is the maximum Style Manager Expense Rate in the Style Manager Expense Rate Schedule for your Style Manager Strategy as of the end of the statement period. The Style Manager Expense Rate Schedules are set forth in the Style Manager Rate List which is available at mymerill.com/ADV/Materials. For certain Style Manager Strategies, the maximum Style Manager Expense Rate is the only rate in the applicable Style Manager Expense Rate Schedule. For certain other Style Manager Strategies, the Style Manager Expense Rate Schedule includes multiple rates based on asset level dollar amount(s) and, for these Strategies, the actual Style Manager Fee Expense Rate charged to an account may be lower than the maximum Style Manager Expense Rate shown in the "Rate" above. If your account is a Retirement Account and you have selected a Related Style Manager, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate shown in the "Rate" above for that Related Style Manager. Please note that Bank of America, N.A.'s Chief Investment Office (CIO) is a Related Style Manager as described in the applicable Strategy Profile. Please see the Merrill Lynch Investment Advisory Program ("Program") Brochure and other disclosure documents provided to you for information on the Related Style Managers.

The Program Fee is calculated as described in the Program Client Agreement and Brochure. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee, including the Style Manager Expense Rate charged to an account for the billing period indicated based on the applicable Style Manager Expense Rate Schedule. Please contact your Advisor if you would like to request this detailed fee information. For Funds noted with an asterisk (*) above or for other Funds in your account but not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (**) above, it is the current Overlay Expense Rate for the Overlay Service fees described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MAA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure, Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profile, and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

INVESTMENT ADVISORY PROGRAM

December 01, 2020 - December 31, 2020

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR TAA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	33,654	35,891	.01	0.40	47,313
TOTAL ML Bank Deposit Program	33,654			0.40	47,313

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Est. Annual Yield%
+ML BANK DEPOSIT PROGRAM		33,881.00	33,881.00	1.0000	33,881.00			3	.01
+FEDIC INSURED NOT SIPC COVERED									
TOTAL			33,881.48		33,881.48			3	.01
CORPORATE BONDS	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Yield%
A THERMO FISHER SCIENTIFIC	08/24/19	2,000	2,102.53	110.2640	2,205.28	102.75	34.58	83	
GLB 04.150% FEB 01 2024 MOODYS: BAA1 S&P: BBB+ CUSIP: 883656BF8 PAR CALL DATE: 11/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
107.5100/2.160.20 CURRENT YIELD 3.76%									
A THERMO FISHER SCIENTIFIC	12/23/19	1,000	1,053.89	110.2640	1,102.64	48.75	17.29	42	
ORIGINAL UNIT/TOTAL COST: 107.0800/1.070.80									
Subtotal		3,000	3,156.42		3,307.92	151.50	51.87	125	
A ROYAL BANK OF CANADA	08/24/19	6,000	6,001.12	100.0880	6,005.28	4.16	67.50	150	
SER GNTN GLB 02.500% JAN 19 2021 MOODYS: AA2 S&P: AA- CUSIP: 78012KKU0 ORIGINAL UNIT/TOTAL COST: 100.5760/6,034.68 CURRENT YIELD 2.49%									

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
A CHEVRON CORP									
GLB 02.100% MAY 16 2021 MOODY'S: A2 S&P: AA CUSIP: 166764BG4 PAR CALL DATE: 04/15/21 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:	06/24/19	6,000	6,002.06	100.5450	6,032.70	30.64	15.75	126	
100.1710/6.010.26 CURRENT YIELD 2.08%									
WELLS FARGO & COMPANY									
GLB 02.100% JUL 26 2021 MOODY'S: A2 S&P: BBB+ CUSIP: 949746SA0 ORIGINAL UNIT/TOTAL COST: 89.6160/5.976.96 CURRENT YIELD 2.07%	06/24/19	6,000	5,976.96	101.0090	6,060.54	83.58	54.25	126	
A WELLS FARGO & COMPANY									
ORIGINAL UNIT/TOTAL COST: 101.1870/1.011.87	11/20/20	1,000	1,010.06	101.0090	1,010.09	.03	9.04	21	
Subtotal		7,000	6,987.02		7,070.63	83.61	63.29	147	
A MORGAN STANLEY									
SER MTN 02.625% NOV 17 2021 MOODY'S: A2 S&P: BBB+ CUSIP: 61746BED4 ORIGINAL UNIT/TOTAL COST: 100.7000/3.021.00 CURRENT YIELD 2.57%	06/24/19	3,000	3,007.84	101.9740	3,059.22	51.38	9.62	79	
A MORGAN STANLEY									
ORIGINAL UNIT/TOTAL COST: 102.2757/1.022.76	11/20/20	1,000	1,020.38	101.8740	1,019.74	(0.64)	3.21	27	
Subtotal		4,000	4,028.22		4,078.96	50.74	12.83	106	
A PFIZER INC									
GLB 02.200% DEC 15 2021 MOODY'S: A2 S&P: A+ CUSIP: 717081DZ3 ORIGINAL UNIT/TOTAL COST: 100.8650/3.025.95 CURRENT YIELD 2.15%	12/23/19	3,000	3,012.70	101.9430	3,058.29	45.59	2.93	66	
A PFIZER INC									
ORIGINAL UNIT/TOTAL COST: 102.0380/1.020.38	11/20/20	1,000	1,018.40	101.9430	1,019.43	1.03	.88	22	
Subtotal		4,000	4,031.10		4,077.72	46.62	3.91	88	
A PEPSCO INC									
GLB 02.750% MAR 05 2022 MOODY'S: A1 S&P: A+ CUSIP: 713448BY3 ORIGINAL UNIT/TOTAL COST: 102.1890/6.131.34 CURRENT YIELD 2.67%	06/24/19	8,000	6,058.26	102.9370	8,176.22	117.96	53.17	165	
A BANK OF MONTREAL									
SER MTN 02.800% MAR 26 2022 MOODY'S: A2 S&P: A+ CUSIP: 0367WJMG ORIGINAL UNIT/TOTAL COST: 103.5480/1.035.40 CURRENT YIELD 2.80%	11/20/20	1,000	1,032.69	103.2500	1,032.50	(0.19)	7.85	29	
A TOYOTA MOTOR CREDIT CORP									
SER MTN 01.150% MAY 26 2022 MOODY'S: A1 S&P: A+ CUSIP: 89226TGGZ ORIGINAL UNIT/TOTAL COST: 101.3400/1.013.40 CURRENT YIELD 1.13%	11/20/20	1,000	1,012.49	101.3110	1,012.11	.62	1.12	12	
A HOME DEPOT INC									
GLB 02.625% JUN 01 2022 MOODY'S: A2 S&P: A CUSIP: 437078BG6 PAR CALL DATE: 05/01/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:	06/24/19	6,000	6,083.01	103.1220	6,187.32	124.31	13.13	188	
102.1430/6.128.58 CURRENT YIELD 2.54%									

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	
A KINDER MORGAN ENER PART									
COMPANY GUARANT GLB 03.950% SEP 01 2022 MOODY'S: BAA2 S&P: BBB CUSIP: 494560BL9 PAR CALL DATE: 06/01/22 PAR CALL PRICE: 100.00	06/25/19	3,000	3,086.58	104.9360	3,148.08	81.50	39.50		119
ORIGINAL UNIT/TOTAL COST: 104.1540/3.124.62 CURRENT YIELD 3.76%									
A AMERICAN EXPRESS CO									
GLB 02.650% DEC 02 2022 MOODY'S: A3 S&P: BBB+ CUSIP: 025616BD0 ORIGINAL UNIT/TOTAL COST: 104.6390/1,046.39 CURRENT YIELD 2.53%	11/20/20	1,000	1,044.04	104.3840	1,043.84	(0.20)	2.13		27
A VISA INC									
GLB 02.890% DEC 14 2022 MOODY'S: AAS S&P: AA- CUSIP: 92826CAC8 PAR CALL DATE: 10/14/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.5180/6,151.08 CURRENT YIELD 2.67%	06/26/19	6,000	6,086.80	104.6420	6,278.52	191.72	7.93		168
A CVS HEALTH CORP									
GLB 03.700% MAR 09 2023 MOODY'S: BAA2 S&P: BBB CUSIP: 126650CVD PAR CALL DATE: 02/09/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103.5180/3,105.54 CURRENT YIELD 3.45%	06/24/19	3,000	3,083.61	106.8820	3,209.48	145.85	34.53		111
A EOG RESOURCES INC									
GLB 02.625% MAR 15 2023 MOODY'S: A3 S&P: A- CUSIP: 26875PAK7 PAR CALL DATE: 12/15/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 101.4370/3,043.11 CURRENT YIELD 2.51%	06/24/19	3,000	3,025.98	104.3570	3,130.71	104.73	23.19		79
A APPLE INC									
GLB 02.400% MAY 03 2023 MOODY'S: AA1 S&P: AA+ CUSIP: 037833AK6 ORIGINAL UNIT/TOTAL COST: 101.1368/3,034.10 CURRENT YIELD 2.26%	06/24/19	3,000	3,021.02	104.8960	3,148.88	125.86	11.60		72
A SOUTHERN CO									
GLB 02.950% JUL 01 2023 MOODY'S: BAA2 S&P: BBB+ CUSIP: 842587CUG PAR CALL DATE: 05/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 101.5930/3,047.79 CURRENT YIELD 2.78%	06/24/19	3,000	3,030.33	105.8960	3,176.88	146.55	44.25		89
A NORTHROP GRUMMAN CORP									
GLB 03.250% AUG 01 2023 MOODY'S: BAA2 S&P: BBB CUSIP: 866807BG6 ORIGINAL UNIT/TOTAL COST: 103.7180/2,074.36 CURRENT YIELD 3.02%	06/24/19	2,000	2,047.68	107.4810	2,149.62	101.94	27.08		65
A NORTHROP GRUMMAN CORP									
ORIGINAL UNIT/TOTAL COST: 104.1810/1,041.81	12/23/19	1,000	1,030.33	107.4810	1,074.81	44.48	13.54		33
Subtotal									
		3,000	3,078.01		3,224.43	146.42	40.62		98
A AMERICAN HONDA FINANCE									
SER MTN 00.650% SEP 08 2023 MOODY'S: A3 S&P: A- CUSIP: 02665WMD ORIGINAL UNIT/TOTAL COST: 100.5980/1,005.89 CURRENT YIELD 0.64%	11/20/20	1,000	1,005.66	100.6320	1,006.32	.66	2.00		7

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Interest	Estimated Annual Income	
Δ CITIGROUP INC									
GLB 03.875% OCT 26 2023 MOODY'S: A3 S&P: BBB+ CUSIP: 172967HD6 ORIGINAL UNIT/TOTAL COST: 105.7798/2,116.59 CURRENT YIELD 3.52%	08/24/19	2.00	2,076.54	108.9680	2,189.36	122.82	14.21		78
ORIGINAL UNIT/TOTAL COST: 106.0000/1,060.00	12/23/19	1.00	1,044.50	109.9680	1,098.68	55.08	7.10		39
Subtotal		3.00	3,121.14		3,288.04	177.90	21.31		117
Δ MICROSOFT CORP									
GLB 03.625% DEC 15 2023 MOODY'S: AAA S&P: AAA CUSIP: 594918AW4 PAR CALL DATE: 09/15/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 105.6970/7,468.79 CURRENT YIELD 3.31%	06/24/19	7.00	7,314.73	109.2170	7,645.19	330.46	11.28		294
Subtotal		7.00	7,314.73		7,645.19	330.46	11.28		294
Δ AMERICAN INTL GROUP									
GLB 04.125% FEB 15 2024 MOODY'S: BAA1 S&P: BBB+ CUSIP: C26874CY1 ORIGINAL UNIT/TOTAL COST: 106.3810/2,127.62 CURRENT YIELD 3.72%	08/24/19	2.00	2,087.62	110.7230	2,214.46	126.84	31.17		83
ORIGINAL UNIT/TOTAL COST: 107.0250/1,070.25	12/23/19	1.00	1,053.63	110.7230	1,107.23	53.60	15.58		42
Subtotal		3.00	3,141.25		3,321.69	180.44	46.75		125
Δ COMCAST CORP									
COMPANY GUARNT GLB 03.700% APR 15 2024 MOODY'S: A3 S&P: A CUSIP: 20030NCR0 PAR CALL DATE: 03/15/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 106.2354/6,374.13 CURRENT YIELD 3.36%	06/24/19	6.00	6,260.55	110.0210	6,501.26	340.71	46.87		222
Subtotal		6.00	6,260.55		6,501.26	340.71	46.87		222
Δ US BANCORP									
SUBORDINATED SER MTN 03.800% SEP 11 2024 MOODY'S: A1 S&P: A CUSIP: 91159HK9 PAR CALL DATE: 08/11/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 105.4630/7,382.41 CURRENT YIELD 3.25%	06/24/19	7.00	7,276.17	110.5940	7,741.58	465.41	77.00		252
Subtotal		7.00	7,276.17		7,741.58	465.41	77.00		252
Δ BBAT CORPORATION									
SER MTN 02.850% OCT 26 2024 MOODY'S: A3 S&P: A CUSIP: 06531FBB8 PAR CALL DATE: 09/26/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.5670/7,181.09 CURRENT YIELD 2.63%	08/24/19	7.00	7,131.90	108.2140	7,574.98	443.08	36.02		200
Subtotal		7.00	7,131.90		7,574.98	443.08	36.02		200
Δ MERCK & CO INC									
GLB 02.750% FEB 10 2025 MOODY'S: A1 S&P: AA- CUSIP: 58933VAR6 PAR CALL DATE: 11/10/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 103.1290/3,093.87 CURRENT YIELD 2.53%	06/24/19	3.00	3,069.88	108.6320	3,258.96	189.28	32.31		83
Subtotal		3.00	3,069.88		3,258.96	189.28	32.31		83
Δ VERIZON COMMUNICATIONS									
GLB 03.376% FEB 15 2025 MOODY'S: BAA1 S&P: BBB+ CUSIP: 62343VEN0 ORIGINAL UNIT/TOTAL COST: 104.7020/3,141.06 CURRENT YIELD 3.03%	06/24/19	3.00	3,105.05	111.0640	3,331.92	226.87	38.26		102
Subtotal		3.00	3,105.05		3,331.92	226.87	38.26		102

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	
A JPMORGAN CHASE & CO									
03.900% JUL 15 2025 MOODYS: A2 S&P: A- CUSIP: 46625HMM7 PAR CALL DATE: 04/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 107.9720/5,398.60	11/14/19	5,000	5,323.88	113.6040	5,680.20	356.32		89.92	195
CURRENT YIELD 3.43%									
A JPMORGAN CHASE & CO									
ORIGINAL UNIT/TOTAL COST: 107.6800/1,076.80	12/23/19	1,000	1,063.52	113.6040	1,136.04	72.52		17.98	39
Subtotal		6,000	6,387.40		6,816.24	428.84		107.90	234
A UNITED TECHNOLOGIES CORP									
GLB 03.960% AUG 16 2025 MOODYS: BAA1 S&P: A- CUSIP: 913017DD8 PAR CALL DATE: 06/16/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 108.0470/2,180.94	10/29/19	2,000	2,146.34	114.6910	2,293.82	147.48		29.63	79
CURRENT YIELD 3.44%									
A UNITED TECHNOLOGIES CORP									
ORIGINAL UNIT/TOTAL COST: 108.6770/1,086.77	12/23/19	1,000	1,071.87	114.6910	1,140.91	74.04		14.91	40
Subtotal		3,000	3,218.31		3,440.73	222.42		44.44	119
A BP CAP MARKETS AMERICA									
COMPANT GUARNT GLB 03.796% SEP 21 2025 MOODYS: A1 S&P: A- CUSIP: 10373QAB6 PAR CALL DATE: 07/21/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 104.6010/7,322.07	04/03/20	7,000	7,281.58	113.3960	7,937.72	656.14		73.81	266
CURRENT YIELD 3.34%									
A HP ENTERPRISE CO									
GLB 04.900% OCT 15 2025 MOODYS: BAA2 S&P: BB8 CUSIP: 42824CAW9 PAR CALL DATE: 07/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 111.4630/2,229.26	11/14/19	2,000	2,188.60	116.9860	2,339.92	151.32		20.69	98
CURRENT YIELD 4.18%									
A HP ENTERPRISE CO									
ORIGINAL UNIT/TOTAL COST: 110.7940/1,107.94	12/23/19	1,000	1,090.32	116.9860	1,169.96	79.64		10.34	48
Subtotal		3,000	3,278.92		3,509.88	230.96		31.03	147
A MCDONALD'S CORP									
SER MTN 03.700% JAN 30 2026 MOODYS: BAA1 S&P: BBB+ CUSIP: 68013MEY6 PAR CALL DATE: 10/30/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 114.1790/2,283.68	06/10/20	2,000	2,256.50	113.8080	2,276.18	21.68		30.83	74
CURRENT YIELD 3.24%									
A MCDONALD'S CORP									
ORIGINAL UNIT/TOTAL COST: 113.3400/1,133.40	11/20/20	1,000	1,130.82	113.9080	1,139.09	8.27		16.42	37
Subtotal		3,000	3,387.32		3,417.27	29.95		46.25	111

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Annual Income	
A JOHNSON & JOHNSON									
08/12/20	3,000	3,258.67	108,7870	3,263.61	4.94	24.50	74		
GLB 02.450% MAR 01 2028 MOODY'S: AAA S&P: AAA CUSIP: 4731608Y9 PAR CALL DATE: 12/01/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
108.5080/3.285.24	CURRENT YIELD 2.25%								
A DUKE ENERGY CORP									
10/23/20	1,000	1,081.13	108,8600	1,089.60	8.47	8.83	27		
GLB 02.650% SEP 01 2026 MOODY'S: BAA1 S&P: BBB+ CUSIP: 76441C4S4 PAR CALL DATE: 08/01/26 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
108.3590/1.083.59	CURRENT YIELD 2.43%								
A ABBOTT LABORATORIES									
11/20/20	1,020	1,165.40	117,1440	1,171.44	6.04	3.13	38		
GLB 03.750% NOV 30 2026 MOODY'S: A3 S&P: A CUSIP: 002824BF6 PAR CALL DATE: 08/30/26 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
116.8200/1.168.20	CURRENT YIELD 3.20%								
TOTAL	YIELD 2.91%	136.020	140,273.62	145,768.59	5,494.97	1,145.66	4,247		

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	
BLACKROCK EQUITY DIVIDEND									
12/01.1380	25,034.15	20,2800	24,358.08	(675.07)	25,034	(675)	455		
FUND INSTL CURRENT YIELD 1.86% SYMBOL: MADOX Initial Purchase: 04/16/18 Equity 100%									
CLEARBRIDGE SMALL CAP									
296,0000	17,386.72	67,2800	19,914.88	2,528.16	17,386	2,528	75		
FUND CLASS I CURRENT YIELD 0.37% SYMBOL: LMMGX Initial Purchase: 12/18/19 Equity 100%									
CLEARBRIDGE LARGE CAP									
333,0000	18,323.26	67,8400	22,580.72	4,267.46	18,323	4,267	17		
GROWTH FUND CL I CURRENT YIELD 0.07% SYMBOL: SBLTX Initial Purchase: 12/18/19 Equity 100%									
EDGEWOOD GROWTH FUND CL									
451,0000	17,820.30	51,7700	23,348.27	5,527.97	17,820	5,527			
INST SYMBOL: EGFX Initial Purchase: 12/18/19 Equity 100%									
GS GIG PARTNERS									
1,437,0000	21,683.24	17,8200	25,607.34	3,914.10	21,683	3,914	45		
INTL OPPS FD CL INSTL CURRENT YIELD 0.17% SYMBOL: GSIMX Initial Purchase: 06/11/20 Equity 100%									
HARTFORD SCHROEDERS EMERG									
1,113,0000	18,550.64	20,3400	22,638.42	4,087.78	18,550	4,087	174		
MKT5 EQUITY FD CL I CURRENT YIELD 0.76% SYMBOL: SEMUX Initial Purchase: 12/18/19 Equity 100%									
ISHARES RUSSEL 1000									
204,0000	35,968.70	241,1400	49,182.56	13,223.86	35,968	13,223	326		

YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
GROWTH CURRENT YIELD 0.66% SYMBOL: INF Initial Purchase: 12/18/19 Equity 100%									
ISHARES RUSSELL 1000		365,0000	45,648.59	136.7300	49,908.45	4,257.86	45,648	4,257	1,024
VALUE CURRENT YIELD 2.05% SYMBOL: IND Initial Purchase: 04/16/18 Equity 100%									
ISHARES GOLD TR		491,0000	8,047.41	18.1300	8,901.83	854.42	8,047	854	
SYMBOL: IAU Initial Purchase: 04/27/20 Alternative Investments 100%									
ISHARES TR CORE MSCI EAF		333,0000	20,287.95+	69.0900	23,008.97	2,719.02	20,287	2,719	437
ETF CURRENT YIELD 1.89% SYMBOL: IEFA Initial Purchase: 09/29/17 Equity 100%									
MFS VALUE FD CL I		537,0980	23,301.13+	45.1100	24,228.49	927.36	23,301	927	389
CURRENT YIELD 1.60% SYMBOL: MEIX Initial Purchase: 04/16/18 Equity 100%									
OKAMARK INTL FD CL		789,2710	18,048.33+	26.0300	20,544.73	2,496.40	18,048	2,496	86
ADV CURRENT YIELD 0.47% SYMBOL: QAYX Initial Purchase: 07/05/17 Equity 100%									
SPDR BLOOMBERG BARCLAYS		88,0000	8,063.29	91.5200	8,063.76	(9.53)	8,063	(9)	25
1-3 MONTH T BILL ETF CURRENT YIELD 0.30% SYMBOL: BIL Initial Purchase: 03/31/20 Fixed Income 100%									
Subtotal (Fixed Income)					8,053.76				
Subtotal (Equities)					305,337.91				
Subtotal (Alternative Investments)					4,901.83				
TOTAL YIELD .95%			278,173.71		322,283.50	44,119.79		44,115	3,085
TOTAL PRINCIPAL INVESTMENTS			452,328.81		501,943.57	49,614.76			7,315

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

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YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

Notes

A Debt Instruments purchased at a premium show amortization

θ Debt Instruments purchased at a discount show accretion

+ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Unrealized Gain/(Loss)	Yield%
+M&L BANK DEPOSIT PROGRAM	13,432.00	13,432.00	1,0000	13,432.00			.01
+FIDIC INSURED NOT SIPC COVERED							
TOTAL INCOME INVESTMENTS		13,432.00		13,432.00			.01
Income Debt Balance		5,957.59					
LONG PORTFOLIO							
		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
TOTAL PRINCIPAL/INCOME INVESTMENTS	YIELD 1.42%	465,780.81	615,378.57	49,614.76	1,145.86	7,317	

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24-Hour Assistance: (800) MERRILL

YOUR TAA TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Interest						
12/01	HOME DEPOT INC	Interest		78.75		
	GLB 02.625% JUN 01 2022 INTEREST CREDIT PAY DATE 12/01/2020 CUSIP NUM: 4370768G6					
12/02	AMERICAN EXPRESS CO	Interest		13.25		
	GLB 02.660% DEC 02 2022 INTEREST CREDIT PAY DATE 12/02/2020 CUSIP NUM: 025616BD0					
12/14	VISA INC	Interest		84.00		
	GLB 02.800% DEC 14 2022 INTEREST CREDIT PAY DATE 12/14/2020 CUSIP NUM: 92826CAC6					
12/15	MICROSOFT CORP	Interest		126.88		
	GLB 03.625% DEC 15 2023 INTEREST CREDIT PAY DATE 12/15/2020 CUSIP NUM: 594918AW4					
12/16	PTILEX INC	Interest		44.00		
	GLB 02.200% DEC 16 2021 INTEREST CREDIT PAY DATE 12/16/2020 CUSIP NUM: 717061DZ3					
12/17	REGIONS FINANCIAL CO CLD	Interest		28.18		
	GLB 02.750% AUG 14 2022 INTEREST CREDIT PAY DATE 12/17/2020 CUSIP NUM: 7591EPAM2					
12/28	GOLDMAN SACHS GROUP INC	Interest		91.00		
	GLB 02.600% DEC 27 2020 INTEREST CREDIT PAY DATE 12/27/2020 CUSIP NUM: 38141GWC5					
12/31	BANK DEPOSIT INTEREST	Bank Interest		.40		
BANKING INTEREST						
Subtotal (Taxable Interest)				468.46		4,627.62
Taxable Dividends						
12/07	SPDR BLOOMBERG BARCLAYS	Dividend		.29		
	1-3 MONTH T BILL ETF DIVIDEND HOLDING 88.0000 PAY DATE 12/07/2020					
12/14	OAKMARK INTL FD CL	Dividend		88.34		
	ADV DIVIDEND PAY DATE 12/11/2020					
12/18	ISHARES RUSSELL 1000	Dividend		76.34		
	GROWTH DIVIDEND HOLDING 204.0000 PAY DATE 12/18/2020					
12/18	ISHARES RUSSELL 1000	Dividend		217.46		
	VALUE DIVIDEND HOLDING 365.0000 PAY DATE 12/18/2020					
12/18	ISHARES TR CORE MSCI EAF	Dividend		203.88		
	ETF DIVIDEND HOLDING 333.0000 PAY DATE 12/18/2020					

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David Philip Roe

YOUR TAA TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
12/18	GS GOG PARTNERS	Dividend		44.83		
	INTL OPFS FD CL INSTL DIVIDEND PAY DATE 12/17/2020					
12/21	MFS VALUE FD CL I	Dividend		83.76		
	DIVIDEND PAY DATE 12/18/2020					
12/21	MFS VALUE FD CL I	*Long Term Capital Gain			249.75	
	LONG TERM CAPITAL GAIN PAY DATE 12/18/2020					
12/23	CLEARBRIDGE SMALL CAP	Dividend		74.75		
	FUND CLASS I DIVIDEND PAY DATE 12/22/2020					
12/23	CLEARBRIDGE LARGE CAP	Dividend		16.59		
	GROWTH FUND CL I DIVIDEND PAY DATE 12/22/2020					
12/23	CLEARBRIDGE LARGE CAP	*Long Term Capital Gain			1,121.66	
	GROWTH FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/22/2020					
12/23	CLEARBRIDGE LARGE CAP	Short Term Capital Gain			113.60	
	GROWTH FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/22/2020					
12/24	EDGEWOOD GROWTH FUND CL	*Long Term Capital Gain			1,142.29	
	INST LONG TERM CAPITAL GAIN PAY DATE 12/23/2020					
12/24	EDGEWOOD GROWTH FUND CL	Short Term Capital Gain			210.44	
	INST SHORT TERM CAPITAL GAIN PAY DATE 12/23/2020					
12/31	HARTFORD SCHROEDERS EMERG MKTS EQUITY FD CL I DIVIDEND PAY DATE 12/30/2020	Dividend		174.50		
Subtotal (Taxable Dividends)				890.73	2,837.74	7,187.89
NET TOTAL				1,457.19	2,837.74	11,718.41

* Long Term Capital Gain Distributions

The long-term capital gain distribution amounts may change due to Incc me reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

YOUR TAA TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/Trading Fees	Income Cash	Principal Cash
Other Security Transactions							
12/17	REGIONS FINANCIAL CO CLD	Redemption		-3,000.0000			3,116.70
GLB 02.750% AUG 14 2082 REDEEMED PAY DATE 12/17/2020							
12/28	GOLDMAN SACHS GROUP INC	Redemption		-7,000.0000			7,000.00
GLB 02.800% DEC 27 2020 REDEEMED PAY DATE 12/28/2020							
Subtotal (Other Security Transactions)							
TOTAL							
							10,116.70

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) ©	Year to Date
Δ GOLDMAN SACHS GRO 2.60% 20	7000.0000	06/24/19	12/28/20	7,000.00	7,000.00	.00		
Δ REGIONS FINCL CO 2.75% 22	3000.0000	06/24/19	12/17/20	3,116.70	3,017.39	99.31		2,296.95
Subtotal (Long-Term)								
Δ REGIONS FINCL COR 2.75% 22 CXL	1000.0000	06/24/19	04/07/20	996.73	1,008.14	11.41		
Δ REGIONS FINCL COR 2.75% 22	1000.0000	06/24/19	04/07/20	996.73	1,008.14	(11.41)		
Subtotal (Short-Term)								
TOTAL								95.62
							11,113.43	2,392.57

© - Excludes transactions for which we have insufficient data

Δ Debt instruments purchased at a premium show amortization θ Debt instruments purchased at a discount show accretion

CXL - Indicates the cancellation of an error transaction.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2020 tax return. These reportable transactions will appear on your January statement.

+

YOUR TAA TRANSACTIONS

December 01, 2020 - December 31, 2020

CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Other Debits/Credits					
12/31	ROTDISBURSEMENT	Disbursement		(6,132.60)	
	DISBURSEMENT QRLY UNITRUST DISTRIBUTION				
	<i>Subtotal (Other Debits/Credits)</i>			(6,132.60)	
	NET TOTAL			(6,132.60)	

ADVISORY AND OTHER FEES

Date	Description	Fee Type	Quantity	Income Cash	Principal Cash
12/03	INV. ADVISORY FEE DEC	Advisory Program Fee			(215.42)
	APPLIED TO PRINCIPAL				
12/03	INV. ADVISORY FEE DEC	Advisory Program Fee		(215.42)	
	APPLIED TO INCOME				
12/08	US TRUST FEE-PERIOD OF	TMA Fee			(83.33)
	10/31/2020 TO 11/27/2020 APPLIED TO PRINCIPAL BASED ON AVG MKT VAL OF- \$496,712.13				
12/08	US TRUST FEE-PERIOD OF	TMA Fee		(83.33)	
	10/31/2020 TO 11/27/2020 APPLIED TO INCOME BASED ON AVG MKT VAL OF- \$496,712.13				
	NET TOTAL			(298.75)	(298.75)

YOUR TAA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/01	ML BANK DEPOSIT PROGRAM		19.00	12/15	ML BANK DEPOSIT PROGRAM		182.00
12/02	ML BANK DEPOSIT PROGRAM		79.00	12/16	ML BANK DEPOSIT PROGRAM		171.00
12/03	ML BANK DEPOSIT PROGRAM		13.00	12/18	ML BANK DEPOSIT PROGRAM		3,144.00
12/03	ML BANK DEPOSIT PROGRAM	431.00		12/21	ML BANK DEPOSIT PROGRAM		543.00
12/04	ML BANK DEPOSIT PROGRAM		216.00	12/22	ML BANK DEPOSIT PROGRAM		333.00
12/04	ML BANK DEPOSIT PROGRAM	215.00		12/24	ML BANK DEPOSIT PROGRAM		1,327.00

24-Hour Assistance: (800) MERRILL

YOUR TAA MONEY ACCOUNT TRANSACTIONS (continued)

December 01, 2020 - December 31, 2020

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/08	ML BANK DEPOSIT PROGRAM	167.00		12/28	ML BANK DEPOSIT PROGRAM		1,353.00
12/08	ML BANK DEPOSIT PROGRAM		84.00	12/29	ML BANK DEPOSIT PROGRAM		7,091.00
12/09	ML BANK DEPOSIT PROGRAM	83.00					
NET TOTAL							13,659.00

003 +

3002

David Phillip Roe

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FUNDAMENTAL EQUITY OPINION KEY AND GUIDE TO YOUR BOFA MERRILL LYNCH RESEARCH RATINGS

BOFA MERRILL LYNCH RESEARCH

BoFA Merrill Lynch Research or BoFA/ML Research is research produced by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and/or one or more of its affiliates. MLPF&S is a wholly owned subsidiary of Bank of America Corporation.

Equity Opinions include a Volatility Risk Rating, an Investment Rating and an Income Rating.

BoFA/ML Research Volatility Risk Ratings

Indicators of potential price fluctuation are:

- A - Low
- B - Medium
- C - High

BoFA/ML Research Income Ratings

Indicators of potential cash dividends are:

- 7 - Same/High (dividend considered to be secure)
- 8 - Same/Low (dividend not considered to be secure)
- 9 - Pays no cash dividend

BoFA/ML Research Investment Ratings

Reflect the analyst's assessment of a stock's absolute total return potential and the stock's attractiveness for investment relative to other stocks within a Coverage Cluster (defined below). There are three investment ratings:

- 1 - Buy stocks are expected to have a total return of at least 10% and are the most attractive stocks in the Coverage Cluster
- 2 - Neutral stocks are expected to remain flat or increase in value and are less attractive than Buy rated stocks
- 3 - Underperform stocks are the least attractive stocks in a Coverage Cluster

Analysts assign investment ratings considering, among other things, the Q-12 month total return expectation for a stock and the firm's guidelines for ratings dispersions (shown in the table below). The current price objective for a stock should be referenced to better understand the total return expectation at any given time. The price objective reflects the analyst's view of the potential price appreciation (depreciation).

BoFA/ML Research Investment Rating	Total return expectation (within 12-month period of date of initial rating)	Ratings dispersion guidelines for Coverage Cluster+
Buy	> or = 10%	< or = 70%
Neutral	> or = 0%	< or = 30%
Underperform	N/A	> or = 20%

+Ratings dispersions may vary from time to time where BoFA/ML Research believes that it better reflects the investment prospects of stocks in a Coverage Cluster.

A Coverage Cluster is comprised of stocks covered by a single analyst or two or more analysts sharing a common industry, sector, region or other classification(s). A stock's Coverage Cluster is included in the most recent BoFA/ML Comment referencing the stock.

THIRD PARTY RESEARCH

Third party research on the equity securities of certain companies is available to clients for informational purposes. Clients can access this research at www.mymerrill.com or can call 1-800-MERRILL to request that a copy be sent to them. Please note that the third party research rating is not necessarily equivalent to, or derived using the same methodology as, the BoFA/ML Research ratings or the ratings of other third party research providers.

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 2

David Phillip Roe

Online at: www.mymerrill.com

MLPF& S CLIST FPO
DR DAVID PHILIP ROE IRRA

24-Hour Assistance: (800) MERRILL

MERRILL
A BANK OF AMERICA COMPANY

Net Portfolio Value: **\$94,059.43**

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Alt Investments

December 01, 2020 - December 31, 2020

	This Statement	Year to Date
Total Credits	66.94	2,009.99
Total Debits	-	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	263.61	(11,323.01)

ASSETS		December 31	November 30
Cash/Money Accounts		61,862.49	58,887.63
Fixed Income		-	-
Equities		-	-
Mutual Funds		-	-
Options		-	-
Other/Annuities/Insurance		-	-
Alternative Investments		32,196.94	34,841.25
Subtotal (Long Portfolio)		94,059.43	93,728.88
TOTAL ASSETS		\$94,059.43	\$93,728.88

LIABILITIES		December 31	November 30
Debt Balance		-	-
TOTAL LIABILITIES		-	-
NET PORTFOLIO VALUE		\$94,059.43	\$93,728.88

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Alt Investments

24-Hour Assistance: (800) MERRILL

December 01, 2020 - December 31, 2020

CASH FLOW

This Statement Year to Date

Operating Cash/Money Accounts \$58,887.63

CREDITS

Funds Received

Electronic Transfers

Other Credits

Subtotal

DEBITS

Electronic Transfers

Other Debits

Advisory and other fees

Subtotal

Net Cash Flow

OTHER TRANSACTIONS

Dividends/Interest Income

Dividend Reinvestments

Security Purchases/Debits

Security Sales/Credits

Closing Cash/Money Accounts

Fees Included in Transactions Above

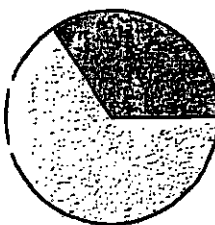
Commissions/Trading Fees

66.94
(66.27)
2,009.99
(2,006.52)
(18,199.19)
2,974.19
76,448.41
\$61,882.49

(305.60)

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



Allocation
Cash/Money Accounts 65.77%
Alternative Investments 34.23%
TOTAL 100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports		X
Trade Confirmations		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements	X	

Alt Investments

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2020 - December 31, 2020

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Market Value	Estimated Annual Income	Estimated Annual Yield%		
+BANK OF AMERICA, NA RASP	61,862.49	61,862.49	1.0000		61,862.49	6	.01		
+FIDC INSURED NOT SIPC COVERED									
ALTERNATIVE INVESTMENTS HELD AT MLP/AS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	
BLACKSTONE REAL ESTATE	1,475.1560	15,683.27	11.4751	16,927.56	1,244.29	15,225	1,702		
INCOME TRUST INC CLASS S BROKERAGE CLASS EST MKT PRICE 45 OF 11/30/20 Initial Purchase: 05/01/20									
PMF TFI FUND, LP	135.0780	13,507.82	113.0412	15,289.38	1,761.56	13,507	1,761		
(ENDOWMENT - LONG TERM WIND-DOWN) EST MKT PRICE AS OF 11/30/20 Initial Purchase: 04/01/14									
TOTAL		29,191.09		32,166.94	3,005.85		3,463		
LONG PORTFOLIO									
		Adjusted/Total Cost Basis	Estimated Market/Contract Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income			
TOTAL YIELD .01%		91,053.58	94,058.43	3,005.85		6			

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
 Current Client Investment Return: Estimated Market Value minus Total Client Investment.
 Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.
 Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market times capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2020 - December 31, 2020

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETPs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPA&S and its affiliates.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				
Date	Description	Transaction Type	Quantity	Reinvestment
Tax-Exempt Interest				
12/31	BANK OF AMERICA, NA RASP	Interest	.6700	
0.67000 DV/INT REINVEST PAY DATE 12/31/2020 FROM 11:30 THRU 12:31 CUSIP NUM: 56498U915				
	BANK OF AMERICA, NA RASP	Income Total		.67
Subtotal (Tax-Exempt Interest)				
				.67
Tax-Exempt Dividends				
12/21	BLACKSTONE REAL ESTATE	* Dividend		66.27
INCOME TRUST INC CLASS S BROKERAGE CLASS PAY DATE 12/02/2020				
12/21	BLACKSTONE REAL ESTATE	Reinvestment Program		(66.27)
INCOME TRUST INC CLASS S BROKERAGE CLASS				
12/21	BLACKSTONE REAL ESTATE	Reinvestment Share(s)	5.7750	
INCOME TRUST INC CLASS S BROKERAGE CLASS AGENT REINV AMT \$66.27 REINV PRICE \$11.47510 REINV SHRS 5.7750 AS OF 12/01				
Subtotal (Tax-Exempt Dividends)				
				66.27
NET TOTAL				
			(66.27)	66.94
				2,008.99

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/Trading Fees	(Debit)/Credit	Accrued Interest Earned/Paid
Other Security Transactions							
12/23	SKYBRIDGE MUELTADVISER	Transfer / Adjustment	-2,974.0000			2,974.19	
	HEDGE FUND PORTFOLIOS LLC AUDIT HOLDBACK RECONCILIATION						
Subtotal (Other Security Transactions)						2,974.19	

TOTAL

2,974.19

TOTAL SECURITY PURCHASES/(DEBITS)

TOTAL SECURITY SALES/CREDITS

2,974.19

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
Subtotal (Long-Term)							
TOTAL							(14,002.58)

○ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2020 tax return. These reportable transactions will appear on your January statement.

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/24	Deposit	2,974.1900	BANK OF AMERICA NA RASP	2,974.19	
			FRAC BUY .19 @ 1.00		
NET TOTAL				2,974.19	

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

December 01, 2020 - December 31, 2020

Year-End Plan Value as of December 31, 2020: \$94,059.43		Contributions after December 31, 2019 for 2019: \$.00	
Distributions		RMD Calculated Amount 2020	
Tax Year 2020		Tax Year 2019	
		\$4,514.08	

Our records indicate that for 2021 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMDs from your IRA account(s) for the year you turn age 72 and each subsequent year. The deadline for taking your 2021 RMD is December 31, 2021 or April 1, 2022 if 2021 is your first RMD year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement.

The IRS requires all IRA custodians, including Merrill Lynch, to notify it through IRS Form 5498 when an RMD is required from the IRA of a living account owner for the calendar year. An RMD that is required for the current year will be reported to the IRS on the applicable IRS Form 5498.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498, required by regulations.

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 3

David Phillip Roe



A BANK OF AMERICA COMPANY

Bank of America, N.A.
TRUSTEE OF THE
DR DAVID PHILLIP ROE TRUSTEED IRA
TUA DATED 8/24/2018

NEW JERSEY TRUST

Phil's Advisory TIRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2020 - December 31, 2020

	This Statement	Year to Date
Total Credits	9,523.61	546,769.27
Total Debits	(10,330.97)	(44,652.89)
Securities You Transferred In/Out		
Market Gains/(Losses)	85,848.18	498,575.43

Net Portfolio Value:

\$3,016,153.47

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Trust Officer:
BRANDAN MERCHANT
609-274-2915

ASSETS

	December 31	November 30
Cash/Money Accounts	179,810.38	133,157.88
Fixed Income	675,589.74	719,656.13
Equities	820,818.58	805,454.49
Mutual Funds	1,334,481.26	1,267,156.78
Options		
Other		
Subtotal (Long Portfolio)	3,010,699.96	2,925,425.28
Estimated Accrued Interest	5,453.51	5,687.37
TOTAL ASSETS	\$3,016,153.47	\$2,931,112.65

LIABILITIES

Debt Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$3,016,153.47	\$2,931,112.65

Your account is serviced by both Bank of America, N.A. ("BANA"), and MLPF&S. Bank of America, N.A. provides trust and fiduciary services. MLPF&S acts as broker-dealer and custodian for investments held in the account reflected on this statement, unless otherwise provided.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BofA Corp). MLPF&S is a registered broker-dealer, Member SIPC, and a wholly owned subsidiary of BofA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Phil's Advisory TIRA

December 01, 2020 - December 31, 2020

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$133,157.88	
CREDITS		
Funds Received		501,168.35
Electronic Transfers		85.08
Other Credits	85.08	
Subtotal	85.08	501,253.43
DEBITS		
Electronic Transfers		(17,935.08)
Other Debits	(7,435.08)	
Bill Payment	(2,387.89)	(24,142.98)
Trust Services (TMA) Fees	(608.00)	(2,574.62)
Buyer Manager Fees	(10,330.97)	(44,862.88)
Subtotal	(10,330.97)	(44,862.88)
Net Cash Flow	(\$10,245.89)	\$458,800.55

OTHER TRANSACTIONS

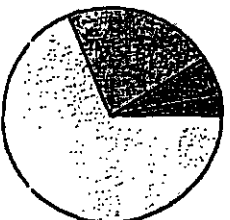
Dividends/Interest Income	9,438.53	45,515.84
Security Purchases/Debits	(119,693.00)	(2,516,048.48)
Security Sales/Credits	167,152.86	2,122,667.13
Closing Cash/Money Accounts	\$179,810.38	

Fees Included in Transactions Above

Commissions/Trading Fees	(2.73)	(22.41)

ASSET ALLOCATION*

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



Equities	68.89%
Fixed Income	22.44%
Cash/Money Accounts	5.97%
Alternative Investments	2.70%
TOTAL	100%



A BANK OF AMERICA COMPANY

Phil's Advisory TIRA

INVESTMENT ADVISORY PROGRAM

December 01, 2020 - December 31, 2020

YOUR INVESTMENT STRATEGY - Custom Managed - Advisor

BIENZOTT SMID VALUE 10.00% RATE: 0.300%
MSIM GROWTH SMA 10.00% RATE: 0.280%
ISHARES GOLD TRUST 3.00% RATE: *
Cash Allocation 2.00% RATE: *

COLUMBIA DIVIDEND INCOME 8.00% RATE: 0.280%
WESTEND GBL EQY ETF 40.00% RATE: 0.300%
CIO 1-5 YR CORP LADDER 27.00% RATE: *

The "Rate" above is the maximum Style Manager Expense Rate in the Style Manager Expense Rate Schedule for your Style Manager Strategy as of the end of the statement period. The Style Manager Expense Rate Schedules are set forth in the Style Manager Expense Rate List which is available at mymerill.com/ADV/Materials. For certain Style Manager Strategies, the maximum Style Manager Expense Rate is the only rate in the applicable Style Manager Expense Rate Schedule. For certain other Style Manager Strategies, the Style Manager Expense Rate Schedule includes multiple rates based on asset level dollar amount(s) and, for these Strategies, the actual Style Manager Fee Expense Rate charged to an account may be lower than the maximum Style Manager Expense Rate shown in the "Rate" above. If your account is a Retirement Account and you have selected a Related Style Manager, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate shown in the "Rate" above for that Related Style Manager. Please note that Bank of America, N.A.'s Chief Investment Office (CIO) is a Related Style Manager as described in the applicable Strategy Profiles. Please see the Merrill Lynch Investment Advisory Program ("Program") Brochure and other disclosure documents provided to you for information on the Related Style Managers.

The Program Fee is calculated as described in the Program Client Agreement and Brochure. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee, including the Style Manager Expense Rate charged to an account for the billing period indicated based on the applicable Style Manager Expense Rate Schedule. Please contact your Advisor if you would like to request this detailed fee information. For Funds noted with an asterisk (*) above or for other Funds in your account but not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (**) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MMA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure. Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

INVESTMENT ADVISORY PROGRAM

December 01, 2020 - December 31, 2020

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS		Quantity		Total	Estimated	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description				Cost Basis	Market Price	Market Value	Market Value	Annual Income	Yield%	
CASH		0.53		0.53		.53				
BLF FEDFUND CASH RESERVE		125,999.00		125,999.00	1.0000	125,999.00	125,999.00	25	.02	
TOTAL				125,999.53		125,999.53		25	.02	
CORPORATE BONDS										
Description	Acquired	Quantity	Adjusted/Total	Estimated	Estimated	Unrealized	Estimated	Estimated	Estimated	
			Cost Basis	Market Price	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%	
A THERMO FISHER SCIENTIFIC	01/17/19	2,000	2,025.31	110.2640	2,205.28	179.97	34.58		83	
GLB 04.150% FEB 01 2024 MOODYS: BAA1 S&P: BBB+ CUSIP: 883568F8 PAR CALL DATE: 11/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:										
	101.9920/2.039.84 CURRENT YIELD 3.76%									
A THERMO FISHER SCIENTIFIC	07/17/19	10,000	10,495.85	110.2640	11,026.40	530.55	172.92		415	
ORIGINAL UNIT/TOTAL COST: 107.1650/10,716.50										
A THERMO FISHER SCIENTIFIC	09/13/19	1,000	1,047.36	110.2640	1,102.64	55.28	17.29		42	
ORIGINAL UNIT/TOTAL COST: 106.6100/1,066.10										
A THERMO FISHER SCIENTIFIC	08/23/20	1,000	1,093.71	110.2640	1,102.64	8.93	17.29		42	
ORIGINAL UNIT/TOTAL COST: 110.9130/1,109.13										
A THERMO FISHER SCIENTIFIC	10/05/20	1,000	1,096.33	110.2640	1,102.64	4.31	17.29		42	
ORIGINAL UNIT/TOTAL COST: 110.5670/1,105.67										
Subtotal										
		15,000	15,760.56		16,539.60	779.04	259.37		624	
B ROYAL BANK OF CANADA 01/24/19 2,000 1,992.00 100.0880 2,001.76 19.76 22.50 50										
SER GINTV GLB 02.500% JAN 19 2021 MOODYS: A2 S&P: AA CUSIP: 78012KKUO ORIGINAL UNIT/TOTAL COST: 99.1000/1,992.00 CURRENT YIELD 2.49%										
A ROYAL BANK OF CANADA 07/17/19 23,000 23,003.84 100.0980 23,020.24 18.40 258.75 575										
ORIGINAL UNIT/TOTAL COST: 100.4950/23,113.85										

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Interest	Estimated Income
Description									
A ROYAL BANK OF CANADA									
	08/27/19		1.0X0	1,000.33	100.0880	1,000.88	.55	11.25	25
ORIGINAL UNIT/TOTAL COST: 100.9000/1,009.00									
Subtotal			26.0X0	25,986.17		26,022.86	36.71	292.50	659
A TORONTO-DOMINION BANK									
	06/12/20		1.0X0	1,001.48	100.1190	1,001.19	(0.29)	11.05	26
SER GMTN GLB 02.550% JAN 25 2021 MOODY'S: A1 S&P: AA CUSIP: 891140B15 ORIGINAL UNIT/TOTAL COST: 101.3520/1,013.52 CURRENT YIELD 2.54%									
A TORONTO-DOMINION BANK									
	08/07/20		1.0X0	1,001.59	100.1190	1,001.19	(0.40)	11.05	26
ORIGINAL UNIT/TOTAL COST: 101.0870/1,010.87									
Subtotal			2.0X0	2,003.07		2,002.38	(0.69)	22.10	52
B CHEVRON CORP									
	01/17/19		2.0X0	1,973.16	100.5450	2,010.90	37.74	5.25	42
GLB 02.100% MAY 16 2021 MOODY'S: A2 S&P: AA CUSIP: 166784B64 PAR CALL DATE: 04/15/21 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 98.6580/1,973.16 CURRENT YIELD 2.05%									
A CHEVRON CORP									
	07/17/19		24.0X0	24,004.00	100.5450	24,130.80	126.80	63.00	504
ORIGINAL UNIT/TOTAL COST: 100.0800/24,019.20									
A CHEVRON CORP									
	05/12/20		1.0X0	1,005.04	100.5450	1,005.45	.41	2.63	21
ORIGINAL UNIT/TOTAL COST: 101.3500/1,013.50									
Subtotal			27.0X0	26,982.20		27,147.15	164.95	70.88	667
B WELLS FARGO & COMPANY									
	01/17/19		2.0X0	1,946.00	101.0090	2,020.18	74.18	18.08	42
GLB 02.100% JUL 26 2021 MOODY'S: A2 S&P: BBB+ CUSIP: 949146S40 ORIGINAL UNIT/TOTAL COST: 97.3000/1,946.00 CURRENT YIELD 2.07%									
WELLS FARGO & COMPANY									
	07/17/19		24.0X0	23,906.81	101.0090	24,242.16	335.35	217.00	504
ORIGINAL UNIT/TOTAL COST: 98.6117/23,908.81									
A WELLS FARGO & COMPANY									
	04/23/20		1.0X0	1,003.53	101.0090	1,010.09	6.56	9.04	21
ORIGINAL UNIT/TOTAL COST: 100.7886/1,007.69									
A WELLS FARGO & COMPANY									
	05/13/20		1.0X0	1,006.15	101.0090	1,010.09	3.94	9.04	21
ORIGINAL UNIT/TOTAL COST: 101.2890/1,012.89									
A WELLS FARGO & COMPANY									
	06/23/20		1.0X0	1,008.09	101.0090	1,010.09	1.00	9.04	21
ORIGINAL UNIT/TOTAL COST: 101.7320/1,017.32									

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
A WELLS FARGO & COMPANY ORIGINAL UNIT/TOTAL COST: 101.4970/2,029.94	10/05/20	2,000	2,021.24	101.0090	2,020.18	(1.06)	18.08	42
A WELLS FARGO & COMPANY ORIGINAL UNIT/TOTAL COST: 101.3700/1,013.70	11/02/20	1,000	1,010.72	101.0090	1,010.09	(0.63)	9.04	21
Subtotal		32,000	31,903.54		32,322.88	419.34	289.32	672
Θ MORGAN STANLEY SER MTN 02.625% NOV 17 2021 MOODY'S: A2 S&P: BBB+ CUSIP: 61746BED4 ORIGINAL UNIT/TOTAL COST: 97.8780/978.78 CURRENT YIELD 2.57%	01/17/19	1,000	978.78	101.9740	1,019.74	40.96	3.21	27
A MORGAN STANLEY ORIGINAL UNIT/TOTAL COST: 100.4630/12,054.36	07/11/19	12,000	12,020.84	101.9740	12,236.88	216.04	38.50	315
A MORGAN STANLEY ORIGINAL UNIT/TOTAL COST: 102.0043/1,020.04	05/12/20	1,000	1,011.71	101.9740	1,019.74	8.03	3.21	27
A MORGAN STANLEY ORIGINAL UNIT/TOTAL COST: 102.8490/1,028.49	08/23/20	1,000	1,017.96	101.9740	1,019.74	1.78	3.21	27
A MORGAN STANLEY ORIGINAL UNIT/TOTAL COST: 102.5380/1,025.38	10/05/20	1,000	1,020.05	101.9740	1,019.74	(0.31)	3.21	27
Subtotal		16,000	16,048.34		16,316.84	268.50	51.34	423
A PFIZER INC GLB 02.200% DEC 15 2021 MOODY'S: A2 S&P: A+ CUSIP: 717081D23 ORIGINAL UNIT/TOTAL COST: 101.8350/5,091.75 CURRENT YIELD 2.15%	02/28/20	5,000	5,048.38	101.9430	5,097.15	47.77	4.89	110
A PFIZER INC ORIGINAL UNIT/TOTAL COST: 102.8050/9,252.45	04/23/20	9,000	9,147.91	101.9430	9,174.87	26.96	8.80	198
A PFIZER INC ORIGINAL UNIT/TOTAL COST: 102.7820/1,027.82	08/23/20	1,000	1,018.07	101.9430	1,019.43	1.36	.88	22
A PFIZER INC ORIGINAL UNIT/TOTAL COST: 102.2780/1,022.76	10/23/20	1,000	1,019.19	101.9430	1,019.43	.24	.88	22
Subtotal		16,000	16,234.55		16,310.88	76.33	15.65	362
PEPSICO INC GLB 02.750% MAR 05 2022 MOODY'S: A1 S&P: A+ CUSIP: 713448B73 ORIGINAL UNIT/TOTAL COST: 99.5700/1,991.40 CURRENT YIELD 2.67%	01/17/19	2,000	1,991.40	102.9370	2,058.74	67.34	17.72	55



A BANK OF AMERICA COMPANY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description									
A PEPSCO INC		07/17/19	23,000	23,194.93	102.9370	23,675.51	480.58	203.81	633
ORIGINAL UNIT/TOTAL COST: 101,8650/23,428.95									
A PEPSCO INC		09/13/19	1,000	1,008.97	102.9370	1,029.37	20.40	8.86	28
ORIGINAL UNIT/TOTAL COST: 101,8660/1,076.56									
A PEPSCO INC		05/12/20	1,000	1,026.54	102.9370	1,029.37	2.83	8.86	28
ORIGINAL UNIT/TOTAL COST: 104,0700/1,040.70									
A PEPSCO INC		08/23/20	1,000	1,029.18	102.9370	1,029.37	.19	8.86	28
ORIGINAL UNIT/TOTAL COST: 104,1860/1,041.96									
Subtotal			28,000	28,251.02		28,822.36	671.34	248.11	772
A BANK OF MONTREAL		10/05/20	2,000	2,064.40	103.2500	2,065.00	.60	15.31	58
SER MTN 02.900% MAR 26 2022 MOODY'S: A2 S&P: A CUSIP: C6367W/M6 ORIGINAL UNIT/TOTAL COST: 103,8270/2,076.54 CURRENT YIELD 2.80%									
A BANK OF MONTREAL		11/02/20	1,000	1,031.86	103.2500	1,032.50	.64	7.65	29
ORIGINAL UNIT/TOTAL COST: 103,5930/1,035.93									
Subtotal			3,000	3,096.26		3,097.50	1.24	22.95	87
A TOYOTA MOTOR CREDIT CORP		10/05/20	2,000	2,025.21	101.3110	2,026.22	1.01	2.24	23
SER MTN 01.150% MAY 26 2022 MOODY'S: A1 S&P: A+ CUSIP: 119236TG/2 ORIGINAL UNIT/TOTAL COST: 101,4700/2,028.40 CURRENT YIELD 1.13%									
A TOYOTA MOTOR CREDIT CORP		11/02/20	2,000	2,026.24	101.3110	2,026.22	(0.02)	2.24	23
ORIGINAL UNIT/TOTAL COST: 101,4600/2,029.20									
Subtotal			4,000	4,051.45		4,052.44	.99	4.48	46
HOME DEPOT INC		01/17/19	3,000	2,977.74	103.1220	3,093.66	115.92	6.56	79
GLB 02.625% JUN 01 2022 MOODY'S: A2 S&P: A CUSIP: 437074B6 PAR CALL DATE: 05/01/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 99,2580/2,977.74 CURRENT YIELD 2.54%									
A HOME DEPOT INC		07/17/19	23,000	23,188.74	103.1220	23,718.06	529.32	50.31	604
ORIGINAL UNIT/TOTAL COST: 101,6372/23,376.56									
A HOME DEPOT INC		05/12/20	1,000	1,028.82	103.1220	1,031.22	2.40	2.19	27
ORIGINAL UNIT/TOTAL COST: 104,1580/1,041.58									

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income
Δ HOME DEPOT INC ORIGINAL UNIT/TOTAL COST: 104.3900/1,043.90	06/23/20	1,000	1,032.19	103.1220	1,031.22	(0.97)		2.19
Subtotal		28,000	28,227.49		28,874.16	646.67		61.25
Δ KINDER MORGAN EMER PART COMPANY GUARNT GLB 03.950% SEP 01 2022 MOODY'S: BAA2 S&P: BBB CUSIP: 494550BL9 PAR CALL DATE: 06/01/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.9800/3,089.40 CURRENT YIELD 3.76%	04/26/19	3,000	3,045.74	104.9360	3,148.08	102.34		39.50
Δ KINDER MORGAN EMER PART ORIGINAL UNIT/TOTAL COST: 104.1100/11,452.10	07/18/19	11,000	11,246.72	104.9360	11,542.96	296.24		144.83
Δ KINDER MORGAN EMER PART ORIGINAL UNIT/TOTAL COST: 105.4700/2,109.40	10/05/20	2,000	2,096.06	104.9360	2,098.72	2.66		26.33
Subtotal		16,000	16,388.52		16,789.76	401.24		270.66
Δ AMERICAN EXPRESS CO GLB 02.650% DEC 02 2022 MOODY'S: A3 S&P: BBB+ CUSIP: 025876BD0 ORIGINAL UNIT/TOTAL COST: 104.8250/1,048.25 CURRENT YIELD 2.53%	11/02/20	1,000	1,044.58	104.3840	1,043.94	(0.74)		2.13
Δ VISA INC GLB 02.800% DEC 14 2022 MOODY'S: A3 S&P: AA- CUSIP: 92826CAC6 PAR CALL DATE: 10/14/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 100.0700/1,000.70 CURRENT YIELD 2.67%	01/24/19	1,000	1,000.36	104.6420	1,046.42	46.06		1.32
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 102.2900/23,526.70	07/17/19	23,000	23,306.76	104.6420	24,067.66	760.90		30.41
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 102.8449/1,028.45	11/14/19	1,000	1,018.27	104.6420	1,046.42	28.15		1.32
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 105.3090/1,053.09	04/24/20	1,000	1,039.55	104.6420	1,046.42	6.87		1.32
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 105.5000/2,110.00	06/23/20	2,000	2,087.11	104.6420	2,092.84	5.73		2.64
Δ VISA INC ORIGINAL UNIT/TOTAL COST: 105.2130/2,104.26	10/05/20	2,000	2,093.17	104.6420	2,092.84	(0.33)		2.64
Subtotal		30,000	30,545.22		31,392.60	847.38		38.65
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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description									
CVS HEALTH CORP		01/17/19	2,000	1,998.12	106.9820	2,139.84	141.52	23.02	74
GLB 03.700% MAR 09 2023 MOODY'S: BAA2 S&P: BBB CUSIP: 128650CVO PAR CALL DATE: 02/09/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
89.9060/1,998.12 CURRENT YIELD 3.45%									
A CVS HEALTH CORP		07/17/19	11,000	11,215.83	106.9820	11,768.02	552.19	126.62	407
ORIGINAL UNIT/TOTAL COST: 103,2600/11,352.00									
A CVS HEALTH CORP		05/12/20	1,000	1,046.48	106.9820	1,069.82	23.34	11.51	37
ORIGINAL UNIT/TOTAL COST: 105,9600/1,059.60									
A CVS HEALTH CORP		10/05/20	2,000	2,131.66	106.9820	2,139.64	7.98	23.02	74
ORIGINAL UNIT/TOTAL COST: 107,2800/2,145.60									
Subtotal			16,000	16,392.09		17,117.12	725.03	184.17	592
EEOG RESOURCES INC		01/17/19	2,000	1,952.56	104.3570	2,087.14	134.58	15.46	53
GLB 02.625% MAR 15 2023 MOODY'S: A3 S&P: A CUSIP: 26871PAC7 PAR CALL DATE: 12/15/22 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
97.6280/1,952.56 CURRENT YIELD 2.51%									
A EEOG RESOURCES INC		07/17/19	11,000	11,087.10	104.3570	11,479.27	392.17	85.02	289
ORIGINAL UNIT/TOTAL COST: 101,2920/11,142.12									
A EEOG RESOURCES INC		09/13/19	1,000	1,008.47	104.3570	1,043.57	35.10	7.73	27
ORIGINAL UNIT/TOTAL COST: 101,3240/1,013.24									
A EEOG RESOURCES INC		10/06/20	2,000	2,078.38	104.3570	2,087.14	8.76	15.46	53
ORIGINAL UNIT/TOTAL COST: 104,3300/2,086.60									
Subtotal			16,000	16,126.51		16,697.12	570.61	123.67	422
APPLE INC		01/17/19	1,000	974.10	104.8980	1,048.96	74.86	3.87	24
GLB 02.400% MAY 03 2023 MOODY'S: A1 S&P: AA+ CUSIP: 037833AK6 ORIGINAL UNIT/TOTAL COST: 97.4099/974.10 CURRENT YIELD 2.28%									
A APPLE INC		07/17/19	12,000	12,064.67	104.8980	12,587.52	522.85	46.40	288
ORIGINAL UNIT/TOTAL COST: 100,8600/12,103.20									
A APPLE INC		05/12/20	1,000	1,039.07	104.8980	1,048.96	9.89	3.87	24
ORIGINAL UNIT/TOTAL COST: 104,9500/1,048.50									

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Description									
A APPLE INC		10/05/20	2,000	2,097.14	104.8980	2,087.92	.78	7.73	48
ORIGINAL UNIT/TOTAL COST: 105,3400/2,106.80									
Subtotal			16,000	16,174.98		16,783.36	608.38	61.87	384
B SOUTHERN CO		01/18/19	1,000	973.44	105.8960	1,058.96	85.52	14.75	30
GLB 02.950% JUL 01 2023 MOODY'S: BAA2 S&P: BBB+ CUSIP: 842587CU9 PAR CALL DATE: 06/01/23 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 97,3440/973.44 CURRENT YIELD 2.78%									
A SOUTHERN CO		07/17/19	12,000	12,144.82	105.8960	12,707.52	562.70	177.00	354
ORIGINAL UNIT/TOTAL COST: 101.8740/12,224.88									
A SOUTHERN CO		05/12/20	1,000	1,044.60	105.8960	1,058.96	14.36	14.75	30
ORIGINAL UNIT/TOTAL COST: 105,5650/1,055.65									
A SOUTHERN CO		10/05/20	2,000	2,126.20	105.8960	2,117.92	(8.28)	28.50	59
ORIGINAL UNIT/TOTAL COST: 106,8960/2,137.92									
Subtotal			16,000	16,289.06		16,843.36	654.30	236.00	478
NORTROP GRUMMAN CORP		01/17/19	2,000	1,980.20	107.4810	2,149.62	159.42	27.08	65
GLB 03.250% AUG 01 2023 MOODY'S: BAA2 S&P: BBB CUSIP: 666807BG6 ORIGINAL UNIT/TOTAL COST: 99,5100/1,990.20 CURRENT YIELD 3.02%									
A NORTROP GRUMMAN CORP		07/17/19	11,000	11,258.57	107.4810	11,822.91	564.34	148.96	358
ORIGINAL UNIT/TOTAL COST: 103,6110/11,397.21									
A NORTROP GRUMMAN CORP		06/23/20	1,000	1,065.78	107.4810	1,074.81	9.03	13.54	33
ORIGINAL UNIT/TOTAL COST: 107,8800/1,078.80									
A NORTROP GRUMMAN CORP		10/05/20	1,000	1,082.37	107.4810	1,074.81	(7.56)	13.54	33
ORIGINAL UNIT/TOTAL COST: 108,9800/1,089.80									
Subtotal			15,000	15,396.92		16,122.16	725.23	203.12	489
A AMERICAN HONDA FINANCE		11/03/20	2,000	2,009.46	100.6320	2,012.84	3.18	4.01	13
SER MTN 00.650% SEP 08 2023 MOODY'S: A3 S&P: A CUSIP: 02665WDM0 ORIGINAL UNIT/TOTAL COST: 100,8000/2,010.00 CURRENT YIELD 0.64%									
A CITICORP INC		01/17/19	2,000	2,007.22	109.9680	2,199.36	192.14	14.21	78
GLB 03.875% OCT 25 2023 MOODY'S: A3 S&P: BBB+ CUSIP: 172867HD6 ORIGINAL UNIT/TOTAL COST: 100,5890/2,011.78 CURRENT YIELD 3.82%									



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
CITIGROUP INC.									
ORIGINAL UNIT/TOTAL COST:	07/17/19	11,000	11,387.57	109.9680	12,098.48	708.91	78.15	427	
CITIGROUP INC.									
ORIGINAL UNIT/TOTAL COST:	08/23/20	1,000	1,088.33	109.9680	1,089.68	11.35	7.10	39	
CITIGROUP INC.									
ORIGINAL UNIT/TOTAL COST:	10/05/20	1,000	1,089.05	109.9680	1,099.68	10.63	7.10	39	
ORIGINAL UNIT/TOTAL COST:	109.6360/1,096.36	15,000	15,572.17		16,495.20	923.03	106.56	583	
Subtotal									
ROYAL BANK OF CANADA									
SER MTN GLB 00.500% OCT 28 2023	11/02/20	3,000	3,001.65	100.5520	3,016.56	14.91	2.71	15	
MOODY'S: A2 S&P: A CUSIP: 78015K717									
ORIGINAL UNIT/TOTAL COST:									
100.0580/3,001.74									
MICROSOFT CORP									
GLB 03.625% DEC 15 2023	01/24/19	2,000	2,044.59	109.2170	2,184.34	139.75	3.22	73	
MOODY'S: AAA S&P: AAA CUSIP: 594918AW4									
PAR CALL DATE: 09/15/23									
PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST:									
103.5880/2,071.72									
MICROSOFT CORP									
ORIGINAL UNIT/TOTAL COST:	07/17/19	22,000	22,942.33	109.2170	24,027.74	1,085.41	35.44	798	
MICROSOFT CORP									
ORIGINAL UNIT/TOTAL COST:	05/01/20	1,000	1,084.19	109.2170	1,082.17	7.98	1.61	37	
MICROSOFT CORP									
ORIGINAL UNIT/TOTAL COST:	06/23/20	2,000	2,179.24	109.2170	2,184.34	5.10	3.22	73	
MICROSOFT CORP									
ORIGINAL UNIT/TOTAL COST:	10/05/20	2,000	2,182.64	109.2170	2,184.34	1.70	3.22	73	
ORIGINAL UNIT/TOTAL COST:	109.8480/2,196.96	29,000	30,432.99		31,672.83	1,239.94	46.71	1,054	
Subtotal									
AMERICAN INTL GROUP									
GLB 04.125% FEB 15 2024	01/17/19	3,000	3,025.99	110.7230	3,321.69	295.70	46.75	124	
MOODY'S: BAA1 S&P: BBB+ CUSIP: C28874C71									
ORIGINAL UNIT/TOTAL COST:									
101.3560/3,040.68									
AMERICAN INTL GROUP									
ORIGINAL UNIT/TOTAL COST:	07/17/19	11,000	11,470.78	110.7230	12,179.53	708.75	171.42	454	
ORIGINAL UNIT/TOTAL COST:	109.1510/11,676.61								

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David Philip Roe

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income
Δ AMERICAN INTL GROUP ORIGINAL UNIT/TOTAL COST: 110.8780/1,108.78	10/05/20	1,000	1,101.31	110.7230	1,107.23	5.92	15.58	42
Subtotal		15,000	15,598.08		16,808.45	1,010.37	233.75	620
Δ COMCAST CORP COMPANY GUARNT GLB 03.700% APR 15 2024 MOODYS: A3 S&P: A- CUSIP: 20030NCR0 PAR CALL DATE: 03/15/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 101.1092/3,033.28 CURRENT YIELD 3.36%	01/17/19	3,000	3,021.61	110.0210	3,300.63	279.02	23.43	111
Δ COMCAST CORP ORIGINAL UNIT/TOTAL COST: 105.9480/23,308.56	07/17/19	22,000	22,923.21	110.0210	24,204.62	1,281.41	171.84	814
Δ COMCAST CORP ORIGINAL UNIT/TOTAL COST: 109.5000/1,095.00	05/12/20	1,000	1,080.01	110.0210	1,100.21	20.20	7.81	37
Δ COMCAST CORP ORIGINAL UNIT/TOTAL COST: 110.6880/1,108.88	06/23/20	1,000	1,092.56	110.0210	1,100.21	7.65	7.81	37
Δ COMCAST CORP ORIGINAL UNIT/TOTAL COST: 110.6120/2,212.24	10/05/20	2,000	2,198.32	110.0210	2,200.42	2.10	15.62	74
Subtotal		29,000	30,315.71		31,906.09	1,590.38	226.51	1,073
Δ US BANCORP SUBORDINATED SER MTN 03.600% SEP 17 2024 MOODYS: A1 S&P: A- CUSIP: 91159HHK9 PAR CALL DATE: 08/11/24 PAR CALL PRICE: 100.00	02/19/19	3,000	3,038.39	110.5940	3,317.82	281.43	33.00	108
ORIGINAL UNIT/TOTAL COST: 101.7720/3,053.16 CURRENT YIELD 3.25%								
Δ US BANCORP ORIGINAL UNIT/TOTAL COST: 105.3870/23,187.34	07/24/19	22,000	22,870.56	110.5940	24,330.68	1,460.12	242.00	782
Δ US BANCORP ORIGINAL UNIT/TOTAL COST: 106.7430/1,067.43	11/14/19	1,000	1,052.34	110.5940	1,105.94	53.60	11.00	36
Δ US BANCORP ORIGINAL UNIT/TOTAL COST: 111.0270/3,330.61	10/05/20	3,000	3,311.41	110.5940	3,317.82	6.41	33.00	108
Subtotal		29,000	30,270.70		32,072.26	1,801.56	319.00	1,044

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A BANK OF AMERICA COMPANY

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
SER MTN 02.850% OCT 26 2024 MOODY'S: A3 S&P: A- CUSIP: 01531FBB8 PAR CALL DATE: 09/26/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
96.7450/2.902.35 CURRENT YIELD 2.63%									
A BBAT CORPORATION									
07/17/19		23,000	23,354.01	108.2140	24,889.22	1,535.21		118.35	656
ORIGINAL UNIT/TOTAL COST: 102.0880/23,480.24									
A BBAT CORPORATION									
06/23/20		1,000	1,075.34	108.2140	1,082.14	6.80		5.15	29
ORIGINAL UNIT/TOTAL COST: 108.5360/1,085.36									
A BBAT CORPORATION									
10/05/20		2,000	2,168.46	108.2140	2,164.28	(4.18)		10.29	57
ORIGINAL UNIT/TOTAL COST: 108.9320/2,178.64									
A BBAT CORPORATION									
11/02/20		1,000	1,079.37	108.2140	1,082.14	2.77		5.15	29
ORIGINAL UNIT/TOTAL COST: 108.2620/1,082.62									
Subtotal									
		30,000	30,578.53		32,464.20	1,884.67		154.38	857
A MERCK & CO INC									
07/17/19		12,000	12,220.26	108.6320	13,035.84	815.58		129.25	330
GLB 02.750% FEB 10 2025 MOODY'S: A1 S&P: AA- CUSIP: 58933PAR6 PAR CALL DATE: 11/10/24 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST:									
102.4440/12,293.28 CURRENT YIELD 2.53%									
A MERCK & CO INC									
09/13/19		1,000	1,020.99	108.6320	1,086.32	65.33		10.77	28
ORIGINAL UNIT/TOTAL COST: 102.7200/1,027.20									
A MERCK & CO INC									
06/23/20		1,000	1,078.44	108.6320	1,086.32	7.88		10.77	28
ORIGINAL UNIT/TOTAL COST: 108.8132/1,088.13									
A MERCK & CO INC									
10/05/20		1,000	1,083.43	108.6320	1,086.32	2.89		10.77	28
ORIGINAL UNIT/TOTAL COST: 108.8100/1,088.10									
Subtotal									
		15,000	15,403.12		16,294.80	891.68		161.56	414
A VERIZON COMMUNICATIONS									
07/17/19		14,000	14,422.41	111.0640	15,548.96	1,126.55		178.55	473
GLB 03.376% FEB 15 2025 MOODY'S: BAA1 S&P: BBB+ CUSIP: 02343VEN0 ORIGINAL UNIT/TOTAL COST: 104.0052/14,560.73 CURRENT YIELD 3.03%									
A VERIZON COMMUNICATIONS									
10/05/20		1,000	1,109.37	111.0640	1,110.64	1.27		12.75	34
ORIGINAL UNIT/TOTAL COST: 111.5470/1,115.47									
Subtotal									
		15,000	15,531.78		16,659.60	1,127.82		191.30	507

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
DESCRIPTION								
A JPMORGAN CHASE & CO 03.900% JUL 15 2025 MOODY'S: A2 S&P: A- CUSIP: 46625HAW7 PAR CALL DATE: 04/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 108,7970/17,407.52 CURRENT YIELD 3.43%	08/28/19	16,000	17,102.86	113.6040	18,176.84	1,073.98	287.73	624
A JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 107,9720/9,717.48	11/14/19	9,000	9,582.98	113.6040	10,224.36	641.38	161.85	351
A JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 113,5940/3,407.82	10/05/20	3,000	3,388.28	113.6040	3,408.12	19.83	53.95	117
Subtotal		28,000	30,073.93		31,809.12	1,735.19	503.53	1,092
A UNITED TECHNOLOGIES CORP GLB 03.950% AUG 16 2025 MOODY'S: BAA1 S&P: A- CUSIP: 913017DD8 PAR CALL DATE: 08/16/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 112,8930/14,676.09 CURRENT YIELD 3.44%	04/23/20	13,000	14,468.58	114.6910	14,909.83	441.25	192.56	514
A UNITED TECHNOLOGIES CORP ORIGINAL UNIT/TOTAL COST: 113,8400/1,138.40	10/05/20	1,000	1,131.90	114.6910	1,146.91	15.01	14.81	40
A UNITED TECHNOLOGIES CORP ORIGINAL UNIT/TOTAL COST: 113,7450/1,137.45	11/02/20	1,000	1,133.00	114.6910	1,146.91	13.91	14.81	40
Subtotal		15,000	16,733.48		17,203.65	470.17	222.18	594
A BP CAP MARKETS AMERICA COMPANY GUARNT GLB 03.796% SEP 21 2025 MOODY'S: A1 S&P: A- CUSIP: 10373QAB6 PAR CALL DATE: 07/21/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 108,0030/28,080.78 CURRENT YIELD 3.34%	04/23/20	26,000	27,832.60	113.3960	29,482.96	1,650.36	274.16	987
A BP CAP MARKETS AMERICA ORIGINAL UNIT/TOTAL COST: 113,1750/2,263.50	10/06/20	2,000	2,251.38	113.3960	2,267.92	16.54	21.09	76
Subtotal		28,000	30,083.98		31,750.88	1,666.90	295.25	1,063
A HP ENTERPRISE CO GLB 04.900% OCT 15 2025 MOODY'S: BAA2 S&P: BBB CUSIP: 42824CAN9 PAR CALL DATE: 07/15/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 112,1890/10,087.01 CURRENT YIELD 4.18%	10/04/19	9,000	9,886.72	116.9960	10,529.64	642.92	93.10	441
A HP ENTERPRISE CO ORIGINAL UNIT/TOTAL COST: 108,5240/4,340.98	04/23/20	4,000	4,301.75	116.9960	4,678.84	378.09	41.38	196



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
Δ HP ENTERPRISE CO ORIGINAL UNIT/TOTAL COST: 116.9700/1,159.70	08/03/20	1,000	1,147.70	116.9960	1,169.96	22.26	10.34	49
Δ HP ENTERPRISE CO ORIGINAL UNIT/TOTAL COST: 115.0785/1,150.79	11/03/20	1,000	1,146.22	116.9960	1,169.96	23.74	10.34	49
Subtotal		15,000	16,482.39		17,549.40	1,067.01	155.16	735
Δ MCDONALD'S CORP SER MTN 03.700% JAN 30 2026 MOODY'S: BAA1 S&P: BBB+ CUSIP: 58013MEY6 PAR CALL DATE: 10/30/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 114.1780/1,417.90 CURRENT YIELD 3.24%	06/10/20	10,000	11,282.50	113.9090	11,390.90	108.40	154.17	370
Δ MCDONALD'S CORP ORIGINAL UNIT/TOTAL COST: 114.1000/1,141.00	06/17/20	1,000	1,127.97	113.9090	1,139.09	11.12	15.42	37
Δ MCDONALD'S CORP ORIGINAL UNIT/TOTAL COST: 113.9500/2,279.00	06/23/20	2,000	2,253.95	113.9090	2,278.18	24.23	30.83	74
Δ MCDONALD'S CORP ORIGINAL UNIT/TOTAL COST: 114.0070/1,140.07	10/05/20	1,000	1,134.06	113.9090	1,139.09	5.03	15.42	37
Δ MCDONALD'S CORP ORIGINAL UNIT/TOTAL COST: 113.0220/1,130.22	11/03/20	1,000	1,126.45	113.9090	1,139.09	12.64	15.42	37
Subtotal		15,000	16,924.93		17,086.35	161.42	231.26	555
Δ AT&T INC GLB 04.125% FEB 17 2026 MOODY'S: BAA2 S&P: BBB CUSIP: 01206RCT7 PAR CALL DATE: 11/17/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 115.0064/1,150.05 CURRENT YIELD 3.56%	10/05/20	1,000	1,143.71	115.7270	1,157.27	13.56	15.35	42
Δ GOLDMANN SACHS GROUP INC GLB 03.750% FEB 25 2026 MOODY'S: A3 S&P: BBB+ CUSIP: 36143UBH7 PAR CALL DATE: 11/25/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 112.7130/2,254.26 CURRENT YIELD 3.30%	11/03/20	2,000	2,247.03	113.5950	2,271.90	24.87	26.25	76
Δ JOHNSON & JOHNSON GLB 02.450% MAR 01 2026 MOODY'S: AAA S&P: AAA CUSIP: 473160B19 PAR CALL DATE: 12/01/25 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 109.5080/1,423.04 CURRENT YIELD 2.25%	06/12/20	13,000	14,120.91	108.7870	14,142.31	21.40	106.17	319

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
A JOHNSON & JOHNSON	06/23/20	1,000	1,089.00	108.7670	1,087.87	(1.13)	8.17	25	
ORIGINAL UNIT/TOTAL COST: 108.7730/1,097.73									
Subtotal		14,000	15,208.91		15,230.18	20.27	114.34	344	
A DUKE ENERGY CORP	10/23/20	2,000	2,162.25	108.9600	2,179.20	16.95	17.67	63	
GLB 02.650% SEP 01 2026 MOODY'S: BAA1 S&P: BBB+ CUSIP: 26441GAS4 PAR CALL DATE: 06/01/26 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 108.3590/2,167.18 CURRENT YIELD 2.43%									
A SHIRE ACQ INV IRELAND DA	10/23/20	2,000	2,227.85	111.8680	2,237.36	9.51	17.42	64	
COMPANY GUARNT GLB 03.200% SEP 23 2026 MOODY'S: BAA2 S&P: BBB+ CUSIP: 82481LAD1 PAR CALL DATE: 06/23/26 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 111.7360/2,234.70 CURRENT YIELD 2.86%									
A ABBOTT LABORATORIES	11/06/20	1,000	1,165.69	117.1440	1,171.44	5.75	3.13	38	
GLB 03.750% NOV 30 2026 MOODY'S: A3 S&P: A CUSIP: 002824BF6 PAR CALL DATE: 08/30/26 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 116.9560/1,169.56 CURRENT YIELD 3.20%									
A ABBOTT LABORATORIES	11/10/20	2,000	2,324.71	117.1440	2,342.88	18.17	6.25	75	
ORIGINAL UNIT/TOTAL COST: 116.5920/2,331.84									
Subtotal		3,000	3,490.40		3,514.32	23.92	9.38	113	
TOTAL	YIELD 2.92%	630,000	652,398.58		675,589.74	23,191.16	5,453.51	19,752	

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES							
Description	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
ABBOTT LABS CURRENT YIELD 1.84%	ABT	12,000	989.47	109.4900	1,313.88	324.41	22
ACCENTURE PLC SHS CURRENT YIELD 1.34%	ACN	11,000	2,152.40	261.2100	2,873.31	720.91	39
AIRBNB INC CL A	ABNB	13,000	1,910.08	146.8000	1,908.40	(1.68)	



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
ALSTATE CORP DEL COM CURRENT YIELD 1.96%	ALL	31.0000	3,210.85	109.9300	3,407.83	196.98	72
ALNYLAM PHARMACEUTICALS INC	ALNY	10.0000	1,159.01	129.9700	1,298.70	140.69	
AMAZON COM INC COM	AMZN	8.0000	19,019.03	3,256.9300	26,056.44	7,036.41	
AMEREN CORP CURRENT YIELD 2.63%	AEE	19.0000	1,456.10	76.0600	1,483.14	27.04	40
AMN ELEC POWER CO CURRENT YIELD 3.55%	AEP	20.0000	1,844.29	83.2700	1,666.40	(178.89)	80
ANALOG DEVICES INC COM CURRENT YIELD 1.67%	ADI	21.0000	2,444.58	147.7300	3,102.33	657.75	64
API GROUP CORP REG SHS	APG	199.0009	2,996.89	18.1500	3,811.85	614.96	
APPLE INC CURRENT YIELD 0.61%	APPL	49.0000	2,752.04	132.6900	6,501.81	3,749.77	41
ARTISAN PARTNERS ASSET MGMT INC CLASS A CURRENT YIELD 5.54%	APAM	295.0000	10,625.82	50.3400	14,850.30	4,224.48	827
AT&T INC CURRENT YIELD 7.23%	T	47.0000	1,805.74	28.7600	1,351.72	(454.02)	98
AUTOMATIC DATA PROC CURRENT YIELD 2.11%	ADP	12.0000	1,929.72	176.2000	2,114.40	184.68	45
AVANDBAY CASHFLO INIC REIT CURRENT YIELD 3.96%	AVB	5.0000	1,137.91	160.4300	802.15	(335.76)	33
AVERY DENNISON CORP CURRENT YIELD 1.59%	AVY	8.0000	1,001.76	156.1100	1,240.88	239.12	20

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
BAXTER INTERNL INC CURRENT YIELD 1.22%	BAX	16,000	1,410.61	80.2400	1,283.84	(126.77)	16
BEST BUY CO INC CURRENT YIELD 2.20%	BBY	9,000	937.90	99.7900	898.11	(39.79)	21
BLACKROCK INC CURRENT YIELD 2.01%	BLK	4,000	1,742.79	721.5400	2,886.16	1,143.37	59
BOTTOMLINE TECH DEL INC	EPAY	220,000	10,295.87	52.7400	11,602.80	1,306.93	
BRIGHTVIEW HDGS INC	BY	1,073,000	13,858.09	15.1200	16,223.76	2,364.67	
BRISTOLMYERS SQUIBB CO CURRENT YIELD 3.15%	BMV	45,000	2,241.27	62.0300	2,791.35	550.08	89
BROADCOM LTD CURRENT YIELD 3.28%	AVGO	13,000	3,886.37	437.8500	5,692.05	1,805.68	189
CALLAWAY GOLF CO DEL COM CURRENT YIELD 0.16%	ELY	467,000	7,489.34	24.0100	11,212.67	3,723.33	21
CARVANA CO CL A	CVA	31,000	1,392.11	239.5400	7,425.74	6,033.63	
CERENGE INC REG SHS ISSUED	CRNC	66,000	3,414.34	100.4800	6,631.68	3,217.34	
CHEVRON CORP CURRENT YIELD 6.11%	CVX	44,000	5,296.33	84.4500	3,715.80	(1,580.53)	228
CHEWY INC SH CL A	CHWY	33,000	1,299.72	89.8900	2,986.37	1,686.65	
CHUBB LTD CURRENT YIELD 2.02%	CB	22,000	3,530.23	163.9200	3,386.24	(143.99)	69
CISCO SYSTEMS INC COM CURRENT YIELD 3.21%	CSCO	110,000	5,503.82	44.7500	4,922.50	(581.32)	159



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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EQUITIES (continued)		Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
Description								
CITRIX SYSTEMS INC COM CURRENT YIELD 1.07%		CTXS	9,0000	1,187.56	130.1000	1,170.90	(26.66)	13
CLOUDFLARE INC REG SHS CL A		NET	41,0000	2,130.05	76.9900	3,115.59	985.54	
CME GROUP INC CURRENT YIELD 1.86%		CME	12,0000	2,463.09	182.0500	2,184.60	(278.49)	41
CMS ENERGY CORP CURRENT YIELD 2.67%		CMS	20,0000	1,234.71	61.0100	1,220.20	(14.51)	33
COMCAST CORP NEW CL A CURRENT YIELD 1.75%		CMCSA	116,0000	5,544.92	52.4000	6,078.40	533.48	108
COMPASS MINERALS INTL INC CURRENT YIELD 4.66%		CMP	230,0000	13,395.37	61.7200	14,195.60	800.23	664
CONOCOPHILLIPS CURRENT YIELD 4.30%		COP	28,0000	1,636.21	39.9800	1,119.72	(516.49)	50
CORNERSTONE ONDEMAND INC		CSOD	230,0000	9,224.29	44.0400	10,129.20	904.91	
COSTCO WHOLESALE CORP DEL CURRENT YIELD 0.74%		COST	14,0000	5,173.60	376.7800	5,274.92	101.32	40
COUPA SOFTWARE INC		COUP	26,0000	4,054.31	338.9100	8,811.66	4,757.35	
CROWN CASTLE REIT INC SHS CURRENT YIELD 3.34%		CCI	8,0000	1,107.28	159.1900	1,273.52	166.24	43
CUMMINS INC COM CURRENT YIELD 2.37%		CMI	7,0000	1,156.86	227.1000	1,669.70	432.84	38
DEERE CO CURRENT YIELD 1.12%		DE	8,0000	1,311.97	269.0500	2,152.40	840.43	27
DEXCOM INC		DXCM	14,0000	3,680.33	366.7200	5,176.08	1,515.75	

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)				Total	Estimated	Estimated	Unrealized	Estimated
Description	Symbol	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	
DIGITAL RLTY TR INC CURRENT YIELD 3.21%	DLR	16,000	1,891.10	139.5100	2,232.16	241.06	73	
DOORDASH INC REG SHS CL A	DASH	18,000	3,176.00	142.7500	2,568.50	(606.50)		
DOUGLAS DYNAMICS INC CURRENT YIELD 2.61%	PLOW	240,000	9,362.54	42.7700	10,264.80	902.26	272	
DTE ENERGY COMPANY CURRENT YIELD 3.57%	DTE	9,000	809.90	121.4100	1,092.69	282.79	40	
DUKE ENERGY CORP NEW CURRENT YIELD 4.21%	DUK	7,000	628.51	91.5600	640.92	12.41	28	
EATON CORP PLC CURRENT YIELD 2.43%	ETN	15,000	1,424.01	120.1400	1,802.10	378.09	44	
EBAY INC COM CURRENT YIELD 1.27%	EBAY	49,000	2,252.92	50.2500	2,462.25	209.33	34	
ELLULLY & CO CURRENT YIELD 2.01%	LLY	18,000	2,029.09	168.8400	3,039.12	1,010.03	62	
ENCORE CAPITAL GROUP INC	ECPG	102,000	3,860.73	38.9500	3,972.90	112.17		
EOG RESOURCES INC CURRENT YIELD 3.00%	EOG	23,000	1,197.87	49.8700	1,147.01	(50.86)	35	
EVERCORE INC CL A CURRENT YIELD 2.22%	EVR	92,000	7,125.59	109.6400	10,086.88	2,961.29	225	
EVERSOURCE ENERGY COM CURRENT YIELD 2.62%	ES	17,000	1,412.61	86.5100	1,470.67	58.06	39	
EXLSERVICE HLDGS INC	EXLS	109,000	7,478.09	85.1300	9,279.17	1,801.08		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
EXTRA SPACE STORAGE INC CURRENT YIELD 3.10%	EXR	10,000	943.84	115.8600	1,158.60	214.76	38
FACEBOOK INC CLASS A COMMON STOCK	FB	43,000	11,636.42	273.1600	11,745.88	109.46	
FASTLY INC REG SHS CL A	FSLY	37,000	3,613.38	87.3700	3,232.69	(380.69)	
FIDELITY NATL INFO SVCS INC CURRENT YIELD 0.98%	FIS	11,000	1,493.20	141.4600	1,556.06	62.86	16
FRONTDOOR INC	FTDR	90,000	7,761.86	50.2100	9,539.90	1,778.24	
GENTEX CORP CURRENT YIELD 1.41%	GNTX	168,000	10,315.12	33.9300	12,486.24	2,171.12	178
GILEAD SCIENCES INC COM CURRENT YIELD 4.66%	GILD	15,000	996.55	58.2600	873.90	(122.65)	41
GUARDANT HEALTH INC	GH	25,000	2,004.74	128.8800	3,222.00	1,217.26	
HERSHEY COMPANY CURRENT YIELD 2.11%	HSY	7,000	1,060.50	152.3300	1,066.31	5.81	23
HILLENBRAND INC CURRENT YIELD 2.16%	HI	4125,000	12,062.15	39.8000	16,915.00	4,852.85	369
HOME DEPOT INC CURRENT YIELD 2.25%	HD	19,000	4,452.81	265.6200	5,046.78	593.97	114
HONEYWELL INTL INC DEL CURRENT YIELD 1.74%	HON	21,000	3,550.10	212.7000	4,468.70	918.60	79
HOSTESS BRANDS INC	TWPK	1,108,000	12,689.60	14.6400	14,727.84	2,038.24	
ILLUMINA INC COM	ILMN	16,000	5,118.68	370.0000	5,820.00	801.32	
INOVALON HOLDINGS INC SHS CL A	INOV	129,000	9,568.95	18.1700	9,611.93	42.98	

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
INTL BUSINESS MACHINES CORP IBM CURRENT YIELD 5.17%	IBM	35.0000	4,910.65	125.8800	4,405.80	(504.85)	229
INTUITIVE SURGICAL INC NEW	ISRG	15.0000	8,976.20	818.1000	12,271.50	3,295.30	
JOHNSON AND JOHNSON COM CURRENT YIELD 2.56%	JNJ	49.0000	6,355.65	157.3800	7,711.62	1,355.97	200
JPMORGAN CHASE & CO CURRENT YIELD 2.83%	JPM	69.0000	7,854.33	127.0700	8,767.83	913.50	249
KIMBERLY CLARK CURRENT YIELD 3.17%	KMB	14.0000	1,870.89	134.8300	1,887.62	16.73	81
KLA CORP CURRENT YIELD 1.39%	KLAC	14.0000	2,100.52	258.9100	3,624.74	1,524.22	51
KNOWLES CORP SHS	KN	173.0000	2,845.11	18.4300	3,188.39	343.28	
LAM RESEARCH CORP COM CURRENT YIELD 1.10%	LRGX	10.0000	2,354.75	472.2700	4,722.70	2,367.95	52
LENDINGTREE INC	TREE	47.0000	13,546.08	273.7900	12,888.13	(657.95)	
LOCKHEED MARTIN CORP CURRENT YIELD 2.92%	LMT	13.0000	5,053.97	354.9800	4,614.74	(439.23)	136
MARSH & MCLENNAN COS INC CURRENT YIELD 1.59%	MMC	28.0000	2,795.80	117.0000	3,276.00	480.20	53
MCDONALDS CORP COM CURRENT YIELD 2.40%	MCD	9.0000	1,899.96	214.5800	1,931.22	31.26	48
MEDPACE HLDS INC	MEDP	28.0000	2,975.03	139.2000	3,897.60	922.57	
MEDTRONIC PLC SHS CURRENT YIELD 1.99%	MDT	27.0000	2,819.21	117.1400	3,162.78	343.57	65



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
Merck and Co Inc SHS CURRENT YIELD 3.17%	MRK	68,000	5,595.72	81.8000	5,562.40	(33.32)	178
Microsoft Corp CURRENT YIELD 1.00%	MSFT	38,000	5,247.86	222.4200	8,451.96	3,204.10	86
Moderna Inc MONDELEZ INTERNATIONAL INC CURRENT YIELD 2.15%	MRNA MDLZ	18,080 22,000	327.51 1,207.07	104.4700 58.4700	1,880.46 1,286.34	1,552.95 79.27	28
Monogodb Inc CL A NETFLIX COM INC	MDB NFLX	9,000 7,000	1,080.25 2,565.16	358.0400 540.7300	3,231.36 3,785.11	2,151.11 1,219.95	
Nextera Energy Inc SHS CURRENT YIELD 1.81%	NEE	28,000	1,546.98	77.1500	2,160.20	614.22	40
North Trust Corp CURRENT YIELD 3.00%	NTRS	26,000	2,367.30	93.1400	2,421.64	54.34	76
Northrop Grumman Corp CURRENT YIELD 1.90%	NOC	5,000	1,725.60	304.7200	1,523.60	(202.00)	30
Nvidia CURRENT YIELD 0.12%	NVDA	10,000	3,338.79	522.2000	5,222.00	1,883.21	7
OKTA Inc Reg SHS CL A Packaging Corp America CURRENT YIELD 2.90%	OKTA PKG	33,000 7,000	3,890.46 732.71	254.2600 137.9100	8,390.58 966.57	4,500.12 232.66	28
Parker Hannifin Corp CURRENT YIELD 1.29%	PH	8,000	1,455.92	272.4100	2,179.28	723.36	29
PepsiCo Inc CURRENT YIELD 2.75%	PEP	21,000	2,885.81	148.3000	3,114.30	228.49	86

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
PHILIP MORRIS INTL INC CURRENT YIELD 5.79%	PM	25.0000	1,869.60	82.7900	2,069.75	200.15	120
PHILLIPS 66 SHS CURRENT YIELD 5.14%	PSX	16.0000	1,087.28	69.9400	1,119.04	31.76	58
PINNACLE WEST CAP CORP CURRENT YIELD 4.15%	PNW	8.0000	625.00	79.9500	639.60	14.60	27
PINTEREST INC REG SHS CL A	PINS	83.0000	6,234.02	65.9000	6,128.70	(105.32)	
PNC FINCL SERVICES GROUP CURRENT YIELD 3.08%	PNC	31.0000	3,895.22	149.0000	4,619.00	723.78	144
PRICE T ROWE GROUP INC CURRENT YIELD 2.37%	TROW	17.0000	2,044.67	151.3900	2,573.63	528.96	63
PROCTER & GAMBLE CO CURRENT YIELD 2.27%	PG	31.0000	3,808.50	139.1400	4,313.34	504.84	89
QUOTIENT TECHNOLOGY INC	QUT	1,051.0000	9,179.00	9.4200	9,900.42	721.42	
ROYAL GOLD INC COM CURRENT YIELD 1.12%	RGLD	6.0000	733.85	108.3600	838.16	(95.49)	8
RTY PHARMA PLC SHS CL A CURRENT YIELD 1.19%	RPRX	45.0000	1,946.59	50.0500	2,252.25	305.66	28
SHOPPIY INC CL A	SHOP	14.0000	5,764.43	1,131.9500	15,847.30	10,082.87	
SHUTTERSTK INC SHS CURRENT YIELD 0.94%	SSTK	212.0000	11,590.92	71.7000	15,200.40	3,609.48	146
SNAP INC CL A	SNAP	267.0000	6,523.26	50.0700	13,368.89	6,845.63	
SNOWFLAKE INC REG SHS CL A	SNOW	36.0000	9,482.73	281.4000	10,130.40	647.67	



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
SONOCO PRODUCTS CO CURRENT YIELD 2.90%	SON	20,000	1,189.44	59.2500	1,185.00	(4.44)	35
SP PLUS CORP	SP	146,000	3,953.66	28.8300	4,209.18	255.52	
SPOTIFY TECH S.A. REG SHS	SPOT	57,000	8,558.20	314.8500	17,935.62	9,377.42	
SPS COMM INC	SPSC	17,000	859.02	108.5900	1,846.03	987.01	
SQUARE INC SHS CL A	SQ	73,000	4,628.78	217.6400	15,887.72	11,258.94	
STERICYCLE INC COM	SRCL	211,000	13,004.22	69.3300	14,628.63	1,624.41	
TARGET CORP COM CURRENT YIELD 1.54%	TGT	32,000	3,692.29	176.5300	5,648.86	1,956.57	91
TE CONNECTIVITY LTD REG.SHS CURRENT YIELD 1.58%	TEL	12,000	1,044.48	121.0700	1,452.94	408.36	24
TERMINIX GLOBAL HLDGS INC	TMX	309,000	12,090.31	51.0100	15,762.09	3,671.78	
TEXAS INSTRUMENTS CURRENT YIELD 2.48%	TXN	28,000	3,658.59	164.1300	4,595.64	937.05	115
TRADE (THE) DESK INC SHS CL A	TTD	5,000	1,689.54	801.0000	4,005.00	2,315.46	
TRANE TECHNOLOGIES PLC CURRENT YIELD 1.46%	TT	25,000	2,268.89	145.1600	3,629.00	1,360.11	65
TWILIO INC CL A	TWLO	33,000	6,235.65	338.5000	11,170.50	4,934.85	
TWITTER INC	TWTR	249,000	8,792.34	64.1500	13,483.35	4,691.01	
UBER TECHNOLOGIES INC	UBER	326,000	10,171.20	51.0000	16,628.00	6,456.80	

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
UNION PACIFIC CORP CURRENT YIELD 1.86%	UNP	26,000	4,369.79	208.2200	5,413.72	1,043.93	101
UNITED PARCEL SVC CL B CURRENT YIELD 2.39%	UPS	13,000	1,584.74	168.4000	2,188.20	604.46	54
UNITEDHEALTH GROUP INC CURRENT YIELD 1.42%	UNH	9,000	2,128.31	350.6800	3,156.12	1,027.81	45
UNITY SOFTWARE INC CURRENT YIELD 3.60%	U	20,000	1,729.85	153.4700	3,068.40	1,339.55	156
US BANCORP CURRENT YIELD 4.27%	USB	91,000	4,448.04	46.5900	4,238.69	(209.35)	139
VEEVA SYS INC CL A	VEEV	44,000	6,515.40	272.2600	11,979.00	5,463.60	
VERINT SYSTEMS INC	VRNT	161,000	7,772.47	67.1800	10,816.98	3,043.51	
VERIZON COMMUNICATIONS COM CURRENT YIELD 4.27%	VZ	54,000	3,236.85	58.7500	3,172.50	(64.35)	139
WALMART INC CURRENT YIELD 1.49%	WMT	20,000	2,369.35	144.1500	2,883.00	513.65	44
WASTE MANAGEMENT INC NEW CURRENT YIELD 1.84%	WM	19,000	2,149.20	117.9300	2,240.67	91.47	42
WAYFAIR INC	W	19,000	1,326.73	225.8100	4,290.39	2,963.66	
WEC ENERGY GROUP INC SHS CURRENT YIELD 2.74%	WEC	20,000	1,838.62	92.0300	1,840.60	1.98	51
WILLSCOT MOBILE MINI HLDGS CORP	WSC	756,000	11,846.19	23.1700	17,516.52	5,670.33	
WORLD FUEL SERVICES CORP CURRENT YIELD 1.28%	INT	130,000	3,868.68	31.1600	4,060.80	192.12	52



YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

EQUITIES (continued)		Symbol	Quantity	Total		Estimated		Estimated		Unrealized		Estimated	
Description				Cost Basis	Market Price	Market Price	Market Value	Market Value	Gain/(Loss)	Gain/(Loss)	Annual Income		
XCEL ENERGY INC		XEL	26,000	1,841.82	66.6700		1,733.42		91.80		45		
CURRENT YIELD 2.67%													
ZILLOW GROUP INC SHS		Z	65,000	4,519.73	129.8000		8,437.00		3,917.27				
CL C													
ZOOM VIDEO		ZM	38,000	3,069.15	337.3200		12,818.16		9,749.01				
COMMUNICATIONS INC CL A													
10X GENOMICS INC REG SHS		TXG	23,000	1,698.78	141.6000		3,258.80		1,558.02				
CL A													
TOTAL				614,802.16			820,818.68		205,816.42			8,443	

Equity Cost Basis details are available online.

MUTUAL FUNDS/CLOSED END FUNDS/UTS/EIPs													
Description		Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Income				
COMM SERVICES SELECT		1,728,000	100,878.67	67.4800	116,805.44	15,726.77	100,878	15,726	787				
SECTOR CURRENT YIELD 0.67%													
CONSUMER DISCRETIONARY		747,000	109,169.23	160.7800	120,102.66	10,933.43	109,169	10,933	987				
SPDR CURRENT YIELD 0.82%													
HEALTH CARE SELECT SPDR		1,059,000	106,143.93	113.4400	120,132.96	13,989.03	106,143	13,989	1,789				
CURRENT YIELD 1.48%													
SYMBOL: XLV Initial Purchase: 07/06/20 Equity 100%													
IShares GOLD TR		4,488,000	80,514.72	18.1300	81,367.44	852.72	80,514	852					
SYMBOL: IAU Initial Purchase: 10/30/20 Alternative Investments 100%													
IShares MSCI ALL COUNTRY		1,085,000	84,021.24	88.6100	97,226.85	13,205.61	84,021	13,205	1,028				
ASIA EX JAPAN ETF CURRENT YIELD 1.05%													
SYMBOL: IAU Initial Purchase: 03/16/20 Equity 100%													
IShares TR RUSSELL 2000		358,000	53,075.83	196.0600	70,385.54	17,309.71	53,075	17,309	734				
CURRENT YIELD 1.04%													
SYMBOL: IWM Initial Purchase: 03/26/20 Equity 100%													
SECTOR SPDR ENERGY		1,013,000	33,910.53	37.9000	38,392.70	4,482.17	33,910	4,482	2,168				
CURRENT YIELD 5.62%													
SYMBOL: XLE Initial Purchase: 03/26/20 Equity 100%													

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS (continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (%)	Estimated Annual Income
SECTOR SPDR INDUSTRIAL	1,387.0000	101,944.71	88.5500	122,818.85	20,874.14	101,944	20,874	1,905
CURRENT YIELD 1.55% SYMBOL: XLJ Initial Purchase: 03/18/20 Equity 100%								
SELECT SECTOR SPDR TR	1,191.0000	128,362.51	130.0200	154,863.82	26,491.31	128,362	28,491	1,425
CURRENT YIELD 0.91% SYMBOL: XLK Initial Purchase: 01/06/20 Equity 100%								
SPDR US FINANCIAL SECTOR	4,294.0000	99,994.38	29.4800	126,567.12	26,592.74	99,994	28,592	2,568
ETF: CURRENT YIELD 2.02% SYMBOL: XLF Initial Purchase: 03/18/20 Equity 100%								
VANGUARD MSCI PACIFIC	824.0000	55,182.28	78.5900	65,582.16	10,399.88	55,182	10,399	1,185
ETF: CURRENT YIELD 1.80% SYMBOL: VPL Initial Purchase: 01/06/20 Equity 100%								
VANGUARD FTSE ALL WORLD	3,777.0000	186,793.07	58.3600	220,425.72	33,632.65	186,793	33,632	4,397
EX US CURRENT YIELD 1.99% SYMBOL: VEI Initial Purchase: 01/06/20 Equity 100%								
Subtotal (Equities)				1,253,713.82				
Subtotal (Alternative Investments)				87,367.44				
TOTAL YIELD 1.42%		1,139,991.10		1,334,481.28	194,490.16		194,484	18,963
TOTAL PRINCIPAL INVESTMENTS		2,533,291.37		2,956,888.11	423,597.74			47,183

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2020 - December 31, 2020

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

Notes

A Debt instruments purchased at a premium show amortization θ Debt instruments purchased at a discount show accretion
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%	
CASH	257.85	257.85		257.85			
BLF FEDFUND CASH RESERVE	53,553.00	53,553.00	1.0000	53,553.00	11	.02	
TOTAL		53,810.85		53,810.85	11	.02	
TOTAL INCOME INVESTMENTS		53,810.85		53,810.85	10	.02	
LONG PORTFOLIO							
		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Estimated Annual Income
TOTAL PRINCIPAL/INCOME INVESTMENTS	YIELD 1.57%	2,567,102.22	3,010,688.98	423,597.74	5,453.51	47.194	

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS					Income	Principal	Income
Date	Description	Transaction Type	Quantity		Cash	Cash	Year To Date
Taxable Interest							
12/01	HOME DEPOT INC	Interest			367.50		
	GLB 02.625% JUN 01 2022 INTEREST CREDIT PAY DATE 12/01/2020 CUSIP NUM: 437078B66						
12/02	AMERICAN EXPRESS CO	Interest			13.26		
	GLB 02.650% DEC 02 2022 INTEREST CREDIT PAY DATE 12/02/2020 CUSIP NUM: 025816BD0						
12/14	VISA INC	Interest			420.00		
	GLB 02.800% DEC 14 2022 INTEREST CREDIT PAY DATE 12/14/2020 CUSIP NUM: 92826CAC6						
12/15	MICROSOFT CORP	Interest			526.63		
	GLB 03.625% DEC 15 2023 INTEREST CREDIT PAY DATE 12/15/2020 CUSIP NUM: 594918AW4						
12/15	Pfizer Inc	Interest			176.00		
	GLB 02.200% DEC 15 2021 INTEREST CREDIT PAY DATE 12/15/2020 CUSIP NUM: 717081DZ3						
12/17	Regions Financial CO CLD	Interest			150.33		
	GLB 02.750% AUG 14 2022 INTEREST CREDIT PAY DATE 12/17/2020 CUSIP NUM: 7691EPAM2						
12/28	GOLDMAN SACHS GROUP INC	Interest			361.00		
	GLB 02.800% DEC 27 2020 INTEREST CREDIT PAY DATE 12/27/2020 CUSIP NUM: 38141GWC6						
Subtotal (Taxable Interest)					2,003.71		18,568.21
Taxable Dividends							
12/01	CONOCOPHILLIPS	Dividend			19.78		
	DIVIDEND HOLDING 46.0000 PAY DATE 12/01/2020						
12/01	KLA CORP	Dividend			12.60		
	DIVIDEND HOLDING 14.0000 PAY DATE 12/01/2020						
12/01	BLF FEDFUND CASH RESERVE	Dividend			3.79		
	DIVIDEND PAY DATE 11/30/2020						
12/01	BLF FEDFUND CASH RESERVE	Reinvestment Share(s)	3.0000				
	DIVID REIN AGENT REINV AMT \$3.00 REINV PRICE \$1.00000 REINV SHRS 3.0000 AS OF 12/01						
12/01	WEC ENERGY GROUP INC SHS	Dividend			12.65		
	DIVIDEND HOLDING 20.0000 PAY DATE 12/01/2020						
12/03	CUMMINS INC COM	Dividend			9.45		
	DIVIDEND HOLDING 7.0000 PAY DATE 12/03/2020						

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David Philip Roe



YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
12/03	UNITED PARCEL SVC CL B	Dividend		13.13		
	DIVIDEND HOLDING 13.0000 PAY DATE 12/03/2020					
12/04	HONEYWELL INTL INC DEL	Dividend		19.53		
	DIVIDEND HOLDING 21.0000 PAY DATE 12/04/2020					
12/04	PARKER HANNUFIN CORP	Dividend		7.04		
	DIVIDEND HOLDING 8.0000 PAY DATE 12/04/2020					
12/04	TE CONNECTIVITY LTD	Foreign Dividend		5.76		
	REG.SHS RPT FGN DIV HOLDING 12.0000 PAY DATE 12/04/2020					
12/08	JOHNSON AND JOHNSON COM	Dividend		49.49		
	DIVIDEND HOLDING 49.0000 PAY DATE 12/08/2020					
12/09	VALERO ENERGY CORP NEW	Dividend		21.56		
	DIVIDEND HOLDING 22.0000 PAY DATE 12/09/2020					
12/10	AMN ELEC POWER CO	Dividend		14.80		
	DIVIDEND HOLDING 20.0000 PAY DATE 12/10/2020					
12/10	CHEVRON CORP	Dividend		56.76		
	DIVIDEND HOLDING 44.0000 PAY DATE 12/10/2020					
12/10	INTL BUSINESS MACHINES	Dividend		45.64		
	CORP IBM DIVIDEND HOLDING 26.0000 PAY DATE 12/10/2020					
12/10	EL LILLY & CO	Dividend		13.32		
	DIVIDEND HOLDING 18.0000 PAY DATE 12/10/2020					
12/10	MICROSOFT CORP	Dividend		21.28		
	DIVIDEND HOLDING 38.0000 PAY DATE 12/10/2020					
12/10	SONOCO PRODUCTS CO	Dividend		8.60		
	DIVIDEND HOLDING 20.0000 PAY DATE 12/10/2020					
12/10	TARGET CORP COM	Dividend		20.40		
	DIVIDEND HOLDING 30.0000 PAY DATE 12/10/2020					
12/11	EVERCORE INC	Dividend		56.12		
	CL A DIVIDEND HOLDING 82.0000 PAY DATE 12/11/2020					

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				
Date	Description	Transaction Type	Quantity	Income Cash
Taxable Dividends				
12/11	BLF FEDFUND CASH RESERVE	*Long Term Capital Gain		
	LONG TERM CAPITAL GAIN PAY DATE 12/10/2020			.35
12/15	ANALOG DEVICES INC COM	Dividend		13.02
	DIVIDEND HOLDING 21.0000 PAY DATE 12/15/2020			
12/15	COMPASS MINERALS INTL	Dividend		165.60
	INC DIVIDEND HOLDING 230.0000 PAY DATE 12/15/2020			
12/15	HERSHEY COMPANY	Dividend		5.63
	DIVIDEND HOLDING 7.0000 PAY DATE 12/15/2020			
12/15	MCDONALDS CORP COM	Dividend		11.61
	DIVIDEND HOLDING 9.0000 PAY DATE 12/15/2020			
12/15	NEXTERA ENERGY INC SHS	Dividend		8.80
	DIVIDEND HOLDING 28.0000 PAY DATE 12/15/2020			
12/15	RTY PHARMA PLC SHS CL A	Foreign Dividend		3.15
	RPT FGN DIV HOLDING 21.0000 PAY DATE 12/15/2020			
12/15	UNITEDHEALTH GROUP INC	Dividend		11.25
	DIVIDEND HOLDING 9.0000 PAY DATE 12/15/2020			
12/16	AVERY DENNISON CORP	Dividend		4.96
	DIVIDEND HOLDING 8.0000 PAY DATE 12/16/2020			
12/16	NORTHROP GRUMMAN CORP	Dividend		7.25
	DIVIDEND HOLDING 5.0000 PAY DATE 12/16/2020			
12/16	SHUTTERSTK INC SHS	Dividend		36.04
	DIVIDEND HOLDING 212.0000 PAY DATE 12/16/2020			
12/17	HOME DEPOT INC	Dividend		28.50
	DIVIDEND HOLDING 19.0000 PAY DATE 12/17/2020			
12/18	EBAY INC COM	Dividend		7.84
	DIVIDEND HOLDING 48.0000 PAY DATE 12/18/2020			
12/18	ISHARES MSCI ALL COUNTRY	Dividend		742.50
	ASIA EX JAPAN ETF DIVIDEND HOLDING 1086.0000 PAY DATE 12/18/2020			

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

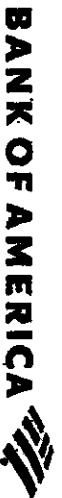
DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Dividends					
12/18	ISHARES TR RUSSELL 2000	Dividend		212.70	
	DIVIDEND HOLDING 359.0000 PAY DATE 12/18/2020				
12/18	WASTE MANAGEMENT INC NEW	Dividend		10.36	
	DIVIDEND HOLDING 18.0000 PAY DATE 12/18/2020				
12/21	BLACKROCK INC	Dividend		14.52	
	DIVIDEND HOLDING 4.0000 PAY DATE 12/21/2020				
12/21	DOMINION ENERGY INC	Dividend		7.56	
	DIVIDEND HOLDING 12.0000 PAY DATE 12/20/2020				
12/24	VANGUARD MSCI PACIFIC	Dividend		726.93	
	ETF DIVIDEND HOLDING 824.0000 PAY DATE 12/24/2020				
12/24	VANGUARD FTSE ALL WORLD	Dividend		1,706.45	
	EX US DIVIDEND HOLDING 3777.0000 PAY DATE 12/24/2020				
12/24	COMM SERVICES SELECT	Dividend		184.82	
	SECTOR DIVIDEND HOLDING 1728.0000 PAY DATE 12/24/2020				
12/24	LOCKHEED MARTIN CORP	Dividend		33.80	
	DIVIDEND HOLDING 13.0000 PAY DATE 12/24/2020				
12/24	HEALTH CARE SELECT SPDR	Dividend		491.74	
	DIVIDEND HOLDING 1059.0000 PAY DATE 12/24/2020				
12/24	CONSUMER DISCRETIONARY	Dividend		221.20	
	SPDR DIVIDEND HOLDING 747.0000 PAY DATE 12/24/2020				
12/24	SECTOR SPDR ENERGY	Dividend		526.89	
	DIVIDEND HOLDING 1013.0000 PAY DATE 12/24/2020				
12/24	SPDR US FINANCIAL SECTOR	Dividend		652.75	
	ETF DIVIDEND HOLDING 4294.0000 PAY DATE 12/24/2020				
12/24	SECTOR SPDR INDUSTRIAL	Dividend		507.49	
	DIVIDEND HOLDING 1397.0000 PAY DATE 12/24/2020				
12/24	SELECT SECTOR SPDR TR	Dividend		354.49	
	DIVIDEND HOLDING 1191.0000 PAY DATE 12/24/2020				

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				
Date	Description	Transaction Type	Quantity	Income Cash
12/28	FIDELITY NATL INFO SVCS INC DIVIDEND HOLDING 11.0000 PAY DATE 12/28/2020	Dividend		3.85
12/29	CME GROUP INC DIVIDEND HOLDING 12.0000 PAY DATE 12/29/2020	Dividend		10.20
12/29	NVIDIA DIVIDEND HOLDING 8.0000 PAY DATE 12/29/2020	Dividend		1.44
12/30	GILEAD SCIENCES INC COM DIVIDEND HOLDING 15.0000 PAY DATE 12/30/2020	Dividend		10.20
12/30	PRICE T RONE GROUP INC DIVIDEND HOLDING 17.0000 PAY DATE 12/30/2020	Dividend		15.30
12/30	UNION PACIFIC CORP DIVIDEND HOLDING 26.0000 PAY DATE 12/30/2020	Dividend		25.22
12/31	AMEREN CORP DIVIDEND HOLDING 19.0000 PAY DATE 12/31/2020	Dividend		9.79
12/31	BROADCOM LTD DIVIDEND HOLDING 13.0000 PAY DATE 12/31/2020	Dividend		48.80
12/31	CROWN CASTLE REIT INC SHS DIVIDEND HOLDING 8.0000 PAY DATE 12/31/2020	Dividend		10.64
12/31	DOUGLAS DYNAMICS INC DIVIDEND HOLDING 240.0000 PAY DATE 12/31/2020	Dividend		67.20
12/31	EXTRA SPACE STORAGE INC DIVIDEND HOLDING 10.0000 PAY DATE 12/31/2020	Dividend		9.00
12/31	EVERSOURCE ENERGY COM DIVIDEND HOLDING 17.0000 PAY DATE 12/31/2020	Dividend		9.65
12/31	HILLENBRAND INC DIVIDEND HOLDING 425.0000 PAY DATE 12/31/2020	Dividend		91.38
12/31	TRANE TECHNOLOGIES PLC RPT FGN DIV HOLDING 25.0000 PAY DATE 12/31/2020	Foreign Dividend		13.25

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Dividends					
	Subtotal (Taxable Dividends)			7,434.47	.35
	Subtotal (Tax-Exempt Dividends)				103.44
	NET TOTAL			9,438.18	.35
	* Long Term Capital Gain Distributions				1,646.15

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

SECURITY TRANSACTIONS TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/03	LENDINGTREE INC	Purchase	7.0000	(1,792.58)			(1,792.58)
12/01	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 52603B107 SEC NO 44BU1 PRINCIPAL 1792.58 UNIT PRICE 256.0828						
12/03	VEEVA SYS INC GLA	Purchase	1.0000	(279.08)			(279.08)
12/01	SUBSCRIPTION PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 922475108 SEC NO 79F40 PRINCIPAL 279.08 UNIT PRICE 279.0840						
12/04	INTUITIVE SURGICAL INC	Purchase	2.0000	(1,486.98)			(1,486.98)
12/02	NEW SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 46120E8Q2 SEC NO 388C2 PRINCIPAL 1486.98 UNIT PRICE 743.4889						
12/07	CONSUMER DISCRETIONARY	Purchase	280.0000	(41,043.35)			(41,043.35)
12/03	SPDR SUBSCRIPTION EXECUTED 100% AGENCY PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 81369Y407 SEC NO 873G1 PRINCIPAL 41043.35 UNIT PRICE 157.8590						
12/07	SECTOR SPDR ENERGY	Purchase	508.0000	(19,549.58)			(19,549.58)

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/03	SUBSCRIPTION EXECUTED 100% AGENCY PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 813697506 SEC NO 67362 PRINCIPAL 19549.58 UNIT PRICE 38.4834						
12/09	ENCORE CAPITAL GROUP INC	Purchase	102.8600	(3,860.73)			(3,860.73)
12/07	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 292554102 SEC NO 25576 PRINCIPAL 3980.73 UNIT PRICE 37.8502						
12/09	WORLD FUEL SERVICES CRP	Purchase	130.0000	(3,868.68)			(3,868.68)
12/07	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 981475106 SEC NO 80BN1 PRINCIPAL 3868.68 UNIT PRICE 29.7590						
12/10	EOG RESOURCES INC	Purchase	19.0000	(985.00)			(985.00)
12/08	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 26875P101 SEC NO 25717 PRINCIPAL 985.00 UNIT PRICE 51.8422						
12/11	EOG RESOURCES INC	Purchase	4.0000	(212.87)			(212.87)
12/09	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 26875P101 SEC NO 25717 PRINCIPAL 212.87 UNIT PRICE 53.2187						
12/14	DOORDASH INC REG SHS	Purchase	17.0000	(3,025.12)			(3,025.12)
12/10	CL A SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. SOLD UNDER REGISTRATION STATEMENT OR WHERE PROSPECTUS IS REQUIRED. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 25809K106 SEC NO 22500 PRINCIPAL 3025.12 UNIT PRICE 177.9483						
12/15	AIRBNB INC	CL A	13.0000	(1,910.08)			(1,910.08)
12/11	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. SOLD UNDER REGISTRATION STATEMENT OR WHERE PROSPECTUS IS REQUIRED. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 008088101 SEC NO 00672 PRINCIPAL 1810.08 UNIT PRICE 148.9288						



A BANK OF AMERICA COMPANY

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
12/15	NVIDIA	Purchase	1,0000	(517.41)			(517.41)
12/11	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 67066G104 SEC NO 52869 PRINCIPAL						
12/16	ZILLOW GROUP INC SHS	Purchase	19,0000	(2,524.30)			(2,524.30)
12/14	CL C SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 98954M200 SEC NO 86EW7 PRINCIPAL 252.430 UNIT PRICE 132.8578						
12/17	SNOWFLAKE INC REG SHS	Purchase	7,0000	(2,164.98)			(2,164.98)
12/15	CL A SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 833445108 SEC NO 665G6 PRINCIPAL 2164.98 UNIT PRICE 309.2826						
12/22	DOORDASH INC REG SHS	Purchase	1,0000	(150.89)			(150.89)
12/18	CL A SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. SOLD UNDER REGISTRATION STATEMENT OR WHERE PROSPECTUS IS REQUIRED. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 25809KT05 SEC NO 22500 PRINCIPAL 150.88 UNIT PRICE 150.8753						
12/23	RTY PHARMA PLC SHS CL A	Purchase	24,0000	(1,063.67)			(1,063.67)
12/21	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 67066G104 SEC NO 52869 PRINCIPAL						
12/24	BEST BUY CO INC	Purchase	8,0000	(833.05)			(833.05)
12/22	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 086516101 SEC NO 09056 PRINCIPAL						
12/24	CITRIX SYSTEMS INC COM	Purchase	2,0000	(265.54)			(265.54)
12/22	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 177376100 SEC NO 132R9 PRINCIPAL						

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/24	DUKE ENERGY CORP NEW	Purchase	4.0000	(357.60)			(357.60)
12/22	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 26441C204 SEC NO 23EW5 PRINCIPAL						
	357.60 UNIT PRICE 89.3998						
12/24	INTL BUSINESS MACHINES	Purchase	7.0000	(865.44)			(865.44)
12/22	CORP IBM SUBSCRIPTION PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 469200101 SEC NO 39060 PRINCIPAL 865.44 UNIT PRICE 123.6339						
12/24	PHILLIPS 66 SHS	Purchase	13.0000	(880.24)			(880.24)
12/22	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 718546104 SEC NO 805W8 PRINCIPAL						
	880.24 UNIT PRICE 67.7105						
12/24	PINNACLE WEST CAP CORP	Purchase	2.0000	(155.64)			(155.64)
12/22	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 723484101 SEC NO 61750 PRINCIPAL						
	155.64 UNIT PRICE 77.8183						
12/28	BEST BUY CO INC	Purchase	1.0000	(104.85)			(104.85)
12/23	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 086516101 SEC NO 09056 PRINCIPAL						
	104.85 UNIT PRICE 104.8543						
12/28	CITRIX SYSTEMS INC COM	Purchase	7.0000	(932.02)			(932.02)
12/23	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 177376100 SEC NO 132R9 PRINCIPAL						
	932.02 UNIT PRICE 133.1450						
12/28	DUKE ENERGY CORP NEW	Purchase	3.0000	(270.91)			(270.91)
12/23	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 26441C204 SEC NO 23EW5 PRINCIPAL						
	270.91 UNIT PRICE 90.3037						



YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases							
12/28	PHILLIPS 66 SHS	Purchase	3.0000	(207.04)			(207.04)
12/23	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 718546104 SEC NO 605W8 PRINCIPAL						
	207.04 UNIT PRICE 69.0142						
12/28	PINNACLE WEST CAP CORP	Purchase	6.0000	(468.36)			(468.36)
12/23	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 723484101 SEC NO 61750 PRINCIPAL						
	468.36 UNIT PRICE 78.2274						
12/30	AMAZON COM INC COM	Purchase	3.0000	(9,539.88)			(9,539.88)
12/28	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 023135106 SEC NO 011K2 PRINCIPAL						
	9539.88 UNIT PRICE 3179.9608						
12/30	COSTCO WHOLESALE CRP DEL	Purchase	14.0080	(5,173.60)			(5,173.60)
12/28	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 22160K105 SEC NO 140R9 PRINCIPAL						
	5173.60 UNIT PRICE 369.5430						
12/30	DEXCOM INC	Purchase	1.0000	(358.14)			(358.14)
12/28	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 252131107 SEC NO 23BEO PRINCIPAL						
	358.14 UNIT PRICE 358.1352						
12/30	FACEBOOK INC	Purchase	43.0000	(11,636.42)			(11,636.42)
12/28	CLASS A COMMON STOCK SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 30303M102 SEC NO 281S7 PRINCIPAL						
	11636.42 UNIT PRICE 270.6144						
12/30	TWILIO INC CL A	Purchase	9.0000	(3,207.98)			(3,207.98)
12/28	SUBSCRIPTION EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 90138F102 SEC NO 74P01 PRINCIPAL						

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Purchases				3207.98 UNIT PRICE 356.4426			
Subtotal (Purchases)				(119,693.00)			(119,693.00)
Sales							
12/02	FASTLY INC REG SHS CL A	Sale	-11.0000	934.07	(.02)		934.05
11/30	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 31188V100 SEC NO 275D9 PRINCIPAL 934.05 TRN FEE 0.02 UNIT PRICE 84.9150						
12/03	CERENCE INC REG SHS	Sale	-19.0000	1,737.05	(.04)		1,737.01
12/01	ISSUED REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 156727109 SEC NO 128D7 PRINCIPAL 1737.01 TRN FEE 0.04 UNIT PRICE 91.4235						
12/03	SQUARE INC SHS CL A	Sale	-15.0000	3,088.56	(.07)		3,088.49
12/01	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 852234103 SEC NO 69616 PRINCIPAL 3088.49 TRN FEE 0.07 UNIT PRICE 206.8040						
12/04	SLACK TECHNOLOGIES INC	Sale	-333.0000	14,199.24	(.31)		14,198.93
12/02	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 83088V102 SEC NO 66386 PRINCIPAL 14198.93 TRN FEE 0.31 UNIT PRICE 42.6403						
12/07	HEALTH CARE SELECT SPDR	Sale	-104.0000	11,548.20	(.26)		11,548.94
12/03	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 81369Y208 SEC NO 673F6 PRINCIPAL 11548.94 TRN FEE 0.26 UNIT PRICE 111.0600						
12/07	SECTOR SPDR CONSUMERS STPL	Sale	-653.0000	37,062.11	(.82)		37,061.29
12/03	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 81369Y308 SEC NO 673G0 PRINCIPAL 37061.29 TRN FEE 0.82 UNIT PRICE 67.0200						
12/06	GENTEX CORP	Sale	-100.0000	3,336.93	(.07)		3,336.86
12/07	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 37180T109 SEC NO 32116 PRINCIPAL						



YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/10	CONOCOPHILLIPS	Sale	-18.0000	763.36	(.02)		763.36
12/08	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 20825C104 SEC NO 145W7 PRINCIPAL						
	763.36 TRN FEE 0.02 UNIT PRICE	42.4102					
12/10	VALERO ENERGY CORP NEW	Sale	-1.0000	58.70	(.01)		58.69
12/08	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 91913Y100 SEC NO 79A05 PRINCIPAL						
	TRN FEE 0.01 UNIT PRICE	58.7048					
12/11	UNITY SOFTWARE INC	Sale	-4.0000	643.31	(.01)		643.30
12/09	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 91332U101 SEC NO 758Y3 PRINCIPAL						
	643.30 TRN FEE 0.01 UNIT PRICE	160.8272					
12/18	MONGODB INC	Sale	-2.0000	673.86	(.01)		673.85
12/16	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 60937P106 SEC NO 46X61 PRINCIPAL						
	673.86 TRN FEE 0.01 UNIT PRICE	336.9289					
12/21	SQUARE INC SHS	CL A	-5.0000	1,166.97	(.03)		1,166.94
12/17	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 852234103 SEC NO 696T6 PRINCIPAL						
	1166.94 TRN FEE 0.03 UNIT PRICE	233.3935					
12/23	10X GENOMICS INC REG SHS	Sale	-6.0000	904.37	(.02)		904.35
12/21	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 88025U109 SEC NO 57AN9 PRINCIPAL						
	904.35 TRN FEE 0.02 UNIT PRICE	150.7283					
12/23	SQUARE INC SHS	CL A	-4.0000	947.37	(.02)		947.35
12/21	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN						

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
	WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 852234103 SEC NO 69676 PRINCIPAL						
	947.35 TRN FEE 0.02 UNIT PRICE			236.8413			
12/23	TRADE (THE) DESK INC SHS	Sale	-2.0000	1,868.70	(.04)		1,868.66
12/21	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 88339106 SEC NO 74P66 PRINCIPAL						
	1888.06 TRN FEE 0.04 UNIT PRICE			934.3513			
12/23	VEEVA SYS INC CL A	Sale	-8.0000	2,123.93	(.05)		2,123.88
12/21	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 922475108 SEC NO 79F40 PRINCIPAL						
	2123.88 TRN FEE 0.05 UNIT PRICE			265.4908			
12/24	DOMINION ENERGY INC	Sale	-12.0000	882.50	(.02)		882.48
12/22	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 25746U109 SEC NO 229H6 PRINCIPAL						
	882.48 TRN FEE 0.02 UNIT PRICE			73.5417			
12/24	VALERO ENERGY CORP NEW	Sale	-9.0000	486.35	(.01)		486.34
12/22	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 91913Y100 SEC NO 79A05 PRINCIPAL						
	486.34 TRN FEE 0.01 UNIT PRICE			54.0388			
12/24	WALMART INC	Sale	-3.0000	432.62	(.01)		432.61
12/22	REDEEMED PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 931142103 SEC NO 80012 PRINCIPAL 432.61 TRN FEE 0.01 UNIT PRICE 144.2063						
12/28	APPLE INC	Sale	-4.0000	527.15	(.01)		527.14
12/23	REDEEMED PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 037833100 SEC NO 05001 PRINCIPAL 527.14 TRN FEE 0.01 UNIT PRICE 131.7881						
12/28	CLOUDFLARE INC REG SHS	Sale	-42.0000	3,498.80	(.08)		3,498.72
12/23	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, ML ACTED AS AGENT CUS NO 18915M107 SEC NO 128F0 PRINCIPAL						
	3498.72 TRN FEE 0.08 UNIT PRICE			83.3047			



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SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/28	CARVANA CO CL A	Sale	-9.0000	2,516.02	(.06)		2,515.96
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 146869102 SEC NO 16816 PRINCIPAL						
	2515.96 TRN FEE 0.06 UNIT PRICE	279.5576					
12/28	MONGODB INC CL A	Sale	-1.0000	384.49	(.01)		384.48
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 60937P106 SEC NO 46X61 PRINCIPAL						
	384.48 TRN FEE 0.01 UNIT PRICE	384.4933					
12/28	SHOPFY INC CL A	Sale	-2.0000	2,525.92	(.06)		2,525.86
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 825091107 SEC NO 69660 PRINCIPAL						
	2525.86 TRN FEE 0.08 UNIT PRICE	1262.9567					
12/28	SHOPFY INC CL A	Sale	-1.0000	1,228.09	(.03)		1,228.06
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 825091107 SEC NO 69660 PRINCIPAL						
	1228.06 TRN FEE 0.03 UNIT PRICE	1228.0928					
12/28	SQUARE INC SHS CL A	Sale	-3.0000	720.09	(.02)		719.98
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 852234103 SEC NO 69676 PRINCIPAL						
	719.98 TRN FEE 0.02 UNIT PRICE	240.0000					
12/28	SQUARE INC SHS CL A	Sale	-6.0000	1,396.67	(.03)		1,396.64
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 852234103 SEC NO 69676 PRINCIPAL						
	1396.64 TRN FEE 0.03 UNIT PRICE	232.7784					
12/28	WAYFAIR INC	Sale	-8.0000	2,420.04	(.06)		2,419.99
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 944191101 SEC NO 7012 PRINCIPAL						

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SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
12/28	2419.99 TRN FEE 0.05 UNIT PRICE	268.8937					
12/28	TRADE (THE) DESK INC SHS	Sale	-1.0000	949.36	(.02)		949.33
12/23	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 88338J105 SEC NO 74P66 PRINCIPAL						
12/28	949.33 TRN FEE 0.02 UNIT PRICE	949.3539					
12/28	VALERO ENERGY CORP NEW	Sale	-12.0000	659.26	(.01)		659.25
12/23	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 91913Y100 SEC NO 79A05 PRINCIPAL						
12/28	659.25 TRN FEE 0.01 UNIT PRICE	54.9379					
12/28	ZOOM VIDEO	Sale	-7.0000	2,802.86	(.06)		2,802.80
12/23	COMMUNICATIONS INC CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 98980L101 SEC NO 8C0G9 PRINCIPAL						
12/28	8C0G9 PRINCIPAL 2802.80 TRN FEE 0.06 UNIT PRICE	400.4084					
12/30	CHEVY INC SH	CL A	Sale	-11.0000	1,118.33	(.02)	1,118.31
12/28	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 16679L108 SEC NO 127W2 PRINCIPAL						
12/30	1118.31 TRN FEE 0.02 UNIT PRICE	101.6667					
12/30	CLOUDFLARE INC REG SHS	Sale	-19.0000	1,534.36	(.03)		1,534.33
12/28	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 18915M107 SEC NO 128F0 PRINCIPAL						
12/30	1534.33 TRN FEE 0.03 UNIT PRICE	80.7556					
12/30	CARVANA CO	CL A	Sale	-11.0000	2,840.10	(.06)	2,840.04
12/28	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 146969102 SEC NO 169K6 PRINCIPAL						
12/30	2840.04 TRN FEE 0.06 UNIT PRICE	258.1912					
12/30	GUARDANT HEALTH INC	Sale	-11.0000	1,414.11	(.03)		1,414.08
12/28	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN						



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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

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SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Sales							
WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 40131M108 SEC NO 314AB PRINCIPAL							
12/30	1414.08 TRN FEE 0.03 UNIT PRICE 128.5555						
12/30	SHORPFY INC CL A	Sale	-1.0000	1,226.11	(.03)		1,226.08
12/28	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 82509L107 SEC NO 696EO PRINCIPAL						
12/28	1226.08 TRN FEE 0.03 UNIT PRICE 1226.1103						
12/30	SQUARE INC SHS CL A	Sale	-15.0000	3,381.72	(.07)		3,381.65
12/28	REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 852234103 SEC NO 696T6 PRINCIPAL						
12/30	3381.65 TRN FEE 0.07 UNIT PRICE 225.4480						
12/30	TRADE (THE) DESK INC SHS	Sale	-11.0000	9,530.57	(.21)		9,530.36
12/28	CL A REDEEMED EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT CUS NO 88339J105 SEC NO 74P66 PRINCIPAL						
12/28	9530.36 TRN FEE 0.21 UNIT PRICE 866.4153						
Subtotal (Sales)				123,533.17	(2.73)		123,530.44
Other Security Transactions							
12/17	REGIONS FINANCIAL CO CLD	Redemption		-16,000.0000			16,622.42
12/28	GLB 02.750% AUG 14 2022 REDEEMED PAY DATE 12/17/2020						
12/28	GOLDMAN SACHS GROUP INC	Redemption		-27,000.0000			27,000.00
12/28	GLB 02.600% DEC 27 2020 REDEEMED PAY DATE 12/28/2020						
Subtotal (Other Security Transactions)							43,622.42
TOTAL				3,840.17	(2.73)		47,459.86

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
Δ GOLDMAN SACHS GRO 2.60% 20	1000.0000	01/17/19	12/28/20	1,000.00	1,000.00	.00	.00
Δ GOLDMAN SACHS GRO 2.60% 20	24000.0000	07/17/19	12/28/20	24,000.00	24,000.00	.00	.00
Δ REGIONS FINCL COR 2.75% 22 CXL	2000.0000	01/17/19	03/25/20	1,932.00	1,944.18	12.18	12.18
Δ REGIONS FINCL COR 2.75% 22	2000.0000	01/17/19	03/25/20	1,932.00	1,944.18	(12.18)	(12.18)
Δ REGIONS FINCL CO 2.75% 22	1000.0000	01/17/19	12/17/20	1,038.90	986.57	52.33	52.33
Δ REGIONS FINCL CO 2.75% 22	11000.0000	07/17/19	12/17/20	11,427.91	11,052.75	375.16	375.16
Δ REGIONS FINCL CO 2.75% 22	1000.0000	09/13/19	12/17/20	1,038.90	1,005.09	33.81	33.81
APPLE INC	4.0000	09/12/19	12/23/20	527.14	224.86	302.48	302.48
CONOCOPHILLIPS	18.0000	09/12/19	12/08/20	763.36	1,016.18	(252.82)	(252.82)
DOMINION ENERGY INC.	12.0000	09/12/19	12/22/20	662.48	941.86	(279.40)	(279.40)
VALERO ENERGY CORP NEW	1.0000	09/12/19	12/08/20	58.69	84.15	(25.46)	(25.46)
VALERO ENERGY CORP NEW	9.0000	09/12/19	12/22/20	486.34	767.38	(271.04)	(271.04)
VALERO ENERGY CORP NEW	5.0000	09/12/19	12/23/20	274.69	420.77	(146.08)	(146.08)
WALMART INC	3.0000	09/12/19	12/22/20	432.61	350.78	81.83	81.83
Subtotal (Long-Term)						80.87	1,071.64
Δ GOLDMAN SACHS GRO 2.60% 20	2000.0000	05/20/20	12/28/20	2,000.00	2,000.00	.00	.00
Δ REGIONS FINCL CO 2.75% 22	1000.0000	04/23/20	12/17/20	1,038.90	1,014.98	23.92	23.92
Δ REGIONS FINCL CO 2.75% 22	1000.0000	06/23/20	12/17/20	1,038.91	1,036.64	2.27	2.27
Δ REGIONS FINCL CO 2.75% 22	1000.0000	10/05/20	12/17/20	1,038.90	1,037.86	1.04	1.04
CHEWY INC SH CL A	6.8000	04/02/20	12/28/20	609.99	208.21	401.78	401.78
CHEWY INC SH CL A	5.0000	04/08/20	12/28/20	508.32	189.86	318.46	318.46
CERENICE INC REG SHS	9.0000	04/09/20	12/01/20	822.79	171.96	650.83	650.83
CERENICE INC REG SHS	10.0000	05/15/20	12/01/20	914.22	258.96	655.26	655.26
CLOUDFLARE INC REG SHS	42.0000	10/12/20	12/23/20	3,498.72	2,182.00	1,316.72	1,316.72
CLOUDFLARE INC REG SHS	18.0000	10/12/20	12/28/20	1,534.33	987.10	547.23	547.23
CARVANA CO CL A	9.0000	03/16/20	12/23/20	2,515.96	354.20	2,161.76	2,161.76
CARVANA CO CL A	1.0000	03/16/20	12/28/20	258.19	39.35	218.84	218.84
CARVANA CO CL A	10.0000	03/17/20	12/28/20	2,581.85	402.98	2,178.86	2,178.86
FASTLY INC REG SHS CL A	11.0000	09/04/20	11/30/20	934.05	858.63	74.42	74.42
GUARDANT HEALTH INC	11.0000	01/06/20	12/28/20	1,414.08	879.89	534.19	534.19



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REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
GENITEK CORP	100.0000	01/06/20	12/07/20	3,336.86	2,994.50	342.36	
MONGODB INC CL A	2.0000	03/18/20	12/16/20	673.85	236.79	437.06	
MONGODB INC CL A	1.0000	03/18/20	12/23/20	384.48	118.39	266.09	
10X GENOMICS INC REG SHS	4.0000	03/23/20	12/21/20	602.90	222.07	380.83	
10X GENOMICS INC REG SHS	2.0000	04/13/20	12/21/20	301.45	132.95	168.49	
SLACK TECHNOLOGIES INC	134.0000	01/06/20	12/02/20	5,713.68	3,163.07	2,550.61	
SLACK TECHNOLOGIES INC	60.0000	02/04/20	12/02/20	2,558.37	1,304.42	1,253.95	
SLACK TECHNOLOGIES INC	85.0000	03/16/20	12/02/20	3,624.35	1,441.50	2,182.85	
HEALTH CARE SELECT SPDR	54.0000	03/18/20	12/02/20	2,302.53	961.46	1,341.07	
SECTOR SPDR CONSUMERS STPL	104.0000	01/06/20	12/03/20	11,548.94	10,555.18	993.76	
SECTOR SPDR CONSUMERS STPL	30.0000	01/06/20	12/03/20	2,010.56	1,876.95	133.61	
SECTOR SPDR CONSUMERS STPL	5.0000	01/27/20	12/03/20	335.09	317.60	17.49	
SECTOR SPDR CONSUMERS STPL	20.0000	02/28/20	12/03/20	1,340.37	1,124.52	215.85	
SECTOR SPDR CONSUMERS STPL	8.0000	03/16/20	12/03/20	536.15	430.30	105.85	
SECTOR SPDR CONSUMERS STPL	6.0000	06/11/20	12/03/20	402.11	354.26	47.85	
SECTOR SPDR CONSUMERS STPL	484.0000	10/30/20	12/03/20	32,437.01	29,870.33	2,566.68	
SHOPIFY INC CL A	2.0000	01/06/20	12/23/20	2,525.86	823.49	1,702.37	
SHOPIFY INC CL A	1.0000	01/06/20	12/23/20	1,228.06	411.75	816.31	
SHOPIFY INC CL A	1.0000	01/06/20	12/28/20	1,226.08	411.74	814.34	
SQUARE INC SHS CL A	15.0000	02/03/20	12/01/20	3,088.49	1,136.68	1,951.81	
SQUARE INC SHS CL A	4.0000	02/03/20	12/17/20	933.55	303.11	630.44	
SQUARE INC SHS CL A	1.0000	02/27/20	12/17/20	233.39	81.12	152.27	
SQUARE INC SHS CL A	4.0000	02/27/20	12/21/20	947.35	324.50	622.85	
SQUARE INC SHS CL A	3.0000	02/27/20	12/23/20	719.98	243.37	476.61	
SQUARE INC SHS CL A	6.0000	02/27/20	12/23/20	1,396.54	486.75	909.89	
SQUARE INC SHS CL A	15.0000	02/27/20	12/28/20	3,381.65	1,216.86	2,164.79	
WAYFAIR INC	9.0000	01/06/20	12/23/20	2,419.99	849.06	1,570.93	
TRADE (THE) DESK INC SHS	2.0000	01/06/20	12/21/20	1,868.66	558.20	1,310.46	
TRADE (THE) DESK INC SHS	1.0000	01/06/20	12/23/20	948.33	278.10	670.23	
TRADE (THE) DESK INC SHS	11.0000	01/06/20	12/28/20	9,530.36	3,070.07	6,460.29	

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David Philip Roe

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Liquidation		Sale Amount	Cost Basis	This Statement	
		Date		Date				Gains/(Losses)	Year to Date
UNITY SOFTWARE INC	4.0000	09/21/20		12/09/20		643.30	269.44	373.86	
VALERO ENERGY CORP NEW	7.0000	01/21/20		12/23/20		384.56	632.11	(247.55)	
VEEVA SYS INC CL A	8.0000	01/06/20		12/21/20		2,123.88	1,150.64	973.24	
ZOOM VIDEO	2.0000	01/06/20		12/23/20		800.80	139.99	660.81	
ZOOM VIDEO	5.0000	01/31/20		12/23/20		2,002.00	370.21	1,631.79	
Subtotal (Short-Term)								45,735.72	149,850.29
TOTAL						169,084.86	123,270.51	45,826.53	150,881.93

ⓐ - Excludes transactions for which we have insufficient data

ⓐ Debt Instruments purchased at a premium show amortization

ⓐ Debt Instruments purchased at a discount show accretion

CTL - Indicates the cancellation of an error transaction.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2020 tax return. These reportable transactions will appear on your January statement.

STANDING INSTRUCTIONS

Transaction	Frequency	Description	Income	Principal
CHECK		Mursey Memorial UMC	Cash	Cash
				500.00

CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Other Debits/Credits					
12/03	P756RMD ANNUAL DIST, CAS	Required Distribution			(500.00)
	RMD ANNUAL DIST, CASH TAXABLE MTHLY IRA DIST NO TAX Mursey Memorial UMC				
12/22	1640 PORTFOLIO ADJUST	Transfer / Adjustment			(85.08)
	ADJ NON RPT LINGONG HEALTH INC DIV PAID ON 11/3/2020				
12/22	P640 PORTFOLIO ADJUST	Transfer / Adjustment			85.08
	ADJ NON RPT LINGONG HEALTH INC DIV PAID ON 11/3/2020				
12/29	P756CHECK WITHDRAWAL	Required Distribution			(100.00)
	RMD ANNUAL DIST, CASH TAXABLE WOUNDED WARRIOR PROJECT NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION				

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David Phillip Roe



YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

CASH/OTHER TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	
Other Debits/Credits						
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(1,000.00)	
	RMD ANNUAL DIST, CASH TAXABLE HOLSTON UNITED METHODIST NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(1,000.00)	
	RMD ANNUAL DIST, CASH TAXABLE UNITED WAY OF WASHINGTON NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(250.00)	
	RMD ANNUAL DIST, CASH TAXABLE AMERICAN CANCER SOCIETY NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(2,500.00)	
	RMD ANNUAL DIST, CASH TAXABLE ETSU ATHLETICS NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(1,000.00)	
	RMD ANNUAL DIST, CASH TAXABLE HUMAN SOCIETY OF NO T/X 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(500.00)	
	RMD ANNUAL DIST, CASH TAXABLE THE CRUMLEY HOUSE NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(250.00)	
	RMD ANNUAL DIST, CASH TAXABLE CHILDRENS ADVOCACY NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
12/29	P755CHECK WITHDRAWAL	Required Disb'tion			(250.00)	
	RMD ANNUAL DIST, CASH TAXABLE ST. JUDE CHILDRENS NO TAX 2020 IRA DISTRIBUTION CHARITABLE DONATION					
Subtotal (Other Debits/Credits)				(85.08)	(7,284.92)	
NET TOTAL				(85.08)	(7,284.92)	
TRUST SERVICES (TMA) FEES						
Date	Description	Fee Type	Quantity	Income Cash	Principal Cash	
12/03	US TRUST FEE DEC	TMA Fee			(2,437.85)	
	APPLIED TO PRINCIPAL					
12/07	MUTUAL FUND REBATE	TMA Fee Rebate			49.96	
	APPLIED TO PRINCIPAL					
NET TOTAL					(2,387.89)	

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

STYLE MANAGER FEES				
Date	Description	Fee Type	Quantity	Income Cash
12/03	STYLE MGR. FEE DEC APPLIED TO PRINCIPAL	Style Manager Fee		(508.00)
NET TOTAL				(508.00)

YOUR TRUST MANAGEMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2020: \$3,010,689.96		Contributions after December 31, 2019 for 2019: \$0.00	
Contributions			
	Tax Year 2020	Tax Year 2019	
Total Rollover Deposits	\$501,168.35	\$0.00	
Distributions			
	Tax Year 2020	Tax Year 2019	RMD Calculated Amount 2020
Normal	\$17,850.00	\$78,797.48	\$87,786.08

Our records indicate that for 2021 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMD's from your IRA account(s) for the year you turn age 72 and each subsequent year. The deadline for taking your 2021 RMD is December 31, 2021 or April 1, 2022 if 2021 is your first Required Minimum Distribution year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement. If you have any questions about your RMD calculation, contributions or distributions, please contact your Financial Advisor or Trust Officer.

The IRS requires all IRA trustees, including Bank of America, N.A., to notify it through IRA Form 5498 when an RMD is required from the IRA of a living account owner for the calendar year. An RMD that is required for the current year will be reported on the applicable IRS Form 5498.

For Trusted IRA and Trusted Roth IRA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRA Form 5498 reporting requirements.

YOUR TRUST MANAGEMENT ACCOUNT MONEY FUND TRANSACTIONS

Date	Description	Income	Principal	Date	Description	Income	Principal
12/01	BLF FEDFUND CASH RESERVE	(340.00)		12/16	BLF FEDFUND CASH RESERVE		2,525.00



YOUR TRUST MANAGEMENT ACCOUNT MONEY FUND TRANSACTIONS (continued) December 01, 2020 - December 31, 2020

Date	Description	Income	Principal	Date	Description	Income	Principal
12/01	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(1,697.00)	12/17	REDEEMED BLF FEDFUND CASH RESERVE SUBSCRIPTION	(49.00)	
12/02	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(414.00)		12/17	BLF FEDFUND CASH RESERVE REDEEMED		2,165.00
12/03	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(13.00)		12/18	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(178.00)	
12/03	BLF FEDFUND CASH RESERVE REDEEMED		2,012.00	12/18	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(16,623.00)
12/04	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(22.00)		12/21	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(974.00)	
12/04	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(2,254.00)	12/21	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(674.00)
12/07	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(33.00)		12/22	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(22.00)	
12/07	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(729.00)	12/22	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(1,016.00)
12/08	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(50.00)	12/23	BLF FEDFUND CASH RESERVE REDEEMED	85.00	
12/09	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(49.00)		12/23	REDEEMPT AS OF 12/22/2020 BLF FEDFUND CASH RESERVE SUBSCRIPTION		(85.00)
12/09	BLF FEDFUND CASH RESERVE REDEEMED		4,393.00	12/24	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(3,224.00)
12/10	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(22.00)		12/28	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(5,406.00)	
12/10	BLF FEDFUND CASH RESERVE REDEEMED		163.00	12/29	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(355.00)	
12/11	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(181.00)		12/29	BLF FEDFUND CASH RESERVE SUBSCRIPTION		(44,644.00)
12/14	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(56.00)		12/30	BLF FEDFUND CASH RESERVE SUBSCRIPTION	(12.00)	
12/14	BLF FEDFUND CASH RESERVE		2,594.00				

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David Philip Roe

YOUR TRUST MANAGEMENT ACCOUNT MONEY FUND TRANSACTIONS (continued) December 01, 2020 - December 31, 2020

Date	Description	Income	Principal	Date	Description	Income	Principal
12/15	REDEEMED BLF FEDFUND CASH RESERVE	(420.00)		12/30	REDEEMED BLF FEDFUND CASH RESERVE		8,871.00
12/15	SUBSCRIPTION BLF FEDFUND CASH RESERVE		2,427.00	12/30	REDEEMED BLF FEDFUND CASH RESERVE		6,850.00
12/16	REDEEMED BLF FEDFUND CASH RESERVE	(921.00)		12/31	REDEEMED BLF FEDFUND CASH RESERVE		
	SUBSCRIPTION				REDEEMPT AS OF 12/29/2020		
					BLF FEDFUND CASH RESERVE	(51.00)	
					SUBSCRIPTION		
	NET TOTAL					(9,433.00)	(38,996.00)

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 4

David Philip Roe

Online at: www.anymerill.com

Account Number: 1

24-Hour Assistance: (800) MERRILL

MERRILL
A BANK OF AMERICA COMPANY

DR DAVID P ROE
TOD BENEFICIARIES ON FILE

Net Portfolio Value:

\$81,919.56

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

COLLEGE SAVINGS

Caroline and Kathryn

December 01, 2020 - December 31, 2020

This Statement		Year to Date
Total Credits	6,133.23	82,315.56
Total Debits	-	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	193.50	(396.00)

ASSETS

	December 31	November 30
Cash/Money Accounts	67,315.56	61,182.33
Fixed Income	-	-
Equities	14,604.00	14,410.50
Mutual Funds	-	-
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	81,919.56	75,592.83
TOTAL ASSETS	\$81,919.56	\$75,592.83

LIABILITIES

Debt Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$81,919.56	\$75,592.83

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Caroline and Kathryn

Account Number:

24-Hour Assistance: (800) MERRILL

Caroline and Kathryn

December 01, 2020 - December 31, 2020

CASH FLOW

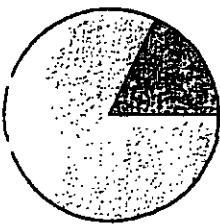
	This Statement	Year to Date
CREDITS		
Operating Cash/Money Accounts	\$61,162.33	
DEBITS		
Funds Received		35,000.00
Electronic Transfers		
Other Credits	6,132.60	47,312.84
Subtotal	6,132.60	82,312.84
DEBITS		
Electronic Transfers		
Margin Interest Charged		
Other Debits		
Wire Purchases		
ATM/Cash Advances		
Checks Written/Bill Payment		
Advisory and other fees		
Subtotal		
Net Cash Flow	\$6,132.60	\$82,312.84

OTHER TRANSACTIONS

Dividends/Interest Income	0.83	2.72
Security Purchases/Debits		(15,000.00)
Security Sales/Credits		
Closing Cash/Money Accounts	\$67,315.56	

ASSET ALLOCATION *

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



☒ Cash/Money Accounts	82.17%
☒ Equities	17.83%
TOTAL	100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports		X
Trade Confirmations		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements	X	

Caroline and Kathryn

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2020 - December 31, 2020

LONG PORTFOLIO		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
TOTAL	YIELD .01%	82,315.56	81,919.56	(396.00)		6

YOUR CMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Transaction Type	Quantity	Income	Year To Date
Date	Description				
12/31	BANK DEPOSIT INTEREST	Bank Interest		.63	
Subtotal (Taxable Interest)				.63	2.72
NET TOTAL				.63	2.72

CASH/OTHER TRANSACTIONS		Transaction Type	Quantity	Debit	Credit
Date	Description				
12/31	TRANSFERRED FROM ACCOUNT XXX11096	Transfer / Adjustment			6,132.60
Subtotal (Other Debits/Credits)					6,132.60
NET TOTAL					6,132.60

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/01	NAL BANK DEPOSIT PROGRAM		1.00				
NET TOTAL			1.00				

Caroline and Kathryn

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2020 - December 31, 2020

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	61,181	61,181	.01	0.63	61,182
TOTAL ML Bank Deposit Program	61,181			0.63	61,182

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	6,133.56	6,133.56		6,133.56		
+ML BANK DEPOSIT PROGRAM	61,182.00	61,182.00	1.0000	61,182.00	6	.01
+FIDC INSURED NOT SIPC COVERED						
TOTAL		67,315.56		67,315.56	6	.01

EQUITIES	Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
SP500 NOTES ISSUER BNS	MLWCF	1,500,000	15,000.00	9.7360	14,804.00	(396.00)	
CAP 10.00% SY 3.246.22 DUE 07/29/22 BUF 15.67% MARKET-LINKED INVESTMENT							
TOTAL			15,000.00		14,804.00	(396.00)	

Equity Cost Basis details are available on the Statements and Documents page of www.mymerrill.com.

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 5

David Philip Roe

Online at: www.mymerrill.com

Account Number:

DR DAVID P ROE

24-Hour Assistance: (800) MERRILL

MERRILL
A BANK OF AMERICA COMPANY

Net Portfolio Value: \$122,911.02

Your Financial Advisor:
SMITH CONKIN DIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY TN 37601
1-423-282-5191

Phil's CMA

December 01, 2020 - December 31, 2020

This Statement	Year to Date
Total Credits	2,923.29
Total Debits	(13,000.00)
Securities You Transferred In/Out	(112,410.04)
Market Gains/(Losses)	2,554.05
	(3,335.67)

ASSETS	December 31	November 30
Cash/Money Accounts	23,718.06	33,794.77
Fixed Income	-	-
Equities	99,192.96	96,638.91
Mutual Funds	-	-
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	122,911.02	130,433.68
TOTAL ASSETS	\$122,911.02	\$130,433.68

LIABILITIES	December 31	November 30
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$122,911.02	\$130,433.68
MARGIN AVAILABLE CREDIT	50,167.00	-

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Phil's CMA

Account Number:

24-Hour Assistance: (800) MERRILL

Phil's CMA

December 01, 2020 - December 31, 2020

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$33,794.77	
CREDITS		
Funds Received		26,628.97
Electronic Transfers	2,923.00	35,085.00
Other Credits		6,132.80
Subtotal	2,923.00	67,816.57
DEBITS		
Electronic Transfers	(13,000.00)	(78,000.00)
Margin Interest Charged		
Other Debits		(34,410.04)
VISA Purchases		
ATM/Cash Advances		
Checks Written/Bill Payment		
Activity and other fees		
Subtotal	(13,000.00)	(112,410.04)
Net Cash Flow	(\$10,077.00)	(\$44,593.47)

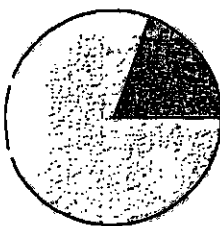
OTHER TRANSACTIONS

Dividends/Interest Income	0.29	1,657.79
Security Purchases/Debits		(7,046.85)
Security Sales/Credits		
Closing Cash/Money Accounts	\$23,718.06	

Fees Included in Transactions Above		
Commissions/Trading Fees		(80.35)

ASSET ALLOCATION *

* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



	Allocation
☐ Equities	80.70%
☒ Cash/Money Accounts	19.30%
TOTAL	100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements		X
Performance Reports		X
Trade Confirm		X
Shareholders Communication		X
Prospectus		X
Service Notices		X
Tax Statements	X	

Phil's CMA

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2020 - December 31, 2020

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	33,794	28,258	.01	0.29	23,717
TOTAL ML Bank Deposit Program	33,794			0.29	23,717

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1.08	1.06		1.08		
+ML BANK DEPOSIT PROGRAM	23,717.00	23,71.00	1.0000	23,717.00	2	.01
+FDIC INSURED NOT SPIC COVERED						
TOTAL		23,718.06		23,718.06	2	.01

EQUITIES	Symbol	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
INTL BKT STEEPUP ISS BNS	MLURS	5,100,0000	50,000.00	10.0300	50,150.00	150.00	
PREM 8.90% SV 100.000 DUE 08/29/24 BUT 15%CALL MARKET LINKED INVESTMENT							
REGIONS FINL CORP	RF	2,133,0000	20,952.09	16.1200	42,443.66	21,491.87	1.633
CURRENT YIELD 3.84%							

Phil's CMA

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2020 - December 31, 2020

EQUITIES (continued)		Symbol	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
Description								
RING ENERGY INC		REI	10,000.0000	7,046.35	0.6599	6,599.00	(447.35)	
TOTAL				77,998.44		99,192.86	21,194.52	1,633

Equity Cost Basis details are available on the Statements and Documents page of www.nymerill.com.

RESEARCH RATINGS

Security	Symbol	BoiAML Research	Morningstar	CFR4
REGIONS FINL CORP	RF	Neutral (B27)	Hold	Hold

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BOIAML AND THIRD PARTY RESEARCH RATINGS.

LONG PORTFOLIO

	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
TOTAL	101,716.50	122,911.02	21,194.52	1,636

YOUR CMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income	Income Year To Date

Taxable Interest

12/31 BANK DEPOSIT INTEREST

Bank Interest

.29

Subtotal (Taxable Interest)

.29

Taxable Dividends

Subtotal (Taxable Dividends)

1,632.48

NET TOTAL

.29

1,657.79

STANDING INSTRUCTIONS

Transaction	Frequency	Description	Amount
FIS TO	MONTHLY	PEOPLES COMMUNITY BANK	6,500.00



Phil's CMA

Account Number

YOUR CMA TRANSACTIONS

December 01, 2020 - December 31, 2020

CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Debit	Credit
Electronic Transfers					
12/01	PEOPLES COMMUNITY BANK DAVID P OR PAMELA A ROE	Withdrawal		6,500.00	
12/23	SSA TREAS 310 PHIL ROE	Direct Deposit			2,923.00
12/31	PEOPLES COMMUNITY BANK DAVID P OR PAMELA A ROE	Withdrawal		6,500.00	
Subtotal (Electronic Transfers)				13,000.00	2,923.00
NET TOTAL				10,077.00	

YOUR CMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/01	ML BANK DEPOSIT PROGRAM	6,500.00		12/31	ML BANK DEPOSIT PROGRAM	6,500.00	
12/23	ML BANK DEPOSIT PROGRAM		2,923.00				
NET TOTAL						10,077.00	

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 6

David Philip Roe

Online at: www.anymerill.com

Account Number:

24-Hour Assistance: (800) MERRILL

MERRILL
A BANK OF AMERICA COMPANY

MLP&S CUST FBO
MRS ROSE L ROE DCSD IRA
FBO DR DAVID P ROE

Net Portfolio Value: \$78,401.85

Your Financial Advisor:
SMITH CONKIN LIXON
206 PRINCETON RD SUITE NO 1
JOHNSON CITY NY 137601
1-423-282-5191

AdvisoryInheritedIRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2020 - December 31, 2020

	This Statement	Year to Date
Total Credits	826.69	7,265.06
Total Debits	(58.51)	(6,097.19)
Securities You Transferred In/Out	.	.
Market Gains/(Losses)	1,455.41	8,545.83

ASSETS	December 31	November 30
Cash/Money Accounts	4,007.01	3,238.83
Fixed Income	.	.
Equities	.	.
Mutual Funds	74,394.84	72,939.43
Options	.	.
Other/Annuities/Insurance	.	.
Subtotal (Long Portfolio)	78,401.85	76,178.26
TOTAL ASSETS:	\$78,401.85	\$76,178.26

LIABILITIES	December 31	November 30
Debt Balance	.	.
TOTAL LIABILITIES	.	.
NET PORTFOLIO VALUE	\$78,401.85	\$76,178.26

FOIA NOTICE
This statement is eligible for online delivery. Go to ml.com/govpaperless or scan this code with your phone's camera to get started.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLP&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLP&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

FBO DR DAVID P ROE

Account Number

24-Hour Assistance: (800) MERRILL

Advisory/Inherited IRA

December 01, 2020 - December 31, 2020

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	\$3,238.83	
CREDITS		
Funds Received	.	.
Electronic Transfers	.	.
Other Credits	.	5,495.00
Subtotal		5,495.00
DEBITS		
Electronic Transfers	.	.
Other Debits	(58.51)	(5,495.05)
Advisory and other fees	(58.51)	(602.14)
Subtotal	(117.02)	(6,097.19)
Net Cash Flow	(\$117.02)	(\$602.19)

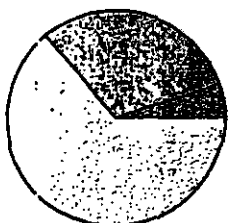
OTHER TRANSACTIONS

Dividends/Interest Income	826.86	1,770.06
Security Purchases/Debits	.	(29,754.88)
Security Sales/Credits	.	29,852.36
Closing Cash/Money Accounts	\$4,007.01	

Fees Included in Transactions Above		
Commissions/Trading Fees	.	(0.34)

ASSET ALLOCATION*

* Estimated. Accrued interest not included; may not reflect all holdings; does not include asset categories less than 1%; excludes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITS.



DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	
Performance Reports	X	
Trade Confirm	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

FBO DR DAVID P ROE

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2020 - December 31, 2020

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

INVESTMENT ADVISORY PROGRAM

YOUR INVESTMENT STRATEGY - CIO MODERATE M/F/ETF 100.00% RATE: *

The "Rate" above is the maximum Style Manager Expense Rate in the Style Manager Expense Rate Schedule for your Style Manager Strategy as of the end of the statement period. The Style Manager Expense Rate Schedules are set forth in the Style Manager Rate List which is available at mymerill.com/ADV/Materials. For certain Style Manager Strategies, the maximum Style Manager Expense Rate is the only rate in the applicable Style Manager Expense Rate Schedule. For certain other Style Manager Strategies, the Style Manager Expense Rate Schedule includes multiple rates based on asset level dollar amount(s) and, for these Strategies, the actual Style Manager Fee Expense Rate charged to an account may be lower than the maximum Style Manager Expense Rate shown in the "Rate" above. If your account is a Retirement Account and you have selected a Related Style Manager, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate shown in the "Rate" above for that Related Style Manager. Please note that Bank of America, N.A.'s Chief Investment Office (CIO) is a Related Style Manager as described in the applicable Strategy Profiles. Please see the Merrill Lynch Investment Advisory Program ("Program") Brochure and other disclosure documents provided to you for information on the Related Style Managers.

The Program Fee is calculated as described in the Program Client Agreement and Brochure. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee, including the Style Manager Expense Rate charged to an account for the billing period indicated based on the applicable Style Manager Expense Rate Schedule. Please contact your Advisor if you would like to request this detailed fee information. For Funds noted with an asterisk (*) above or for other Funds in your account not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (**) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MAA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure, Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

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FBO DR DAVID P ROE

Account Number:

24-Hour Assistance: (800) MERRILL

INVESTMENT ADVISORY PROGRAM

December 01, 2020 - December 31, 2020

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at www.adviserinfo.sec.gov.

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Est. Annual		
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Annual Income	Yield%		
CASH	14.28	14.28			14.28				
+BANK OF AMERICA, NA PASP	3,992.73	3,992.73	1.0000		3,992.73		.01		
+FIDIC INSURED NOT SIPC COVERED									
TOTAL:		4,007.01			4,007.01		.01		
MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS		Quantity	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description			Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Annual
BLACKROCK EOTY DIVIDEND	208.0000	4,283.86	20.2800	4,218.24	(65.42)	4,283	(65)	78	
FUND INSTL CURRENT YIELD 1.86% SYMBOL: MADIX Initial Purchase: 04/26/18 Equity 100%									
CLEARBRIDGE LARGE CAP	56.0000	2,869.74	67.8400	3,799.04	929.30	2,869	929	3	
GROWTH FUND CL / CURRENT YIELD 0.07% SYMBOL: SBLTX Initial Purchase: 01/23/19 Equity 100%									
DELAWARE SMALL CAP CORE	163.0000	3,537.15	27.0800	4,414.04	876.89	3,537	876	9	
FUND CL INSTL CURRENT YIELD 0.19% SYMBOL: DCCX Initial Purchase: 04/26/18 Equity 100%									
EDGEWOOD GROWTH FUND CL	76.0000	2,588.86	51.7700	3,934.52	1,335.86	2,588	1,335		
INST SYMBOL: EGGRX Initial Purchase: 04/26/18 Equity 100%									
GS GAG PARTNERS	207.8000	3,545.70	17.8200	3,698.74	143.04	3,545	143	7	
INST OPS FD CL INSTL CURRENT YIELD 0.17% SYMBOL: GSIMX Initial Purchase: 08/16/20 Equity 100%									
ISH USD HIGH YIELD	18.0000	727.17	41.2800	742.88	15.51	727	15	40	
CORPORATE BOND ETF SH CURRENT YIELD 5.30% SYMBOL: USHY Initial Purchase: 03/21/19 Fixed Income 100%									
ISHARES RUSSELL 1000	33.0000	4,184.30	241.1400	7,957.62	3,773.32	4,184	3,773	53	
GROWTH CURRENT YIELD 0.66% SYMBOL: IMF Initial Purchase: 01/05/15 Equity 100%									

FBO DR DAVID P ROE

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2020 - December 31, 2020

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETFS (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	
ISHARES RUSSELL 1000 VALUE CURRENT YIELD 2.05% SYMBOL: IVO Initial Purchase: 04/26/18 Equity 100%	63,0000	7,385.11	136.7300	8,613.99	1,228.88	7,395	1,228	177	
ISHARES US TREASURY BOND ETF CURRENT YIELD 1.26% SYMBOL: GOVT Initial Purchase: 04/26/18 Fixed Income 100%	105,0000	2,889.21	27.2400	2,889.20	170.99	2,889	170	37	
ISHARES INC CORE MSCI EMERGING MKTS ETF CURRENT YIELD 1.86% SYMBOL: IEEM Initial Purchase: 04/16/20 Equity 100%	41,0000	1,772.08	62.0400	2,543.64	771.56	1,772	771	48	
ISHARES TR CORE MSCI EAF ETF CURRENT YIELD 1.89% SYMBOL: IEFA Initial Purchase: 04/26/18 Equity 100%	52,0000	3,228.14	69.0900	3,593.68	364.54	3,228	364	69	
NF'S VALUE FD CL 1 CURRENT YIELD 1.60% SYMBOL: MEIX Initial Purchase: 01/05/15 Equity 100%	92,0000	3,237.95	45.1100	4,156.12	912.17	3,237	912	67	
OAKMARK INTL FD CL ADV CURRENT YIELD 0.47% SYMBOL: OATX Initial Purchase: 08/18/20 Equity 100%	130,0000	2,695.40	26.0300	3,381.90	688.50	2,695	688	17	
PIMCO INVESTMENT GRADE CREDIT BOND FD CL 12 CURRENT YIELD 3.02% SYMBOL: PBOPX Initial Purchase: 06/25/19 Fixed Income 100%	442,0000	4,742.49	11.2800	4,986.18	247.69	4,742	247	162	
SPDR BLOOMBERG BARCLAYS 1-3 MONTH T BILL ETF CURRENT YIELD 0.30% SYMBOL: BILL Initial Purchase: 03/11/20 Fixed Income 100%	36,0000	3,297.13	91.5200	3,294.72	(2.41)	3,297	(2)	10	
TCW TOTAL RETURN BOND FD CL 1 CURRENT YIELD 2.71% SYMBOL: TGLMX Initial Purchase: 04/26/18 Fixed Income 100%	312,0000	3,098.58	10.4200	3,251.04	152.46	3,098	152	89	
VANGUARD INTERMEDIATE TERM CORPORATE BOND CURRENT YIELD 2.57% SYMBOL: VCIT Initial Purchase: 04/26/18 Fixed Income 100%	51,0000	4,392.86	97.1400	4,954.14	561.48	4,392	561	128	
VANGUARD MORTGAGE-BACKED SEC CURRENT YIELD 1.87% SYMBOL: VMBS Initial Purchase: 06/25/19 Fixed Income 100%	60,0000	3,196.38	54.0700	3,244.20	47.82	3,196	47	59	
VANGUARD TOTAL INTERNATL BOND ETF CURRENT YIELD 0.95% SYMBOL: BNDX Initial Purchase: 04/26/18 Fixed Income 100%	13,0000	718.76	58.5500	761.15	42.39	718	42	8	
<i>Subtotal (Fixed Income)</i>									
<i>Subtotal (Equities)</i>									
TOTAL YIELD 1.41%		62,200.27		74,394.84	12,194.57		12,186	1,052	

FBO DR DAVID P ROE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2020 - December 31, 2020

LONG PORTFOLIO		Adjusted/Total Cost Basis	Estimated Market/ Contract Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
TOTAL	YIELD 1.34%	66,207.28	78,401.85	12,194.57		1,052

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPFS and its affiliates.

YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Transaction Type	Quantity	Income	Income Year To Date
Date	Description				
12/31	BANK OF AMERICA, NA RASP	Interest	.0200		
01/01/2020	DIV/INT REINVEST PAY DATE 12/31/2020 FROM 11:30 THRU 12:31 CUSIP NUM: 55498UB15				
	BANK OF AMERICA, NA RASP	Income Total		.02	
	Subtotal (Tax-Exempt Interest)			.02	1.52

FBO DR DAVID P ROE

Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

Date	Description	Transaction Type	Quantity	Income	Income Year To Date
DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Tax-Exempt Dividends					
12/01	TCW TOTAL RETURN	* Dividend		5.46	
12/01	BOND FD CL 1 PAY DATE 11/30/2020				
12/01	PIWCO INVESTMENT GRADE CREDIT BOND FD CL 12 PAY DATE 11/30/2020	* Dividend		12.18	
12/04	VANGUARD INTERMEDIATE-TERM CORPORATE BOND HOLDING 51.0000 PAY DATE 12/04/2020	* Dividend		8.13	
12/04	VANGUARD MORTGAGE-BACKED SEC HOLDING 60.0000 PAY DATE 12/04/2020	* Dividend		4.03	
12/04	VANGUARD TOTAL INTERNATL BOND ETF HOLDING 13.0000 PAY DATE 12/04/2020	* Dividend		.59	
12/07	ISHARES US TREASURY BOND ETF HOLDING 105.0000 PAY DATE 12/07/2020	* Dividend		2.21	
12/07	ISHARES US TREASURY BOND ETF HOLDING 105.0000 PAY DATE 12/07/2020	* Long Term Capital Gain		4.55	
12/07	ISHARES US TREASURY BOND ETF HOLDING 105.0000 PAY DATE 12/07/2020	* Short Term Capital Gain		20.77	
12/07	ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 18.0000 PAY DATE 12/07/2020	* Dividend		3.24	
12/07	SPDR BLOOMBERG BARCLAYS 1-3 MONTH T BILL ETF HOLDING 36.0000 PAY DATE 12/07/2020	* Dividend		.12	
12/10	PIWCO INVESTMENT GRADE CREDIT BOND FD CL 12 PAY DATE 12/09/2020	* Long Term Capital Gain		19.48	
12/14	ORAMARK INTL FD CL ADV PAY DATE 12/11/2020	* Dividend		16.20	
12/18	ISHARES RUSSELL 1000 GROWTH HOLDING 33.0000 PAY DATE 12/18/2020	* Dividend		12.35	
12/18	ISHARES RUSSELL 1000 VALUE HOLDING 63.0000 PAY DATE 12/18/2020	* Dividend		37.53	

FBO DR DAVID P ROE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)			
Date	Description	Transaction Type	Quantity
Income			
			Year To Date
Tax-Exempt Dividends			
12/18	ISHARES INC CORE MSCI	* Dividend	29.66
EMERGING MKTS ETF HOLDING 41.0000 PAY DATE 12/18/2020			
12/18	ISHARES TR CORE MSCI EAF	* Dividend	31.84
ETF HOLDING 52.0000 PAY DATE 12/18/2020			
12/18	GS GOG PARTNERS	* Dividend	6.46
INTL OPFS FD CL INSTL PAY DATE 12/17/2020			
12/21	MFS VALUE FD CL I	* Dividend	14.35
PAY DATE 12/18/2020			
12/21	MFS VALUE FD CL I	* Long Term Capital Gain	47.78
PAY DATE 12/18/2020			
12/22	DELAWARE SMALL CAP CORE	* Dividend	8.80
FUND CL INSTL PAY DATE 12/21/2020			
12/22	DELAWARE SMALL CAP CORE	* Short Term Capital Gain	30.16
FUND CL INSTL PAY DATE 12/21/2020			
12/23	ISHARES US TREASURY BOND	* Dividend	2.25
ETF HOLDING 105.0000 PAY DATE 12/23/2020			
12/23	ISH USD HIGH YIELD	* Dividend	3.09
CORPORATE BOND ETF SH HOLDING 18.0000 PAY DATE 12/23/2020			
12/23	CLEARBRIDGE LARGE CAP	* Dividend	2.79
GROWTH FUND CL I PAY DATE 12/22/2020			
12/23	CLEARBRIDGE LARGE CAP	* Long Term Capital Gain	188.63
GROWTH FUND CL I PAY DATE 12/22/2020			
12/23	CLEARBRIDGE LARGE CAP	* Short Term Capital Gain	18.10
GROWTH FUND CL I PAY DATE 12/22/2020			
12/24	EDGEWOOD GROWTH FUND CL	* Long Term Capital Gain	192.49
INST PAY DATE 12/23/2020			
12/24	EDGEWOOD GROWTH FUND CL	* Short Term Capital Gain	35.46
INST PAY DATE 12/23/2020			

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David Philip Roe

FBO DR DAVID P ROE

Account Number:

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)			
Date	Description	Transaction Type	Quantity
Tax-Exempt Dividends			
12/29	VANGUARD INTRAMEDIATE-TERM CORPORATE BOND HOLDING 51.0000 PAY DATE 12/29/2020	* Dividend	9.81
12/29	VANGUARD INTRAMEDIATE-TERM CORPORATE BOND HOLDING 51.0000 PAY DATE 12/29/2020	* Long Term Capital Gain	7.25
12/29	VANGUARD INTRAMEDIATE-TERM CORPORATE BOND HOLDING 51.0000 PAY DATE 12/29/2020	* Short Term Capital Gain	2.90
12/29	VANGUARD MORTGAGE-BACKED SEC HOLDING 80.0000 PAY DATE 12/29/2020	* Dividend	1.65
12/29	VANGUARD MORTGAGE-BACKED SEC HOLDING 60.0000 PAY DATE 12/29/2020	* Long Term Capital Gain	.61
12/29	VANGUARD MORTGAGE-BACKED SEC HOLDING 60.0000 PAY DATE 12/29/2020	* Short Term Capital Gain	5.91
12/29	VANGUARD TOTAL INTERNATL BOND ETF HOLDING 13.0000 PAY DATE 12/29/2020	* Dividend	.80
12/29	VANGUARD TOTAL INTERNATL BOND ETF HOLDING 13.0000 PAY DATE 12/29/2020	* Long Term Capital Gain	1.16
12/29	PIMCO INVESTMENT GRADE CREDIT BOND FD CL 12 PAY DATE 12/28/2020	* Dividend	.27
12/30	TCW TOTAL RETURN BOND FD CL 1 PAY DATE 12/29/2020	* Dividend	5.46
12/30	TCW TOTAL RETURN BOND FD CL 1 PAY DATE 12/29/2020	* Long Term Capital Gain	16.22
12/30	TCW TOTAL RETURN BOND FD CL 1 PAY DATE 12/29/2020	* Short Term Capital Gain	5.05
12/31	PIMCO INVESTMENT GRADE CREDIT BOND FD CL 12 PAY DATE 12/31/2020	* Dividend	14.28
Subtotal (Tax-Exempt Dividends)			828.67
			1,768.54

FBO DR DAVID P ROE

Account Number:

24-hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)		Transaction Type		Quantity		Income		Year To Date	
Date	Description								
	NET TOTAL					828.69		1,770.06	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses)	Year To Date
Subtotal (Long-Term)								2,526.59
Subtotal (Short-Term)								261.42
TOTAL								2,788.01

⓪ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2020 tax return. Please report these transactions with support on your January statement.

ADVISORY AND OTHER FEES

Date	Description	Fee Type	Quantity	Debit	Credit
12/03	INV. ADVISORY FEE DEC	Advisory Program Fee		63.48	
12/07	MUTUAL FUND REBATE	Advisory Program Fee			4.97
	NET TOTAL			68.45	

SWEEP PROGRAM TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
12/02	Deposit	17.6400	BANK OF AMERICA, NA RASP FRAC BUY .64 @ 1.00	17.64	
12/04	Withdrawal	-63.4800	BANK OF AMERICA, NA RASP FRAC SELL .48 @ 1.00		63.48
12/07	Deposit	13.7500	BANK OF AMERICA, NA RASP FRAC BUY .75 @ 1.00	13.75	
12/08	Deposit	35.8600	BANK OF AMERICA, NA RASP FRAC BUY .86 @ 1.00	35.86	
12/11	Deposit	19.4800	BANK OF AMERICA, NA RASP	19.48	

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David Philip Roe

FBO DR DAVID P ROE

Account Number:



YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2020 - December 31, 2020

SWEEP PROGRAM TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Debit	Credit
12/15	Deposit	16.2000	FRAC BUY .48 @ 1.00 BANK OF AMERICA, NA RASP	16.20	
12/21	Deposit	117.8400	FRAC BUY .20 @ 1.00 BANK OF AMERICA, NA RASP	117.84	
12/22	Deposit	57.1300	FRAC BUY .84 @ 1.00 BANK OF AMERICA, NA RASP	57.13	
12/23	Deposit	38.9600	FRAC BUY .13 @ 1.00 BANK OF AMERICA, NA RASP	38.96	
12/24	Deposit	215.8600	FRAC BUY .96 @ 1.00 BANK OF AMERICA, NA RASP	215.86	
12/28	Deposit	227.9500	FRAC BUY .86 @ 1.00 BANK OF AMERICA, NA RASP	227.95	
12/30	Deposit	29.9600	FRAC BUY .95 @ 1.00 BANK OF AMERICA, NA RASP	29.96	
12/31	Deposit	26.7300	FRAC BUY .86 @ 1.00 BANK OF AMERICA, NA RASP	26.73	
NET TOTAL				763.88	

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2020: \$78,401.85

Contributions after December 31, 2019 for 2019: \$4.00

Contributions	Tax Year 2020	Tax Year 2019
Total Rollover Deposits	\$5,495.00	\$4.00
Distributions		
Beneficiary	\$5,495.05	\$4,601.00
Federal Taxes Withheld	\$1,848.52	\$1,380.30

FBO DR DAVID P ROE

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS (continued)

December 01, 2020 - December 31, 2020

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

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David Philip Roe

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 7

David Philip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

CPZ2002806287 1220 X14 JV 0

Resource Management Account

December 2020

Your Financial Advisor:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

Questions about your statement?

Call your Financial Advisor or the

RMA ResourceLine at 800-RMA-1000,
account 353011505.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Account name: DAVID P ROE MD

CLARINDA PHILLIPS ROE JTWROS

Friendly account name: Joint Account

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	826,660.38	646,159.38
Your liabilities	0.00	0.00
Value of your account	\$826,660.38	\$646,159.38

Your account instructions
• Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$826,660.38	\$161,999.85
Deposits, including investments transferred in	2,941.62	1,724,842.12
Withdrawals and fees, including investments transferred out	-183,450.00	-1,240,763.33
Dividend and interest income	7.38	80.74
Closing account value	\$646,159.38	\$646,159.38



Resource Management Account
December 2020

Account name: DAVID P ROE MD
Friendly account name: Joint Account
Account number: . . .

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Switzerland Branch, SIFC. Protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$826,660.38	\$161,999.85
Additions		
Deposits and other funds credited	2,941.62	1,724,842.12
Dividend and interest income	7.38	80.74
Total additions	\$2,949.00	\$1,724,922.86
Subtractions		
Checks and bill payments	-2,400.00	-41,438.10
Fees	-50.00	-200.00
Other funds debited	-181,000.00	-1,199,125.23
Total subtractions	-\$183,450.00	-\$1,240,763.33
Net cash flow	-\$180,501.00	\$484,159.53
Closing balances	\$646,159.38	\$646,159.38

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable interest	7.38	57.48
Total current year	\$7.38	\$57.48
Prior year adjustment	0.00	23.26
Total dividend & interest	\$7.38	\$80.74

Loan summary

Year to date late payment interest	\$9.44

UBS Bank USA Deposit Account APY

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Deposit balance Nov 6	\$500,000.98
Closing UBS Bank USA Deposit balance Dec 6	\$500,004.34
Number of days in interest period	31
Average daily balance	\$500,000.09
Interest earned	\$4.34
Annual percentage yield earned	0.01%



Resource Management Account
December 2020

Account name: DAVID P ROE MD
Friendly account name: Joint Account
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4948

UBS AG Deposit Account APY

Interest period Nov 6 - Dec 6

Opening UBS AG Deposit balance Nov 6	\$418,560.70
Closing UBS AG Deposit balance Dec 6	\$327,003.42
Number of days in Interest period	31
Average daily balance	\$368,207.62
Interest earned	\$3.04
Annual percentage yield earned	0.01%



Your notes



Resource Management Account

December 2020

Account name: DAVID P ROE MD
 Friendly account name: Joint Account
 Account number:

Your Financial Advisor:
 WLF FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC Insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, certificates of deposits and UBS Care Savings. FDIC Insurance is calculated by ownership category (e.g., if you, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the Important Information about your statement at the end of this document for details about these balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/Interest period	Days in period	Cap amount (S)
UBS BANK USA DEP ACCT	500,000.00	500,000.00					500,000.00
UBS AG DEPOSIT ACCOUNT	326,660.38	146,159.38					
Total	\$826,660.38	\$646,159.38					

Your total assets

	Cash and money balances	Value on Dec 31 (S)	Percentage of your account	Cost basis (S)	Estimated annual income (S)	Unrealized gain or loss (S)
Cash		646,159.38	100.00%	646,159.38		
Total		\$646,159.38	100.00%	\$646,159.38		

Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Deposits and other funds credited	Date	Activity	Description	Amount (S)
Dec 2	Deposit	NON-LOCAL CHECK		340.00
Dec 22	Deposit	LOCAL CHECK AS OF 12/21/20		2,601.62
Total deposits and other funds credited				\$2,941.62



Resource Management Account
December 2020

Account name: DAVID P ROE MD
Friendly account name: Joint Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-6848

Dividend and Interest Income				Amount (\$)
Date	Activity	Description		
Dec 7	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20		3.04
Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/20		4.34
Total taxable interest				\$7.38
Total dividend and interest income				\$7.38
Checks				
Check number	Date	Description		Amount (\$)
001020	Dec 29	THE LODGES AT EAGLES NEST P		-2,400.00
Total checks				-2,400.00
Fees				
Date	Activity	Description		Amount (\$)
Dec 17	Fee Charge	FED FUND WIRE TRANSFER FEE		-25.00
Dec 22	Fee Charge	FED FUND WIRE TRANSFER FEE		-25.00
Total fees				-\$50.00
Other funds debited				
Date	Activity	Description		Amount (\$)
Dec 17	Withdrawal	FEDERAL FUNDS TO Steven Lunt Construction At FIRM FIRST BANK, NATIONAL		-6,000.00
Dec 22	Withdrawal	FEDERAL FUNDS TO Whelan Contracting Inc AT BANK OF TENNESSEE		-175,000.00
Total other funds debited				-\$181,000.00
Money balance activities				
Date	Activity	Description		Amount (\$)
Nov 30	Balance forward			\$500,000.00
Dec 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/20		4.34
Dec 8	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT		-4.34
Dec 31	Closing UBS Bank USA Deposit Account			\$500,000.00
Nov 30	Balance forward			\$326,660.38
Dec 3	Deposit	UBS AG DEPOSIT ACCOUNT		340.00
Dec 7	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20		3.04
Dec 8	Deposit	UBS AG DEPOSIT ACCOUNT		4.34
Dec 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/17/20		-6,025.00
Dec 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/22/20		-172,423.38
Dec 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/29/20		-2,400.00
Dec 31	Closing UBS AG Deposit Account			\$146,159.38



Resource Management Account
December 2020

Account name: DAVID P ROE MD
Friendly account name: Joint Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer reaching individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Riverdale Nursery, Inc	varied	Check	Payee on file	Upon request	n/a	n/a	Oct 12, 20
Steven Cuff Construction	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Apr 15, 20
FETH THIRD BANK	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	
Wheels Contracting Inc	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	
BANK OF TENNESSEE	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Dec 22, 20



Your notes

End of statement for account number

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David Philip Roe

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule B

David Philip Roe



UBS Financial Services Inc.
214 E. Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EP2002807449 1220 X14 JV 0

Resource Management Account December 2020

Your Financial Advisor:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353001954.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important Information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Account name: CLARINDA P JEANES LIVING TRUST

Friendly account name: Clarinda Acct

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	2,178,359.20	1,737,664.97
Your liabilities	0.00	0.00
Value of your account	\$2,178,359.20	\$1,737,664.97

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$2,178,359.20	\$1,827,478.48
Deposits, including investments transferred in	336,018.17	1,758,715.95
Withdrawals and fees, including investments transferred out	-811,377.56	-1,998,948.60
Dividend and Interest Income	5.58	254.20
Change in value of outside assets	34,659.58	150,164.94
Closing account value	\$1,737,664.97	\$1,737,664.97

As a service to you, your portfolio value of \$1,737,664.97 includes the following assets that are not held by UBS:

Insurance Products \$1,544,972.64

Information about these assets not held by UBS, including their value, was provided by an external source for which UBS is not responsible. These assets are not covered by SPC. See the section *Your assets for details*.

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



Resource Management Account
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances values. FDIC Insurance applies to deposits at UBS Bank USA, and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. The protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$668,046.14	\$432,670.78
Additions		
Deposits and other funds credited	336,018.17	1,724,115.79
Dividend and interest income	5.58	254.20
Total additions	\$336,023.75	\$1,724,369.99
Subtractions		
Checks and bill payments	0.00	-16,123.20
Card payments	-2,377.56	-17,197.69
Other funds debited	-809,000.00	-1,931,027.55
Total subtractions	-\$811,377.56	-\$1,964,348.44
Net cash flow	-\$475,353.81	-\$239,978.45
Closing balances	\$192,692.33	\$192,692.33

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable interest	5.58	196.96
Total current year	\$5.58	\$196.96
Prior year adjustment	0.00	57.24
Total dividend & interest	\$5.58	\$254.20

UBS Bank USA Business Account APY

Interest period Nov 6 - Dec 6	
Opening UBS Bank USA Business balance Nov 6	\$250,002.10
Closing UBS Bank USA Business balance Dec 6	\$250,002.17
Number of days in interest period	31
Average daily balance	\$250,000.20
Interest earned	\$2.17
Annual percentage yield earned	0.01%



Resource Management Account
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda A.xt
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS AG Deposit Account APY

Interest period Nov 6 - Dec 6

Opening UBS AG Deposit balance Nov 6	\$412,288.90
Closing UBS AG Deposit balance Dec 6	\$418,049.55
Number of days in interest period	31
Average daily balance	\$407,942.10
Interest earned	\$3.41
Annual percentage yield earned	0.01 %

My Choice Rewards Points from UBS

Rewards points are reflected as of the 28th of the month or the prior business day if the 28th falls on a weekend/holiday. Rewards point activity details can be found on www.ubs.com/online/rewards

	December 2020	Year to date
Opening balance	180,407	164,316
Points earned	1,449	17,399
Bonus points	0	141
Closing balance	181,856	181,856



Your notes



Resource Management Account

December 2020

Account name: CLARINDA P JEANES LIVING TRUST
 Friendly account name: Clarinda P Jct
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the Important Information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Prior per share on Dec 31 (S)	Average rate	Dividend/interest period	Days in period	Cap amount (S)
Cash	17,107.39	-476,981.83					
UBS BANK USA BUS ACCT	250,000.00	250,000.00					250,000.00
UBS AG DEPOSIT ACCOUNT	400,938.75	419,674.16					
Total	\$668,046.14	\$192,692.33					

Other

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc. and are included in your statement as a service to you. Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc. does not guarantee the accuracy of the information and is not responsible for it. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. These assets are not covered by SIPC.

Annuities

Holding	Death benefit (S)	Living benefit (S)	Surrender value (S)	Surrender charge: Expiration date	Total premium (S)	Total withdrawal (S)	Value (S)
PRUDCO LIFE INSURANCE COMFEE	830,650.83	901,980.27	811,228.47	Ad 3 2015	550,000.00	0.00	812,258.54
PREMIER RET VAR ANNUITY L SHAR							
AS OF 12/30/2020							
CONTRACT NBR: E1444354							
RATE - VARIABLE							
ISSUE DATE: 05/14/2012							
PORTFOLIO:AST BALANCED ASSET ALLOCATION PORTFOLIO - 100.00%							

continued next page



Resource Management Account

December 2020

Account name: CLARINDA P JEANES LIVING TRUST
 Fidelity account name: Clarinda Acct
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets + Other + Annuities (continued)

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Surrender charge Expiration date	Total premium (\$)	Total withdrawal (\$)	Value (\$)
TRANSAMERICA LIFE INS CO LANDMARK AS OF 12/30/2020 CONTRACT NBR: 109284E98 RATE - VARIABLE ISSUE DATE: 08/02/1999	93,874.03		70,850.33	Aug 2 2006	33,000.00	0.00	70,850.33
PORTFOLIOS:TA WMC US GROWTH - 100.00%							
LINCOLN NATIONAL LIFE INS CO CHOICE PLUS ASSUR L SHARE AS OF 12/30/2020 CONTRACT NBR: 922102177 RATE - VARIABLE ISSUE DATE: 06/30/2011	331,032.09		331,032.09	Jun 30 2015	250,000.00	104,722.38	331,032.09
PORTFOLIOS:LVP AM GROWTH - 25.00% / LVP VG INT EQ - 25.00% / LVP AM GR INC - 20.00% / DEL DYRSFD INC - 20.00% / LVP PMCO LDB - 10.00%							
LINCOLN NATIONAL LIFE INS CO CHARLE PLUS ASSUR L SHARE AS OF 12/30/2020 CONTRACT NBR: 922102178 RATE - VARIABLE ISSUE DATE: 06/30/2011	330,831.68		330,831.68	Jun 30 2015	250,000.00	104,856.35	330,831.68
PORTFOLIOS:LVP AM GROWTH - 25.00% / LVP VG INT EQ - 25.00% / DEL DYRSFD INC - 20.00% / LVP AM GR INC - 20.00% / LVP PMCO LDB - 10.00%							
Total					\$1,083,000.00		\$1,344,972.64

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		192,692.33	11.09%	192,692.33		
Other	Annuities	1,544,972.64	88.91%			
Total		\$1,737,664.97	100.00%	\$192,692.33		



Resource Management Account
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Acct
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Date	Activity	Description	Amount (\$)
Dec 28	Transfer	JOURNAL FROM JY 05948 DONALD R JEANES	13,000.00
Dec 30	Transfer	PA JY 05936 6100	300,000.00
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM JY 05242 CLARINDA P JEANES LIVING TRUST	2,308.33

Dec 31	Transfer	JOURNAL FROM JY 05936 CLARINDA P JEANES IRREVOCABLE	12,853.50
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM JY 05947 DONALD R JEANES MARITAL	7,856.34

Total deposits and other funds credited

\$336,018.17

Dividend and Interest Income

Taxable Interest

Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/20	2.17
Dec 7	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20	3.41

Total taxable interest

\$5.58

Total dividend and interest income

\$5.58

Total

Amount (\$)

Card payments

Dec 15	CREDIT CARD PAYMENT		-2,377.56
Total card payments			-\$2,377.56

Minimum Payment Due

Payment Due Date

Credit line

Available Credit Line

Outstanding balance

UBS Visa credit card(s) payment information for January 23, 2021

\$35.00	Jan 23, 2021	\$30,000.00	\$28,551.01	\$1,448.99

This credit card is enrolled in UBS Pay Credit Card feature and will automatically be paid from your account. Your payment is scheduled for January 15, 2021.

We provide this information on your UBS Visa credit cards solely as a courtesy to you. The UBS Visa credit card and account are issued by UBS Bank USA. UBS Bank USA sends you the official statement for this account and you should make your payment according to their instructions.

We do not include your outstanding credit card balance in the value of your account with UBS Financial Services Inc.

Date	Activity	Description	Amount (\$)
Dec 24	Withdrawal	BANK OF TENNESSEE ELECTRONIC FUNDS TRANSFER	-15,000.00

Other funds debited

continued next page



Resource Management Account
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: Clarinda Roe
Account number: /

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Other funds debited (continued)	Date	Activity	Description	Amount (\$)
	Dec 29	Withdrawal	CHECK # 0001956969 TO Providence Academy MEMO: Roe Donation - Sports	-4,000.00
	Dec 29	Withdrawal	CHECK # 0001956957 TO Ballard Health Foundation MEMO: Clarinda Roe Donation	-5,000.00
	Dec 29	Withdrawal	CHECK # 0001956955 TO The Weil MEMO: Clarinda Roe Gift	-10,000.00
	Dec 29	Withdrawal	CHECK # 0001956915 TO Jeremiah School MEMO: Clarinda Roe Donation	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956916 TO CMF International MEMO: Clarinda Roe Donation	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956956 TO Families Free MEMO: Clarinda Roe Gift	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956917 TO Appalachian Christian Camp	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956970 TO Appalachia Service Project MEMO: Clarinda Roe Gift	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956919 TO First Christian Church	-25,000.00
	Dec 29	Withdrawal	CHECK # 0001956898 TO Milligan MEMO: Clarinda Roe	-50,000.00
	Dec 29	Withdrawal	CHECK # 0001956918 TO Austin Peay State Universit MEMO: Phil and Clarinda Roe	-50,000.00
	Dec 29	Withdrawal	CHECK # 0001956920 TO CCDM MEMO: Clarinda Roe Donation	-25,000.00
	Dec 31	Transfer	TO JV 05947 1100	-500,000.00
		Total other funds debited		-4,800,000.00
Money balance activities	Date	Activity	Description	Amount (\$)
	Nov 30	Balance forward		\$250,000.00
	Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/20	2.17
	Dec 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-2.17
	Dec 31	Closing UBS Bank USA Business Account		\$250,000.00
	Nov 30	Balance forward		\$400,938.75
	Dec 1	Deposit	UBS AG DEPOSIT ACCOUNT	17,107.39
	Dec 7	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/04/20	3.41
	Dec 8	Deposit	UBS AG DEPOSIT ACCOUNT	2.17
	Dec 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/15/20	-2,377.56
	Dec 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/24/20	-15,000.00
	Dec 29	Deposit	UBS AG DEPOSIT ACCOUNT	13,000.00
	Dec 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/29/20	-294,000.00
	Dec 31	Deposit	UBS AG DEPOSIT ACCOUNT	300,000.00
	Dec 31	Closing UBS AG Deposit Account		\$419,674.16



Resource Management Account
December 2020

Account name: CLAIRDA P JEANES LIVING TRUST
Friendly account name: Clairda Act
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer reaching individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include all Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees under recurring instructions on file, you will reach a separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Payment	Frequency	Start date	End date	Last used date
Appalachian Christian Camp	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
Austin Peay State University	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
Blackburn, Childers & Steagall, PLC	varied	Check	Payee on file	Upon request	n/a	n/a	May 29, 20
CMF International	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
First Christian Church	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
Jeremiah School	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
Providence Academy	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 29, 20
TN Department of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	May 29, 20
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	May 29, 20
United States Treasury	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 01, 20



Your notes

End of statement for account number .

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David Philip Roe

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 9

David Phillip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

872002807333 1220 X14 JV 0

Managed Accounts Consulting

December 2010

Your Financial Advisor:
WJP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005242.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following
investment objectives for this account.
Contact your Financial Advisor if you have
any questions, if your financial situation,
individual needs, risk tolerance or
investment objectives for your advisory
account(s) have changed, or if you would
like to impose or change investment
restrictions on your discretionary advisory
accounts. You can find a full description
of the alternative investment objectives in
important information about your
statement at the end of this document.

Your return objective:
Current income
Your risk profile:
Primary - Conservative
Investment eligibility consideration -
Aggressive

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	552,891.01	551,962.43
Your liabilities	0.00	0.00
Value of your account	\$552,891.01	\$551,962.43
Accrued interest in value above	\$5,640.91	\$5,291.63

As a service to you, your portfolio value of
\$551,962.43 includes accrued interest.

Your account instructions:
• Your account is managed by
BlackRock.
• Your account cost basis default closing
method is FIFO, First In, First Out.

Change in the value of your account

	December 2009 (\$)	Year to date (\$)
Opening account value	\$552,891.01	\$579,596.62
Withdrawals and fees, including investments transferred out	-2,308.33	-51,100.14
Dividend and interest income	2,308.33	23,321.45
Change in value of accrued interest	-349.28	-129.15
Change in market value	-579.30	273.65
Closing account value	\$551,962.43	\$551,962.43



Managed Accounts Consulting
December 2020

Account name: CLAIRDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPIC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$0.00	\$3,872.09
Additions		
Dividend and interest income	2,308.33	23,321.45
Proceeds from investment transactions	0.00	50,405.00
Total additions	\$2,308.33	\$73,726.45
Subtractions		
Professional management fees and related services	0.00	-3,645.10
Other funds detailed	-2,308.33	-47,455.04
Funds withdrawn for investments bought	0.00	-26,498.40
Total subtractions	-\$2,308.33	-\$77,598.54
Net cash flow	\$0.00	-\$3,872.09
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable interest	0.00	2.04
Tax-exempt interest	2,308.33	22,607.64
Tax-exempt accrued interest received	0.00	708.33
Total current year	\$2,308.33	\$23,318.01
Prior year adjustment	0.00	3.44
Total dividend & interest	\$2,308.33	\$23,321.45

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2020 (\$)	Year to date (\$)	
Short term	0.00	0.00	426.85
Long term	0.00	33.40	28,678.56
Total	\$0.00	\$33.40	\$29,105.41



Managed Accounts Consulting
December 2020

Account name: CLARENDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

UBS Bank USA Business Account APY

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Business balance Nov 6	\$1,875.00
Closing UBS Bank USA Business balance Dec 6	\$2,308.33
Number of days in Interest period	31
Average daily balance	\$2,331.99
Interest earned	\$0.00
Annual percentage yield earned	0.00%



Your notes



Managed Accounts Consulting

December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4948

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs. Certificates of Deposits and UBS Core Savings FDIC Insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed income" sections to review the current deposit balances held at UBS Bank USA.

See the important information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period
Cash	-3,125.00	-2,308.33				
UBS BANK USA BUS ACCT	3,125.00	2,308.33				
Total	\$0.00	\$0.00				

Fixed income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHELBY CNTY TN								
SR A BEAR/								
RATE 04.000% MATURES 03/01/21								
ACCRUED INTEREST \$666.66								
CUSIP 821686J60								
Moody: Aa1 S&P: AA+								
EAT: \$1,000 Current yield: 3.98%								
Original cost basis: \$58,041.50								
Mar 25, 13		50,000.000	100.361	50,180.56	100.602	50,301.00	120.44	LT

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Fiduciary account name: BlackRock Muni
Account number:

Your Financial Advisor:
WILP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WEST KNOX UTIL DIST KNOX								
RV BE/V								
RATE 05.000% MATURES 06/01/22								
ACCURED INTEREST \$83.33								
CUSIP 953564G5								
Moody: Not rated S&P: AA+								
EAT: \$1,000 Current yield: 4.68%								
Original cost basis: \$22,890.00								
Dec 08, 16	20,000.000	103.925	20,785.12	106.807	21,361.49	576.28		LT
TENNESSEE ST SCH BD AUTH								
SR B BE/V								
RATE 05.000% MATURES 11/01/22								
ACCURED INTEREST \$208.33								
CUSIP 880358HF1								
Moody: Aa1 S&P: Aa+								
EAT: \$1,250 Current yield: 4.59%								
Original cost basis: \$28,929.50								
Dec 20, 16	25,000.000	105.123	26,280.99	108.822	27,205.50	924.51		LT
JACKSON TN ENERGY AUTH E								
RV BE/V								
RATE 05.000% MATURES 05/01/23								
ACCURED INTEREST \$416.66								
CUSIP 468730CA5								
Moody: Aa2 S&P: Not rated								
EAT: \$2,500 Current yield: 4.52%								
Original cost basis: \$50,375.50								
Nov 20, 14	50,000.000	106.159	53,079.62	110.585	55,292.50	2,212.88		LT
FRANKLIN CNTY OH HOSP FA								
RV BE/V								
RATE 05.000% MATURES 05/15/23								
ACCURED INTEREST \$319.44								
CUSIP 353187B6								
Moody: Aa2 S&P: Aa+								
EAT: \$2,500 Current yield: 4.51%								
Original cost basis: \$61,285.50								
Mar 27, 13	50,000.000	105.840	52,920.23	110.859	55,429.50	2,509.27		LT

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Beneficiary account name: BlackRock Muni
Account number:

Your Financial Advisor:
W&P FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
RUTHERFORD CNTY TENN GO								
BE/V								
RATE 05.000% MATURES 04/01/24								
ACCRUED INTEREST \$250.00								
CUSIP 783244HM1								
Moody: Aa1 S&P: AA+								
EAI: \$1,000 Current yield: 4.34%								
Original cost basis: \$23,064.40								
MALARY CNTY TN								
BE/V								
RATE 05.000% MATURES 04/01/25								
ACCRUED INTEREST \$625.00								
CUSIP 577625XG4								
Moody: Aa2 S&P: Not rated								
EAI: \$2,500 Current yield: 4.18%								
Original cost basis: \$60,990.50								
JOHNSON CITY TN								
SR A BE/V								
RATE 05.000% MATURES 06/01/26								
ACCRUED INTEREST \$166.66								
CUSIP 478251N74								
Moody: Aa2 S&P: Not rated								
EAI: \$2,000 Current yield: 4.00%								
Original cost basis: \$49,286.40								
LAFAYETTE PARISH LA SCH								
RV BE/V								
RATE 05.000% MATURES 04/01/27								
ACCRUED INTEREST \$500.00								
CUSIP 506624QF5								
S&P: AA+								
EAI: \$2,000 Current yield: 3.92%								
Original cost basis: \$48,669.69								
	Jan 19, 18	40,008,800	115,380	46,152.15	127,416	50,986.40	4,814.25	LT

continued next page



Managed Accounts Consulting
December 2020

Account name: CLAIRINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number: ..

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GREENSBORO NC ENTERPRISE								
RV BE/V								
RATE 05.000% MATURES 06/01/28								
ACCRUED INTEREST \$83.33								
CUSIP 395468LV1								
Moody: Aa1 S&P: AAA								
EAT: \$1,000 Current yield: 3.77%								
Original cost basis: \$26,498.40	Jun 05, 20	20,000.000	130.434	26,086.95	132.569	26,513.80	426.85	ST
METROPOLITAN NASHVILLE								
SR A RV BE/V								
RATE 05.000% MATURES 07/01/30								
ACCRUED INTEREST \$500.00								
CUSIP 592190MZZ								
Moody: A2								
EAT: \$1,000 Current yield: 3.74%								
Original cost basis: \$26,185.80	Dec 06, 19	20,000.000	128.129	25,625.88	133.838	26,767.60	1,141.72	LT
SUNNER CNTY TN								
BE/V								
RATE 05.000% MATURES 12/01/30								
CALLABLE 12/01/28 @ 100.00								
ACCRUED INTEREST \$62.50								
CUSIP 866407M97								
S&P: AA+								
EAT: \$750 Current yield: 3.79%								
Original cost basis: \$18,410.55	Mar 05, 19	15,000.000	118.872	17,830.89	132.030	19,804.50	1,973.61	LT
NEW YORK CITY TRANS FINA								
RV BE/V								
RATE 05.000% MATURES 07/15/33								
CALLABLE 07/15/29 @ 100.00								
ACCRUED INTEREST \$576.39								
CUSIP 64872H3A8								
Moody: Aa3 S&P: AA								
EAT: \$1,250 Current yield: 3.85%								
Original cost basis: \$31,866.25	Dec 10, 19	25,000.000	124.671	31,167.78	130.038	32,509.50	1,341.72	LT

1,341.72 LT
continued next page



Managed Accounts Consulting

December 2020

Account name: CLARK/D, P JEANES LIVING TRUST
 Friendly account name: BlackRock Muni
 Account number:

Your Financial Adviser:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets + Fixed Income + Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CLARKSVILLE TN WTR SWR RV BEV/ RATE 05.0000% MATURES 02/01/41 CALLABLE 02/01/26 @ 100.00 ACCUMULATED INTEREST \$833.33 CUSIP 182618LUD Moody: Aa2 S&P: Not rated EAT: \$2,000 Current yield: 4.19% Original cost basis: \$46,828.00	Dec 28, 17	40,000.000	111.141	44,456.59	119.264	47,705.60	3,249.01	LT
Total		\$465,000.000		\$517,565.39		\$546,670.80	\$29,105.41	
Total accrued interest: \$5,291.63								
Total estimated annual income: \$21,750								

Your total assets

Fixed Income	Municipal securities	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Total accrued interest		5,291.63		517,565.39	21,750.00	29,105.41
Total fixed income		\$51,962.43	100.00%	\$517,565.39	\$21,750.00	\$29,105.41
Total		\$551,962.43				

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 1	Interest	JOHNSON CITY TN SR A BEV/5 DUE 060126 DTD 111716PAID ON 40000	1,000.00
Dec 1	Interest	CUSIP: 478251MT4 GREENSBORO NC ENTERPRISE RV 062520 FC 120120PAID ON 20000	433.33
Dec 1	Interest	CUSIP: 395468LV1 SUMNER CNTY TN BEV/5 DUE 120130 DTD 0131 9CALLABLE FC 120119 PAID ON 15000	375.00
		CUSIP: 866407M97	

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES LIVING TRUST
Friendly account name: BlackRock Muni
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Dec 2	Interest	WEST KNOX UTIL DIST KNOX RV PAID ON 20000AS OF 12/01/20 CUSIP: 953564G15	500.00
Total tax-exempt interest			\$2,308.33
Total dividend and interest income			\$2,308.33
Other funds debited			
Dec 31	Transfer	EARNINGS DISBURSEMENT TO JR 01954 CLARINDA P JEANES LIVING TRUST	-2,308.33
Total other funds debited			-\$2,308.33
Money balance activities			
Nov 30	Balance forward		\$3,125.00
Dec 1	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/30/20	-3,125.00
Dec 2	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,808.33
Dec 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	500.00
Dec 31	Closing	UBS Bank USA Business Account	\$2,308.33

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer reaching individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Debit/credit	Type/Freq	Payee on file	Frequency	Start date	End date	Last used
JY01954		varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 31, 20

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 10

David Philip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EPZ2002807459 1220 X14 JY 0

Advisor Allocation Program

December 2020

Your Financial Advisor:

WJP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

Questions about your statement?

Call your Financial Advisor or the
ResourceLine at 800-762-1000,
account 353005931.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important Information about your statement* at the end of this document.

Your return objectives:

Current Income & capital appreciation

Your risk profile:

Primary - Moderate
Investment eligibility consideration - None selected

Account name: JEANES INVESTMENTS LLC

Friendly account name: Jeanes Inv LLC

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	4,301,849.17	3,899,386.13
Your liabilities	0.00	0.00
Value of your account	\$4,301,849.17	\$3,899,386.13
Accrued interest in value above	\$4,721.19	\$4,288.84

As a service to you, your portfolio value of \$3,899,386.13 includes accrued interest.

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$4,301,849.17	\$4,018,653.68
Deposits, including investments transferred in	0.00	194,823.00
Withdrawals and fees, including investments transferred out	-507,676.55	-679,533.91
Dividend and interest income	23,613.78	90,337.05
Change in value of accrued interest	-432.35	3,619.19
Change in market value	82,032.08	271,487.12
Closing account value	\$3,899,386.13	\$3,899,386.13



Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See account activity for details. Balances in your sweep options are included in this opening and closing balances table. ERIC Insurance applies to deposits at UBS Bank USA, and all banks participating in the UBS FDC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SRC. Protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$53,944.98	\$196,691.97
Additions		
Deposits and other funds credited	0.00	194,823.00
Dividend and Interest Income	23,613.78	90,337.05
Proceeds from Investment transactions	531,156.61	1,897,298.16
Total additions	\$554,770.39	\$2,182,458.21
Subtractions		
Professional management fees and related services	-0.05	-23,582.73
Other fees	0.00	-7.36
Other funds debited	-507,676.50	-649,943.82
Funds withdrawn for investments bought	-44,352.20	-1,842,929.65
Total subtractions	-\$552,028.75	-\$2,322,463.56
Net cash flow	\$2,741.64	-\$140,005.35
Closing balances	\$56,686.62	\$56,686.62

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client's monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable dividends	11,302.90	71,878.70
Long-term capital gains	10,464.85	11,295.42
Tax-exempt dividends	0.00	3,171.58
Taxable interest	0.89	1,705.03
Taxable accrued interest received	0.00	\$72.76
Tax-exempt interest	1,766.67	3,141.67
Tax-exempt accrued interest paid	0.00	-3,072.09
Tax-exempt accrued interest received	79.17	79.17
Miscellaneous	0.00	112.50
Total current year	\$23,613.78	\$86,944.74
Prior year adjustment	0.00	1,392.31
Total dividend & interest	\$23,613.78	\$88,337.05



Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
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Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	December 2020 (\$)	Year to date (\$)	gains and losses (\$)
Short term	3,583.10	-3,186.39	94,578.26
Long term	105,095.77	413,230.15	672,492.32
Total	\$108,678.87	\$410,043.76	\$767,070.58

Withholdings and tax summary

	December 2020 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-578.82

UBS Bank USA Business Account APY

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Business balance Nov 6	\$43,967.72
Closing UBS Bank USA Business balance Dec 6	\$56,043.35
Number of days in interest period	31
Average daily balance	\$49,663.50
Interest earned	\$0.39
Annual percentage yield earned	0.01%



Your notes



Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC Insurance purpose, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs. Certificates of Deposits and UBS Core Savings FDIC Insurance is calculated by ownership category (e.g., 51 year, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the Important Information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/Interest period	Days in period
Cash	5,917.45	0.00				
UBS BANK USA BUS ACCT	48,027.53	56,686.62				
Total	\$53,944.98	\$56,686.62				

Cash alternatives

Money market funds

Money market funds are neither insured nor guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Institutional mutual funds must meet their net asset values (NAV) per share to the nearest 1/100th of a cent (e.g., \$1.0000). Government and retail money market funds will continue to transact at a stable \$1.01 net asset value. Although money market funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in these funds.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (and) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (and) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (S)	Client Investment (S)	Cost basis (S)	Price per share on Dec 31 (S)	Value on Dec 31 (S)	Unrealized gain or loss (S)	Investment return (S)	Holding period
UBS GOVERNMENT MONEY									
MARKET INVESTMENTS FUND									
CLASS P									

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
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Your assets, Cash alternatives, Money market funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
---This information was unavailable---									
129,514.620				1.0000		129,514.62			
Equities									
Common stock									
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
ACCENTURE PLC IRELAND CL A									
Symbol: ACN Exchange: NYSE									
EAL: \$458 Current yield: 1.35%									
	Oct 17, 18	1,000	159.040	159.04	261.210	261.21	102.17		LT
	Aug 14, 20	129,000	231.190	29,823.57	261.210	33,696.09	3,872.52		ST
Security total		130,000	230.635	29,982.61		33,957.30	3,974.69		
AMER ELECTRIC POWER CO									
Symbol: AEP Exchange: OTC									
EAL: \$340 Current yield: 3.55%									
	Aug 14, 20	115,000	83.410	9,592.15	83.270	9,576.05	-16.10		ST
AMGEN INC									
Symbol: AMGN Exchange: OTC									
EAL: \$746 Current yield: 3.06%									
	Sep 29, 20	20,000	247.379	4,947.58	229.920	4,598.40	-349.18		ST
	Sep 30, 20	36,000	254.031	9,145.13	229.920	8,277.12	-868.01		ST
	Oct 5, 20	18,000	253.084	4,555.52	229.920	4,138.56	-416.96		ST
	Oct 6, 20	14,000	254.430	3,562.03	229.920	3,218.88	-343.15		ST
	Nov 6, 20	18,000	231.562	4,168.12	229.920	4,138.56	-29.56		ST
Security total		106,000	248.853	26,378.38		24,371.52	-2,006.86		
BLACKROCK INC									
Symbol: BLK Exchange: NYSE									
EAL: \$494 Current yield: 2.01%									
	Aug 14, 20	34,000	588.910	20,022.94	721.540	24,532.36	4,509.42		ST
CHUBB LTD CHF									
Symbol: CB Exchange: NYSE									
EAL: \$568 Current yield: 2.03%									
CHF Exchange rate: 0.88395									
	Apr 6, 16	127,000	118.982	15,110.79	153.920	19,547.84	4,437.05		LT
	Oct 17, 18	12,000	127.389	1,528.67	153.920	1,847.04	318.37		LT
	Apr 6, 20	14,000	107.900	1,502.20	153.920	2,154.88	652.68		ST
	Nov 6, 20	22,000	137.821	3,032.08	153.920	3,386.24	354.16		ST
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Advisor Allocation Program

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Account name: JEANES INVESTMENTS LLC
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
	Nov 9, 20	7,000	146.167	1,023.17	153.920	1,077.44	54.27	ST
		182,000	121.961	22,196.91		28,013.44	5,816.53	
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAT: \$1,025 Current yield: 3.22%								
	Aug 14, 20	573,000	42.507	24,356.92	44.750	25,641.75	1,284.83	ST
	Oct 23, 20	94,000	38.834	3,650.40	44.750	4,206.50	556.10	ST
	Nov 27, 20	45,000	42.817	1,926.79	44.750	2,013.75	86.96	ST
Security total								
		712,000	42.042	29,934.11		31,862.00	1,927.89	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAT: \$995 Current yield: 2.99%								
	Dec 14, 15	19,000	42.185	801.52	54.840	1,041.96	240.44	LT
	Jul 23, 18	3,000	44.890	134.67	54.840	164.52	29.85	LT
	Oct 17, 18	43,000	45.810	1,969.83	54.840	2,358.12	388.29	LT
	Nov 5, 18	542,000	48.328	26,193.83	54.840	29,723.28	3,529.45	LT
Security total								
		607,000	47.940	29,099.85		33,287.88	4,188.03	
COMCAST CORP NEW CL A								
Symbol: COMCA Exchange: OTC								
EAT: \$643 Current yield: 1.76%								
	Nov 5, 18	699,000	38.197	26,700.26	52.400	36,627.60	9,927.34	LT
CRANE CO								
Symbol: CR Exchange: NYSE								
EAT: \$200 Current yield: 2.22%								
	Aug 14, 20	116,000	61.305	7,111.38	77.660	9,008.56	1,897.18	ST
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange: NYSE								
EAT: \$449 Current yield: 2.23%								
	Jan 9, 12	5,000	87.330	436.65	158.810	794.05	357.40	LT
	Jul 8, 13	78,000	119.002	9,282.20	158.810	12,387.18	3,104.98	LT
	Mar 3, 14	27,000	123.860	3,344.22	158.810	4,287.87	943.65	LT
	Sep 12, 17	4,000	137.410	549.64	158.810	635.24	85.60	LT
	Oct 17, 18	13,000	135.389	1,760.06	158.810	2,064.53	304.47	LT
Security total								
		127,000	121.045	15,372.77		20,168.87	4,796.10	
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAT: \$684 Current yield: 2.26%								
	Aug 19, 15	97,000	123.030	11,933.91	265.620	25,765.14	13,831.23	LT
	Oct 17, 18	17,000	185.410	3,151.97	265.620	4,515.54	1,363.57	LT

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAT: \$635 Current yield: 2.65%								
	Jul 8, 13	244,000	23.336	5,694.13	49.820	12,156.08	6,461.95	LT
	Mar 3, 14	139,000	24.519	3,408.27	49.820	6,924.98	3,516.71	LT
	Sep 12, 17	17,000	35.880	609.96	49.820	846.94	236.98	LT
	Oct 15, 18	44,000	44.630	1,963.72	49.820	2,192.08	228.36	LT
	Oct 17, 18	75,000	46.000	3,450.00	49.820	3,736.50	286.50	LT
Security total		519,000	29.145	15,126.08		25,856.58	10,730.50	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAT: \$881 Current yield: 2.57%								
	Aug 14, 20	189,000	148.954	28,152.38	157.380	29,744.82	1,592.44	ST
	Nov 6, 20	29,000	142.258	4,125.51	157.380	4,564.02	438.51	ST
Security total		218,000	148.064	32,277.89		34,308.84	2,030.95	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAT: \$745 Current yield: 2.83%								
	Jan 9, 18	60,000	109.018	6,541.11	127.070	7,624.20	1,083.09	LT
	Oct 15, 18	12,000	167.390	1,288.68	127.070	1,524.84	236.16	LT
	Oct 17, 18	30,000	110.307	3,309.22	127.070	3,812.10	502.88	LT
	Aug 14, 20	105,000	102.850	10,799.25	127.070	13,342.35	2,543.10	ST
Security total		207,000	105.982	21,938.26		26,303.49	4,365.23	
UNDE PLC EUR								
Symbol: UN Exchange: NYSE								
EAT: \$370 Current yield: 1.46%								
EUR Exchange rate: 0.81729								
	Sep 12, 16	38,000	163.455	6,211.29	263.510	10,013.38	3,802.09	LT
	Oct 17, 18	8,000	163.455	1,307.64	263.510	2,108.08	800.44	LT
	Oct 15, 19	50,000	195.331	9,766.55	263.510	13,175.50	3,408.95	LT
Security total		96,000	180.057	17,285.48		25,296.96	8,011.48	
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAT: \$392 Current yield: 2.93%								
	Nov 5, 18	6,000	302.888	1,817.33	354.980	2,129.88	312.55	LT
	Apr 9, 19	3,000	304.360	913.08	354.980	1,064.94	151.86	LT
	Aug 14, 20	47,000	391.722	18,410.94	354.980	16,684.06	-1,726.88	ST

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
MARSH & MCLENNAN COS INC								
Symbol: MMC Exchange: NYSE								
EAT: \$365 Current yield: 1.59%								
	Sep 8, 15	172,000	53.379	9,181.29	117.000	20,124.00	10,942.71	LT
	Oct 17, 18	24,000	80.920	1,942.08	117.000	2,808.00	865.92	LT
Security total								
		196,000	56.752	11,123.37		22,932.00	11,808.63	
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAT: \$630 Current yield: 2.41%								
	Nov 6, 17	108,000	169.425	18,297.99	214.580	23,174.64	4,876.65	LT
	Oct 17, 18	14,000	167.394	2,343.52	214.580	3,004.12	660.60	LT
Security total								
		122,000	169.193	20,641.51		26,178.76	5,537.25	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAT: \$638 Current yield: 1.98%								
	Jan 27, 15	184,000	75.668	13,922.99	117.140	21,553.76	7,630.77	LT
	Jan 27, 15	58,000	75.668	4,388.77	117.140	6,794.12	2,405.35	LT
	Oct 17, 18	27,000	96.860	2,615.22	117.140	3,162.78	547.56	LT
	Apr 9, 19	6,000	88.680	532.08	117.140	702.84	170.76	LT
Security total								
		275,000	78.033	21,459.06		32,213.50	10,754.44	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAT: \$685 Current yield: 1.01%								
	Dec 9, 13	168,000	38.559	6,478.05	222.420	37,366.56	30,888.50	LT
	Mar 3, 14	90,000	37.980	3,418.20	222.420	20,017.80	16,599.60	LT
	Oct 17, 18	48,000	110.590	5,308.32	222.420	10,676.16	5,367.84	LT
Security total								
		306,000	49.688	15,204.58		68,060.52	52,855.94	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAT: \$265 Current yield: 1.82%								
	Mar 3, 14	13,000	22.770	296.01	77.150	1,002.95	706.94	LT
	Oct 17, 18	48,000	42.695	2,049.36	77.150	3,703.20	1,653.84	LT
	Jun 27, 19	128,000	51.113	6,542.52	77.150	9,875.20	3,332.68	LT
Security total								
		189,000	47.026	8,887.89		14,581.35	5,693.46	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAT: \$542 Current yield: 2.13%								
	Jan 9, 12	29,000	49.918	1,447.65	94.430	2,738.47	1,290.82	LT

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Advisor Allocation Program

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Account name: JEANES INVESTMENTS LLC
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Account number:

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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PHILLIPS 66								
Symbol: PSX Exchange: NYSE								
EAT: \$929 Current yield: 5.15%								
	Jul 8, 13	130,000	62.514	8,126.93	94.430	12,275.90	4,148.97	LT
	Mar 3, 14	41,000	72.096	2,955.95	94.430	3,871.63	915.68	LT
	Oct 17, 18	21,000	74.958	1,574.13	94.430	1,983.03	408.90	LT
	Apr 6, 20	1,008	85.100	85.10	94.430	94.43	9.33	ST
	Nov 6, 20	31,000	84.870	2,630.99	94.430	2,927.33	296.34	ST
	Nov 9, 20	17,000	84.530	1,437.01	94.430	1,605.31	168.30	ST
Security total		270,000	67.621	18,257.76		25,496.10	7,238.34	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAT: \$604 Current yield: 2.27%								
	Aug 14, 20	199,000	62.819	12,501.16	69.940	13,918.06	1,416.90	ST
	Nov 25, 20	59,000	66.649	3,932.32	69.940	4,126.46	194.14	ST
Security total		258,000	63.696	16,433.48		18,044.52	1,611.04	
RAYTHEON TECHNOLOGIES CORP								
Symbol: RTX Exchange: NYSE								
EAT: \$412 Current yield: 2.66%								
	Jan 9, 12	78,000	40.739	3,177.68	71.510	5,577.78	2,400.10	LT
	Jul 8, 13	95,000	53.657	5,097.49	71.510	6,793.45	1,695.96	LT
	Mar 3, 14	29,000	64.151	1,860.40	71.510	2,073.79	213.39	LT
	Oct 17, 18	15,000	72.480	1,087.20	71.510	1,072.65	-14.55	LT
Security total		217,000	51.718	11,222.77		15,517.67	4,294.90	
REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAT: \$238 Current yield: 1.77%								
	Jul 23, 18	118,000	68.100	8,035.80	96.300	11,363.40	3,327.60	LT
	Oct 17, 18	22,000	71.800	1,579.60	96.300	2,118.60	539.00	LT
Security total		140,000	68.681	9,615.40		13,482.00	3,866.60	
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAT: \$274 Current yield: 1.71%								
	Aug 14, 20	64,000	233.805	14,963.52	250.810	16,051.84	1,088.32	ST
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAT: \$771 Current yield: 2.49%								
	Jun 27, 19	3,000	116.133	348.40	164.130	492.39	143.99	LT

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Advisor Allocation Program

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Account name: JEANES INVESTMENTS LLC
Fidelity account name: Jeanes Inv LLC
Account number:

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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
TRUIST FNL CORP								
Symbol: TRC Exchange: NYSE								
EAI: \$4.79 Current yield: 3.76%								
Dec 10, 18		114,000	43.007	4,902.85	47.930	5,464.02	561.17	LT
Aug 14, 20		152,000	39.548	6,011.33	47.930	7,285.36	1,274.03	ST
Security total		266,000	41.031	10,914.18		12,749.38	1,835.20	
Security total								
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: \$462 Current yield: 1.86%								
Apr 6, 20		17,000	146.000	2,482.00	208.220	3,539.74	1,057.74	ST
Apr 6, 20		11,000	146.000	1,606.00	208.220	2,290.42	684.42	ST
Aug 14, 20		91,000	191.897	17,462.65	208.220	18,948.02	1,485.37	ST
Security total		119,000	181.098	21,550.65		24,778.18	3,227.53	
Security total								
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: \$372 Current yield: 2.40%								
Nov 6, 20		25,000	166.624	4,165.62	168.400	4,210.00	44.38	ST
Nov 9, 20		67,000	163.406	10,948.26	168.400	11,282.80	334.54	ST
Security total		92,000	164.281	15,113.88		15,492.80	378.92	
Security total								
VF CORP								
Symbol: VFC Exchange: NYSE								
EAI: \$565 Current yield: 2.29%								
Nov 16, 12		34,000	36.410	1,237.96	85.410	2,903.94	1,665.98	LT
Jul 8, 13		188,000	46.686	8,776.98	85.410	16,057.08	7,280.10	LT
Mar 3, 14		59,000	54.608	3,221.93	85.410	5,039.19	1,817.26	LT
Apr 6, 20		8,000	51.270	410.16	85.410	683.28	273.12	ST
Security total		289,000	47.222	13,647.03		24,683.49	11,036.46	
Security total								
Total				\$599,212.51		\$801,189.43	\$201,976.92	
Total estimated annual income: \$18,097								



Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-723-4848

Your assets - Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES MSCI EAFE ETF									
Symbol: EFA									
Trade date: Mar 3, 20	1,634,000	62.537	102,186.11	102,186.11	72.960	119,216.64	17,030.53		ST
Trade date: Dec 29, 20	10,000	73.417	734.17	734.17	72.960	729.60	-4.57		ST
EAF: \$2,551 Current yield: 2.13%									
Security total	1,644,000	62.604	102,920.28	102,920.28		119,946.24	17,025.96	17,025.96	
ISHARES MSCI USA MIN VOL									
FACTOR ETF									
Symbol: USMV									
Trade date: May 14, 19	4,061,000	59.127	240,117.59	240,117.59	67.880	275,660.68	35,543.09		LT
Trade date: Aug 18, 20	44,000	64.655	2,844.82	2,844.82	67.880	2,986.72	141.90		ST
Trade date: Dec 29, 20	89,000	67.135	5,975.02	5,975.02	67.880	6,041.32	66.30		ST
EAF: \$5,163 Current yield: 1.81%									
Security total	4,194,000	59.356	248,937.43	248,937.43		284,688.72	35,751.29	35,751.29	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Jun 24, 16	130,000	265.030	34,453.94	34,453.94	419.920	54,589.60	20,135.66		LT
Trade date: Aug 13, 20	145,000	357.115	51,781.68	51,781.68	419.920	60,886.40	9,106.72		ST
EAF: \$1,296 Current yield: 1.12%									
Security total	275,000	313.584	86,235.62	86,235.62		115,476.00	29,242.38	29,242.38	
SPDR S&P 500 ETF TR									
Symbol: SPY									
Trade date: Dec 15, 14	1,399,000	200.104	279,946.19	279,946.19	373.880	523,058.12	243,111.93		LT
Trade date: Dec 29, 20	11,000	371.120	4,082.32	4,082.32	373.880	4,112.68	30.36		ST
EAF: \$8,024 Current yield: 1.52%									

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Advisor Allocation Program

December 2020

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Your financial advisor:
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 423-928-7144/800-729-4848

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Jun 24, 16	342,080	104.434	35,716.46	35,716.46	253.340	86,642.28	50,925.82		LT
Trade date: Mar 3, 20	438,000	179.332	78,547.46	78,547.46	253.340	110,962.92	32,415.46		ST
Trade date: Dec 29, 20	16,000	252.790	4,044.64	4,044.64	253.340	4,053.44	8.80		ST
EAT: \$1,340 Current yield: 0.66%									
Security total	796,080	148.629	118,308.56	118,308.56		201,658.64	83,350.08	83,350.08	
Total			\$840,430.40	\$840,430.40		\$1,248,942.40	\$408,512.00	\$408,512.00	
Total estimated annual income: \$18,374									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
AMER FUNDS EUROPACIFIC GROWTH FUND									
Symbol: AEPFX									
Trade date: Jan 17, 06	785,382	42.384	33,288.24	33,288.24	69.150	54,309.19	21,020.95		LT
Trade date: Aug 13, 20	365,066	57.820	21,108.12	21,108.12	69.150	25,244.31	4,136.19		ST
Trade date: Dec 29, 20	14,977	68.789	1,030.26	1,030.26	69.150	1,035.66	5.40		ST
Total reinvested	606,207	44.768			69.150	41,919.19	14,780.46		
EAT: \$413 Current yield: 0.34%									
Security total	1,771,632	46.604	55,426.62	55,426.62		122,508.35	39,943.00	67,081.73	
CULLEN HIGH DIVIDEND EQUITY I									

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
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Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-828-7144/800-729-4848

Your assets > Equities > Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (fund) gain or loss (\$)	Investment Holding return (\$)
Symbol: CHDMX								
Trade date: Aug 17, 11	4,802,339	12.116	58,189.37	58,189.37	14.730	70,738.45	12,549.08	LT
Trade date: Aug 18, 20	125,859	14.780	1,860.20	1,860.20	14.730	1,853.90	-6.30	ST
Total reinvested	3,810,720	16.148		61,536.72	14.730	56,131.90	-5,404.82	
EAL: \$3,731 Current yield: 2.90%								
Security total	8,738,918	13.913	60,049.57	121,586.29		128,724.26	7,137.96	68,674.68
THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2								
Symbol: KAFX								
Trade date: Sep 9, 97	0.777	29.882	23.21	23.21	44.390	34.48	11.27	LT
Trade date: Dec 23, 97	105,471	27.653	2,916.59	2,916.59	44.390	4,681.85	1,765.26	LT
Trade date: Dec 23, 97	5,806	27.653	160.57	160.57	44.390	257.75	97.18	LT
Trade date: Dec 23, 97	2,364	27.656	65.37	65.37	44.390	104.92	39.55	LT
Trade date: Sep 22, 99	908,171	34.016	30,004.00	30,004.00	44.390	40,240.25	10,236.25	LT
Trade date: Aug 11, 00	165,334	31.851	5,266.20	5,266.20	44.390	7,339.18	2,072.98	LT
Trade date: Oct 8, 07	132,730	37.710	5,005.25	5,005.25	44.390	5,891.88	886.63	LT
Trade date: Dec 29, 20	56,763	44.069	2,501.53	2,501.53	44.390	2,519.71	18.18	ST
Total reinvested	5,013,457	33.397		167,438.18	44.390	222,547.36	55,109.18	
EAL: \$4,486 Current yield: 1.58%								
Security total	6,391,473	33.385	45,942.72	213,380.90		283,717.48	70,336.58	237,774.76
Total			\$161,418.91	\$417,532.54		\$534,950.09	\$117,417.54	\$373,531.18
Total estimated annual Income: \$8,630								



Advisor Allocation Program

December 2020

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Your assets (continued)

Fixed Income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for security is issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MASSACHUSETTS WATER RESO SR C RV BE/R/ RATE 05.000% MATURES 08/01/22 ACCRUED INTEREST \$624.99 CUSIP 576051RVO Moody: Aa1 S&P: AA+ EAT \$1,500 Current yield: 4.65% Original cost basis: \$32,577.30	Oct 01, 20	30,000.000	107.421	32,226.56	107.613	32,283.90	57.34	ST
SAN DIEGO CNTY CA WTR AU SR A RV BE/R/ RATE 05.000% MATURES 05/01/23 ACCRUED INTEREST \$208.33 CUSIP 797412CJD Moody: Aa2 S&P: AAA EAT \$1,250 Current yield: 4.49% Original cost basis: \$28,155.00	Sep 18, 20	25,000.000	111.243	27,810.98	111.251	27,812.75	1.77	ST
VERMONT CNTY R SCH BRD SR B RV BE/R/ RATE 05.000% MATURES 08/01/23 ACCRUED INTEREST \$729.16 CUSIP 92884EHAB Moody: Aa3 S&P: Not rated EAT \$1,750 Current yield: 4.50% Original cost basis: \$39,460.75	Oct 01, 20	35,000.000	111.626	39,069.31	111.022	38,857.70	-211.61	ST

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
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 423-928-7144/800-728-4848

Your assets + Fixed Income + Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DISTRICT COLUMBIA WTR & SR A BE/								
RATE 03.000% MATURES 10/01/23								
ACCURED INTEREST \$150.00								
CUSIP 254845L7								
Moody: Aa2 S&P: AA+								
EAT: \$600 Current yield: 2.79%								
Original cost basis: \$21,578.80	Sep 30, 20	20,000.000	107.232	21,446.48	107.432	21,486.40	39.92	ST
NEW YORK ST ENVIRONMENTAL SR B RV BE/								
RATE 05.000% MATURES 10/15/23								
DATED DATE 12/17/20								
ACCURED INTEREST \$9.72								
CUSIP 64985HD38								
Moody: Aaa S&P: AAA								
EAT: \$250 Current yield: 4.41%								
Original cost basis: \$5,674.85	Nov 19, 20	5,000.000	113.311	5,665.59	113.333	5,666.63	1.04	ST
PALM BEACH CNTY FLA SCH SR A RV BE/								
RATE 05.000% MATURES 08/01/25								
ACCURED INTEREST \$520.83								
CUSIP 696550D74								
Moody: Aa3								
EAT: \$1,250 Current yield: 4.14%								
Original cost basis: \$30,306.50	Sep 11, 20	25,000.000	119.916	29,979.12	120.717	30,179.25	200.13	ST
NEW YORK ST ENVIRONMENTAL SR D BE/								
RATE 05.000% MATURES 09/15/26								
CALLABLE 03/15/25 @ 100.00								
ACCURED INTEREST \$147.22								
CUSIP 64985HUG0								
Moody: Aaa S&P: AAA								
EAT: \$500 Current yield: 4.16%								
Original cost basis: \$12,058.10	Sep 14, 20	10,000.000	119.232	11,923.29	120.161	12,016.10	92.81	ST

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Advisor Allocation Program December 2020

Account name: JEANES INVESTMENTS LLC
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WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ST LUKE CNTY FL SCH ST								
ASSUR RV BE/RV								
RATE 05.000% MATURES 10/01/26								
CALLABLE 10/01/25 @ 100.00								
ACCruED INTEREST \$250.00								
CUSIP 79207QC7								
Moody: A1 S&P: AA								
EAI: \$1,000 Current yield: 4.14%								
Original cost basis: \$24,166.60	Oct 09, 20	20,000.000	119.895	23,979.04	120.717	24,143.40	164.36	ST
CONNECTICUT ST REVOLVING								
SR A RV BE/RV								
RATE 05.000% MATURES 03/01/27								
CALLABLE 03/01/25 @ 100.00								
ACCruED INTEREST \$416.66								
CUSIP 20775YBY1								
Moody: Aaa S&P: AAA								
EAI: \$1,250 Current yield: 4.18%								
Original cost basis: \$30,067.25	Sep 11, 20	25,000.000	118.895	29,723.92	119.565	29,891.25	167.33	ST
MIAMI DADE CNTY FL COP 0								
BE/RV								
RATE 05.000% MATURES 05/01/27								
CALLABLE 05/01/25 @ 100.00								
ACCruED INTEREST \$166.66								
CUSIP 59333MAR80								
Moody: Aaa S&P: A+								
EAI: \$1,000 Current yield: 4.23%								
Original cost basis: \$23,780.40	Sep 30, 20	20,000.000	117.876	23,575.31	118.341	23,668.20	92.89	ST
PENNSYLVANIA ST TRK COMM								
SR A RV BE/RV								
RATE 05.000% MATURES 12/01/27								
CALLABLE 05/01/26 @ 100.00								
ACCruED INTEREST \$145.83								
CUSIP 709224PV5								
Moody: A1 S&P: Not rated								
EAI: \$1,750 Current yield: 4.04%								
Original cost basis: \$42,599.90	Sep 11, 20	35,000.000	120.586	42,205.13	123.721	43,302.35	1,097.22	ST

1,097.22 ST
continued next page



Advisor Allocation Program

December 2020

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Fiduciary account name: Jeanes Inv LLC
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423-928-7144/800-729-4848

Your assets + Fixed Income + Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NEW YORK ST ENVIRON FACS								
SR B RV BE/RV								
RATE 05.000% MATURES 06/15/29								
CALLABLE 06/15/28 @ 100.00								
ACCUMULATED INTEREST \$44.44								
CUSIP 649880H1E8								
Moody: Aaa S&P: AAA								
EAT: \$1,000 Current yield: 3.78%								
Original cost basis: \$26,458.40	Sep 11, 20	20,000.000	131.053	26,210.61	132.345	26,469.00	258.39	ST
LOS ANGELES CNTY CA M ET								
SR A RV BE/RV								
RATE 05.000% MATURES 06/01/30								
ACCUMULATED INTEREST \$125.00								
CUSIP 54468H1M9								
S&P: AA								
EAT: \$1,500 Current yield: 3.60%								
Original cost basis: \$41,214.90	Sep 01, 20	30,000.000	136.160	40,848.23	138.869	41,660.70	812.47	ST
ILLINOIS FIN AUTH PO R I								
RV BE/RV								
RATE 05.000% MATURES 07/01/30								
CALLABLE 07/01/29 @ 100.00								
ACCUMULATED INTEREST \$750.00								
CUSIP 45204EX37								
S&P: AAA								
EAT: \$1,500 Current yield: 3.71%								
Original cost basis: \$39,958.80	Sep 11, 20	30,000.000	132.093	39,627.98	134.755	40,426.50	798.52	ST
Total		\$339,000.000		\$394,291.55		\$397,864.15	\$3,572.60	
Total accrued interest: \$4,288.84								
Total estimated annual income: \$16,100								



Advisor Allocation Program

December 2020

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Your assets - Fixed Income (continued)

Closed end funds & Exchange traded products

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Oct 24, 17	843,000	84.289	71,056.26	71,056.26	86.380	72,818.34	1,762.08		LT
Trade date: Apr 11, 18	390,000	83.440	32,541.60	32,541.60	86.380	33,688.20	1,146.60		LT
Trade date: Apr 11, 18	306,000	83.440	25,532.64	25,532.64	86.380	26,432.28	899.64		LT
EAT: \$1,250 Current yield: 0.94%									
Security total	1,539,000	83.905	129,130.50	129,130.50		132,938.82	3,808.32	3,808.32	
ISHARES 20+ YEAR TREAS BOND ETF									
Symbol: TLT									
Trade date: Aug 14, 20	110,000	163.849	18,023.49	18,023.49	157.730	17,350.30	-673.19		ST
Trade date: Dec 29, 20	5,000	157.070	785.35	785.35	157.730	788.65	3.30		ST
EAT: \$272 Current yield: 1.50%									
Security total	115,000	163.555	18,808.84	18,808.84		18,138.95	-669.89	-669.89	
ISHARES BROAD USD INVT GRADE CORPORATE BOND ETF									
Symbol: USIG									
Trade date: Aug 13, 20	489,000	61.470	30,058.83	30,058.83	61.970	30,303.33	244.50	244.50	ST
EAT: \$854 Current yield: 2.82%									
ISHARES TRUST ISHARES 1-5 YEAR INVT GRADE CORPORATE BOND ETF									
Symbol: ICSB									
Trade date: Jan 16, 19	347,000	51.820	17,981.54	17,981.54	55.170	19,143.99	1,162.45		LT
Trade date: Aug 13, 20	1,189,000	54.959	65,346.96	65,346.96	55.170	65,597.13	250.17		ST

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Advisor Allocation Program

December 2020

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Your assets + Fixed Income + Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (fixed) gain or loss (\$)	Investment return (\$)	Holding period
IShares MBS ETF									
EAI: \$2,004 Current yield: 2.36%									
Security total	1,536,000	54.250	83,328.50	83,328.50		84,741.12	1,412.62	1,412.62	
IShares MBS ETF									
Symbol: MBS									
Trade date: Aug 13, 20	331,000	110.775	36,666.53	36,666.53	110.130	36,453.03	-213.50	-213.50	ST
EAI: \$7.66 Current yield: 2.10%									
IShares Floating Rate Bond ETF									
Symbol: FLOT									
Trade date: Jan 16, 19	751,000	50.590	37,993.09	37,993.09	50.720	38,090.72	97.63	97.63	LT
Trade date: Jan 16, 19	657,000	50.590	33,237.63	33,237.63	50.720	33,323.04	85.41	85.41	LT
Trade date: Jan 16, 19	375,000	50.590	18,971.25	18,971.25	50.720	19,020.00	48.75	48.75	LT
EAI: \$1.130 Current yield: 1.25%									
Security total	1,783,000	50.590	90,201.97	90,201.97		90,433.76	231.79	231.79	
Total			\$984,195.17	\$984,195.17		\$995,005.01	\$10,809.84	\$10,809.84	
Total estimated annual income: \$6,276									

Mutual funds

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (fixed) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK FLOATING RATE INCOME									
PORTFOLIONSTITUTIONAL									
Symbol: BFRUX									
Trade date: Jan 26, 16	3,520,326	9.819	34,569.60	34,569.60	9.870	34,745.61	176.01	176.01	LT
Trade date: Oct 21, 16	2,556,539	10.169	26,000.00	26,000.00	9.870	25,233.04	-766.96	-766.96	LT
Trade date: Jan 24, 17	745,210	10.249	7,638.40	7,638.40	9.870	7,355.12	-283.18	-283.18	LT

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Fixed Income - Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (day) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Dec 29, 20	204,026	9.860	2,011.70	2,011.70	9.870	2,013.74	2.04		ST
Total reinvested	2,767,908	9.996		27,669,782	9.870	27,319,25	-350.53		
EAT: \$3,878 Current yield: 4.01%									
Security total	9,794,009	9.996	70,219.70	97,889.48		96,666.86	-1,222.62	26,447.16	

BLACKROCK HIGH YIELD BOND

PORTFOLIONSTITUTIONAL

Symbol: BHYX

Trade date: Jan 24, 17	6,640,987	7.689	51,069.19	51,069.19	7.790	51,733.29	664.10		LT
Trade date: Jul 10, 18	1,740,939	7.639	13,300.77	13,300.77	7.790	13,561.91	261.14		LT
Trade date: Sep 18, 18	1,288,069	7.670	9,879.49	9,879.49	7.790	10,034.06	154.57		LT
Trade date: Jun 25, 20	1,246,970	7.209	8,990.65	8,990.65	7.790	9,713.90	723.25		ST
Trade date: Dec 29, 20	234,270	7.779	1,822.62	1,822.62	7.790	1,824.96	2.34		ST
Total reinvested	3,597,334	7.607		27,367.792	7.790	28,023.23	655.44		
EAT: \$5,914 Current yield: 5.15%									
Security total	14,748,569	7.623	85,062.72	112,430.51		114,891.35	2,460.84	29,828.63	

Total estimated annual income: \$9,792

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (day) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (day) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (day) gain or loss (\$)	Investment return (\$)	Holding period

THE INCOME FUND OF

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Advisor Allocation Program

December 2020

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Other - Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
AMERICA FUND CLASS F2									
Symbol: AMERX									
Trade date: Sep 22, 99	2,428,792	17.167	41,695.74	41,695.74	23.520	57,125.18	15,429.44		LT
Trade date: Aug 14, 01	1,182,999	16.906	20,000.00	20,000.00	23.520	27,824.12	7,824.12		LT
Trade date: Dec 29, 20	69,086	23.379	1,615.23	1,615.23	23.520	1,624.90	9.67		ST
Total reinvested	1,479,956	19.279		28,532.32	23.520	34,808.55	6,276.23		
EAI: \$3,644 Current yield: 3.00%									
Security total	5,160,882	17.796	63,310.97	91,843.29		121,382.76	29,539.46		58,071.78

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash alternatives	* Money market funds	129,514.62	1.45%	56,686.62		
Equities	Common stock	801,189.43	3.32%	599,212.51	18,097.00	201,976.92
	Closed end funds & Exchange traded products	1,348,942.40		840,430.40	18,374.00	408,512.00
	Mutual funds	534,950.09		417,582.54	8,630.00	117,367.54
	Total equities	2,585,081.92	66.30%	1,857,175.45	45,101.00	727,806.46
Fixed Income	Municipal securities	397,864.15		394,291.55	16,100.00	3,572.60
	Closed end funds & Exchange traded products	393,009.01		386,195.17	6,276.00	4,813.84
	Mutual funds	211,558.21		210,319.99	9,792.00	1,238.22
	Total accrued interest	4,288.84				
	Total fixed income	1,006,720.21	25.82%	992,806.71	32,168.00	9,624.66
Other	Mutual funds	121,382.76	3.11%	91,843.29	3,644.00	29,539.46
Total		\$3,899,386.13	100.00%	\$2,998,572.07	\$80,973.00	\$767,070.58

* Missing cost basis information.



Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4948

Account activity this month

For more information about the prices shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity	Price/Share (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 30		Cash and money balance					\$53,944.96
Dec 1	Interest	LOS ANGELES CNTY CA M ET SR A RV DUE 060130 DTD 082720 FC 120120 PAID ON 30000 CUSIP: 54466HLM9	BE/R/5			391.67	
Dec 1	Bought	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO		45.000	42.817600	-1,926.79	
Dec 1	Sold	CHEVRON CORP UNSOLICITED SYMBOL: CVX		-23.000	91.665000	2,108.25	
Dec 1	Dividend	INTEL CORP PAID ON SYMBOL: INTC				219.45	
Dec 1	Dividend	PHILIPS 66 PAID ON SYMBOL: PSX	219			197.10	
Dec 1	Dividend	TRUIST FINL CORP PAID ON SYMBOL: TFC	294			132.30	
Dec 1	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/30/20 SYMBOL: BFRUX				318.41	
Dec 1	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.79 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BFRUX		32.524		-318.41	
Dec 1	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/30/20 SYMBOL: BHYX				489.16	
Dec 1	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.69 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BHYX		63.610		-489.16	
Dec 1	Interest	PENNSYLVANIA ST TPK COMM SR A RV 5 DUE 120127 DTD 062116 CALLABLE PAID ON 35000 CUSIP: 709224PVS	BE/R/			875.00	55,941.96

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Advisor Allocation Program

December 2020

Account manager: JEANES INVESTMENTS LLC
 Friendly account manager: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-938-7144/808-723-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/Exec yield	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 3	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 100				101.00	56,082.95
		SYMBOL: UPS					
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 1571				51.64	
		SYMBOL: SHY					
Dec 7	Dividend	ISHARES 20+ YEAR TREAS BOND ETF PAID ON 110				17.93	
		SYMBOL: TLT					
Dec 7	Dividend	ISHARES BROAD USD INVY GRADE CORPORATE BOND ETF PAID ON 503				70.14	
		SYMBOL: USIG					
Dec 7	Dividend	ISHARES TRUST ISHARES 1-5 YEAR INVY GRADE CORPORATE BOND ETF PAID ON 1574				106.70	
		SYMBOL: ICSB					
Dec 7	Dividend	ISHARES MBS ETF PAID ON 335				66.81	
		SYMBOL: MBS					
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/20				39	
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 1629				39.70	56,456.27
		SYMBOL: FLOT					
Dec 8	Dividend	AMGEN INC PAID ON 116				185.60	
		SYMBOL: AMGN					
Dec 8	Dividend	JOHNSON & JOHNSON COM PAID ON 238				240.38	56,882.25
		SYMBOL: JNJ					
Dec 9	Dividend	CRANE CO PAID ON 136				58.48	56,940.73
		SYMBOL: CR					
Dec 10	Dividend	AMER ELECTRIC POWER CO PAID ON 126				93.24	
		SYMBOL: AEP					
Dec 10	Dividend	CHEVRON CORP PAID ON 129				166.41	
		SYMBOL: CVX					
Dec 10	Dividend	MICROSOFT CORP PAID ON 394				187.04	
		SYMBOL: MSFT					
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON 69				70.63	57,461.25
		SYMBOL: ROK					

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Fidelity account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-779-4848

Account activity this month (continued)

Date	Activity	Description	Year expense code	Quantity Price/sale	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 15	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO			653	267.73	
Dec 15	Dividend	MACDONALD'S CORP PAID ON SYMBOL: MCD			137	168.99	
Dec 15	Dividend	NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE			208	72.80	
Dec 15	Interest	NEW YORK ST ENVIRON EACS SR B RV DUE 06/15/29 DTD 11/29/18 CALLABLE PAID ON 20001 CUSIP: 64885DHE8	BEV/ 5			500.00	59,470.77
Dec 16	Dividend	THE INCOME FUND OF AMERICA FUND CLASS F2 SYMBOL: AMIEFX				1,488.49	59,959.26
Dec 17	Dividend	HOME DEPOT INC PAID ON SYMBOL: HD			124	186.09	
Dec 17	Foreign Dividend	LINDE PLC ELR PAID ON SYMBOL: LIN			105	101.12	
Dec 17	Dividend	RAYTHEON TECHNOLOGIES CORP PAID ON 217 SYMBOL: RTX				103.08	
Dec 17	Dividend	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 SYMBOL: ICAFX				1,246.51	
Dec 17	Bought	NEW YORK ST ENVIRONAMENTS SR B RV 5 DUE 10/15/23 DTD 12/17/20 FC 04/15/21 YIELD TO MATURITY CUSIP: 64885HD38	BEV/ 5 0.210%	5,000.000	113.49/100.00	-5,674.85	55,915.12
Dec 18	Dividend	ISHARES MSCI EAFE ETF PAID ON SYMBOL: EFA			1885	1,309.12	
Dec 18	Dividend	ISHARES MSCI USA MIN VOL PACIORN ETF PAID ON 4467 SYMBOL: USMV				1,350.32	
Dec 18	Dividend	AMER FUNDS EUROPAFCR GROWTH FUND CLASS F2 SYMBOL: AEPFX				484.45	

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Advisor Allocation Program December 2020

Account name: JEANES INVESTMENTS LLC
Fidelity account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7146/800-729-4846

Account activity this month (continued)

Date	Activity	Description	Your sequence code	Quantity/ Trade Value	Price/Share (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 18	Reinvestment	AMER FUNDS EUROPA/CFC GROWTH FUND CLASS F2 DIVIDEND REINVESTED AT 68.324 NAV ON 12/17/20 SYMBOL: AEPFX		7.099		-484.45	58,574.55
Dec 21	Dividend	BLACKROCK INC PAID ON 36 SYMBOL: BLK				130.68	
Dec 21	Dividend	VF CORP PAID ON 328 SYMBOL: VFC				156.80	59,852.04
Dec 22	Sold	AMER FUNDS EUROPA/CFC GROWTH FUND CLASS F2 FROD 03562178537 SYMBOL: AEPFX		-117.850	67.280	7,928.92	66,790.95
Dec 23	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 1571 SYMBOL: SHY				58.22	
Dec 23	Dividend	ISHARES 20+ YEAR TREAS BOND ETF PAID ON 110 SYMBOL: TLT				1803	
Dec 23	Dividend	ISHARES BROAD USD INTL GRADE CORPORATE BOND ETF PAID ON 503 SYMBOL: USIG				68.83	
Dec 23	Dividend	ISHARES TRUST ISHARES 1-3 YEAR INTL GRADE CORPORATE BOND ETF PAID ON 1574 SYMBOL: IG58				148.64	
Dec 23	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 1829 SYMBOL: FLOT				45.12	
Dec 23	Dividend	CULLEN HIGH DIVIDEND EQUITY I SYMBOL: CHDVX				305.11	
Dec 23	LT Cap Gain	CULLEN HIGH DIVIDEND EQUITY I LONG TERM CAPITAL GAIN SYMBOL: CHDVX				10,454.65	
Dec 23	Reinvestment	CULLEN HIGH DIVIDEND EQUITY I DIVIDEND REINVESTED AT 14.43 NAV ON 12/22/20 SYMBOL: CHDVX		21.144		-305.11	
Dec 23	Reinvestment	CULLEN HIGH DIVIDEND EQUITY I LT CAP GAINS REINVESTED AT 14.43 NAV ON 12/22/20 SYMBOL: CHDVX		725.201		-10,454.65	67,121.80

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 24	Sold	AMER ELECTRIC POWER CO UNSOLICITED SYMBOL: AEP		-11,000	81.26	893.84	
Dec 24	Sold	AMGEN INC UNSOLICITED SYMBOL: AMGN		-10,000	221.25	2,212.45	
Dec 24	Sold	COMCAST CORP NEW CL A UNSOLICITED SYMBOL: CMCSA		-53,000	49,902.700	2,644.78	
Dec 24	Sold	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO		-54,000	44,702.400	2,413.88	
Dec 24	Sold	BLACKROCK INC UNSOLICITED SYMBOL: BLK		-2,000	693,569.800	1,387.11	
Dec 24	Sold	COCA COLA CO COM UNSOLICITED SYMBOL: KO		-4E,000	52.78	2,427.83	
Dec 24	Sold	CHUBB LTD CHF UNSOLICITED SYMBOL: CB		-17,080	150,060.100	2,550.86	
Dec 24	Sold	CRANE CO UNSOLICITED SYMBOL: CR		-2E,000	75,939.300	1,518.76	
Dec 24	Sold	DIAGEO PLC NEW GB SPON ADR UNSOLICITED CLUSIP: 25A9Q205 / SYMBOL: DIO		-11,000	157,660.100	1,734.22	
Dec 24	Sold	HOME DEPOT INC UNSOLICITED SYMBOL: HD		-1E,000	270.02	2,700.14	
Dec 24	Sold	INTEL CORP UNSOLICITED SYMBOL: INTC		-4E,000	46,061.700	2,210.91	
Dec 24	Sold	JOHNSON & JOHNSON COM UNSOLICITED SYMBOL: JNJ		-2E,000	152,302.400	3,045.98	
Dec 24	Sold	JPMORGAN CHASE & CO UNSOLICITED SYMBOL: JPM		-2E,000	122.07	2,807.55	
Dec 24	Sold	UNDE PLC EUR UNSOLICITED SYMBOL: UN		-4,000	253.98	2,285.77	
Dec 24	Dividend	LOCKHEED MARTIN CORP PAID ON SYMBOL: LMT	62			161.20	
Dec 24	Sold	LOCKHEED MARTIN CORP UNSOLICITED SYMBOL: LMT		-4,000	348,300.100	2,089.75	

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity Price value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 24	Sold	MICROSOFT CORP UNSOLICITED SYMBOL: MSFT		-23,000	223.43	5,138.78	
Dec 24	Sold	MARSH & MCLENNAN COS INC UNSOLICITED SYMBOL: MMC		-20,000	114.710100	2,294.15	
Dec 24	Sold	MCDONALDS CORP UNSOLICITED SYMBOL: MCD		-9,000	212.03	1,908.23	
Dec 24	Sold	MEDTRONIC PLC UNSOLICITED SYMBOL: MDT		-20,000	114.90	2,297.95	
Dec 24	Sold	NOVARTIS AG SPON ADR UNSOLICITED CUSIP: 66987V109 / SYMBOL: NVS		-25,000	88.01	2,200.20	
Dec 24	Sold	NEXTERA ENERGY INC COM UNSOLICITED SYMBOL: NEE		-19,000	74.61	1,417.56	
Dec 24	Sold	PHILLIPS 66 UNSOLICITED SYMBOL: PSX		-20,000	67.77	1,356.37	
Dec 24	Sold	PROCTER & GAMBLE CO UNSOLICITED SYMBOL: PG		-18,000	136.74	2,461.27	
Dec 24	Sold	REPUBLIC SERVICES INC UNSOLICITED SYMBOL: RSG		-15,000	95.73	1,436.92	
Dec 24	Sold	ROCKWELL AUTOMATION INC NEW UNSOLICITED SYMBOL: ROK		-5,000	247.22	1,236.07	
Dec 24	Sold	ACCENTURE PLC IRELAND CL A UNSOLICITED SYMBOL: ACN		-9,000	259.23	2,333.02	
Dec 24	Sold	TEXAS INSTRUMENTS UNSOLICITED SYMBOL: TXN		-14,000	162.210100	2,270.89	
Dec 24	Sold	TRUIST FINL CORP UNSOLICITED SYMBOL: TFC		-28,000	46.135000	1,291.75	
Dec 24	Sold	UNION PACIFIC CORP UNSOLICITED SYMBOL: UNP		-10,000	201.65	2,016.46	
Dec 24	Sold	UNITED PARCEL SERVICE INC CL B UNSOLICITED SYMBOL: UPS		-8,000	174.522900	1,396.15	
Dec 24	Sold	VF CORP UNSOLICITED SYMBOL: VFC		-31,000	83.272500	2,581.39	

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Fiduciary account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/23/20 SYMBOL: BFRX				85.94	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 9.85 NAV ON 12/23/20 AS OF 12/23/20 SYMBOL: BFRX		8.725		-85.94	
Dec 24	Sold	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL FBOID 03581141583 SYMBOL: BFRX		-856.931	9.850	8,460.47	
Dec 24	Sold	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL FBOID 03581141591 SYMBOL: BHYX		-1,358.142	7.750	10,525.60	
Dec 24	Sold	AMER FUNDS EUROPEAN GROWTH FUND CLASS F2 FBOID 03581141625 SYMBOL: AEPX		-211.781	67.830	14,365.10	
Dec 24	Sold	THE INCOME FUND OF AMERICA FUND CLASS F2 FBOID 03581141609 SYMBOL: AMEX		-417.309	23.290	9,719.12	
Dec 24	Sold	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 FBOID 03581141617 SYMBOL: ICAF		-715.656	43.650	31,413.00	208,325.38
Dec 28	Transfer	JOURNAL TO JY 05936 CLARINDA P JEANES IRREVOCABLE TO JY 05936 6100				-12,853.50	
Dec 28	Sold	ISHARES MSCI EAFE ETF DE SYMBOL: EFA		-251.000	72.325000	18,153.18	
Dec 28	Sold	ISHARES MSCI USA MIN VOL FACTOR ETF DE SYMBOL: USMV		-367.000	67.195000	24,324.05	
Dec 28	Sold	S&P 500 MIDCAP 400 ETF TR DE SYMBOL: MIDY		-57.000	421.30	24,013.57	
Dec 28	Sold	VANGUARD GROWTH ETF DE SYMBOL: VUG		-112.000	251.680100	28,187.55	

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Identify account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WIL FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 28	Sold	SPDR S&P 500 ETF TR DE SYMBOL: SPY		-156,000	369.022200	57,566.19	
Dec 28	Sold	SAN DIEGO CNTY CA WTR AU SR A RV 5 DUE 05/01/23 DTD 08/22/15 0.549% YIELD TO MATURITY ACCRUED INT RECD \$79.17 CUSIP: 797412CJ0		-10,000.000	110.3390000	11,113.07	58,829.49
Dec 30	Transfer	TO JY 05936 6100				-194,825.00	
Dec 30	Cancelled	QUARTERLY FEE				254.22	
Dec 30	Sold	UBS GOVERNMENT MONEY MARKET INVESTMENTS FUND CLASS P M302 SYMBOL: PCEOX		-200,002.140	1.000	200,002.14	
Dec 30	Dividend	UNION PACIFIC CORP PAID ON SYMBOL: UNP	129			125.13	
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	780			359.27	
Dec 30	Bought	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL FBOID 03642073953 SYMBOL: BFRX		204,026	3.566	-2,011.70	
Dec 30	Bought	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL FBOID 03642073961 SYMBOL: BHYX		234,270	7.780	-1,822.62	
Dec 30	Bought	AMER FUNDS EUROPEAN GROWTH FUND CLASS F2 FBOID 03642073403 SYMBOL: AEFX		14,977	68.790	-1,030.26	
Dec 30	Bought	THE INCOME FUND OF AMERICA FUND CLASS F2 FBOID 03642073987 SYMBOL: AMEFX		69,086	23.380	-1,615.23	
Dec 30	Bought	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 FBOID 03642073995 SYMBOL: ICAFX		56,763	44.070	-2,501.53	
Dec 30	Sold	CULLEN HIGH DIVIDEND EQUITY I FBOID 03642073411 SYMBOL: CHDVX		-426,696	14.590	6,225.49	
Dec 30	Fee Charged	Quarterly Fee Correction				-254.27	61,737.13
Dec 31	Bought	ISHARES MSCI EAFE ETF DE SYMBOL: EFA		10,000	73.417100	-734.17	

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Advisor Allocation Program December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number: ...

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 31	Sold	ISHARES 1-3 YR TREAS BOND ETF DE SYMBOL: SHY		-32.000	86.37	2,763.78	
Dec 31	Bought	ISHARES 20+ YEAR TREAS BOND ETF DE SYMBOL: TLT		5.000	157.07	-785.35	
Dec 31	Sold	ISHARES BROAD USD INV GRADE CORPORATE BOND ETF DE SYMBOL: USIG		-14.000	61.862300	866.05	
Dec 31	Sold	ISHARES TRUST ISHARES 1-5 YEAR INV GRADE CORPORATE BOND ETF DE SYMBOL: IGSS		-38.000	55.13	2,094.89	
Dec 31	Sold	ISHARES MBS ETF DE SYMBOL: MBB		-4.000	110.101900	440.40	
Dec 31	Sold	ISHARES FLOATING RATE BOND ETF DE SYMBOL: FLOT		-46.000	50.730800	2,333.57	
Dec 31	Bought	ISHARES MSCI USA MIN VOL FACTOR ETF DE SYMBOL: USMV		89.000	67.135000	-5,975.02	
Dec 31	Sold	SPDR S&P MIDCAP 400 ETF TR DE SYMBOL: MDY		-5.000	414.470100	2,072.30	
Dec 31	Bought	VANGUARD GROWTH ETF DE SYMBOL: VUG		16.000	252.79	-4,044.64	
Dec 31	Bought	SPDR S&P 500 ETF TR DE SYMBOL: SPY		11.000	371.119600	-4,082.32	56,686.62
Dec 31		Closing cash and money balance					\$56,686.62
		Proceeds from Investment transactions					\$531,156.61
		Accrued Interest received					\$79.17
		Funds used for Investment transactions					-\$44,352.20
Money balance activities							
		Date	Activity	Description	Amount (\$)		
		Nov 30	Balance forward		\$48,027.53		
		Dec 1	Deposit	UBS BANK USA BUSINESS ACCOUNT	5,917.45		
		Dec 2	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,996.98		
		Dec 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	101.00		
		Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/20	0.39		
		Dec 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	412.92		

continued next page



Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Primary account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Money balance and other (continued)	Date	Activity	Description	Amount (\$)
	Dec 9	Deposit	UBS BANK USA BUSINESS ACCOUNT	402.98
	Dec 10	Deposit	UBS BANK USA BUSINESS ACCOUNT	58.48
	Dec 11	Deposit	UBS BANK USA BUSINESS ACCOUNT	520.52
	Dec 16	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,009.52
	Dec 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-4,192.26
	Dec 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,689.21
	Dec 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,659.44
	Dec 22	Deposit	UBS BANK USA BUSINESS ACCOUNT	287.48
	Dec 23	Deposit	UBS BANK USA BUSINESS ACCOUNT	7,828.92
	Dec 24	Deposit	UBS BANK USA BUSINESS ACCOUNT	330.84
	Dec 28	Deposit	UBS BANK USA BUSINESS ACCOUNT	141,203.58
	Dec 29	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/29/20	-149,493.89
	Dec 31	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-2,792.87
	Dec 31	Closing	UBS Bank USA Business Account	\$56,086.62

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gains or losses recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gains or loss. Please check with your tax advisor. To calculate gains and losses, we use the oldest security lot first. This is known as the first-in, first-out (FIFO) accounting method. We use this method unless you specify which lot to use when you place your order. This is typical as it minimizes purchases or VSP order. See Important Information about your statement at the end of this document for more.

Information: We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for late-enrollment and Allot (upon maturity) securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you request that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for those securities has been adjusted. Estimates in the Unclassified section can't be classified as short-term or long-term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale adjustment (\$)	Loss (\$)	Gain (\$)
AMER ELECTRIC POWER CO	FIFO	11,000	Aug 14, 20	Dec 22, 20	893.84	917.51		-23.67	
AMGEN INC	FIFO	10,000	Sep 29, 20	Dec 22, 20	2,412.45	2,473.79		-261.34	
BLACKROCK INC	FIFO	1,000	Aug 14, 20	Dec 22, 20	693.55	588.91			104.64
CHEVRON CORP	FIFO	28,000	Aug 14, 20	Nov 27, 20	2,109.85	2,074.14			34.71
CISCO SYSTEMS INC	FIFO	42,000	Apr 04, 20	Dec 22, 20	2,100.97	1,901.62			199.35
	FIFO	7,000	Aug 14, 20	Dec 22, 20	312.91	297.55			15.36
CRANE CO	FIFO	20,000	Aug 14, 20	Dec 22, 20	1,518.76	1,226.10			292.66

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4898

Realized gains and losses (continued)

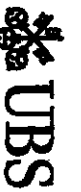
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ISHARES BRICAD USD INVT GRADE CORPORATE BOND ETF	FIFO	14,000	Aug 13, 20	Dec 29, 20	866.05	860.58			5.47
ISHARES MBS ETF	FIFO	4,000	Aug 13, 20	Dec 29, 20	440.40	443.10		-2.70	
ISHARES MSCI EAFE ETF	FIFO	251,000	Mar 03, 20	Dec 23, 20	18,153.18	15,696.89			2,456.29
JOHNSON & JOHNSON COM	FIFO	20,000	Aug 14, 20	Dec 22, 20	3,045.98	2,979.09			66.89
PHILLIPS 66	FIFO	20,000	Aug 14, 20	Dec 22, 20	1,355.37	1,256.40			98.97
PROCTER & GAMBLE CO	FIFO	8,000	Aug 14, 20	Dec 22, 20	1,093.90	1,082.30			11.60
ROCKWELL AUTOMATION INC NEW	FIFO	5,000	Aug 14, 20	Dec 22, 20	1,236.07	1,169.03			67.04
SAN DIEGO CNTY CA WTR AU SR A RV BEV/ 5,000 050123 DTD 092215 Original cost basis : \$11,262.00	FIFO	10,000,000	Sep 18, 20	Dec 23, 20	11,033.90	11,135.08		-101.18	
UNION PACIFIC CORP	FIFO	10,000	Apr 06, 20	Dec 22, 20	2,016.46	1,460.00			556.46
UNITED PARCEL SERVICE INC CL B	FIFO	8,000	Nov 06, 20	Dec 22, 20	1,396.15	1,333.00			63.15
Total					\$50,478.19	\$46,895.09		-\$383.89	\$3,971.99
Net short-term capital gains and losses									\$3,588.10

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CL A	FIFO	9,000	Oct 17, 18	Dec 22, 20	2,333.02	1,431.36			901.66
AMER FUNDS EUROPACIFIC GROWTH FUND CLASS F2	FIFO	117,850	Jan 17, 06	Dec 21, 20	7,928.92	4,995.04			2,933.88
	FIFO	211,781	Jan 17, 06	Dec 23, 20	14,365.10	8,976.29			5,388.81
BLACKROCK FLOATING RATE INCOME PORTFOLIONSTITUTIONAL	FIFO	858,931	Jan 26, 16	Dec 23, 20	8,460.47	8,434.70			25.77

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4846

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BLACKROCK HIGH YIELD BOND	FIFO	1,358.142	Jan 24, 17	Dec 23, 20	10,525.60	10,444.11			81.49
PORTFOLIONSTITUTIONAL	FIFO	1,000	Oct 17, 18	Dec 22, 20	693.56	411.39			282.17
BLACKROCK INC	FIFO	17,000	Apr 06, 16	Dec 22, 20	2,550.96	2,022.70			528.26
CHARR LTD CHF	FIFO	46,000	Dec 14, 15	Dec 22, 20	2,427.83	1,940.51			487.32
COCA COLA CO COM	FIFO	26,000	Oct 17, 18	Dec 22, 20	1,297.44	947.44			350.00
COMCAST CORP NEW CL A	FIFO	27,000	Nov 05, 18	Dec 22, 20	1,347.34	1,031.34			316.00
CULLEN HIGH DIVIDEND EQUITY I	FIFO	426.696	Aug 17, 11	Dec 29, 20	6,225.49	5,170.22			1,055.27
DIAGEO PLC NEW GB SPON ADR	FIFO	11,000	Jan 09, 12	Dec 22, 20	1,734.22	960.63			773.59
HOME DEPOT INC	FIFO	10,000	Aug 19, 15	Dec 22, 20	2,700.14	1,230.30			1,469.84
INTEL CORP	FIFO	48,000	Jul 08, 13	Dec 22, 20	3,210.91	1,120.16			1,090.75
ISHARES FLOATING RATE BOND ETF	FIFO	46,000	Jan 16, 19	Dec 29, 20	2,393.57	2,327.14			66.43
ISHARES MSCI USA MIN VOL FACTOR ETF	FIFO	362,000	May 14, 19	Dec 23, 20	24,324.05	21,404.23			2,919.82
ISHARES TRUST ISHARES 1-5 YEAR INTL GRADE CORPORATE BOND ETF	FIFO	38,000	Jan 16, 19	Dec 29, 20	2,094.89	1,969.16			125.73
ISHARES 1-3 YR TREAS BOND ETF	FIFO	32,000	Oct 24, 17	Dec 29, 20	2,763.78	2,697.27			66.51
JPMORGAN CHASE & CO	FIFO	23,000	Jan 09, 18	Dec 22, 20	2,807.55	2,507.42			300.13
LINDE PLC EUR	FIFO	9,000	Sep 12, 16	Dec 22, 20	2,285.77	1,471.10			814.67
LOCKHEED MARTIN CORP	FIFO	6,000	Nov 05, 18	Dec 22, 20	2,089.75	1,817.34			272.41
MARSH & MCLENNAN COS INC	FIFO	20,000	Sep 08, 15	Dec 22, 20	2,294.15	1,067.60			1,226.55
MCDONALDS CORP	FIFO	7,000	Nov 10, 15	Dec 22, 20	1,484.18	787.14			697.04
MEDTRONIC PLC	FIFO	2,000	Nov 06, 17	Dec 22, 20	424.05	338.85			85.20
MICROSOFT CORP	FIFO	20,000	Jan 27, 15	Dec 22, 20	2,297.95	1,513.37			784.58
NEXTERA ENERGY INC COM	FIFO	23,000	Dec 09, 13	Dec 22, 20	5,138.78	886.88			4,251.90
	FIFO	19,000	Mar 03, 14	Dec 22, 20	1,417.56	432.63			984.93

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NOVARTIS AG SPON ADR	RFO	25,000	Jan 09, 12	Dec 22, 20	2,200.20	1,247.97			952.23
PROCTER & GAMBLE CO	RFO	10,000	Apr 09, 19	Dec 22, 20	1,367.37	1,044.60			322.77
REPUBLIC SERVICES INC	RFO	15,000	Jul 23, 18	Dec 22, 20	1,435.92	1,021.50			414.42
SPDR S&P MIDCAP 400 ETF TR	RFO	57,000	Jun 24, 16	Dec 23, 20	24,013.57	15,106.73			8,906.84
	RFO	5,000	Jun 24, 16	Dec 29, 20	2,072.30	1,325.15			747.15
SPDR S&P 500 ETF TR	RFO	156,000	Dec 15, 14	Dec 23, 20	57,566.19	31,216.30			26,349.89
TEXAS INSTRUMENTS	RFO	14,000	Jun 27, 19	Dec 22, 20	2,270.89	1,625.85			645.04
THE INCOME FUND OF AMERICA FUND CLASS F2	RFO	417,309	Sep 22, 99	Dec 23, 20	9,719.12	7,164.05			2,555.07
THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2	RFO	20,169	Mar 09, 92	Dec 23, 20	880.40	358.26			522.14
	RFO	287,595	Apr 08, 92	Dec 23, 20	12,553.54	5,002.00			7,551.54
	RFO	279,870	Jun 12, 92	Dec 23, 20	12,216.34	5,002.00			7,214.34
	RFO	2,546	Dec 20, 95	Dec 23, 20	111.16	54.76			56.40
	RFO	6,602	Dec 20, 95	Dec 23, 20	288.21	141.98			146.23
	RFO	40,368	Dec 20, 95	Dec 23, 20	1,762.07	868.11			893.96
	RFO	5,666	Mar 05, 96	Dec 23, 20	247.34	127.64			119.70
	RFO	5,532	Jun 12, 96	Dec 23, 20	241.49	128.32			113.17
	RFO	5,683	Sep 10, 96	Dec 23, 20	248.09	128.98			119.11
	RFO	6,183	Dec 27, 96	Dec 23, 20	269.91	151.27			118.64
	RFO	44,610	Dec 27, 96	Dec 23, 20	1,947.24	1,091.33			855.91
	RFO	0,883	Dec 27, 96	Dec 23, 20	38.55	21.61			16.94
	RFO	5,192	Mar 11, 97	Dec 23, 20	226.55	135.86			90.79
	RFO	4,941	Jun 10, 97	Dec 23, 20	215.69	136.49			79.20
	RFO	3,810	Sep 09, 97	Dec 23, 20	166.32	113.87			52.45
TRUST FUND CORP	RFO	28,000	Dec 10, 18	Dec 22, 20	1,291.75	1,204.21			87.54
VANGUARD GROWTH ETF	RFO	112,000	Jun 24, 16	Dec 23, 20	28,187.55	11,696.62			16,490.93

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Advisor Allocation Program
December 2020

Account name: JEANES INVESTMENTS LLC
Friendly account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VF CORP	FRD	31.000	Nov 16, 12	Dec 22, 20	2,581.39	1,128.73			1,452.66
Total					\$280,676.28	\$175,580.51			\$105,095.77
Net long-term capital gains or losses									
Net capital gains/losses:									
Gains and losses not calculated									
\$105,095.77 \$105,095.77 \$108,678.87									

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
UBS GOVERNMENT MONEY MARKET INVESTMENTS FUND CLASS P		200,002.140		Dec 29, 20	200,002.14	0.00			
—This information was unavailable—									

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
JY05936	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 28, 20
Tetlow Agents, LLC	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 04, 20
Wyoming Secretary of State	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 04, 20

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 11

David Phillip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

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Managed Accounts Consulting

December 2020

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005936.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions, if your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important Information about your statement* at the end of this document.

Your return objective:
Current income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Account name: CLARINDA P JEANES IRREVOCABLE • INHERITANCE TRUST

GDOT

Friendly account name: GDOT/BlackRock

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	3,871,892.00	4,029,146.99
Your liabilities	0.00	0.00
Value of your account	\$3,871,892.00	\$4,029,146.99
Accrued interest in vault above	\$43,466.56	\$38,381.91

As a service to you, your portfolio value of \$4,029,146.99 includes accrued interest.

- Your account instructions**
- Your account is managed by BlackRock.
 - Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$3,871,892.00	\$4,027,427.88
Deposits, including investments transferred in	507,676.50	649,065.00
Withdrawals and fees, including investor's transferred out	-358,032.50	-767,959.09
Dividend and interest income	18,389.29	154,919.38
Change in value of accrued interest	-5,084.65	-3,406.93
Change in market value	-5,693.65	-30,899.25
Closing account value	\$4,029,146.99	\$4,029,146.99



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Fiduciary account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances values. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$48,576.19	\$64,431.94
Additions		
Deposits and other funds credited	507,676.50	649,065.00
Dividend and interest income	18,389.29	154,919.38
Proceeds from investment transactions	0.00	1,006,472.00
Total additions	\$526,065.79	\$1,810,456.38
Subtractions		
Professional management fees and related services	0.00	-23,359.09
Other funds debited	-358,032.50	-744,600.00
Funds withdrawn for investments bought	0.00	-890,319.75
Total subtractions	-\$358,032.50	-\$1,658,278.84
Net cash flow	\$168,033.29	\$152,177.54
Closing balances	\$216,609.48	\$216,609.48

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 430, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable interest	0.40	62.44
Tax-exempt interest	18,388.89	141,854.17
Tax-exempt accrued interest paid	0.00	-1,875.00
Tax-exempt accrued interest received	0.00	14,877.77
Total current year	\$18,389.29	\$154,919.38
Total dividend & interest	\$18,389.29	\$154,919.38

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	December 2020 (\$)	Year to date (\$)	Unrealized gains and losses (\$)
Short term	0.00	-49,156.98	15,502.69
Long term	0.00	8,018.57	239,597.27
Total	\$0.00	-\$41,138.41	\$255,099.96



Managed Accounts Consulting
December 2020

Account name: CLAUDIA P JEANES IRREVOCABLE
Friendly account name: GDOT/Bledrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 6 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 6	\$48,576.19
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$66,965.48
Number of days in interest period	31
Average daily balance	\$52,640.39
Interest earned	\$0.40
Annual percentage yield earned	0.01%



Your notes



Managed Accounts Consulting

December 2020

Account name: CLAIRNDIA P JEANES IRREVOCABLE
Fiduciary account name: GDOOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-725-4946

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch 1 deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SPC. Money market sweep balances are protected by SPC but are not insured by the FDIC.

For FDIC Insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC Insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the Important Information about your statement at the end of this document for details about these balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)
Cash	-12,853.50	-12,853.50
UBS FDIC INSURED DEP PGM	61,429.69	229,462.98
Total	\$48,576.19	\$216,609.48

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (\$)	Status
1	UBS Bank USA	229,462.98	
2	Citibank, N.A.	0.00	
3	State Street Bank and Trust	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	East West Bank	0.00	
6	Citizens Bank, N.A.	0.00	
7	BBVA USA	0.00	
8	Pacific Western Bank	0.00	
9	Almeida Bank	0.00	
10	Centennial Bank	0.00	
Total		\$229,462.98	



Managed Accounts Consulting

December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
 Friendly account name: GDOFBBLACKROCK
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-723-4848

Your assets (continued)

Fixed income

Municipal securities

Pricing are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus purchases may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KNOXVILLE TN								
2014 BE/R/								
RATE 05.000% MATURES 05/01/21								
ACCURED INTEREST \$833.33								
CUSIP 499731E29								
Moody: Aa1 S&P: A++								
EAT: \$2,500 Current yield: 4.92%								
Original cost basis: \$120,396.00	Sep 17, 14	100,000.000	101,090	101,090.68	101,568	101,568.00	477.32	LT
WEST KNOX UTIL DIST KNOX								
RV BE/R/								
RATE 05.000% MATURES 06/01/22								
ACCURED INTEREST \$416.67								
CUSIP 953564G65								
Moody: Not rated S&P: A++								
EAT: \$5,000 Current yield: 4.68%								
Original cost basis: \$114,450.00	Dec 08, 16	100,000.000	103,925	103,925.58	106,807	106,807.00	2,881.42	LT
SHELBY CNTY TN								
SR A BE/R/								
RATE 05.000% MATURES 03/01/23								
ACCURED INTEREST \$2,500.00								
CUSIP 821686L42								
Moody: Aa1 S&P: A++								
EAT: \$7,500 Current yield: 4.53%								
Original cost basis: \$187,773.00	Apr 03, 13	150,000.000	105,970	158,956.19	110,385	165,577.50	6,621.31	LT

continued next page



Managed Accounts Consulting

December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MET GOVT NASHVILLE/DAVID								
BEV/								
RATE 05.000% MATURES 07/01/23								
CALLABLE 07/01/22 @ 100.00								
ACCRUED INTEREST \$1,500.00								
CUSIP 592112RM8								
Moody: Aa2 S&P: AA								
EAT: \$3,000 Current yield: 4.67%								
Original cost basis: \$67,714.27								
	Oct 30, 13	60,000.00	103.377	62,026.77	107.142	64,285.20	2,258.43	LT
SUMNER CNTY TN								
BEV/								
RATE 05.000% MATURES 12/01/23								
ACCRUED INTEREST \$500.00								
CUSIP 866407C24								
Moody: Not rated S&P: Aa+								
EAT: \$6,000 Current yield: 4.39%								
Original cost basis: \$149,349.60								
	Apr 15, 15	120,000.00	108.754	130,505.04	113.810	136,572.00	6,066.96	LT
PUTNAM CNTY TENN RPDG								
BEV/								
RATE 05.000% MATURES 04/01/24								
ACCRUED INTEREST \$2,187.50								
CUSIP 746873Y15								
Moody: Aa2 S&P: Not rated								
EAT: \$8,750 Current yield: 4.34%								
Original cost basis: \$197,184.75								
	Nov 08, 18	175,000.00	107.831	188,705.77	115.093	201,412.75	12,706.98	LT
RUTHERFORD CNTY TN								
BEV/								
RATE 05.000% MATURES 04/01/24								
ACCRUED INTEREST \$2,187.50								
CUSIP 7832436X1								
Moody: Aa1 S&P: Aa+								
EAT: \$8,750 Current yield: 4.34%								
Original cost basis: \$202,520.50								
	Apr 17, 20	175,000.00	112.962	197,683.66	115.198	201,596.50	3,912.84	ST

continued next page



Managed Accounts Consulting

December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
 Friendly account name: GDOT/Blackrock
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BEV/								
SHREIB CO TN HLTH ED A								
BEV/								
RATE 05.000% MATURES 08/01/24								
ACCRUED INTEREST \$1,562.49								
CUSIP 821697M55								
Moody: A2 S&P: A+								
EAI: \$3,750 Current yield: 4.35%								
Original cost basis: \$92,809.50								
MALURV CNTY TN								
BEV/								
RATE 05.000% MATURES 04/01/25								
ACCRUED INTEREST \$2,125.00								
CUSIP 577625X14								
Moody: A2 S&P: Not rated								
EAI: \$8,500 Current yield: 4.18%								
Original cost basis: \$207,162.70								
LINCOLN CNTY TN SCH								
BEV/								
RATE 05.000% MATURES 05/01/25								
ACCRUED INTEREST \$1,041.66								
CUSIP 533407005								
Moody: A2								
EAI: \$6,250 Current yield: 4.18%								
Original cost basis: \$143,098.75								
FRANKLIN TN SFL SCH DIST								
BEV/								
RATE 05.000% MATURES 06/01/25								
ACCRUED INTEREST \$312.50								
CUSIP 354771QW0								
Moody: A2 S&P: Not rated								
EAI: \$3,750 Current yield: 4.14%								
Original cost basis: \$91,000.50								
	Dec 29, 17	75,000.000	113.053	84,790.03	120.713	90,534.75	5,744.72	LT

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONTGOMERY CNTY TN FOR I								
SR A BEV/								
RATE 05.000% MATURES 06/01/25								
ACCRUED INTEREST \$729.17								
CUSIP 61366ADT1								
Moody: Aa2 S&P: AA								
EAT: \$8,750 Current yield: 4.14%								
Original cost basis: \$209,349.00								
	Apr 21, 20	175,000.00	117.238	205,167.42	120.811	211,419.25	6,251.83	ST
TEXAS WTR DEV BRD								
RV BEV/								
RATE 05.000% MATURES 08/01/25								
ACCRUED INTEREST \$3,124.99								
CUSIP 8828542W1								
S&P: AAA								
EAT: \$7,500 Current yield: 4.11%								
Original cost basis: \$182,569.50								
	May 19, 20	150,000.00	119.310	178,965.22	121.528	182,292.00	3,326.78	ST
MET GOVT NASHVILLE DAVID								
BEV/								
RATE 05.000% MATURES 01/01/26								
ACCRUED INTEREST \$4,375.00								
CUSIP 592112QY5								
Moody: Aa2 S&P: AA								
EAT: \$8,750 Current yield: 4.05%								
Original cost basis: \$201,687.50								
	Nov 08, 18	175,000.00	110.964	194,187.87	123.378	215,911.50	21,723.63	LT
KNOXVILLE TN ELEC								
SR HH RV BEV/								
RATE 05.000% MATURES 07/01/26								
ACCRUED INTEREST \$2,500.00								
CUSIP 499746C78								
Moody: Aa2 S&P: AA								
EAT: \$5,000 Current yield: 4.02%								
Original cost basis: \$120,678.00								
	Mar 09, 17	100,000.00	112.878	112,878.41	124.495	124,495.00	11,616.59	LT

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Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Fidelity account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(s)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain/loss (\$)	Holding period
MADISON CNTY TN SCH								
BEV/								
RATE 05.000% MATURES 05/01/27								
ACCURED INTEREST \$624.99								
CUSIP 557412WXX7								
Moody: Aa2								
EAT: \$3,750 Current yield: 3.91%								
Original cost basis: \$89,803.50								
	Jul 06, 18	75,000.000	114.620	85,950.62	127.996	95,997.00	10,046.38	LT
CLARKSVILLE TN EL SYS								
BEV/								
RATE 05.000% MATURES 09/01/27								
ACCURED INTEREST \$1,250.00								
CUSIP 182494HP2								
Moody: Aa2								
EAT: \$3,750 Current yield: 3.87%								
Original cost basis: \$89,107.75								
	Oct 01, 18	75,000.000	114.459	85,844.94	129.092	96,819.00	10,974.06	LT
MEMPHIS TN GAS SYS								
BEV/								
RATE 05.000% MATURES 12/01/27								
CALLABLE 12/01/26 @ 100.00								
ACCURED INTEREST \$729.17								
CUSIP 58616PAL2								
Moody: Aa1 S&P: AA-								
EAT: \$8,750 Current yield: 3.97%								
Original cost basis: \$203,444.50								
	Nov 20, 18	175,000.000	112.311	196,545.28	126.080	220,640.00	24,094.72	LT
MONTGOMERY CNTY TN								
BEV/								
RATE 05.000% MATURES 04/01/28								
ACCURED INTEREST \$1,250.00								
CUSIP 61366ACM4								
S&P: AA								
EAT: \$5,000 Current yield: 3.83%								
Original cost basis: \$117,675.00								
	Nov 06, 18	100,000.000	114.058	114,058.36	130.664	130,664.00	16,605.64	LT

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Fidelity account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face vs us at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MICHIGAN ST BLDG AUTH								
SR I RV BEV/								
RATE 05.000% MATURES 04/15/28								
ACCURED INTEREST \$1,319.45								
CUSIP 594615HF6								
Moody: Aa2								
EAI: \$6,250 Current yield: 3.81%								
Original cost basis: \$163,418.75								
Sep 11, 20	125,000.00	129,596	161,995.01	131,205	164,006.25	2,011.24	ST	
FRANKLIN TN SPL SCH DIST								
BEV/								
RATE 05.000% MATURES 06/01/28								
ACCURED INTEREST \$312.50								
CUSIP 354771RN9								
Moody: Aa1								
EAI: \$3,750 Current yield: 3.80%								
Original cost basis: \$92,716.50								
Feb 27, 19	75,000.00	119,361	89,520.97	131,634	98,725.50	9,204.53	LT	
THE COMMONWEALTH OF MA								
SR B BEV/								
RATE 05.000% MATURES 07/01/28								
ACCURED INTEREST \$3,125.00								
CUSIP 57582RV9								
Moody: Aa1								
EAI: \$6,250 Current yield: 3.77%								
Original cost basis: \$151,590.00								
Dec 18, 18	125,000.00	117,140	146,425.05	132,770	165,962.50	19,537.45	LT	
UNIV OF CONNECTICUT								
SR A BEV/								
RATE 05.000% MATURES 11/01/28								
ACCURED INTEREST \$833.33								
CUSIP 914233TB4								
Moody: A1								
EAI: \$5,000 Current yield: 3.81%								
Original cost basis: \$122,023.00								
Apr 25, 19	100,000.00	118,542	118,542.10	131,125	131,125.00	12,582.90	LT	

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continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Fidelity account name: GDO78/blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
IONOX CNTY TN FOR PREN O								
BEV								
RATE 05.000% MATURES 04/01/29								
CALLABLE 04/01/28 @ 100.00								
ACCURED INTEREST \$1,250.00								
CUSIP 495513BZ1								
Moody: Aa1 S&P: Aaa								
EAL: \$5,000 Current yield: 3.83%								
Original cost basis: \$124,289.00	Apr 24, 19	100,000.000	120.148	120,148.18	130.490	130,490.00	10,341.82	LT
LINCOLN CO TN								
BEV								
RATE 05.000% MATURES 05/01/29								
CALLABLE 05/01/28 @ 100.00								
ACCURED INTEREST \$1,124.99								
CUSIP 533407YB2								
Moody: Aa2								
EAL: \$6,750 Current yield: 3.86%								
Original cost basis: \$165,090.15	Feb 27, 19	135,000.000	118.173	159,534.21	129.685	175,074.75	15,540.54	LT
MADISON CNTY TENN GO SCH								
BEV								
RATE 04.000% MATURES 05/01/29								
CALLABLE 05/01/28 @ 100.00								
ACCURED INTEREST \$666.67								
CUSIP 557412XZ1								
Moody: Aa2								
EAL: \$4,000 Current yield: 3.25%								
Original cost basis: \$117,571.00	May 22, 19	100,000.000	114.677	114,677.38	122.910	122,910.00	8,232.62	LT
Total		\$3,116,000.000		\$3,519,055.64		\$3,774,155.60	\$253,099.96	
Total accrued interest: \$38,381.91								
Total estimated annual income: \$152,000								



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number: /

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	216,609.48	5.38 %	216,609.48		
Fixed Income	3,774,155.60		3,519,055.64	152,000.00	255,099.96
Municipal securities	3,774,155.60		3,519,055.64	152,000.00	255,099.96
Total accrued interest	38,381.91				
Total Fixed Income	3,812,537.51	94.62 %	3,519,055.64	152,000.00	255,099.96
Total	\$4,029,146.99	100.00 %	\$3,735,665.12	\$152,000.00	\$255,099.96

Account activity this month

Date	Activity	Description	Amount (\$)
Dec 28	Transfer	FM JV 05931 5100	300,000.00
Dec 28	Transfer	JOURNAL FROM JV 05931 JEANES INVESTMENTS LLC	12,853.50
Dec 30	Transfer	FM JV 05931 5100	194,823.00
Total deposits and other funds credited			\$507,676.50

Date	Activity	Description	Amount (\$)

Dividend and Interest Income			
Taxable Interest			
Dec 7	Interest	IBS FDC INSURED DEPOSIT PROGRAM AS OF 12/04/20	0.40
Total taxable Interest			\$0.40
Tax-exempt Interest			
Dec 1	Interest	MEMPHIS TN GAS SYS RV BE/R/5 DUE 12/01/27 DTD 09/01/16	4,375.00
		CALABLE PAID ON 175000	
		(USIP: 58616PAL2	
Dec 1	Interest	FRANKLIN TN SCH DIST BE/R/5 DUE 06/01/28 DTD 03/12/19PAID ON 15000	1,875.00
		(USIP: 354771RNB9	
Dec 1	Interest	FRONTGOMERY CNTY TN FOR ISR A BE/R/5 DUE 06/01/25 DTD 05/15/20RC 12/01/20PAID ON 175000	4,763.89
		(USIP: 61366ADT1	
Dec 1	Interest	UNIONER CNTY TN BE/R/5 DUE 12/01/23 DTD 04/29/15PAID ON 120000	3,000.00
		(USIP: 866407K24	
Dec 2	Interest	WEST KNOX UTIL DIST KNOX RV BE/R/5 DUE 06/01/22 DTD 12/22/16	2,500.00
		PAID ON 100000AS OF 12/01/20	
		(USIP: 953564GES	

continued next page



Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDCT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Dec 2	Interest	FRANKLIN TN SPT SCH DIST BE/IV 5 DUE 060125 DTD 051816PAID ON 75000 AS OF 12/01/20 CUSIP: 354771QW0	1,875.00
Tax-exempt Interest (continued)			
Total tax-exempt Interest			\$18,388.89
Total dividend and Interest Income			\$18,388.89

Date	Activity	Description	Amount (\$)
Other funds debited			
Dec 22	Withdrawal	CHECK # 0001955224 TO Transamerica Life Insurance Company MEMO: policy no 65354522	-45,179.00
Dec 30	Transfer	TO JY 01954 2200	-300,000.00
Dec 31	Transfer	JOURNAL TO JY 01954 CLARINDA P JEANES LIVING TRUST	-12,853.50
Total other funds debited			-\$358,032.50

Date	Activity	Description	Amount (\$)
Money balance activities			
Nov 30	Balance forward		\$61,429.69
Dec 1	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 11/30/20	-17,953.50
Dec 2	Deposit	UBS FDIC INSURED DEPOSIT PGM	14,013.89
Dec 3	Deposit	UBS FDIC INSURED DEPOSIT PGM	4,375.00
Dec 7	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/04/20	0.40
Dec 23	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 12/22/20	-45,179.00
Dec 29	Deposit	UBS FDIC INSURED DEPOSIT PGM	312,853.50
Dec 31	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 12/30/20	-105,177.00
Dec 31	Closing	UBS FDIC-Insured Deposit Program	\$229,462.98

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer reaching individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.
To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Debit/credit	Type/Freq	Frequency	Start date	End date	Last used
Blackburn, Childers, and Sheagall, PLC	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 13, 20
JY01954	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 31, 20

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Managed Accounts Consulting
December 2020

Account name: CLARINDA P JEANES IRREVOCABLE
Friendly account name: GDOT/Blackrock
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Summary of approved payees and recurring payments (continued)

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
JY05931	varied	Transfer	Payee on file	Upon request	n/a	n/a	Mar 16, 20
Transamerica Life	varied	Check	Payee on file	Upon request	n/a	n/a	Dec 22, 20
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 13, 20



Your notes

EP20001002807362 FZ2000111915 00004 1220 02889246 JY0536FMO 100100
End of statement for account number JY 0536 FMO

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David Philip Roe

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 12

David Philip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

8722002807363 1220 X14 JV 0

Portfolio Management Program December 2020

Your Financial Advisor:

WLP FINANCIAL GROUP
Phone: 423-828-7144/800-729-4848

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005941.

Visit our website:

www.ubs.com/financialservices

Your investment objectives:

You have identified the following
investment objectives for this account.
Contact your Financial Advisor if you have
any questions, if your financial situation,
individual needs, risk tolerance or
investment objectives for your advisory
account(s) have changed, or if you would
like to impose or change investment
restrictions on your discretionary advisory
accounts. You can find a full description
of the alternative investment objectives in
important information about your
statement at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate
Investment eligibility consideration - None
selected

Account name: DONALD R JEANES EXEMPT

FAMILY SHARE TRUST

Friendly account name: Family Trust

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	554,357.61	571,275.23
Your liabilities	0.00	0.00
Value of your account	\$554,357.61	\$571,275.23

Your account instructions

- Your account is managed by your
Financial Advisor under the Portfolio
Management Program.
- Your account cost basis default closing
method is FIFO, First In, First Out.

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$554,357.61	\$528,164.44
Withdrawals and fees, including investments transferred out	-800.00	-17,974.32
Dividend and interest income	4,382.21	11,253.80
Change in market value	13,335.41	49,831.31
Closing account value	\$571,275.23	\$571,275.23



Portfolio Management Program

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity the month for details. Balances in your Sweep Options are included in the opening and closing balances value. FXC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FXC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SFC protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$10,446.72	\$34,907.65
Additions		
Dividend and interest income	4,382.21	11,253.80
Proceeds from investment transactions	0.00	158,304.17
Total additions	\$4,382.21	\$169,557.97
Subtractions		
Professional management fees and related services	0.00	-3,735.32
Other funds debited	-800.00	-14,459.00
Funds withdrawn for investments bought	-3,156.71	-175,619.08
Total subtractions	-\$3,956.71	-\$193,593.40
Net cash flow	\$425.50	-\$24,035.43
Closing balances	\$10,872.22	\$10,872.22

Dividend and interest income earned

For purposes of this statement, availability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable dividends	2,029.10	8,664.03
Long-term capital gains	2,353.11	2,353.11
Taxable interest	0.00	13.61
Total current year	\$4,382.21	\$11,030.75
Prior year adjustment	0.00	223.05
Total dividend & interest	\$4,382.21	\$11,253.80

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	December 2020 (\$)	Year to date (\$)	Realized gains and losses	Unrealized gains and losses (\$)
Short term	0.00	977.56	15,137.45	
Long term	0.00	13,956.79	90,150.32	
Total	\$0.00	\$14,934.35	\$105,287.77	



Portfolio Management Program
December 2020

Account name: DONALD R. JEWES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS Bank USA Business Account A/Y

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Business balance Nov 6	\$27,375.96
Closing UBS Bank USA Business balance Dec 6	\$10,446.72
Number of days in Interest period	31
Average daily balance	\$9,594.96
Interest earned	\$0.00
Annual percentage yield earned	0.00%



Your notes



Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SFC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SFC. Money market sweep balances are protected by SFC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs. Certificates of Deposits and UBS Core Savings, FDIC Insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the Important Information about your statement at the end of this document for details about these balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)
Cash	-800.00	0.00
UBS BANK USA BUS ACCT	11,246.72	10,872.22
Total	\$10,446.72	\$10,872.22

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (lay) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (lay) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (lay) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES MSCI USA MAIN VOL									
FACTOR ETF									
Symbol: USMV									
Trade date: May 2, 19	359,000	59.139	21,338.94	21,338.94	67.880	24,368.92	3,029.98		LT
Trade date: Apr 15, 20	299,000	58.395	17,022.07	17,022.07	67.880	19,888.84	2,866.77		ST

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Portfolio Management Program

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WFL FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (gain or loss) (\$)	Investment return (\$)	Holding period
Trade date: Jun 4, 20	9,000	62.217	559,96	559,96	67.880	610.92	50.96		ST
EAI: \$814 Current yield: 1.81%									
Security total	661,000	58.882	38,920.97	38,920.97		44,868.68	5,947.71	5,947.71	
ISHARES MSCI INTL MULTIFACTOR ETF									
Symbol: INTF									
Trade date: Sep 4, 18	1,216,000	27.385	33,300.16	33,300.16	27.180	33,050.88	-249.28		LT
Trade date: Apr 15, 20	280,000	20.901	5,852.44	5,852.44	27.180	7,610.40	1,757.96		ST
Trade date: Jun 9, 20	5,000	23.990	139.00	139.00	27.180	135.90	-3.10		LT
Trade date: Nov 5, 20	5,000	24.980	124.90	124.90	27.180	135.90	11.00		ST
EAI: \$845 Current yield: 2.06%									
Security total	1,506,000	26.173	39,416.50	39,416.50		40,933.08	1,516.58	1,516.58	
SPDR PORTFOLIO DEVELOPED WORLD EX-LUS ETF									
Symbol: SPDW									
Trade date: Oct 16, 14	610,000	26.381	16,092.90	16,092.90	33.750	20,587.50	4,494.60		LT
Trade date: Feb 20, 15	1,000	28.190	28.19	28.19	33.750	33.75	5.56		LT
Trade date: Jun 23, 15	67,000	29.340	1,965.78	1,965.78	33.750	2,261.25	295.47		LT
Trade date: Nov 18, 15	61,000	26.536	1,618.72	1,618.72	33.750	2,058.75	440.03		LT
Trade date: Mar 23, 16	20,000	25.090	501.80	501.80	33.750	675.00	173.20		LT
Trade date: Jul 5, 16	64,000	24.628	1,576.20	1,576.20	33.750	2,160.00	583.80		LT
Trade date: Jan 23, 17	22,000	26.470	582.34	582.34	33.750	742.50	160.16		LT
Trade date: May 16, 17	179,000	29.229	5,232.15	5,232.15	33.750	6,041.25	809.10		LT
Trade date: Feb 14, 19	36,000	28.447	1,024.10	1,024.10	33.750	1,215.00	190.90		LT
Trade date: Apr 15, 20	176,000	24.515	4,314.64	4,314.64	33.750	5,940.00	1,625.36		ST
Trade date: Jan 9, 20	2,000	28.965	57.93	57.93	33.750	67.50	9.57		ST
EAI: \$780 Current yield: 1.87%									
Security total	1,238,000	26.652	32,994.75	32,994.75		41,782.50	8,787.75	8,787.75	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Oct 16, 14	34,000	236.345	8,035.73	8,035.73	419.920	14,277.28	6,241.55		LT

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Nov 18, 15	35,000	260.010	9,100.35	9,100.35	419.920	14,697.20	5,596.85		LT
Trade date: Jul 5, 16	1,000	268.920	268.92	268.92	419.920	419.92	151.00		LT
Trade date: May 16, 17	1,000	314.490	314.49	314.49	419.920	419.92	105.43		LT
Trade date: Apr 15, 20	16,000	275.560	4,408.97	4,408.97	419.920	6,718.72	2,309.75		ST
EAT: \$410 Current yield: 1.12%									
Security total	87,000	254.350	22,128.46	22,128.46		36,533.04	14,404.58		
WISDOMTREE US SMALLCAP DIVID									
Symbol: DES									
Trade date: Aug 26, 15	112,000	99.678	11,164.02	11,164.02	253.340	28,374.08	17,210.06		LT
Trade date: Jul 5, 16	119,000	106.954	12,727.53	12,727.53	253.340	30,147.46	17,419.93		LT
Trade date: Jan 23, 17	2,000	114.540	229.08	229.08	253.340	506.68	277.60		LT
Trade date: Apr 15, 20	13,000	171.075	2,223.98	2,223.98	253.340	3,293.42	1,069.44		ST
EAT: \$414 Current yield: 0.66%									
Security total	246,000	107.092	26,344.61	26,344.61		62,321.64	35,977.03		
RUND ETF									
Symbol: DES									
Trade date: Nov 5, 20	475,000	22.558	10,715.12	10,715.12	26.600	12,635.00	1,919.88		ST
EAT: \$347 Current yield: 2.75%									
Total			\$170,520.41	\$170,520.41		\$239,073.94	\$68,553.53	\$68,553.53	
Total estimated annual income: \$3,610									

Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Mutual Funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WIP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets + Equities + Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (loss) gain or loss (\$)	Investment return (\$)	Holding period
WIP VALUE FUND CLASS I									
Symbol: MIEIX									
Trade date: Jun 28, 13	483,410	29.424	14,224.08	14,224.08	45.110	21,806.64	7,582.56		LT
Trade date: Apr 30, 14	13,298	33.584	446.62	446.62	45.110	599.89	153.27		LT
Trade date: Oct 16, 14	13,575	32.390	439.70	439.70	45.110	612.37	172.67		LT
Trade date: Jul 5, 16	170,800	34.589	5,907.96	5,907.96	45.110	7,704.79	1,796.83		LT
Trade date: Jun 4, 20	3,047	40.443	123.23	123.23	45.110	137.45	14.22		ST
Trade date: Nov 5, 20	422,512	42.300	17,872.26	17,872.26	45.110	19,059.51	1,187.25		ST
Total reinvested	324,922	36.883		11,984.36	45.110	14,657.24	2,672.88		
EAT: \$1,035 Current yield: 1.60%									
Security total	1,431,565	35.624	39,013.85	50,998.21		64,577.89	13,579.68	25,564.04	
NEUBERGER BERMAN GENESIS INSTITUTIONAL									
Symbol: NBGIX									
Trade date: Jul 5, 16	31,837	53.429	1,701.04	1,701.04	70.320	2,238.78	537.74		LT
Trade date: Oct 24, 16	79,924	55.559	4,440.56	4,440.56	70.320	5,620.26	1,179.70		LT
Trade date: May 16, 17	1,297	59.128	76.69	76.69	70.320	91.21	14.52		LT
Trade date: Apr 15, 20	11,387	47.471	540.56	540.56	70.320	800.73	260.17		ST
Total reinvested	389,625	56.404		21,976.47	70.320	27,398.43	5,421.96		
EAT: \$11 Current yield: 0.03%									
Security total	514,070	55.898	6,758.85	28,733.32		36,149.40	7,414.09	28,390.56	
VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I									
Symbol: HIEWX									
Trade date: Oct 16, 14	616,640	9.999	6,130.82	6,130.82	13.280	8,188.98	2,058.16		LT
Trade date: Feb 20, 15	10,389	10.370	109.81	109.81	13.280	140.62	30.81		LT
Trade date: Jun 23, 15	60,819	10.080	613.06	613.06	13.280	807.68	194.62		LT
Trade date: Jan 23, 17	278,461	9.280	2,584.12	2,584.12	13.280	3,697.96	1,113.84		LT
Trade date: Feb 14, 19	87,929	10.699	1,047.84	1,047.84	13.280	1,300.50	252.66		LT
Trade date: Apr 15, 20	147,663	9.140	1,349.64	1,349.64	13.280	1,960.96	611.32		ST
Total reinvested	139,532	10.270		1,433.00	13.280	1,852.98	419.98		

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EDEMT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAT \$112 Current yield 0.62%									
Security total	1,351,633	9.316	11,835.29	13,268.29		17,949.68	4,681.39	6,114.39	
Total			\$57,607.99	\$93,001.82		\$118,576.97	\$25,675.16	\$61,068.98	
Total estimated annual income: \$1,158									

¹ Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Fixed Income

Closed end funds & Exchange traded products

Total reinvested: Is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis: Is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss: Is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return: Is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Oct 24, 17	98,000	84.289	8,260.39	8,260.39	86.380	8,465.24	204.85		LT
Trade date: Apr 11, 18	61,000	83.440	5,139.06	5,139.06 ¹	86.380	5,269.18	130.12		LT
Trade date: Apr 11, 18	50,000	83.440	4,172.00	4,172.00	86.380	4,319.00	147.00		LT
Trade date: Feb 14, 19	21,000	83.590	1,776.83	1,776.83 ²	86.380	1,813.98	37.15		LT
Trade date: May 2, 19	123,000	83.357	10,314.50	10,314.50	86.380	10,624.74	310.24		LT
Trade date: Jun 4, 20	33,000	86.329	2,855.46	2,855.46	86.380	2,850.54	-4.92		ST
Trade date: Jun 9, 20	6,000	86.510	519.06	519.06	86.380	518.28	-0.78		ST
Trade date: Nov 5, 20	32,000	86.195	2,764.64	2,764.64	86.380	2,764.16	-0.48		ST
EAT \$344 Current yield 0.94%									
Security total	424,000	84.139	35,801.94	35,801.94		36,625.12	823.18	823.18	
ISHARES 20+ YEAR TREAS BOND ETF									

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WILF FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets , Fixed Income , Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (gain or loss) (\$)	Investment return (\$)	Holding period
Trade date: Apr 15, 20	25,000	167.437	4,185.93	4,185.93	157.730	3,943.25	-242.68		ST
Trade date: Jun 4, 20	4,000	158.240	632.96	632.96	157.730	630.92	-2.04		ST
Trade date: Nov 5, 20	43,000	160.920	6,919.57	6,919.57	157.730	6,782.39	-137.18		ST
EAT: \$170 Current yield: 1.50%									
Security total	72,000	163.034	11,738.46	11,738.46		11,356.56	-381.90	-381.90	
ISHARES TRUST ISHARES 1-5 YEAR									
INVT GRADE CORPORATE BOND ETF									
Symbol: IGSS									
Trade date: Apr 15, 20	71,000	53.749	3,816.21	3,816.21	55.170	3,917.07	100.86		ST
Trade date: Jun 4, 20	42,000	54.378	2,283.88	2,283.88	55.170	2,317.14	33.26		ST
Trade date: Jun 9, 20	8,000	54.455	435.64	435.64	55.170	441.36	5.72		ST
EAT: \$158 Current yield: 2.37%									
Security total	121,000	54.014	6,535.73	6,535.73		6,675.57	139.84	139.84	
ISHARES MBS ETF									
Symbol: MBB									
Trade date: Jun 25, 20	83,000	110.740	9,191.42	9,191.42	110.130	9,140.79	-50.63		ST
Trade date: Nov 5, 20	8,000	110.245	881.96	881.96	110.130	881.04	-0.92		ST
EAT: \$211 Current yield: 2.11%									
Security total	91,000	110.696	10,073.38	10,073.38		10,021.83	-51.55	-51.55	
ISHARES FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Jan 16, 19	80,000	50.590	4,047.20	4,047.20	50.720	4,057.60	10.40		LT
Trade date: Jan 16, 19	42,000	50.590	2,124.78	2,124.78	50.720	2,130.24	5.46		LT
Trade date: Feb 14, 19	45,000	50.740	2,283.30	2,283.30	50.720	2,282.40	-0.90		LT
Trade date: May 2, 19	253,000	50.868	12,869.62	12,869.62	50.720	12,832.16	-37.46		LT
Trade date: Jun 4, 20	34,000	50.310	1,710.54	1,710.54	50.720	1,724.48	13.94		ST
Trade date: Jun 9, 20	5,000	50.420	252.10	252.10	50.720	253.60	1.50		ST
Trade date: Nov 5, 20	34,000	50.685	1,723.29	1,723.29	50.720	1,724.48	1.19		ST
EAT: \$313 Current yield: 1.25%									
Security total	463,000	50.732	25,010.83	25,010.83		25,004.96	-5.87	-5.87	

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Fixed Income - Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$89,160.34	\$89,160.34		\$89,684.04	\$523.70	\$523.70	

Total estimated annual income: \$1,196

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you realize each time you reinvest dividends become a separate tax lot.

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Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period

BLACKROCK FLOATING RATE

INCOME

PORTFOLIONSTITUTIONAL

Symbol: BFRDX

Trade date: Jul 5, 16	722,793	10.100	7,227.93	7,227.93	9.870	7,133.97	-93.96		LT
Trade date: Oct 24, 16	389,089	10.170	3,957.04	3,957.04	9.870	3,840.31	-116.73		LT
Trade date: Jan 23, 17	3,140	10.151	32.19	32.19	9.870	30.99	-1.20		LT
Trade date: May 16, 17	81,563	10.139	835.20	835.20	9.870	805.03	-30.17		LT
Trade date: Mar 21, 18	90,244	10.109	921.39	921.39	9.870	880.71	-30.68		LT
Trade date: Feb 14, 19	16,125	9.100	161.74	161.74	9.870	159.15	-2.59		LT
Trade date: May 2, 19	722,828	10.149	7,264.42	7,264.42	9.870	7,134.31	-130.11		LT
Trade date: Jun 4, 20	130,484	9.149	1,233.07	1,233.07	9.870	1,287.88	54.81		ST
Trade date: Jun 9, 20	4,974	9.149	47.50	47.50	9.870	49.09	1.59		ST
Trade date: Nov 5, 20	1,692,129	9.670	16,362.89	16,362.89	9.870	16,701.31	338.42		ST
Total reinvested	479,932	10.107		4,802.92	9.870	4,736.93	-65.99		ST
EAT \$1,716 Current yield: 4.01%									
Security total	4,333,301	9.188	38,043.37	42,846.29		42,769.68	-76.61	4,726.31	

BLACKROCK HIGH YIELD

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EGMPT
 Wendy account name: Family Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Fixed Income • Mutual Funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BOND									
PORTFOLIO INSTITUTIONAL									
Symbol: BMYXX									
Trade date: Jul 10, 18	271,507	7.639	2,074.31	2,074.31	7.790	2,115.04	40.73		LT
Trade date: Jul 10, 18	260,839	7.640	1,912.81	1,992.81	7.790	2,031.94	39.13		LT
Trade date: Sep 18, 18	688,865	7.669	5,211.59	5,283.59	7.790	5,366.26	82.67		LT
Trade date: Sep 18, 18	201,745	7.669	1,547.38	1,547.38	7.790	1,571.59	24.21		LT
Trade date: May 2, 19	818,785	7.619	6,231.14	6,231.14	7.790	6,378.34	139.20		LT
Trade date: Jun 4, 20	93,354	7.310	682.42	682.42	7.790	727.23	44.81		ST
Trade date: Jun 9, 20	5,129	7.360	3775	3775	7.790	39.95	2.20		ST
Trade date: Jun 25, 20	1,284,757	7.210	9,263.10	9,263.10	7.790	10,008.26	745.16		ST
Trade date: Nov 5, 20	562,303	7.590	4,267.88	4,267.88	7.790	4,380.34	112.46		ST
Total reinvested	466,544	7.549		3,522.11*	7.790	3,634.38	112.27		ST
EAI: \$1,866 Current yield: 5.15%									
Security total	4,653,828	7.501	31,388.38	34,910.49		36,253.32	1,342.84	4,864.95	
Total			\$69,491.75	\$77,756.78		\$79,023.00	\$1,266.23	\$9,591.25	

Total estimated annual income: \$3,582

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Other

Mutual Funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
JANUS HENDERSON BALANCED									
FUND CLASS I SHARES									
Symbol: JBAUX									

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Other - Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jul 5, 16	603,932	28.579	17,260.37	17,260.37	41.050	24,791.40	7,531.03		LT
Trade date: Oct 24, 16	7,985	29.090	232.29	232.29	41.050	327.78	95.49		LT
Trade date: May 16, 17	4,509	31.046	139.99	139.99	41.050	185.09	45.10		LT
Trade date: Jun 4, 20	27,045	36.259	980.65	980.65	41.050	1,110.20	129.55		ST
Total reinvested	183,449	33.047		6,062.60	41.050	7,530.58	1,467.98		
EAT: \$479 Current yield: 1.41%									
Security total	826,920	29.841	18,613.30	24,675.90		33,945.06	9,269.15		15,331.75

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Closed end funds & Exchange traded products	10,872.22	1.90%	10,872.22		
	Mutual funds	239,073.94		170,520.41	3,610.00	68,553.53
	Total equities	118,676.97		93,001.82	1,158.00	25,675.16
	Total equities	357,750.91	62.63%	263,572.23	4,768.00	94,228.69
Fixed Income	Closed end funds & Exchange traded products	89,684.04		89,160.34	1,196.00	523.70
	Mutual funds	79,023.00		77,756.78	3,582.00	1,266.23
	Total fixed income	168,707.04	29.53%	166,917.12	4,778.00	1,789.93
Other	Mutual funds	13,945.06	5.94%	24,675.90	479.00	9,269.15
Total		\$571,275.23	100.00%	\$465,987.47	\$10,025.00	\$105,287.77

Account activity this month

Dividend and interest income	Date	Activity	Description	Amount (\$)
Dividend	Dec 1	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/30/20	118.48
Dividend	Dec 1	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/30/20	138.04

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Portfolio Management Program
December 2020

Account name: DONALD R JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-926-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)				
Taxable dividends (continued)				
Date	Activity	Description	Amount (\$)	
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	424	13.94
Dec 7	Dividend	ISHARES 20+ YEAR TREAS BOND ETF PAID ON SYMBOL: TLT	72	11.74
Dec 7	Dividend	ISHARES TRUST ISHARES 1-5 YEAR INTL GRADE CORPORATE BOND ETF PAID ON SYMBOL: IGSB	121	12.82
Dec 7	Dividend	ISHARES MBS ETF PAID ON SYMBOL: MBB	91	18.15
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	493	10.70
Dec 15	Dividend	NEUBERGER BERMAN GENESIS INSTITUTIONAL SYMBOL: NBGX		10.77
Dec 18	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF PAID ON SYMBOL: USMV	661	199.81
Dec 18	Dividend	ISHARES MSCI INTL MULTIFACTOR ETF PAID ON SYMBOL: INTF	1506	332.33
Dec 18	Dividend	MFS VALUE FUND CLASS I SYMBOL: MFIKX		220.18
Dec 18	Dividend	JANUS HENDERSON BALANCED FUND CLASS I SHARES AS OF 12/17/20 SYMBOL: JBALX		168.66
Dec 23	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	424	13.56
Dec 23	Dividend	ISHARES 20+ YEAR TREAS BOND ETF PAID ON SYMBOL: TLT	72	11.80
Dec 23	Dividend	ISHARES TRUST ISHARES 1-5 YEAR INTL GRADE CORPORATE BOND ETF PAID ON SYMBOL: IGSB	121	11.43
Dec 23	Dividend	VIRTUS VONTIBE EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/22/20 SYMBOL: HIEBX		111.83
Dec 23	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	493	12.15
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAINS OF 12/23/20 SYMBOL: BFRGX		35.64

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Portfolio Management Program

December 2020

Account name: DONALD R JEANES BENEFIT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and interest income (continued)

Taxable dividends (continued)

Date	Activity	Description	Amount (\$)
Dec 24	Dividend	VISDOMTREE US SMALLCAP DIVID ETF PAID ON SYMBOL: DES	61.75
Dec 29	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	402.00
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	113.31
Total taxable dividends			\$2,029.10

Long-term capital gains

Dec 15	LT Cap Gain	HEUBERGER BERMAN GENESIS INSTITUTIONAL LONG TERM CAPITAL GAIN SYMBOL: NBGX	1,335.03
Dec 18	LT Cap Gain	ARS VALUE FUND CLASS I LONG TERM CAPITAL GAIN SYMBOL: MEIX	636.55
Dec 18	LT Cap Gain	JANUS HENDERSON BALANCED FUND CLASS I SHARES LONG TERM CAPITAL GAIN AS OF 12/17/20 SYMBOL: JBALX	361.53
Total long-term capital gains			2,353.11

Total dividend and interest income

\$4,382.21

Other funds debited

Date	Activity	Description	Amount (\$)
Dec 28	Transfer	JOURNAL TO JV 07495 ANY POLCK LIVING TRUST	-800.00
Total other funds debited			-\$800.00

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 1	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.79 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BFRDX	12.102				-118.48	

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Portfolio Management Program
December 2020

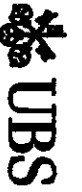
Account name: DONALD R. JEANES EXEMPT
Friendly account name: Family Trust
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued Interest (\$)
Dec 1	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.69 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BHYX	17.951				-138.04	
Dec 15	Reinvestment	NELBERGER BERMAN GENESIS INSTITUTIONAL LT CAP GAINS REINVESTED AT 67.73 NAV ON 12/14/20 SYMBOL: NBGX	19.711				-1,335.03	
Dec 15	Reinvestment	NELBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 67.73 NAV ON 12/14/20 SYMBOL: NBGX	0.159				-10.77	
Dec 18	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 44.65 NAV ON 12/17/20 SYMBOL: MEIX	4.931				-220.18	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 40.70 NAV ON 12/17/20 AS OF 12/17/20 SYMBOL: JBALX	4.144				-168.66	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 40.70 NAV ON 12/17/20 AS OF 12/17/20 SYMBOL: JBALX	8.883				-361.53	
Dec 18	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 44.65 NAV ON 12/17/20 SYMBOL: MEIX	14.704				-656.55	
Dec 23	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 12.94 NAV ON 12/22/20 AS OF 12/22/20 SYMBOL: HEMX	8.642				-111.83	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 9.85 NAV ON 12/23/20 AS OF 12/23/20 SYMBOL: BFRX	3.618				-35.64	
Total							-43,156.71	



Portfolio Management Program

December 2020

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	Nov 30	Balance forward		\$11,266.72
	Dec 1	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/30/20	-800.00
	Dec 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	67.35
	Dec 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	532.14
	Dec 24	Deposit	UBS BANK USA BUSINESS ACCOUNT	48.95
	Dec 28	Deposit	UBS BANK USA BUSINESS ACCOUNT	61.75
	Dec 29	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/28/20	-800.00
	Dec 30	Deposit	UBS BANK USA BUSINESS ACCOUNT	402.00
	Dec 31	Deposit	UBS BANK USA BUSINESS ACCOUNT	113.31
	Dec 31	Closing UBS Bank USA Business Account		\$10,672.22

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally, and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include bill payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	method	Disbursement	Type/Benefit	Frequency	Start date	End date	Last used date
Blackburn, Childers & Stegall	varied	Check		Payee on file	Upon request	n/a	n/a	Mar 16, 20
JY07495	varied	Transfer		Payee on file	Upon request	n/a	n/a	Dec 28, 20
TN Dept of Revenue	varied	Check		Payee on file	Upon request	n/a	n/a	Mar 16, 20
United States Treasury	varied	Check		Payee on file	Upon request	n/a	n/a	Mar 16, 20



Your notes

End of statement for account number JY 05941 PW

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David Philip Roe

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 13

David Phillip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EP22002807381 1220 X14 JV 0

Advisor Allocation Program

December 2020

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4868

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005947.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:

You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions. If your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current Income & capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - None selected

Account name: DONALD R JEANES MARITAL

SHARE TWO TRUST

Friendly account name: Marital Trust

Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	2,163,215.83	2,716,394.81
Your liabilities	0.00	0.00
Value of your account	\$2,163,215.83	\$2,716,394.81
Accrued interest in value above	\$5,256.89	\$5,567.87

As a service to you, your portfolio value of \$2,716,394.81 includes accrued interest.

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$2,163,215.83	\$3,179,591.19
Deposits, including investments transferred in	500,000.00	500,000.00
Withdrawals and fees, including investments transferred out	-7,856.34	-1,130,228.18
Dividend and interest income	7,856.65	44,799.92
Change in value of accrued interest	311.18	2,880.37
Change in market value	52,867.49	119,351.51
Closing account value	\$2,716,394.81	\$2,716,394.81



Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. The protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$31,343.13	\$667,628.21
Additions		
Deposits and other funds credited	500,000.00	500,000.00
Dividend and interest income	7,856.65	44,799.92
Proceeds from investment transactions	1,554.16	1,819,029.28
Total additions	\$509,410.81	\$2,363,829.20
Subtractions		
Professional management fees and related services	0.00	-1,011.96
Other fees	0.00	-1.84
Other funds debited	-7,856.34	-1,058,482.60
Funds withdrawn for investments bought	0.00	-1,423,057.41
Total subtractions	-\$7,856.34	-\$2,498,559.81
Net cash flow	\$501,554.47	-\$134,730.61
Closing balances	\$532,897.60	\$532,897.60

Dividend and interest income earned

For purposes of this statement, usability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable dividends	6,852.17	37,734.32
Taxable interest	0.31	388.24
Tax-exempt interest	1,004.17	8,006.95
Tax-exempt accrued interest paid	0.00	-1,593.60
Miscellaneous	0.00	108.00
Total current year	\$7,856.65	\$44,643.91
Prior year adjustment	0.00	156.01
Total dividend & interest	\$7,856.65	\$44,799.92

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2020 (\$)	Year to date (\$)	
Short term	17.20	-6,962.12	150,299.79
Long term	0.00	162,198.70	258,371.37
Total	\$17.20	\$155,236.58	\$408,671.16



Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Withholdings and tax summary

	December 2020 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-274.85

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 6 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 6	\$23,403.25
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$33,407.87
Number of days in Interest period	31
Average daily balance	\$28,842.09
Interest earned	\$0.31
Annual percentage yield earned	0.01%



Your notes



Advisor Allocation Program December 2020

Account name: DONALD R JEANES MARITAL
Fidelity account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important Information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs. Certificates of Deposits and UBS Core Savings, FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important Information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/interest period	Days in period
Cash	-5,942.93	492,143.66				
UBS FDIC INSURED DEP PGM	37,286.06	40,753.94				
Total	\$31,343.13	\$532,897.60				

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (S)	Status
1	UBS Bank USA	40,753.94	
2	Citibank, N.A.	0.00	
3	State Street Bank and Trust	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	East West Bank	0.00	
6	Citizens Bank, N.A.	0.00	
7	BBVA USA	0.00	
8	Pacific Western Bank	0.00	
9	AmeriBank	0.00	
10	Centennial Bank	0.00	
Total		\$40,753.94	



Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARTIAL
 Friendly account name: Martial Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC (IRELAND CL A)								
Symbol: ACN Exchange: NYSE								
EAI: \$553 Current yield: 1.35%								
	Jul 27, 17	25,000	128.249	3,206.23	261.210	6,530.25	3,324.02	LT
	Jul 27, 17	2,000	128.280	256.56	261.210	522.42	265.86	LT
	Oct 17, 18	25,000	158.690	3,967.25	261.210	6,530.25	2,563.00	LT
	Feb 18, 20	15,000	213.760	3,206.40	261.210	3,918.15	711.75	ST
	Mar 3, 20	46,000	181.492	8,348.67	261.210	12,015.66	3,666.99	ST
	Mar 26, 20	5,000	163.330	816.65	261.210	1,306.05	489.40	ST
	Jul 6, 20	39,000	219.368	8,555.39	261.210	10,187.19	1,631.80	ST
Security total		157,000	180.619	28,357.15		41,009.97	12,652.82	
ADOC INC. (DELAWARE)								
Symbol: ADOC Exchange: OTC								
	Sep 21, 16	6,000	107.130	642.78	500.120	3,000.72	2,357.94	LT
	Jul 27, 17	22,000	149.249	3,283.48	500.120	11,002.64	7,719.16	LT
Security total		28,000	140.224	3,926.26		14,003.36	10,077.10	
ALPHABET INC CL A								
Symbol: GOOGL Exchange: OTC								
	Sep 21, 16	1,000	801.050	801.05	1,752.640	1,752.64	951.59	LT
	Jul 27, 17	3,000	967.270	2,901.81	1,752.640	5,257.92	2,356.11	LT
	Jan 14, 19	2,000	1,055.740	2,111.48	1,752.640	3,505.28	1,393.80	LT
	May 13, 19	3,000	1,137.960	3,413.88	1,752.640	5,257.92	1,844.04	LT
	Jul 6, 20	10,000	1,504.455	15,044.55	1,752.640	17,526.40	2,481.85	ST
Security total		19,000	1,277.514	24,272.77		39,300.16	9,027.39	
AMAZON.COM INC								
Symbol: AMZN Exchange: OTC								
	Jan 7, 19	4,000	1,619.730	6,478.92	3,256.930	13,027.72	6,548.80	LT
	Apr 15, 19	1,000	1,840.560	1,840.56	3,256.930	3,256.93	1,416.37	LT
	Aug 12, 19	2,000	1,795.000	3,590.00	3,256.930	6,513.86	2,923.86	LT
	Jan 14, 20	1,000	1,886.250	1,886.25	3,256.930	3,256.93	1,370.68	ST
	Jan 15, 20	1,000	1,872.250	1,872.25	3,256.930	3,256.93	1,384.68	ST
	Jul 6, 20	3,000	3,016.940	9,050.82	3,256.930	9,770.79	719.97	ST
Security total		12,000	2,059.900	24,718.80		39,083.16	14,364.36	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMER ELECTRIC POWER CO								
Symbol: AEP Exchange: OTC								
EAI: \$281 Current yield: 3.55%								
	Mar 3, 20	23.000	95.442	2,195.17	83.270	1,915.21	-279.96	ST
	Mar 9, 20	19.000	97.295	1,848.61	83.270	1,582.13	-266.48	ST
	May 6, 20	43.000	84.260	3,623.18	83.270	3,580.61	-42.57	ST
	Jul 6, 20	10.000	81.740	890.152	83.270	832.70	-57.45	LT
Security total		95.000	90.075	8,557.11		7,910.65	-646.46	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAI: \$217 Current yield: 2.01%								
	Jul 23, 18	19.000	139.867	2,657.49	224.460	4,264.74	1,607.25	LT
	Dec 16, 19	21.000	213.759	4,488.95	224.460	4,713.66	224.71	LT
	Nov 18, 20	4.000	239.475	957.90	224.460	897.84	-60.06	ST
	Nov 19, 20	4.000	236.955	947.82	224.460	897.84	-49.98	ST
Security total		48.000	188.567	9,052.16		10,774.08	1,721.92	
AMERIPRISE FINANCIAL INC								
Symbol: AMP Exchange: NYSE								
EAI: \$241 Current yield: 2.14%								
	Jun 19, 18	34.000	147.597	5,018.30	194.330	6,607.22	1,588.92	LT
	Jan 7, 19	24.000	111.424	2,674.19	194.330	4,663.92	1,989.73	LT
Security total		58.000	132.629	7,692.49		11,271.14	3,578.65	
AMGEN INC								
Symbol: AMGN Exchange: OTC								
EAI: \$612 Current yield: 3.06%								
	Sep 29, 20	23.000	247.379	5,689.72	229.920	5,288.16	-401.56	ST
	Sep 30, 20	27.000	254.031	6,858.85	229.920	6,207.84	-651.01	ST
	Oct 5, 20	13.000	253.084	3,290.10	229.920	2,988.96	-301.14	ST
	Oct 6, 20	11.000	254.430	2,798.74	229.920	2,529.12	-269.62	ST
	Nov 6, 20	13.000	231.562	3,010.31	229.920	2,988.96	-21.35	ST
Security total		87.000	248.824	21,647.72		20,003.04	-1,644.68	
APPLE INC								
Symbol: AAPL Exchange: OTC								
EAI: \$174 Current yield: 0.62%								
	Jul 6, 20	88.000	93.517	8,229.54	132.690	11,676.72	3,447.18	ST
	Sep 9, 20	68.000	117.393	7,982.79	132.690	9,022.92	1,040.13	ST
	Sep 22, 20	56.000	110.543	6,190.45	132.690	7,430.64	1,240.19	ST

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Advisor Allocation Program

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WLP FINANCIAL GROUP
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Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BLACKROCK INC								
Symbol: BLK Exchange: NYSE								
EAI: \$407 Current yield: 2.01%								
	Mar 3, 20	8,000	479.410	3,835.28	721.540	5,772.32	1,937.04	ST
	Jul 6, 20	9,000	561.557	5,054.02	721.540	6,493.86	1,439.84	ST
	Jul 27, 20	6,000	574.821	3,448.93	721.540	4,329.24	880.31	ST
	Jul 28, 20	5,000	571.590	2,857.95	721.540	3,607.70	749.75	ST
Security total		28,000	542.721	15,196.18		20,203.12	5,006.94	
CHUBB LTD CHF								
Symbol: CB Exchange: NYSE								
EAI: \$468 Current yield: 2.03%								
CHF Exchange rate: 0.88395								
	Jul 27, 17	23,000	143.870	3,309.01	153.920	3,540.16	231.15	LT
	Oct 17, 18	16,000	125.980	2,015.68	153.920	2,462.72	447.04	LT
	Mar 3, 20	42,000	146.109	6,136.59	153.920	6,464.64	328.05	ST
	Apr 6, 20	18,000	107.300	1,931.40	153.920	2,770.56	839.16	ST
	Jul 6, 20	28,000	126.777	3,549.76	153.920	4,309.76	760.00	ST
	Nov 6, 20	18,000	137.821	2,480.79	153.920	2,770.56	289.77	ST
	Nov 9, 20	5,000	146.168	730.84	153.920	769.60	38.76	ST
Security total		130,000	134.360	20,154.07		23,088.00	2,933.93	
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$845 Current yield: 3.22%								
	May 16, 13	40,000	23.970	958.80	44.750	1,790.00	831.20	LT
	Feb 11, 20	50,000	49.390	2,469.50	44.750	2,237.50	-232.00	ST
	Feb 11, 20	24,000	49.390	1,185.36	44.750	1,074.00	-111.36	ST
	Feb 11, 20	21,000	49.390	1,037.19	44.750	939.75	-97.44	ST
	Feb 11, 20	16,000	49.390	790.24	44.750	716.00	-74.24	ST
	Mar 3, 20	116,000	39.865	4,624.34	44.750	5,191.00	566.66	ST
	Mar 9, 20	159,000	39.075	6,212.93	44.750	7,115.25	902.32	ST
	Apr 6, 20	45,000	40.460	1,820.70	44.750	2,013.75	193.05	ST
	Oct 23, 20	74,000	38.834	2,873.72	44.750	3,311.50	437.78	ST
	Oct 26, 20	42,000	37.884	1,591.16	44.750	1,879.50	288.34	ST
Security total		587,000	40.143	23,563.94		26,268.25	2,704.31	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 MLP FINANCIAL GROUP
 423-928-7144/808-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$823 Current yield: 2.99%								
	Jul 27, 17	55,000	45.749	2,516.20	54.840	3,016.20	500.00	LT
	Jul 23, 18	27,000	44.888	1,212.00	54.840	1,480.68	268.68	LT
	Oct 17, 18	57,000	45.518	2,594.53	54.840	3,125.88	531.35	LT
	Mar 3, 20	177,000	55.995	9,900.50	54.840	9,706.68	-193.82	ST
	Jul 6, 20	122,000	44.929	5,481.45	54.840	6,690.48	1,209.03	ST
	Jul 27, 20	64,000	48.402	3,087.78	54.840	3,509.76	411.98	ST
Security total		502,000	49.407	24,802.46		27,529.68	2,727.22	
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAI: \$709 Current yield: 1.75%								
	Mar 5, 18	88,000	36.974	3,253.75	52.400	4,611.20	1,357.45	LT
	Mar 5, 18	4,000	36.962	147.85	52.400	209.60	61.75	LT
	Oct 17, 18	72,000	35.859	2,581.91	52.400	3,772.80	1,190.89	LT
	Aug 12, 19	67,000	42.730	2,862.91	52.400	3,510.80	647.89	LT
	Aug 12, 19	39,000	42.730	1,666.47	52.400	2,043.60	377.13	LT
	Mar 3, 20	262,000	41.310	10,823.22	52.400	13,728.80	2,905.58	ST
	Mar 26, 20	45,000	34.729	1,562.83	52.400	2,358.00	795.17	ST
	Jul 6, 20	194,000	40.037	7,767.28	52.400	10,165.60	2,398.32	ST
Security total		771,000	39.775	30,666.22		40,400.40	9,734.18	
COSTCO WHOLESALE CORP								
Symbol: COST Exchange: OTC								
EAI: \$36 Current yield: 0.73%								
	Mar 12, 19	13,000	230.320	2,994.16	376.780	4,898.14	1,903.98	LT
CRANE CO								
Symbol: CR Exchange: NYSE								
EAI: \$182 Current yield: 2.21%								
	Jul 27, 17	10,000	75.240	752.40	77.660	776.60	24.20	LT
	Dec 20, 17	12,000	89.116	1,069.40	77.660	931.92	-137.48	LT
	Oct 17, 18	25,000	89.990	2,249.75	77.660	1,941.50	-308.25	LT
	Mar 3, 20	47,000	69.385	3,261.10	77.660	3,650.02	388.92	ST
	Jul 6, 20	12,000	58.540	702.48	77.660	931.92	178.19	ST
Security total		106,000	76.287	8,086.38		8,291.96	145.58	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAI: \$30 Current yield: 0.32%								
Jul 23, 18	12,000	102.674	1,232.09	222.140	2,665.68	1,433.59		LT
Apr 13, 20	30,000	144.000	4,320.00	222.140	6,664.20	2,344.20		ST
Security total	42,000	132.193	5,552.09		9,329.88	3,777.79		
DIAGEO PLC NEW GB SPON ADR								
Symbol: DEO Exchange: NYSE								
EAI: \$368 Current yield: 2.23%								
Jul 27, 17	3,000	128.110	384.33	158.810	476.43	92.10		LT
Sep 12, 17	5,000	137.410	687.05	158.810	794.05	107.00		LT
Oct 17, 18	17,000	134.430	2,285.31	158.810	2,699.77	414.46		LT
Oct 15, 19	9,000	162.413	1,461.72	158.810	1,429.29	-32.43		LT
Mar 3, 20	51,000	143.695	7,328.46	158.810	8,099.31	770.85		ST
Jul 6, 20	19,000	138.840	2,637.96	158.810	3,017.39	379.43		ST
Security total	104,000	142.162	14,784.83		16,516.24	1,731.41		
DOLLAR GEN CORP NEW								
Symbol: DG Exchange: NYSE								
EAI: \$52 Current yield: 0.69%								
May 11, 20	23,000	183.485	4,220.16	210.300	4,836.90	616.74		ST
May 11, 20	5,000	183.250	916.25	210.300	1,051.50	135.25		ST
Oct 23, 20	4,000	214.537	858.15	210.300	841.20	-16.95		ST
Oct 26, 20	4,000	213.425	853.70	210.300	841.20	-12.50		ST
Security total	36,000	190.229	6,848.26		7,570.80	722.54		
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC								
Sep 21, 16	10,000	129.020	1,290.20	273.160	2,731.60	1,441.40		LT
Jul 27, 17	19,000	174.440	3,314.36	273.160	5,190.04	1,875.68		LT
Jan 14, 19	18,000	144.097	2,593.76	273.160	4,916.88	2,323.12		LT
May 13, 19	12,000	183.600	2,203.20	273.160	3,277.92	1,074.72		LT
Feb 18, 20	14,000	217.345	3,042.83	273.160	3,824.24	781.41		ST
Mar 26, 20	24,000	159.286	3,822.87	273.160	6,555.84	2,732.97		ST
Security total	97,000	167.709	16,267.22		26,486.52	10,229.30		
FIDELITY NATL INFORMATION SVCS								
Symbol: FIS Exchange: NYSE								
EAI: \$111 Current yield: 0.99%								
Sep 16, 19	11,000	132.087	1,452.96	141.460	1,556.06	103.10		LT
Sep 16, 19	9,000	132.061	1,188.55	141.460	1,273.14	84.59		LT

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARTIAL
 Friendly account name: Martiel Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAT: \$750 Current yield: 2.26%								
	Jul 27, 17	2,000	146.670	293.34	265.620	531.24	237.90	LT
	Oct 17, 18	23,000	185.500	4,266.50	265.620	6,109.26	1,842.76	LT
	Jun 17, 19	9,000	207.027	1,863.25	265.620	2,390.58	527.33	LT
	Mar 3, 20	51,000	226.484	11,550.69	265.620	13,546.62	1,995.93	ST
	Jul 6, 20	40,000	250.579	10,023.17	265.620	10,624.80	601.63	ST
Security total		125,000	223.976	27,996.95		33,202.50	5,205.55	
HONEYWELL INTL INC								
Symbol: HON Exchange: NYSE								
EAT: \$160 Current yield: 1.75%								
	Jul 27, 17	10,000	131.877	1,318.77	212.700	2,127.00	808.23	LT
	Jul 6, 20	33,000	146.255	4,826.42	212.700	7,019.10	2,192.68	ST
Security total		43,000	142.911	6,145.19		9,146.10	3,000.91	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAT: \$565 Current yield: 2.65%								
	Oct 17, 18	99,000	45.700	4,524.30	49.820	4,932.18	407.88	LT
	Mar 3, 20	217,000	55.685	12,083.65	49.820	10,810.94	-1,272.71	ST
	Jul 6, 20	112,000	59.125	6,622.00	49.820	5,579.84	-1,042.16	ST
Security total		428,000	54.276	23,229.95		21,322.96	-1,906.99	
INTERCONTINENTAL EXCHANGE GROUP								
Symbol: ICE Exchange: NYSE								
EAT: \$77 Current yield: 1.04%								
	Apr 15, 19	64,000	78.799	5,043.14	115.290	7,378.56	2,335.42	LT
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAT: \$727 Current yield: 2.57%								
	Dec 9, 19	51,000	140.390	7,159.89	157.380	8,026.38	866.49	LT
	Mar 3, 20	88,000	133.920	11,784.96	157.380	13,849.44	2,064.48	ST
	Apr 6, 20	19,000	137.000	2,603.00	157.380	2,990.22	387.22	ST

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Advisor Allocation Program

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Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WAL FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$623 Current yield: 2.83%								
Security total		180,000	137.098	24,677.55	157.380	28,378.40	3,650.85	ST
LAUDER ESTEE COS CL A								
Symbol: EL Exchange: NYSE								
EAI: \$38 Current yield: 0.79%								
Security total		173,000	107.817	18,652.32	127.070	21,983.11	3,330.79	ST
UNDE PLC EUR								
Symbol: UN Exchange: NYSE								
EAI: \$304 Current yield: 1.46%								
EUR Exchange rate: 0.81729								
Security total		9,000	163.454	1,471.09	263.510	2,371.59	900.50	LT
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAI: \$489 Current yield: 2.93%								
Security total		7,000	287.960	2,015.72	354.980	2,484.86	469.14	LT
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAI: \$139 Current yield: 1.49%								
Security total		42,000	76.520	3,213.84	160.510	6,741.42	3,527.58	LT
LAUDER ESTEE COS CL A								
Symbol: EL Exchange: NYSE								
EAI: \$38 Current yield: 0.79%								
Security total		173,000	107.817	18,652.32	127.070	21,983.11	3,330.79	ST

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
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Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MARSH & MCLENNAN COS INC								
Symbol: MMC Exchange: NYSE								
EAT: \$311 Current yield: 1.59%								
	Mar 3, 20	704.00	105.690	7,398.30	117.000	8,190.00	791.70	ST
	May 6, 20	974.00	101.160	9,812.52	117.000	11,349.00	1,536.48	ST
Security total		1674.00	103.059	17,210.82		19,539.00	2,328.18	
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAT: \$521 Current yield: 2.40%								
	Jul 27, 17	214.00	156.760	3,291.96	214.580	4,506.18	1,214.22	LT
	Nov 6, 17	94.00	169.425	1,524.83	214.580	1,991.22	406.39	LT
	Oct 17, 18	194.00	164.600	3,127.40	214.580	4,077.02	949.62	LT
	Mar 3, 20	444.00	197.664	8,697.22	214.580	9,441.52	744.30	ST
	Jul 6, 20	84.00	186.477	1,491.82	214.580	1,716.64	224.82	ST
Security total		1014.00	179.537	18,133.23		21,672.58	3,539.35	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAT: \$773 Current yield: 1.98%								
	Jul 27, 17	384.00	85.258	3,239.84	117.140	4,451.32	1,211.48	LT
	Jul 27, 17	64.00	85.250	511.50	117.140	702.84	191.34	LT
	Oct 17, 18	374.00	96.308	3,563.40	117.140	4,394.18	770.78	LT
	Apr 9, 19	224.00	88.680	1,950.96	117.140	2,577.08	626.12	LT
	Mar 3, 20	914.00	99.271	9,083.69	117.140	10,659.74	1,626.05	ST
	Apr 13, 20	124.00	100.230	1,202.76	117.140	1,405.68	202.92	ST
	May 6, 20	244.00	98.310	2,359.44	117.140	2,811.36	451.92	ST
	Jul 6, 20	1034.00	93.334	9,613.45	117.140	12,065.42	2,451.97	ST
Security total		3334.00	94.520	31,475.04		39,007.62	7,532.58	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAT: \$895 Current yield: 1.01%								
	Jul 16, 18	54.00	104.986	524.93	222.420	1,112.10	587.17	LT
	Sep 18, 18	674.00	113.453	7,601.41	222.420	14,902.14	7,300.73	LT
	Oct 17, 18	654.00	109.878	7,142.07	222.420	14,457.30	7,315.23	LT
	Jan 14, 19	244.00	101.937	2,446.49	222.420	5,338.08	2,891.59	LT
	Mar 3, 20	1424.00	163.820	23,262.44	222.420	31,583.64	8,321.20	ST
	Jul 6, 20	1414.00	210.999	29,750.86	222.420	31,361.22	1,610.36	ST

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Advisor Allocation Program

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONDELEZ INTL INC								
Symbol: MDZ Exchange: OTC								
EAT: \$137 Current yield: 2.15%								
Jul 27, 17		7,000	44.070	308.49	58.470	409.29	100.80	LT
Jul 16, 18		68,000	42.426	2,885.00	58.470	3,975.96	1,090.96	LT
Apr 13, 20		34,000	52.380	1,780.92	58.470	1,987.98	207.06	ST
Security total		109,000	45.637	4,974.41		6,373.23	1,388.82	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAT: \$218 Current yield: 1.81%								
Jun 27, 19		4,800	51.112	204.45	77.150	308.60	104.15	LT
Mar 3, 20		96,000	66.305	6,365.34	77.150	7,406.40	1,041.06	ST
Jul 6, 20		56,000	61.563	3,447.53	77.150	4,320.40	872.87	ST
Security total		156,000	64.214	10,017.32		12,035.40	2,018.08	
NI VARRTS AG SPON ADR								
Symbol: NYS Exchange: NYSE								
EAT: \$448 Current yield: 2.13%								
Dec 9, 19		59,000	92.090	5,433.31	94.430	5,571.37	138.06	LT
Mar 3, 20		108,000	83.495	9,017.46	94.430	10,198.44	1,180.98	ST
Apr 6, 20		26,000	85.100	2,212.60	94.430	2,455.18	242.58	ST
Nov 6, 20		20,000	84.871	1,697.42	94.430	1,888.60	191.18	ST
Nov 9, 20		10,000	84.530	845.30	94.430	944.30	99.00	ST
Security total		223,000	86.126	19,206.09		21,057.89	1,851.80	
O REILLY AUTOMOTIVE INC								
Symbol: ORLY Exchange: OTC								
Jul 27, 17		1,000	193.520	193.52	452.570	452.57	259.05	LT
Feb 18, 20		19,000	396.123	7,526.34	452.570	8,598.83	1,072.49	ST
Security total		20,000	395.993	7,719.86		9,051.40	1,331.54	
PARKER HANNIFIN CORP								
Symbol: PH Exchange: NYSE								
EAT: \$137 Current yield: 1.29%								
Nov 11, 19		31,000	198.580	6,155.98	272.410	8,444.71	2,288.73	LT
Nov 18, 20		3,000	266.160	798.48	272.410	817.23	18.75	ST
Nov 19, 20		3,000	266.226	798.68	272.410	817.23	18.55	ST
Nov 20, 20		2,000	267.350	534.70	272.410	544.82	10.12	ST
Security total		39,000	212.509	8,287.84		10,623.99	2,336.15	

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Advisor Allocation Program December 2020

Account name: DONALD R JEANES MARTIAL
Friendly account name: Martial Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PHILLIPS 66								
Symbol: PSX Exchange: NYSE								
EAI: \$767 Current yield: 5.15%								
	May 6, 20	72.010	75.800	5,457.60	69.940	5,035.68	-421.92	ST
	Jul 6, 20	44.010	67.923	2,988.63	69.940	3,077.36	88.73	ST
	Jul 27, 20	17.010	64.361	1,094.15	69.940	1,188.98	94.83	ST
	Jul 28, 20	31.010	63.948	1,982.40	69.940	2,168.14	185.74	ST
	Nov 25, 20	49.010	66.649	3,265.83	69.940	3,427.06	161.23	ST
Security total		213.010	69.430	14,788.61		14,897.22	108.61	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$500 Current yield: 2.27%								
	Feb 6, 19	21.010	98.276	2,063.81	139.140	2,921.94	858.13	LT
	Apr 9, 19	19.010	104.460	1,984.74	139.140	2,648.66	658.92	LT
	Sep 9, 19	36.010	121.961	4,390.60	139.140	5,009.04	618.44	LT
	Mar 3, 20	82.010	117.790	9,658.78	139.140	11,409.48	1,750.70	ST
Security total		158.010	114.544	18,097.93		21,984.12	3,886.19	
RAYTHEON TECHNOLOGIES CORP								
Symbol: RTX Exchange: NYSE								
EAI: \$306 Current yield: 2.66%								
	Sep 21, 16	2.010	55.720	111.44	71.510	143.02	31.58	LT
	Jul 27, 17	28.010	65.083	1,822.35	71.510	2,002.28	179.93	LT
	Jul 27, 17	27.010	65.094	1,757.56	71.510	1,930.77	173.21	LT
	Oct 17, 18	20.010	72.150	1,443.01	71.510	1,430.20	-12.81	LT
	Mar 3, 20	50.010	72.768	3,638.42	71.510	3,575.50	-62.92	ST
	Jul 6, 20	24.010	62.627	1,503.06	71.510	1,716.24	213.18	ST
	Jul 28, 20	10.010	60.548	605.48	71.510	715.10	109.62	ST
Security total		161.010	67.586	10,881.32		11,513.11	631.79	
REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAI: \$197 Current yield: 1.76%								
	Jun 19, 18	5.010	68.806	344.03	96.300	481.50	137.47	LT
	Jul 23, 18	11.010	68.100	749.10	96.300	1,059.30	310.20	LT
	Oct 17, 18	30.010	71.570	2,147.10	96.300	2,889.00	741.90	LT
	Mar 3, 20	60.010	91.064	5,463.84	96.300	5,778.00	314.16	ST
	Jul 6, 20	10.010	81.265	812.65	96.300	963.00	150.35	ST

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ROCKWELL AUTOMATION INC NEW								
Symbol: ROCK Exchange: NYSE								
EAI: \$295 Current yield: 1.70%								
	Nov 11, 19	5,000	179.140	895.70	250.810	1,254.05	358.35	LT
	Jan 14, 20	4,000	206.580	826.32	250.810	1,003.24	176.92	ST
	Mar 3, 20	32,000	185.168	6,189.30	250.810	8,025.92	1,836.62	ST
	Jul 6, 20	28,000	215.008	6,020.23	250.810	7,022.68	1,002.45	ST
Security total		69,000	201.907	13,931.55		17,305.89	3,374.34	
SALESFORCE.COM INC								
Symbol: CRM Exchange: NYSE								
	May 11, 20	30,000	179.155	5,374.66	222.530	6,675.90	1,301.24	ST
	May 11, 20	8,000	178.985	1,431.88	222.530	1,780.24	348.36	ST
	Nov 18, 20	8,000	258.912	2,071.30	222.530	1,780.24	-291.06	ST
Security total		46,000	192.997	8,877.84		10,236.38	1,358.54	
CITICORP WILLIAMS CO								
Symbol: SHW Exchange: NYSE								
EAI: \$59 Current yield: 0.73%								
	May 11, 20	11,000	558.130	6,139.43	734.910	8,084.01	1,944.58	ST
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAI: \$636 Current yield: 2.48%								
	Oct 17, 18	8,000	101.330	810.64	164.130	1,313.04	502.40	LT
	Jun 27, 19	15,000	116.132	1,741.98	164.130	2,461.95	719.97	LT
	Nov 11, 19	5,000	118.616	593.08	164.130	820.65	227.57	LT
	Mar 3, 20	59,000	113.078	6,671.66	164.130	9,683.67	3,012.01	ST
	Jul 6, 20	21,000	129.420	2,717.82	164.130	3,446.73	728.91	ST
	Jul 27, 20	26,000	131.788	3,426.50	164.130	4,267.38	840.88	ST
	Jul 28, 20	22,000	130.557	2,872.26	164.130	3,610.86	738.60	ST
Security total		156,000	120.730	18,833.94		25,604.28	6,770.34	
THERMO FISHER SCIENTIFIC INC								
Symbol: TMO Exchange: NYSE								
EAI: \$27 Current yield: 0.19%								
	Sep 21, 16	1,000	152.790	152.79	465.780	465.78	312.99	LT
	Jul 27, 17	18,000	176.170	3,171.06	465.780	8,384.04	5,212.98	LT
	Apr 13, 20	12,000	314.380	3,772.56	465.780	5,589.36	1,816.80	ST
Security total		31,000	228.916	7,096.41		14,439.18	7,342.77	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TIX COS INC NEW								
Symbol: TIX Exchange: NYSE								
EAI: \$203 Current yield: 1.52%								
	Sep 21, 16	101,000	37.784	3,816.28	68.290	6,897.29	3,081.01	LT
	Jul 27, 17	94,000	34.304	3,224.62	68.290	6,419.26	3,194.64	LT
Security total		195,000	36.107	7,040.90		13,316.55	6,275.65	
TRUIST FINL CORP								
Symbol: TFC Exchange: NYSE								
EAI: \$394 Current yield: 3.75%								
	Oct 17, 18	9,625	47.380	456.13	47.930	461.33	5.20	LT
	Dec 10, 18	32,575	43.007	1,392.37	47.930	1,551.73	159.36	LT
	Mar 3, 20	116,000	46.640	5,410.24	47.930	5,559.88	149.64	ST
	Jul 6, 20	61,000	36.665	2,236.58	47.930	2,923.73	687.15	ST
Security total		219,000	43.358	9,495.32		10,496.67	1,001.35	
UNION PACIFIC CORP								
Symbol: UNP Exchange: NYSE								
EAI: \$380 Current yield: 1.86%								
	Oct 17, 18	1,000	150.920	150.92	208.220	208.22	57.30	LT
	Jan 14, 20	36,000	180.500	6,498.00	208.220	7,495.92	997.92	ST
	Mar 3, 20	32,000	156.861	5,019.56	208.220	6,663.04	1,643.48	ST
	Apr 6, 20	17,000	146.000	2,482.00	208.220	3,539.74	1,057.74	ST
	Apr 6, 20	12,000	146.000	1,752.00	208.220	2,498.64	746.64	ST
Security total		98,000	162.270	15,902.48		20,405.56	4,503.08	
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: \$307 Current yield: 2.40%								
	Nov 6, 20	25,000	166.624	4,165.62	168.400	4,210.00	44.38	ST
	Nov 9, 20	51,000	163.406	8,333.75	168.400	8,588.40	254.65	ST
Security total		76,000	164.465	12,499.37		12,798.40	289.03	
UNITEDHEALTH GROUP INC								
Symbol: UNH Exchange: NYSE								
EAI: \$306 Current yield: 1.43%								
	Feb 13, 18	48,000	226.707	10,881.97	350.680	16,832.64	5,950.67	LT
	Apr 16, 18	11,000	227.530	2,502.84	350.680	3,857.48	1,354.64	LT
	Apr 13, 20	2,000	262.060	524.12	350.680	701.36	177.24	ST
Security total		61,000	228.015	13,908.93		21,391.48	7,482.55	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VF CORP								
Symbol: VFC Exchange: NYSE								
EAF: \$468 Current yield: 2.29%								
	Jul 27, 17	42,000	56.888	2,389.32	85.410	3,587.22	1,197.90	LT
	Oct 17, 18	22,000	83.354	1,833.79	85.410	1,879.02	45.23	LT
	Sep 9, 19	9,000	88.950	800.91	85.410	768.69	-32.22	LT
	Nov 11, 19	10,000	86.636	866.36	85.410	854.10	-12.26	LT
	Mar 3, 20	83,000	70.988	5,892.01	85.410	7,089.03	1,197.02	ST
	Apr 6, 20	17,000	51.270	871.59	85.410	1,451.97	580.38	ST
	Jul 6, 20	56,000	62.103	3,477.80	85.410	4,782.96	1,305.16	ST
Security total		239,000	67.497	16,131.78		20,412.99	4,281.21	
VISA INC CL A								
Symbol: V Exchange: NYSE								
EAF: \$105 Current yield: 0.59%								
	Sep 21, 16	10,000	82.569	825.69	218.730	2,187.30	1,361.61	LT
	Jul 27, 17	32,000	100.750	3,224.00	218.730	6,999.36	3,775.36	LT
	Jul 6, 20	32,000	197.020	6,304.64	218.730	6,999.36	694.72	ST
	Nov 18, 20	6,000	209.288	1,255.73	218.730	1,312.38	56.65	ST
	Nov 19, 20	2,000	207.005	414.01	218.730	437.46	23.45	ST
Security total		82,000	146.635	12,024.07		17,935.86	5,911.79	
Total				\$857,719.71		\$1,090,266.24	\$232,546.53	

Total estimated annual income: \$18,470

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale Transaction.

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (any) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (any) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (any) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES MSCI EAFE ETF									
Symbol: EFA									

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Mar 3, 20	4,608,000	62.407	287,574.68	287,574.68	72.960	336,199.68	48,625.00	48,625.00	ST
EAI: \$7.152 Current yield: 2.13%									
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Oct 17, 18	926,000	152.340	141,066.84	141,066.84	253.340	234,592.84	93,526.00	93,526.00	LT
EAI: \$1.559 Current yield: 0.66%									
Total			\$428,641.52	\$428,641.52		\$570,792.52	\$142,151.00	\$142,151.00	
Total estimated annual income: \$8,711									

Fixed income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized values on formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method; otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VIRGINIA COLLEGE BLDG AU								
SR A RV								
BENF								
RATE 05.000% MATURES 02/01/21								
DATED DATE 08/06/20								
ACCRUED INTEREST \$816.66								
CUSIP 92778VH24								
Moody: Aa1 S&P: A++								
EAI: \$981 Current yield: 4.98%								
Original cost basis: \$40,944.00								
	Jul 17, 20	40,000.000	100.404	40,161.83	100.355	40,142.00	-19.83	ST

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARTIAL
 Friendly account name: Martial Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Pctn on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SOUTH CAROLINA JOBS-ECON								
SR A RV BE/RV								
PRE-REFUNDED								
RATE 05.250% MATURES 08/01/26								
PRIEREFUNDED 08/01/23 @ 100.00								
ACCRUED INTEREST \$656.25								
CUSIP 83703FH48								
Moody: WR S&P: Not rated								
EAI: \$1,575 Current yield: 4.65%								
Original cost basis: \$34,592.40								
Jul 15, 20	30,000.000	112.995	33,898.58	112.867	33,860.10	-38.48	ST	
ILLINOIS FIN AUTH REV								
RV BE/RV								
RATE 05.000% MATURES 07/01/24								
ACCRUED INTEREST \$625.00								
CUSIP 45204EV70								
S&P: AAA								
EAI: \$1,250 Current yield: 4.29%								
Original cost basis: \$29,511.50								
Jul 14, 20	25,000.000	115.948	28,987.23	116.509	29,127.25	140.02	ST	
MONTGOMERY CNTY MD CONSO								
SR A BE/RV								
RATE 04.000% MATURES 08/01/26								
DATED DATE 08/05/20								
ACCRUED INTEREST \$486.66								
CUSIP 61334PBX0								
Moody: Aaa S&P: AAA								
EAI: \$1,200 Current yield: 3.32%								
Original cost basis: \$36,285.90								
Jul 16, 20	30,000.000	119.551	35,865.46	120.596	36,178.80	313.34	ST	
NEW YORK ST URBAN DEV CO								
SR C RV BE/RV								
RATE 05.000% MATURES 03/15/27								
DATED DATE 07/23/20								
ACCRUED INTEREST \$219.44								
CUSIP 6500368N5								
Moody: Aa2								
EAI: \$500 Current yield: 3.92%								
Original cost basis: \$12,690.10								
Jul 17, 20	10,000.000	126.168	12,516.89	127.428	12,742.80	225.91	ST	

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Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-728-4848

Your assets, Fixed Income, Municipal securities (cont. used)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MULTNOMAH CNTY OR SD #								
BE/R/								
RATE 05.0000% MATURES 06/15/28								
ACCURED INTEREST \$66.66								
CUSIP 625517KMG								
Moody: Aa1 S&P: Aa+								
EAI: \$1,500 Current yield: 3.77%								
Original cost basis: \$39,914.10								
NORTHSIDE TX INDPT SCH D								
BE/R/								
RATE 05.0000% MATURES 08/01/29								
DATED DATE 07/29/20								
ACCURED INTEREST \$422.22								
CUSIP 66702RY15								
Moody: Aaa								
EAI: \$1,000 Current yield: 3.70%								
Original cost basis: \$27,036.80								
LEWISVILLE TX INDPT SCH								
BE/R/								
RATE 05.0000% MATURES 08/15/30								
DATED DATE 07/15/20								
CALLABLE 08/15/29 @ 100.00								
ACCURED INTEREST \$691.66								
CUSIP 52882PFG9								
S&P: AAA								
EAI: \$1,500 Current yield: 3.70%								
Original cost basis: \$40,654.50								
PORTH CNTY GA SCH								
BE/R/								
RATE 05.0000% MATURES 02/01/31								
CALLABLE 02/01/30 @ 100.00								
ACCURED INTEREST \$520.83								
CUSIP 346604KZ3								
Moody: Aaa S&P: AAA								
EAI: \$1,250 Current yield: 3.64%								
Original cost basis: \$34,627.25								
	Jul 14, 20	25,000.00	136,704	34,176.07	137,315	34,328.75	152.68	ST
	Jul 07, 20	30,000.00	133,840	40,152.28	135,263	40,578.90	426.62	ST

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Advisor Allocation Program
December 2020

Account names: DONALD R JEANES MARITAL
Fidelity account names: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Fixed Income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DALLAS FORT WORTH TX IN								
SR A RV BEV/								
RATE 05.000% MATURES 11/01/31								
CALLABLE 11/01/30 @ 100.00								
ACCURED INTEREST \$208.33								
CUSIP 2350365G1								
Moody: A1 S&P: A								
EAI: \$1,250 Current yield: 3.70%								
Original cost basis: \$33,305.75	Jul 15, 20	25,000.000	131.990	32,997.56	135.016	33,754.00	756.44	ST
WASHINGTON ST VAR								
ASSUR SR A BEV/								
RATE 05.000% MATURES 08/01/33								
DATED DATE 07/29/20								
CALLABLE 08/01/30 @ 100.00								
ACCURED INTEREST \$633.33								
CUSIP 93674RH77								
Moody: Aaa S&P: AA+								
EAI: \$1,500 Current yield: 3.66%								
Original cost basis: \$41,056.50	Jul 22, 20	30,000.000	135.379	40,613.85	136.598	40,979.40	365.55	ST
NEW YORK ST URBAN DEV CO								
SR A RV BEV/								
RATE 05.000% MATURES 09/15/37								
CALLABLE 09/15/30 @ 100.00								
ACCURED INTEREST \$220.83								
CUSIP 650036AG1								
Moody: Aa2								
EAI: \$750 Current yield: 3.78%								
Original cost basis: \$20,038.35	Aug 05, 20	15,000.000	132.390	19,849.51	132.158	19,823.70	-25.81	ST
Total		\$310,000.000		\$386,287.07		\$386,368.40	\$3,061.33	
Total accrued interest: \$5,567.87								
Total estimated annual income: \$14,256								



Advisor Allocation Program

December 2020

Account name: DONALD R JEANES MARTIAL
 Friendly account name: Martal Trust
 Account number:

Your Financial Advisor:
 WIP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets (continued)

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (net) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (net) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (net) gain or loss (\$)	Investment return (\$)	Holding period
GATEWAY FUND CLASS Y									
Symbol: GTEYX									
Trade date: Jun 28, 13	2,557,092	27.900	71,342.87	71,342.87	36.730	93,921.99	22,579.12		LT
Trade date: Jun 28, 13	941,470	27.899	26,267.01	26,267.01	36.730	34,580.19	8,313.18		LT
EAT: \$1,364 Current yield: 1.06%									
Security total	3,498,562	27.900	97,609.88	97,609.88		128,502.18	30,892.30	30,892.30	

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities						
Cash		532,897.60	19.62%	532,897.60		
Common stock		1,090,266.24		857,719.71	18,470.00	232,546.53
Closed end funds & Exchange traded products		570,792.52		428,641.52	8,711.00	142,151.00
Total equities		1,661,058.76	61.15%	1,286,361.23	27,181.00	374,697.53
Fixed Income						
Municipal securities		388,368.40		385,287.07	14,256.00	3,081.33
Total accrued interest		5,567.87				
Total Fixed Income		393,936.27	14.50%	385,287.07	14,256.00	3,081.33
Non-traditional						
Mutual funds		128,502.18	4.73%	97,609.88	1,364.00	30,892.30
Total		\$2,716,394.81	100.00%	\$2,302,155.78	\$42,801.00	\$408,671.16



Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month

Deposits and other funds credited		Date	Activity	Description	Amount (\$)
Total deposits and other funds credited		Dec 31	Transfer	RM JY 01954 2100	500,000.00
Dividend and Interest Income					
Taxable dividends					
Dec 1	Dividend			INTEL CORP PAID ON SYMBOL: INTC	163.68
Dec 1	Dividend			PHILLIPS 66 PAID ON SYMBOL: PSX	147.60
Dec 1	Dividend			TRUIST FINL CORP PAID ON SYMBOL: TFC	98.55
Dec 1	Dividend			VISA INC CL A PAID ON SYMBOL: V	23.68
Dec 3	Dividend			UNITED PARCEL SERVICE INC CL B PAID ON SYMBOL: UPS	76.76
Dec 4	Dividend			HONEYWELL INTL INC PAID ON SYMBOL: HON	63.42
Dec 4	Dividend			PARKER HANNIFIN CORP PAID ON SYMBOL: PH	27.28
Dec 4	Dividend			SHERWIN WILLIAMS CO PAID ON SYMBOL: SHW	14.74
Dec 8	Dividend			AMGEN INC PAID ON SYMBOL: AMGN	139.20
Dec 8	Dividend			JOHNSON & JOHNSON COM PAID ON SYMBOL: JNJ	181.80
Dec 9	Dividend			CRANE CO PAID ON SYMBOL: CR	45.58
Dec 10	Dividend			AMER ELECTRIC POWER CO PAID ON SYMBOL: AEP	70.30
Dec 10	Dividend			CHEVRON CORP PAID ON SYMBOL: CVX	125.13
Dec 10	Dividend			MICROSOFT CORP PAID ON SYMBOL: MSFT	248.64
Dec 10	Dividend			ROCKWELL AUTOMATION INC NEW PAID ON SYMBOL: ROK	73.83

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Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued) Taxable dividends (continued)	Date	Activity	Description	Amount (\$)
Dec 11 Dividend			COSTCO WHOLESALE CORP SYMBOL: COST	130.00
Dec 15 Dividend			Coca Cola CO COM PAID ON SYMBOL: KO	205.82
Dec 15 Dividend			LAUDER ESTEE COS CL A PAID ON SYMBOL: EL	9.54
Dec 15 Dividend			MCDONALDS CORP PAID ON SYMBOL: MCD	130.29
Dec 15 Dividend			NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE	54.60
Dec 15 Dividend			UNITEDHEALTH GROUP INC PAID ON SYMBOL: UNH	76.25
Dec 17 Dividend			HOME DEPOT INC PAID ON SYMBOL: HD	187.50
Dec 17 Dividend		Foreign	LINDE PLC EUR PAID ON SYMBOL: LIN	76.08
Dec 17 Dividend			RAYTHEON TECHNOLOGIES CORP PAID ON SYMBOL: RTX	76.48
Dec 18 Dividend			IHSARES MSCIEAFE ETF PAID ON SYMBOL: EFA	3,200.23
Dec 21 Dividend			BLACKROCK INC PAID ON SYMBOL: BLK	101.64
Dec 21 Dividend			VF CORP PAID ON SYMBOL: VFC	117.11
Dec 21 Dividend			CATWAY FUND CLASS Y SYMBOL: GTFYX	298.78
Dec 24 Dividend			LCKHEED MARTIN CORP PAID ON SYMBOL: LMT	122.20
Dec 28 Dividend			F DEUTY MAIL INFORMATION SVCS PAID ON SYMBOL: F5	27.65
Dec 30 Dividend			LINDEN PACIFIC CORP PAID ON SYMBOL: LNP	95.06
Dec 30 Dividend			VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	426.52

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Advisor Allocation Program December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4838

Account activity this month (continued)

Date	Activity	Description	Amount (\$)

Dividend and Interest Income (continued)

Taxable dividends (continued)

Dec 30	Dividend	INTERCONTINENTAL EXCHANGE GROUP PAID ON SYMBOL: KCE	19.20

Total taxable dividends			\$6,852.17

Dec 7	Interest	UBS FDK INSURED DEPOSIT PROGRAM AS OF 12/04/20	0.31

Total taxable interest			\$0.31

Dec 15	Interest	MULTNOMAH CNTY OR SD #88745 DUE 06/15/28 DTD 04/14/20FC 121520 PAID ON 30000 CUSIP: 625317KW6	1,004.17

Total tax-exempt interest			\$1,004.17

Total dividend and interest income			\$7,856.65

Date	Activity	Description	Amount (\$)
Dec 31	Transfer	EARNINGS DISBURSEMENT TO JY 01954 CLARINDA P JEANES LIVING TRUST	-7,856.34

Total other funds debited			-\$7,856.34

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 1	Sold	CHEVRON CORP SYMBOL: CVX	-17,000	91,422.700		1,554.16		
Total						\$1,554.16		

Date	Activity	Description	Amount (\$)

Nov 30	Balance forward		\$37,286.05
Dec 1	Withdrawal	UBS FDK INSURED DEPOSIT PGM AS OF 11/30/20	-5,942.93
Dec 2	Deposit	UBS FDK INSURED DEPOSIT PGM	1,987.67
Dec 4	Deposit	UBS FDK INSURED DEPOSIT PGM	76.76
Dec 7	Deposit	UBS FDK INSURED DEPOSIT PGM AS OF 12/04/20	0.31
Dec 7	Deposit	UBS FDK INSURED DEPOSIT PGM	102.47
Dec 9	Deposit	UBS FDK INSURED DEPOSIT PGM	321.00
Dec 10	Deposit	UBS FDK INSURED DEPOSIT PGM	45.58

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Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 11	Def ost	UBS FDIC INSURED DEPOSIT PGM	517.90
	Dec 14	Def ost	UBS FDIC INSURED DEPOSIT PGM	130.00
	Dec 16	Def ost	UBS FDIC INSURED DEPOSIT PGM	1,480.67
	Dec 18	Def ost	UBS FDIC INSURED DEPOSIT PGM	340.06
	Dec 21	Def ost	UBS FDIC INSURED DEPOSIT PGM	3,200.23
	Dec 22	Def ost	UBS FDIC INSURED DEPOSIT PGM	517.53
	Dec 28	Def ost	UBS FDIC INSURED DEPOSIT PGM	122.20
	Dec 29	Def ost	UBS FDIC INSURED DEPOSIT PGM	27.65
	Dec 31	Def ost	UBS FDIC INSURED DEPOSIT PGM	540.78
	Dec 31	Closing UBS FDIC-Insured Deposit Program		\$40,753.94

Unsettled transaction activity

The following transaction(s) are pending settlement.

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 31, 20	Jan 05, 21	Bought	AMAZON.COM INC UNSOLICITED	3.000	3252.599900	-9,757.80
Dec 31, 20	Jan 05, 21	Bought	AMER ELECTRIC POWER CO UNSOLICITED	19.000	82.49	-1,567.31
Dec 31, 20	Jan 05, 21	Bought	AMERIPRISE FINANCIAL LLC UNSOLICITED	10.000	194.216800	-1,942.17
Dec 31, 20	Jan 05, 21	Bought	ADOBE INC (DELAWARE) UNSOLICITED	5.000	498.09	-2,490.45
Dec 31, 20	Jan 05, 21	Bought	AMGEN INC UNSOLICITED	18.000	228.939900	-4,120.92
Dec 31, 20	Jan 05, 21	Bought	COMCAST CORP NEW C. A UNSOLICITED	116.000	52.165000	-6,051.14
Dec 31, 20	Jan 05, 21	Bought	COMCAST CORP NEW C. A UNSOLICITED	35.000	52.36	-1,832.60
Dec 31, 20	Jan 05, 21	Bought	APPLE INC UNSOLICITED	38.000	133.139900	-5,059.32
Dec 31, 20	Jan 05, 21	Bought	AMERICAN TOWER CORP RET UNSOLICITED	9.000	223.079900	-2,007.72
Dec 31, 20	Jan 05, 21	Bought	CISCO SYSTEMS INC UNSOLICITED	118.000	44.439900	-5,243.91
Dec 31, 20	Jan 05, 21	Bought	BLACKROCK INC UNSOLICITED	6.000	716.27	-4,297.62
Dec 31, 20	Jan 05, 21	Bought	COSTCO WHOLESALE CORP UNSOLICITED	3.800	374.99	-1,124.97
Dec 31, 20	Jan 05, 21	Bought	COCA COLA CO COM UNSOLICITED	100.000	54.515000	-5,451.50
Dec 31, 20	Jan 05, 21	Bought	ALPHABET INC CL A UNSOLICITED	3.000	1745.99	-5,237.97
Dec 31, 20	Jan 05, 21	Bought	CHUBB LTD CHF UNSOLICITED	31.000	152.428200	-4,725.27
Dec 31, 20	Jan 05, 21	Bought	DIAGEO PLC NEW GB SPIN ADR UNSOLICITED	22.000	158.439900	-3,485.68

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Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLF FINANCIAL GROUP
423-928-7144/800-729-4848

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 31, 20	Jan 05, 21	Bought	DANAHER CORP UNSOLICITED	7,000	221.117100	-1,547.82
Dec 31, 20	Jan 05, 21	Bought	DOLLAR GEN CORP NEW UNSOLICITED	8,000	209.205200	-1,673.64
Dec 31, 20	Jan 05, 21	Bought	REDLITY NATL INFORMATION SVCS UNSOLICITED	18,000	140.728600	-2,533.11
Dec 31, 20	Jan 05, 21	Bought	HOMER DEPOT INC UNSOLICITED	20,000	265.199900	-5,304.00
Dec 31, 20	Jan 05, 21	Bought	HOMER DEPOT INC UNSOLICITED	6,000	265.207100	-1,591.24
Dec 31, 20	Jan 05, 21	Bought	HONEYWELL INTL INC UNSOLICITED	9,000	212.079900	-1,908.72
Dec 31, 20	Jan 05, 21	Bought	ISHARES MSCI EAFE ETF DE	915,000	72.819000	-66,629.39
Dec 31, 20	Jan 05, 21	Bought	INTEL CORP UNSOLICITED	87,000	50.02	-4,351.74
Dec 31, 20	Jan 05, 21	Bought	INTERCONTINENTAL EXCHANGE GROUP UNSOLICITED	11,000	114.579900	-1,260.38
Dec 31, 20	Jan 05, 21	Bought	JOHNSON & JOHNSON COM UNSOLICITED	36,000	156.685000	-5,640.66
Dec 31, 20	Jan 05, 21	Bought	JPMORGAN CHASE & CO UNSOLICITED	32,000	126.26	-4,040.32
Dec 31, 20	Jan 05, 21	Bought	FACEBOOK INC CL A UNSOLICITED	19,000	271.982800	-5,167.67
Dec 31, 20	Jan 05, 21	Bought	LAUDER ESSE CUS CL A UNSOLICITED	3,000	265.87	-797.61
Dec 31, 20	Jan 05, 21	Bought	LINDE PLC EUR UNSOLICITED	17,000	262.353000	-4,460.00
Dec 31, 20	Jan 05, 21	Bought	LOC KHEED MARTIN CORP UNSOLICITED	9,000	355.149300	-3,196.34
Dec 31, 20	Jan 05, 21	Bought	LOWES COMPANIES INC UNSOLICITED	10,000	159.809909	-1,598.10
Dec 31, 20	Jan 05, 21	Bought	MICROSOFT CORP UNSOLICITED	51,000	220.50	-11,245.50
Dec 31, 20	Jan 05, 21	Bought	MICROSOFT CORP UNSOLICITED	37,000	221.42	-8,192.54
Dec 31, 20	Jan 05, 21	Bought	MARSH & MCLENNAN COS INC UNSOLICITED	27,000	116.029900	-3,132.81
Dec 31, 20	Jan 05, 21	Bought	MONDELEZ INTL INC UNSOLICITED	21,000	58.18	-1,221.78
Dec 31, 20	Jan 05, 21	Bought	MCDONALD'S CORP UNSOLICITED	20,000	213.887600	-4,277.75
Dec 31, 20	Jan 05, 21	Bought	MEDTRONIC PLC UNSOLICITED	45,000	116.48	-5,241.60
Dec 31, 20	Jan 05, 21	Bought	MEDTRONIC PLC UNSOLICITED	19,000	116.913400	-2,221.35
Dec 31, 20	Jan 05, 21	Bought	NOVARTIS AG SPON ADR UNSOLICITED	45,000	93.92	-4,226.40
Dec 31, 20	Jan 05, 21	Bought	NEXTERA ENERGY INC COM UNSOLICITED	32,000	76.189900	-2,438.08
Dec 31, 20	Jan 05, 21	Bought	O RILLY AUTOMOTIVE INC UNSOLICITED	4,000	451.18	-1,804.72
Dec 31, 20	Jan 05, 21	Bought	PARKER HANFERN CORP UNSOLICITED	7,000	271.629900	-1,901.41
Dec 31, 20	Jan 05, 21	Bought	PHILLIPS 66 UNSOLICITED	43,080	70.22	-3,019.46
Dec 31, 20	Jan 05, 21	Bought	PROCTER & GAMBLE CO UNSOLICITED	32,000	138.08	-4,418.56
Dec 31, 20	Jan 05, 21	Bought	RAYTHEON TECHNOLOGIES CORP UNSOLICITED	47,000	71.515000	-3,361.21

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Advisor Allocation Program
December 2020

Account name: DONALD R JEANES MARITAL
Friendly account name: Marital Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 31, 20	Jan 05, 21	Bought	REPUBLIC SERVICES INC UNSOLICITED	23,000	95.991900	-2,267.81
Dec 31, 20	Jan 05, 21	Bought	ROCKWELL AUTOMATION INC NEW UNSOLICITED	11,000	249.609900	-2,745.71
Dec 31, 20	Jan 05, 21	Bought	ROCKWELL AUTOMATION INC NEW UNSOLICITED	4,000	249.670000	-998.71
Dec 31, 20	Jan 05, 21	Bought	SALESFORCE.COM INC UNSOLICITED	9,000	221.879900	-1,996.92
Dec 31, 20	Jan 05, 21	Bought	SHERWIN WILLIAMS CO UNSOLICITED	2,000	733.467100	-1,466.93
Dec 31, 20	Jan 05, 21	Bought	ACCENTURE PLC IRELAND CL A UNSOLICITED	21,000	259.002500	-5,439.05
Dec 31, 20	Jan 05, 21	Bought	ACCENTURE PLC IRELAND CL A UNSOLICITED	8,000	260.13	-2,081.04
Dec 31, 20	Jan 05, 21	Bought	TEXAS INSTRUMENTS UN SOLICITED	31,000	163.709900	-5,075.01
Dec 31, 20	Jan 05, 21	Bought	THERMO FISHER SCIENTIFIC INC UNSOLICITED	7,000	464.096500	-3,248.69
Dec 31, 20	Jan 05, 21	Bought	TDX COS INC NEW UNSOLICITED	34,000	68.40	-2,325.60
Dec 31, 20	Jan 05, 21	Bought	TRUST FNU CORP UNSOLICITED	48,000	47.665000	-2,287.92
Dec 31, 20	Jan 05, 21	Bought	UNION PACIFIC CORP UNSOLICITED	20,000	207.27	-4,145.40
Dec 31, 20	Jan 05, 21	Bought	UNITED PARCEL SERVICE INC CL B UNSOLICITED	15,000	167.560700	-2,513.41
Dec 31, 20	Jan 05, 21	Bought	UNITEDHEALTH GROUP INC UNSOLICITED	11,000	349.281200	-3,842.09
Dec 31, 20	Jan 05, 21	Bought	VISA INC CL A UNSOLICITED	17,000	218.092300	-3,707.57
Dec 31, 20	Jan 05, 21	Bought	VANGUARD GROWTH ET F DE	133,000	252.806500	-33,623.26
Dec 31, 20	Jan 05, 21	Bought	VF CORP UNSOLICITED	48,000	85.565000	-4,107.12
Total pending investments purchased						-\$314,612.50



Advisor Allocation Program
December 2020

Account name: DONALD R/JEANES MARITAL
Fiduciary account name: Marital Trust
Account number: _____

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a various purchases or VPS order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax- exempt and MAFI coupon municipal securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Underwritten section can not be classified as short term or long term because information is missing, or the product is one in which the gelphases calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CHEVRON CORP	FIFO	17,000	JUL 27, 20	NOV 27, 20	1,554.16	1,536.96			17.20

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Fund Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Steegall, PLC	varied	Check	Payee on file	Upon request	n/a	n/a	May 08, 20
City of Johnson City	varied	Check	Payee on file	Upon request	n/a	n/a	Nov 30, 20
JY01954	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 31, 20
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	May 08, 20
Washington County Trustee	varied	Check	Payee on file	Upon request	n/a	n/a	Nov 30, 20

David Phillip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 14

David Phillip Roe



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-4
Johnson City TN 37601-2509

EP2002907411 1230 X14 JV 0

Strategic Wealth Portfolio

December 2020

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4948

Questions about your statement?
Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 353005948.

Visit our website:
www.ubs.com/financialservices

Your investment objectives:
You have identified the following investment objectives for this account. Contact your Financial Advisor if you have any questions. If your financial situation, individual needs, risk tolerance or investment objectives for your advisory account(s) have changed, or if you would like to impose or change investment restrictions on your discretionary advisory accounts. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:
Capital appreciation
Your risk profile:
Primary - Aggressive
Investment eligibility consideration - None selected

Account name: DONALD R JEANES
SWING SHARE ONE TRUST
Friendly account name: Swing Trust
Account number:

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	4,701,843.68	4,849,945.10
Your liabilities	0.00	0.00
Value of your account	\$4,701,843.68	\$4,849,945.10

Change in the value of your account

	December 2020 (\$)	Year to date (\$)
Opening account value	\$4,701,843.68	\$5,045,005.28
Withdrawals and fees, including investments transferred out	-13,294.84	-308,461.16
Dividend and interest income	13,170.80	115,520.60
Change in market value	148,225.46	-2,119.62
Closing account value	\$4,849,945.10	\$4,849,945.10

Your account instructions
• Your account cost basis default closing method is FIFO, First In, First Out.



Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Seidho Trist
 Account number: ✓

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$69,738.38	\$197,937.45
Additions		
Dividend and interest income	13,170.80	115,520.60
Proceeds from investment transactions	141,105.69	7,489,263.75
Total additions	\$154,276.49	\$7,604,784.35
Subtractions		
Professional management fees and related services	0.00	-44,115.12
Other fees	0.00	-3/1.9/
Other funds debited	-13,294.84	-263,974.07
Funds withdrawn for investments bought	-133,808.16	-7,417,348.77
Total subtractions	-\$147,103.00	-\$7,725,809.93
Net cash flow	\$7,173.49	-\$121,025.58
Closing balances	\$76,911.87	\$76,911.87

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Form 1099 and the Form 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and the Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Taxable dividends	13,170.21	114,538.94
Taxable interest	0.59	103.26
Miscellaneous	0.00	878.40
Total current year	\$13,170.80	\$115,520.60
Total dividend & interest	\$13,170.80	\$115,520.60

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2020 (\$)	
Short term	22,385.08	-435,297.28
Long term	2,217.09	34,633.60
Total	\$24,602.17	-\$400,663.68

Withholdings and tax summary

	December 2020 (\$)	Year to date (\$)
Foreign taxes paid	-294.84	-2,591.07



Strategic Wealth Portfolio
December 2020

Account name: DONALD R. LEAVES
Friendly account name: Swind Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 6 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 6	\$71,370.01
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$54,386.46
Number of days in interest period	31
Average daily balance	\$71,499.02
Interest earned	\$0.59
Annual percentage yield earned	0.01%



Your notes



Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Fiduciary account name: Swing Trust
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important Information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs, Certificates of Deposits and UBS Core Savings. FDIC insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important Information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (S)	Closing balance on Dec 31 (S)	Price per share on Dec 31 (S)	Average rate	Dividend/Yield period	Days in period
UBS FDIC INSURED DEP PGM	69,738.38	76,911.87				

UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (S)	Status
1	UBS Bank USA	76,911.87	
2	Citibank, N.A.	0.00	
3	State Street Bank and Trust	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	East West Bank	0.00	
6	Citizens Bank, N.A.	0.00	
7	BNYA USA	0.00	
8	Pacific Western Bank	0.00	
9	AmeriBank	0.00	
10	Centennial Bank	0.00	
Total		\$76,911.87	



Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WAL FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABB Exchange: NYSE								
EAI: \$499 Current yield: 1.65%								
	Apr 3, 20	15,000	78.852	1,182.79	109.490	1,642.35	459.56	ST
	Apr 7, 20	86,000	82.130	7,063.18	109.499	9,416.14	2,352.96	ST
	Jun 26, 20	84,000	88.357	7,422.06	109.490	9,197.16	1,775.10	ST
	Jul 27, 20	92,000	100.069	9,206.38	109.490	10,073.08	866.70	ST
Security total		277,000	89.799	24,874.41		30,328.73	5,454.32	
ABBVIE INC COM								
Symbol: ABBV Exchange: NYSE								
EAI: \$4,269 Current yield: 4.85%								
	Sep 16, 19	409,000	70.743	28,509.63	107.150	43,981.45	14,671.82	LT
	Jan 9, 20	1,000	89.990	89.99	107.150	107.15	17.16	ST
	Feb 3, 20	1,000	82.190	82.19	107.150	107.15	24.96	ST
	Mar 18, 20	11,000	66.456	775.34	107.150	1,178.65	403.31	ST
	Mar 18, 20	9,000	66.240	596.16	107.150	964.35	368.19	ST
	Apr 2, 20	178,000	74.015	13,174.76	107.150	19,072.70	5,897.94	ST
	Jul 27, 20	213,000	97.285	20,721.81	107.150	22,822.95	2,101.14	ST
	Sep 25, 20	5,000	86.278	431.39	107.150	535.75	104.36	ST
Security total		821,000	78.418	64,381.27		87,970.15	23,588.88	
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange: NYSE								
EAI: \$651 Current yield: 1.35%								
	Mar 19, 20	185,000	160.740	29,820.40	261.210	48,323.85	18,503.45	ST
ADOBE INC (DELAWARE)								
Symbol: ADOE Exchange: OTC								
	Mar 19, 20	104,000	310.130	32,253.52	500.120	52,012.48	19,758.96	ST
AGILENT TECHNOLOGIES INC								
Symbol: A Exchange: NYSE								
EAI: \$108 Current yield: 0.66%								
	Jul 22, 19	139,000	69.265	9,627.92	118.490	16,470.11	6,842.19	LT
ALEXANDRIA REAL ESTATE								
Symbol: ARE Exchange: NYSE								
EAI: \$336 Current yield: 2.45%								
	Jul 9, 19	40,000	147.491	5,897.27	178.220	7,128.80	1,231.53	LT
	Jul 28, 20	37,000	173.638	6,424.61	178.220	6,594.14	169.53	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-779-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ALSTATE CORP								
Symbol: ALL Exchange: NYSE								
EAI: \$957 Current yield: 1.97%								
	Apr 23, 20	55,000	100.836	5,546.01	109.930	6,046.15	500.14	ST
	May 8, 20	65,000	101.521	6,598.92	109.930	7,145.45	546.53	ST
	Jul 27, 20	323,000	92.740	29,955.12	109.930	35,507.39	5,552.27	ST
Security total		443,000	95.034	42,100.05		48,698.99	6,598.94	
ALPHABET INC CL A								
Symbol: GOOGL Exchange: OTC								
	Mar 19, 20	68,000	1,132.120	76,984.16	1,752.640	119,179.52	42,195.36	ST
AMAZON.COM INC								
Symbol: AMZN Exchange: OTC								
	Mar 19, 20	45,000	1,930.445	86,870.04	3,256.930	146,561.85	59,691.81	ST
AMCOR PLC								
Symbol: AMCOR Exchange: NYSE								
EAI: \$1,839 Current yield: 3.93%								
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAI: \$2,025 Current yield: 2.02%								
	Mar 19, 20	131,000	212.705	27,864.38	224.460	29,404.26	1,539.88	ST
	Apr 7, 20	24,000	229.367	5,504.83	224.460	5,387.04	-117.79	ST
	Nov 18, 20	23,000	239.474	5,507.91	224.460	5,162.58	-345.33	ST
	Nov 19, 20	25,000	236.955	5,923.88	224.460	5,611.50	-312.38	ST
	Nov 25, 20	73,000	232.302	16,958.09	224.460	16,385.58	-572.51	ST
	Dec 3, 20	53,000	227.213	12,042.32	224.460	11,896.38	-145.94	ST
	Dec 11, 20	118,000	219.609	25,913.87	224.460	26,486.28	572.41	ST
Security total		447,000	223.077	99,715.28		100,333.62	618.34	
AMERIPRISE FINANCIAL INC								
Symbol: AMP Exchange: NYSE								
EAI: \$915 Current yield: 2.14%								
	Apr 7, 20	158,000	106.002	16,748.46	194.330	30,704.14	13,955.68	ST
	Jun 8, 20	8,000	162.113	1,296.91	194.330	1,554.64	257.73	ST
	Jun 9, 20	35,000	160.157	5,605.50	194.330	6,801.55	1,196.05	ST
	Jun 10, 20	19,000	157.531	2,993.09	194.330	3,692.27	699.18	ST
Security total		220,000	121.109	26,643.96		42,752.60	16,108.64	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ANTHEM INC								
Symbol: ANTM Exchange: NYSE								
EAL: \$228 Current yield: 1.18%	Mar 16, 20	53,000	245.487	13,010.83	321.090	17,017.77	4,006.94	ST
	Apr 23, 20	7,000	261.630	1,831.41	321.090	2,247.63	416.22	ST
Security total		60,000	247.371	14,842.24		19,265.40	4,423.16	
APPLE INC								
Symbol: AAPL Exchange: OTC								
EAL: \$745 Current yield: 0.62%	Mar 2, 20	124,000	72.720	9,017.32	132.890	16,453.56	7,436.24	ST
	Jun 8, 20	336,000	83.237	27,967.71	132.690	44,583.84	16,616.13	ST
	Sep 9, 20	248,000	117.393	29,113.71	132.690	32,907.12	3,793.41	ST
	Sep 22, 20	201,000	110.543	22,219.28	132.690	26,670.69	4,451.41	ST
Security total		909,000	97.160	88,318.02		120,615.21	32,287.19	
ATMOS ENERGY CORP								
Symbol: ATO Exchange: NYSE								
EAL: \$988 Current yield: 2.62%	Mar 5, 20	109,000	110.779	12,014.96	95.430	10,401.67	-1,613.29	ST
	Jul 28, 20	286,000	104.671	29,936.08	95.430	27,292.98	-2,643.10	ST
Security total		395,000	106.357	42,011.04		37,694.65	-4,316.19	
AVERY DENNISON CORP								
Symbol: AVY Exchange: NYSE								
EAL: \$226 Current yield: 1.60%	Jun 28, 19	91,000	114.966	10,461.96	155.110	14,115.01	3,653.05	LT
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAL: \$610 Current yield: 2.38%	Jul 24, 17	847,000	24.005	20,332.57	30.310	25,672.57	5,340.00	LT
BEST BUY CO INC								
Symbol: BBY Exchange: NYSE								
EAL: \$319 Current yield: 2.20%	Jun 15, 20	76,000	78.755	5,985.38	99.790	7,584.04	1,598.66	ST
	Jun 29, 20	69,000	86.772	5,987.27	99.790	6,885.51	898.24	ST
Security total		145,000	82.570	11,972.65		14,469.55	2,496.90	
BLACKSTONE MTG TR INC REIT								
Symbol: BXMT Exchange: NYSE								
EAL: \$687 Current yield: 9.01%	Mar 18, 20	277,000	16.924	4,688.20	27.530	7,625.81	2,937.61	ST
BLACKSTONE GROUP INC CL A								
Symbol: BX Exchange: NYSE								
EAL: \$246 Current yield: 3.19%	Apr 7, 20	119,000	45.631	5,430.09	64.810	7,712.39	2,282.30	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLF FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BROADCOM INC								
Symbol: AVGO Exchange: OTC								
EAI: \$4,104 Current yield: 3.29%								
	Dec 3, 18	169,030	242.538	40,989.03	437.850	73,986.65	33,007.62	LT
	Apr 6, 20	6,030	250.940	1,698.68	437.850	2,627.10	928.42	
	Apr 7, 20	25,030	254.422	6,360.55	437.850	10,946.25	4,585.70	ST
	Jun 26, 20	14,030	307.550	4,305.70	437.850	6,129.90	1,824.20	ST
	Jul 28, 20	70,030	308.553	21,588.74	437.850	30,849.50	9,050.76	ST
	Sep 25, 20	1,030	352.940	352.94	437.850	437.85	84.91	ST
Security total		285,030	264.230	75,305.64		124,787.25	49,481.61	
CARDINAL HEALTH INC								
Symbol: CAH Exchange: NYSE								
EAI: \$2,150 Current yield: 3.63%								
	Mar 2, 20	808,030	52.567	42,474.30	53.560	43,276.48	802.18	ST
	Mar 18, 20	21,030	43.360	1,094.34	53.560	1,124.76	30.42	ST
	Mar 18, 20	18,030	44.728	899.40	53.560	964.08	64.68	ST
	Apr 6, 20	13,030	48.755	633.82	53.560	696.28	62.46	ST
	Sep 25, 20	8,030	47.140	377.12	53.560	428.48	51.36	ST
	Oct 9, 20	238,030	48.269	11,488.21	53.560	12,747.28	1,259.07	ST
Security total		1,106,030	51.507	56,967.19		59,237.36	2,270.17	
CELANESE CORP NEW								
Symbol: CE Exchange: NYSE								
EAI: \$362 Current yield: 1.91%								
	Apr 7, 20	104,030	76.076	7,911.97	129.940	13,513.76	5,601.79	ST
	Apr 13, 20	42,030	82.024	3,445.02	129.940	5,457.48	2,012.46	ST
Security total		146,030	77.788	11,356.99		18,971.24	7,614.25	
CHEMED CORP NEW								
Symbol: CHE Exchange: NYSE								
EAI: \$119 Current yield: 0.25%								
	May 15, 20	1,030	443.880	443.88	532.610	532.61	88.73	ST
	May 19, 20	13,030	476.124	6,189.62	532.610	6,923.93	734.31	ST
Security total		14,030	473.821	6,633.50		7,456.54	823.04	
CHURCH & DWIGHT CO INC								
Symbol: CHD Exchange: NYSE								
EAI: \$69 Current yield: 1.10%								
	Nov 19, 19	9,030	68.968	620.72	87.230	785.07	164.35	LT
	Apr 15, 20	63,030	71.893	4,529.28	87.230	5,495.49	966.21	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R. JEANES
Fidelity account name: Saving Trust
Account number:

Your Financial Advisor:
WEL FINANCIAL GROUP
423-928-7144/800-729-4948

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CINN FINANCIAL CORP								
Symbol: CIN Financial Exchange: OTC								
EAI: \$540 Current yield: 2.75%								
Security total		72,000	71.528	5,150.00		6,280.56	1,130.56	
	Mar 18, 20	80,000	81.577	6,526.16	87.370	6,989.60	463.44	ST
	Apr 9, 20	12,000	82.651	991.82	87.370	1,048.44	56.62	ST
	Apr 15, 20	43,000	84.175	3,619.54	87.370	3,756.91	137.37	ST
	May 22, 20	90,000	56.503	5,085.30	87.370	7,869.30	2,778.00	ST
Security total		225,000	72.101	16,222.82		19,658.25	3,435.43	
CTSCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC								
EAI: \$2,403 Current yield: 3.22%								
Security total		137,000	19.260	2,638.62	44.750	6,130.75	3,492.13	LT
	Jun 6, 13	649,000	24.566	15,943.66	44.750	29,042.75	13,099.09	LT
	Dec 30, 15	23,000	27.704	637.20	44.750	1,029.25	392.05	LT
	Apr 6, 20	295,000	40.715	12,010.93	44.750	13,201.25	1,190.32	ST
	May 15, 20	128,000	44.009	5,633.24	44.750	5,728.00	94.76	ST
	Jun 29, 20	78,000	46.040	3,591.12	44.750	3,490.50	-100.62	ST
	Jul 10, 20	216,000	46.788	10,105.65	44.750	9,666.00	-439.65	ST
	Sep 25, 20	4,000	37.960	151.84	44.750	179.00	27.16	ST
	Nov 30, 20	139,000	42.925	5,966.58	44.750	6,220.25	253.67	ST
Security total		1,669,000	33.960	56,678.84		74,687.75	18,008.91	
CITIGROUP INC								
Symbol: C Exchange: NYSE								
EAI: \$2,472 Current yield: 3.31%								
Security total		801,000	63.877	51,165.48	61.660	49,389.66	-1,775.82	LT
	Jan 28, 19	32,000	40.559	1,752.882	61.660	1,973.12	220.24	ST
	Apr 6, 20	262,000	41.396	10,845.88	61.660	16,154.92	5,309.04	ST
	Sep 25, 20	6,000	41.680	250.08	61.660	369.96	119.88	ST
	Nov 10, 20	111,000	47.808	5,306.70	61.660	6,844.26	1,537.56	ST
Security total		1,212,000	57.196	69,321.02		74,731.92	5,410.90	
CITIZENS FINANCIAL GROUP INC								
Symbol: CFG Exchange: NYSE								
EAI: \$2,752 Current yield: 4.36%								
Security total		1,511,000	18.799	28,405.74	35.760	54,033.36	25,627.62	ST
	Apr 27, 20	237,000	21.915	5,193.90	35.760	8,475.12	3,281.22	ST
	Jun 19, 20	6,000	25.788	154.73	35.760	214.56	59.83	ST

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Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-779-4848

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 25, 20	10,010	24.080	240.80	35.760	357.60	116.80	ST
CME GROUP INC		1,764,010	19.272	33,995.17		63,080.64	29,085.47	
Symbol: CME Exchange: OTC								
EAL: \$258 Current yield: 1.86%	Jul 28, 20	76,010	165.925	12,610.32	182.050	13,835.80	1,225.48	ST
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAL: \$1,271 Current yield: 2.99%	Apr 16, 20	767,010	47.195	36,199.03	54.840	42,062.28	5,863.25	ST
	Sep 25, 20	8,010	48.308	386.47	54.840	438.72	52.25	ST
Security total		775,010	47.207	36,585.50		42,501.00	5,915.50	
COGENT COMMUNICATIONS HOLDINGS INC								
Symbol: CCO Exchange: OTC								
EAL: \$705 Current yield: 4.64%	Jul 28, 20	157,010	86.857	13,636.58	59.870	9,399.59	-4,236.99	ST
	Nov 30, 20	97,010	58.059	5,631.75	59.870	5,807.39	175.64	ST
Security total		254,010	75.860	19,268.33		15,206.98	-4,061.35	
COMCAST CORP NEW CL A								
Symbol: CMCSA Exchange: OTC								
EAL: \$661 Current yield: 1.75%	Apr 7, 20	719,010	37.298	26,817.62	52.400	37,675.60	10,857.98	ST
CORESITE REALTY CORP COM REIT								
Symbol: COR Exchange: NYSE								
EAL: \$1,594 Current yield: 3.93%	Jun 12, 20	138,010	117.556	16,222.73	125.280	17,288.64	1,065.91	ST
	Jul 28, 20	186,010	126.408	23,512.07	125.280	23,302.08	-209.99	ST
Security total		324,010	122.638	39,734.80		40,590.72	855.92	
COSTCO WHOLESALE CORP								
Symbol: COST Exchange: OTC								
EAL: \$143 Current yield: 0.74%	Mar 19, 20	51,010	305.495	15,704.15 ¹	376.780	19,215.78	3,511.63	ST
COUSINS PROPERTIES INC								
Symbol: CLZ Exchange: NYSE								
EAL: \$1,132 Current yield: 3.58%	Dec 14, 20	943,010	34.498	32,532.18	33.500	31,590.50	-941.68	ST
CROWN CASTLE INTL CORP REIT								
Symbol: CCI Exchange: NYSE								
EAL: \$2,256 Current yield: 3.34%	Jan 6, 17	50,010	84.226	4,211.30	159.190	7,959.50	3,748.20	LT



Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CUMMINGS INC								
Symbol: CMI Exchange: NYSE								
EAT: \$761 Current yield: 2.38%	Apr 6, 20	141,000	142.750	20,127.82	227.100	32,021.10	11,893.28	ST
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAT: \$111 Current yield: 0.32%	Mar 19, 20	109,000	124.195	13,537.26	222.140	24,213.26	10,676.00	ST
	Apr 13, 20	34,000	144.667	4,918.69	222.140	7,552.76	2,634.07	ST
	Apr 14, 20	11,000	150.520	1,655.72	222.140	2,443.54	787.82	ST
Security total		154,000	130.595	20,111.67		34,209.56	14,097.89	
DOLLAR GEN CORP NEW								
Symbol: DG Exchange: NYSE								
EAT: \$287 Current yield: 0.69%	May 11, 20	35,000	182.803	6,398.12	210.300	7,360.50	962.38	ST
	May 12, 20	50,000	182.296	9,114.80	210.300	10,515.00	1,400.20	ST
	May 13, 20	18,000	181.041	3,258.75	210.300	3,785.40	526.65	ST
	Jul 27, 20	70,000	190.258	13,318.07	210.300	14,721.00	1,402.93	ST
	Oct 23, 20	17,000	214.537	3,647.14	210.300	3,575.10	-72.04	ST
	Oct 26, 20	9,000	213.424	1,920.82	210.300	1,892.70	-28.12	ST
Security total		199,000	189.235	37,657.70		41,849.70	4,192.00	
DOVER CORP								
Symbol: DOV Exchange: NYSE								
EAT: \$249 Current yield: 1.57%	Apr 7, 20	63,000	86.190	5,429.97	126.250	7,953.75	2,523.78	ST
	Jul 27, 20	63,000	106.111	6,685.04	126.250	7,953.75	1,268.71	ST
Security total		126,000	96.151	12,115.01		15,907.50	3,792.49	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Sving Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Num per of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DTE ENERGY CO								
Symbol: DTE Exchange: NYSE								
EAL: \$807 Current yield: 3.57%	Apr 7, 20	186,000	96.021	18,231.96	121.410	22,582.26	4,350.30	ST
EASTMAN CHEMICAL CO								
Symbol: EMI Exchange: NYSE								
EAL: \$1,526 Current yield: 2.75%	Apr 6, 20	551,000	49.856	27,471.10	100.280	55,254.28	27,783.18	ST
Security total	Sep 25, 20	2,000	76.610	153.22	100.280	200.56	47.34	ST
		553,000	49.954	27,624.32		55,454.84	27,830.52	
ENBRIDGE INC CAD								
Symbol: ENB Exchange: NYSE								
EAL: \$3,295 Current yield: 8.16%	Dec 18, 18	746,000	30.970	23,104.29	31.990	23,864.54	760.25	LT
CAD Exchange rate: 1.27400	Jan 4, 19	423,000	32.620	13,798.68	31.990	13,531.77	-266.91	LT
	Feb 3, 20	8,000	41.155	329.24	31.990	255.92	-73.32	ST
	Mar 18, 20	31,000	23.070	831.162	31.990	991.69	160.53	LT
	Mar 18, 20	27,000	23.065	775.972	31.990	863.73	87.76	LT
	Apr 6, 20	17,000	28.898	535.732	31.990	543.83	8.10	ST
Security total	Sep 25, 20	11,000	29.688	326.57	31.990	351.89	25.32	ST
		1,263,000	31.424	39,701.64		40,403.37	701.73	
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Mar 19, 20	360,000	156.605	56,377.80	273.160	98,337.60	41,959.80	ST
FIDELITY NATL INFORMATION SVCS								
Symbol: FIS Exchange: NYSE								
EAL: \$412 Current yield: 0.99%	Mar 19, 20	257,000	106.269	27,311.34	141.460	36,355.22	9,043.88	ST
	Nov 18, 20	20,000	145.880	2,917.60	141.460	2,829.20	-88.40	ST
Security total	Nov 19, 20	17,000	146.603	2,492.26	141.460	2,404.82	-87.44	ST
		294,000	111.297	32,721.20		41,589.24	8,668.04	
FIDELITY NATIONAL FINANCIAL IN COM								
Symbol: FNF Exchange: NYSE								
EAL: \$608 Current yield: 3.69%	Mar 17, 20	79,000	25.788	2,284.252	39.090	3,088.11	803.86	ST
Security total	Mar 18, 20	343,000	21.416	7,489.012	39.090	13,407.87	5,908.86	ST
		422,000	23.183	9,783.26		16,495.98	6,712.72	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account Number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GENL MILLS INC								
Symbol: GIS Exchange: NYSE								
EAT: \$1,222 Current yield: 3.47%	Oct 9, 20	599,000	61.900	37,078.58	58.800	35,221.20	-1,857.38	ST
GENUINE PARTS CO								
Symbol: GPC Exchange: NYSE								
EAT: \$1,473 Current yield: 3.15%	Aug 20, 20	314,000	92.593	29,074.43	100.430	31,535.02	2,460.59	ST
	Sep 2, 20	144,000	98.954	14,249.38	100.430	14,461.92	212.54	ST
	Sep 25, 20	8,000	93.418	747.35	100.430	803.44	56.09	ST
Security total		466,000	94.573	44,071.16		46,800.38	2,729.22	
GILEAD SCIENCES INC								
Symbol: GILD Exchange: OTC								
EAT: \$1,735 Current yield: 4.67%	Apr 30, 19	361,000	64.753	23,375.94	58.260	21,031.86	-2,344.08	LT
	Jun 6, 19	210,000	64.103	13,461.63	58.260	12,234.60	-1,227.03	LT
	Jan 9, 20	1,000	65.970	65.97	58.260	58.26	-7.71	ST
	Feb 3, 20	3,000	66.680	200.04	58.260	174.76	-25.28	ST
	Mar 18, 20	36,000	76.330	2,747.88	58.260	2,097.36	-650.52	ST
	Mar 18, 20	21,000	78.290	1,644.09	58.260	1,223.46	-420.63	ST
	Apr 6, 20	3,000	76.570	229.71	58.260	174.78	-54.93	ST
	Sep 25, 20	3,000	62.116	186.35	58.260	174.78	-11.57	ST
Security total		638,000	65.692	41,911.67		37,169.88	-4,741.79	
HARTFORD FINCL SERVICES GROUP INC								
Symbol: HIG Exchange: NYSE								
EAT: \$1,268 Current yield: 2.66%	Oct 21, 20	833,000	40.365	33,624.46	48.980	40,800.34	7,175.88	ST
	Oct 22, 20	119,000	38.840	4,622.02	48.980	5,828.62	1,206.60	ST
	Dec 21, 20	23,000	46.890	1,078.47	48.980	1,126.54	48.07	ST
Security total		975,000	40.333	39,324.95		47,755.50	8,430.55	
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAT: \$2,028 Current yield: 2.26%	Mar 2, 20	45,000	224.042	10,081.90	265.620	11,952.90	1,871.00	ST
	Mar 16, 20	41,000	171.543	7,053.192	265.620	10,890.42	3,837.23	ST
	Mar 19, 20	118,000	158.387	18,689.67	265.620	31,343.16	12,653.49	ST
	Apr 7, 20	21,000	192.886	4,050.62	265.620	5,578.02	1,527.40	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
Friendly account names: Saving Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain/loss (\$)	Holding period
Security total								
HONEYWELL INTL INC								
Symbol: HON Exchange: NYSE								
EAI: \$1.138 Current yield: 1.75%								
	Apr 7, 20	139.000	135.782	18,913.542	212.700	29,565.30	10,651.76	ST
	Apr 7, 20	66.000	140.025	9,241.65	212.700	14,038.20	4,796.55	ST
	May 22, 20	7.000	138.268	1,004.832	212.700	1,488.90	484.07	ST
	Jun 8, 20	21.000	162.159	3,610.982	212.700	4,466.70	855.72	ST
	Jun 9, 20	73.000	158.790	11,754.332	212.700	15,527.10	3,772.77	ST
Security total		306.000	145.508	44,525.33		65,086.20	20,560.87	
HUNTINGTON BANCSHARES								
Symbol: HBAN Exchange: OTC								
EAI: \$1.822 Current yield: 4.75%								
	Apr 6, 20	3,011.000	7.534	22,687.88	12.630	38,028.93	15,341.05	ST
	Jun 19, 20	26.000	10.027	260.72	12.630	328.38	67.66	ST
Security total		3,037.000	7.556	22,948.60		38,357.31	15,408.71	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$1.309 Current yield: 2.65%								
	Apr 18, 13	223.000	22.059	4,919.18	49.820	11,109.86	6,190.68	LT
	Feb 25, 14	125.000	24.589	3,074.96	49.820	6,227.50	3,152.54	LT
	Mar 19, 15	96.000	30.857	2,962.30	49.820	4,782.72	1,820.42	LT
	Jul 24, 19	24.000	52.168	1,252.04	49.820	1,195.68	-56.36	LT
	Mar 26, 20	48.000	54.187	2,601.02	49.820	2,391.36	-209.66	ST
	Aug 3, 20	476.000	48.500	23,086.43	49.820	23,714.32	627.89	ST
Security total		992.000	38.202	37,895.93		49,421.44	11,525.51	
INTERCONTINENTAL EXCHANGE GROUP								
Symbol: ICE Exchange: NYSE								
EAI: \$416 Current yield: 1.04%								
	Feb 7, 20	61.000	92.982	5,671.94	115.290	7,032.69	1,360.75	ST
	Mar 19, 20	238.000	72.804	17,855.492	115.290	27,439.02	9,583.53	ST
	Apr 15, 20	48.000	86.149	4,135.19	115.290	5,533.92	1,398.73	ST
Security total		347.000	79.719	27,662.62		40,005.63	12,343.01	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R. JEANES
Friendly account name: Savings Trust
Account number:

Your Financial Advisors:
WILF FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTERPUBLIC GROUP OF COS INC								
Symbol: IPG Exchange: NYSE								
EAI: \$2,447 Current yield: 4.34%								
	May 6, 20	1,181,000	15.975	18,867.42	23.520	27,777.12	8,909.70	ST
	May 6, 20	389,000	16.079	6,254.81	23.520	9,149.28	2,894.47	ST
	Aug 17, 20	823,000	18.608	15,315.12	23.520	19,356.96	4,041.84	ST
	Sep 25, 20	6,000	16.190	97.14	23.520	141.12	43.98	ST
Security total		2,399,000	16.896	40,534.49		56,424.48	15,889.99	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$760 Current yield: 2.57%								
	Aug 27, 12	51,000	67.720	3,453.72	157.380	8,026.38	4,572.66	LT
	Jul 30, 19	80,000	132.076	10,586.10	157.380	12,590.40	2,004.30	LT
	Aug 1, 19	57,000	129.372	7,374.21	157.380	8,970.66	1,596.45	LT
Security total		188,000	113.798	21,394.03		29,587.44	8,193.41	
BRACORIAN PHARM & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$2,228 Current yield: 2.83%								
	Aug 27, 12	235,000	37.170	8,734.95	127.070	29,861.45	21,126.50	LT
	Aug 27, 12	164,000	37.170	6,095.88	127.070	20,839.48	14,743.60	LT
	Sep 19, 14	84,000	61.296	5,148.89	127.070	10,673.88	5,524.99	LT
	Mar 17, 20	52,000	94.691	5,351.69	127.070	6,607.64	1,255.95	LT
	Apr 1, 20	84,000	84.627	7,108.69	127.070	10,673.88	3,565.19	ST
Security total		619,000	52.407	32,440.10		78,656.33	46,216.23	
KEYCORP NEW								
Symbol: KEY Exchange: NYSE								
EAI: \$2,399 Current yield: 4.51%								
	Apr 6, 20	2,531,000	10.005	25,322.66	16.410	41,533.71	16,211.05	ST
	Apr 28, 20	688,000	11.926	8,205.71	16.410	11,290.08	3,084.37	ST
	Sep 25, 20	23,000	11.557	265.82	16.410	377.43	111.61	ST
Security total		3,242,000	10.424	33,794.19		53,201.22	19,407.03	
LAUDER ESTEE COS CL A								
Symbol: EL Exchange: NYSE								
EAI: \$140 Current yield: 0.80%								
	Mar 19, 20	66,000	155.795	10,282.47	266.190	17,566.54	7,284.07	ST
LEIDOS HOLDGS INC								
Symbol: LDO Exchange: NYSE								
EAI: \$201 Current yield: 1.29%								
	Jul 28, 20	148,000	91.337	13,517.91	105.120	15,557.76	2,039.85	ST

2,039.85 ST
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Strategic Wealth Portfolio

December 2020

Account name: DONALD R. JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LIFE STORAGE INC REIT								
Symbol: LSI Exchange: NYSE								
EAT: \$946 Current yield: 3.59%								
	Aug 17, 20	146,010	102.012	14,893.82	119.390	17,430.94	2,537.12	ST
	Aug 18, 20	69,010	101.841	7,027.08	119.390	8,237.91	1,210.83	ST
	Sep 25, 20	6,010	103.940	623.64	119.390	716.34	92.70	ST
Security total		221,010	102.011	22,544.54		26,385.19	3,840.65	
LOCKHEED MARTIN CORP								
Symbol: LMT Exchange: NYSE								
EAT: \$1,113 Current yield: 2.93%								
	Jan 28, 19	52,010	286.410	14,893.33	354.980	18,458.96	3,565.63	LT
	Jul 27, 20	55,010	381.897	21,004.38	354.980	19,523.90	-1,480.48	ST
		107,010	335.493	35,897.71		37,982.86	2,085.15	
Security total								
LOWES COMPANIES INC								
Symbol: LOW Exchange: NYSE								
EAT: \$514 Current yield: 1.50%								
	Mar 19, 20	214,010	68.650	17,503.82	160.510	34,349.14	16,845.32	
Security total								
LUKOIL PISC SPON ADR								
Symbol: LUKOY Exchange: OTC								
EAT: \$1,832 Current yield: 6.75%								
	Jul 28, 20	397,010	69.283	27,505.71	68.360	27,138.92	-366.79	ST
LE HARRIS TECHNOLOGIES INC								
Symbol: LHX Exchange: NYSE								
EAT: \$418 Current yield: 1.80%								
	Mar 2, 20	30,010	199.705	5,991.17	189.020	5,670.60	-320.57	ST
	Mar 11, 20	39,010	188.830	7,455.41	189.020	7,371.78	-83.63	ST
	Jul 28, 20	54,010	170.550	9,209.70	189.020	10,207.08	997.38	ST
Security total		123,010	184.197	22,656.28		23,249.46	593.18	
MAGNA INTL INC CL A SUB VTG								
CANADA ORD CAD								
Symbol: MGA Exchange: NYSE								
EAT: \$1,520 Current yield: 2.26%								
CAD Exchange rate: 1.27400								
	Apr 6, 20	944,010	32.198	30,395.39	70.800	66,835.20	36,439.81	ST
	Sep 25, 20	6,010	43.991	263.95	70.800	424.80	160.85	ST
Security total		950,010	32.273	30,659.34		67,260.00	36,600.66	
MARSH & MCLENNAN COS INC								
Symbol: MMC Exchange: NYSE								
EAT: \$234 Current yield: 1.59%								
	Apr 9, 20	66,010	96.176	6,347.64	117.000	7,722.00	1,374.36	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
Friendly account name: Swind Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-926-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MASTERCARD INC CL A								
Symbol: MA Exchange: NYSE								
EAI: \$37 Current yield: 0.48%								
Security total	Apr 9, 20	60,000	95.755	5,745.32	117.000	7,020.00	1,274.68	ST
		126,000	95.976	12,092.96		14,742.00	2,649.04	
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI: \$733 Current yield: 2.41%								
Security total	Mar 16, 20	21,000	254.610	5,346.83	356.940	7,495.74	2,148.91	ST
	Jan 30, 20	28,000	214.954	6,018.73	214.580	6,008.24	-10.49	ST
	Feb 11, 20	45,000	214.788	9,665.46	214.580	9,656.10	-9.36	ST
	Mar 2, 20	29,000	198.535	5,757.52	214.580	6,222.82	465.30	ST
	Jun 29, 20	40,000	181.982	7,279.28	214.580	8,583.20	1,303.92	ST
Security total		142,000	202.260	28,720.99		30,470.36	1,749.37	
MEDICAL PROPERTIES TRUST INC REIT								
Symbol: MPV Exchange: NYSE								
EAI: \$1,161 Current yield: 4.96%								
Security total	Mar 27, 20	302,000	18.441	5,569.39	21.790	6,580.58	1,011.19	ST
	Jul 28, 20	773,000	19.524	15,092.28	21.790	16,843.67	1,751.39	ST
		1,075,000	19.220	20,661.67		23,424.25	2,762.58	
MEDTRONIC PLC								
Symbol: MDT Exchange: NYSE								
EAI: \$891 Current yield: 1.98%								
Security total	Mar 19, 20	342,000	79.418	27,161.26	117.140	40,061.88	12,900.62	ST
	Apr 13, 20	42,000	98.875	4,152.79	117.140	4,919.88	767.09	ST
		384,000	81.547	31,314.05		44,981.76	13,667.71	
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI: \$2,493 Current yield: 3.18%								
Security total	Apr 6, 20	604,000	78.797	47,593.57	81.800	49,407.20	1,813.63	ST
	Apr 7, 20	351,000	78.863	27,681.26	81.800	28,711.80	1,030.54	ST
	Sep 25, 20	4,000	82.650	330.60	81.800	327.20	-3.40	ST
		959,000	78.838	75,605.43		78,446.20	2,840.77	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$1,905 Current yield: 1.01%								
Security total	Mar 2, 20	67,000	166.998	11,188.91	222.420	14,902.14	3,713.23	ST
	Mar 12, 20	54,000	142.180	7,677.77	222.420	12,010.68	4,332.91	ST
	Mar 17, 20	15,000	145.137	2,177.06	222.420	3,336.30	1,159.24	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R. JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONDELEZ INTL INC								
Symbol: MDLZ Exchange: OTC								
EAI: \$509 Current yield: 2.15%								
Security total	Mar 19, 20	715.010	146.150	104,497.25	222.420	159,030.30	54,533.05	ST
		851.010	147.522	125,540.99		189,279.42	63,738.43	
MORGAN STANLEY								
Symbol: MS Exchange: NYSE								
EAI: \$172 Current yield: 2.04%								
Security total	Jan 28, 19	123.810	42.227	5,193.97	68.530	8,429.19	3,235.22	LT
MOTOROLA SOLUTIONS INC								
Symbol: MSI Exchange: NYSE								
EAI: \$267 Current yield: 1.67%								
Security total	Apr 15, 20	39.010	149.237	5,820.27	170.060	6,632.34	812.07	ST
	Jul 27, 20	55.010	141.904	7,804.76	170.060	9,353.30	1,548.54	ST
		94.010	144.947	13,625.03		15,985.64	2,360.61	
NETAP INC								
Symbol: NTAP Exchange: OTC								
EAI: \$2,448 Current yield: 2.90%								
Security total	Mar 31, 20	575.010	41.771	24,018.44	66.240	38,088.00	14,069.56	ST
	Apr 6, 20	2.010	39.285	78.57	66.240	132.48	53.91	ST
	Apr 9, 20	329.010	42.773	14,072.55	66.240	21,792.86	7,720.41	ST
	Jun 19, 20	4.010	43.780	175.12	66.240	264.96	89.84	ST
	Sep 2, 20	344.010	48.269	16,604.57	66.240	22,786.56	6,181.99	ST
	Sep 25, 20	21.010	41.520	871.92	66.240	1,391.04	519.12	ST
Security total		1,275.010	43.781	55,821.17		84,456.00	28,634.83	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE								
EAI: \$896 Current yield: 1.81%								
Security total	Feb 28, 20	68.010	61.120	4,156.16	77.150	5,246.20	1,090.02	ST
	Apr 7, 20	420.010	57.295	24,141.39 ²	77.150	32,403.00	8,261.61	ST
	May 8, 20	120.010	57.085	6,850.23	77.150	9,258.00	2,407.77	ST
	Jul 28, 20	32.010	70.075	2,242.40	77.150	2,468.80	226.40	ST
Security total		640.010	58.422	37,390.20		49,376.00	11,985.80	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NORFOLK STN CORP								
Symbol: NSC Exchange: NYSE								
EAI: \$684 Current yield: 1.58%								
	Apr 7, 20	74,000	158.164	11,704.15	237.810	17,583.14	5,878.99	ST
	Jun 10, 20	37,000	190.634	7,053.46	237.810	8,791.57	1,738.11	ST
	Jul 27, 20	71,000	189.886	13,481.93	237.810	16,870.31	3,388.38	ST
Security total		182,000	177.140	32,239.54		43,245.02	11,005.48	
NORTHROP GRUMMAN CORP								
Symbol: NOC Exchange: NYSE								
EAI: \$319 Current yield: 1.90%								
	Jul 28, 20	55,000	315.242	17,338.34	304.720	16,759.60	-578.74	ST
O RILEY AUTOMOTIVE INC								
Symbol: ORL Exchange: OTC								
EAI: \$2,000 Current yield: 4.26%								
	Mar 19, 20	79,000	300.089	23,707.04	452.570	35,753.03	12,045.99	ST
OLD REPUBLIC INTL CORP								
Symbol: ORI Exchange: NYSE								
EAI: \$2,000 Current yield: 4.26%								
	Jan 16, 18	1,219,000	20.151	24,564.32	19.710	24,026.49	-537.83	LT
	Apr 6, 20	788,000	14.515	11,767.54	12.718	15,551.19	3,783.75	ST
	Sep 25, 20	16,000	14.070	225.12	19.710	315.36	90.24	ST
	Nov 10, 20	357,000	18.631	6,651.62	19.710	7,036.47	384.85	ST
Security total		2,381,000	18.147	43,209.00		46,929.51	3,720.51	
ONEOK INC NEW								
Symbol: OKE Exchange: NYSE								
EAI: \$838 Current yield: 9.75%								
	Apr 7, 20	224,000	24.325	5,448.80	38.380	8,597.12	3,148.32	ST
PARKER HANNIFIN CORP								
Symbol: PH Exchange: NYSE								
EAI: \$508 Current yield: 1.29%								
	Mar 19, 20	117,000	105.065	12,292.70	272.410	31,871.97	19,579.27	ST
	Nov 18, 20	11,000	266.158	2,927.74	272.410	2,996.51	68.77	ST
	Nov 19, 20	8,000	266.228	2,129.83	272.410	2,179.28	49.45	ST
	Nov 20, 20	7,000	267.351	1,871.46	272.410	1,906.87	35.41	ST
Security total		143,000	134.418	19,221.73		38,954.63	19,732.90	
PFIZER INC								
Symbol: PFE Exchange: NYSE								
EAI: \$1,357 Current yield: 4.24%								
	Nov 30, 16	417,000	30.296	12,633.55	36.810	15,348.77	2,716.22	LT
	Jul 14, 17	178,000	31.754	5,652.26	36.810	6,552.18	899.92	LT
	Apr 6, 20	266,000	32.152	8,552.50	36.810	9,791.46	1,238.96	ST
	Sep 25, 20	9,000	33.963	305.67	36.810	331.29	25.62	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WIL FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PORTLAND GENL ELEC CO NEW								
Symbol: POR Exchange: NYSE								
EAT: \$1,183 Current yield: 3.81%								
	Aug 14, 20	248,010	42.398	10,514.93	42.770	10,606.96	92.03	ST
	Aug 17, 20	274,010	42.440	11,628.59	42.770	11,718.98	90.39	ST
	Sep 25, 20	4,010	35.050	140.20	42.770	171.08	30.88	ST
	Nov 10, 20	200,010	43.768	8,753.72	42.770	8,554.00	-199.72	ST
Security total		726,010	42.751	31,037.44		31,051.02	13.58	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAT: \$696 Current yield: 2.27%								
	Feb 28, 20	3,010	107.840	323.52	139.140	417.42	93.90	ST
	Mar 18, 20	20,010	113.325	2,266.50	139.140	2,782.80	516.30	ST
	Apr 7, 20	113,010	113.071	12,777.06	139.140	15,722.82	2,945.76	ST
	Apr 15, 20	29,010	121.542	3,524.72	139.140	4,035.06	510.34	ST
	Apr 20, 20	55,010	122.353	6,729.46	139.140	7,652.70	923.24	ST
Security total		220,010	116.460	25,621.26		30,610.80	4,989.54	
PROLOGIS INC COM								
Symbol: PLD Exchange: NYSE								
EAT: \$459 Current yield: 2.33%								
	Feb 21, 20	14,010	99.456	1,392.39	99.660	1,395.24	2.85	ST
	Feb 28, 20	93,010	82.229	7,647.37	99.660	9,268.38	1,621.01	ST
	Mar 17, 20	8,010	68.816	552.06	99.660	797.28	145.22	ST
	Apr 7, 20	83,010	81.264	7,163.76	99.660	8,271.78	1,108.02	ST
Security total		198,010	85.129	16,855.58		19,732.68	2,877.10	
RAYTHEON TECHNOLOGIES CORP								
Symbol: RTX Exchange: NYSE								
EAT: \$163 Current yield: 2.85%								
	Jun 8, 20	86,010	73.679	6,336.46	71.510	6,149.96	-186.60	ST
ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAT: \$278 Current yield: 1.71%								
	Mar 19, 20	65,010	134.954	8,772.07	250.810	16,302.65	7,530.58	ST
ROYAL GOLD INC								
Symbol: RGLD Exchange: OTC								
EAT: \$73 Current yield: 1.13%								
	Mar 26, 20	44,010	92.658	4,076.96	106.360	4,679.84	602.88	ST
	Nov 30, 20	17,010	110.471	1,878.02	106.360	1,808.12	-69.90	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WILL FINANCIAL GROUP
423-928-7144/800-729-4898

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SALESFORCE.COM INC								
Symbol: CRM Exchange: NYSE								
May 11, 20		58,000	180.889	10,491.59	222.530	12,906.74	2,415.15	ST
May 12, 20		67,000	179.194	12,006.05	222.530	14,909.51	2,903.46	ST
May 13, 20		15,009	173.767	2,606.51	222.530	3,337.95	731.44	ST
Nov 18, 20		30,000	258.912	7,767.38	222.530	6,675.90	-1,091.48	ST
Security total		170,000	193.362	32,871.53		37,830.10	4,958.57	
SHERWIN WILLIAMS CO								
Symbol: SHW Exchange: NYSE								
EAI: \$209 Current yield: 0.73%								
May 11, 20		20,000	558.366	11,167.33	734.910	14,698.20	3,530.87	ST
May 12, 20		10,000	558.609	5,586.09	734.910	7,349.10	1,763.01	ST
May 13, 20		9,000	547.531	4,927.78	734.910	6,614.19	1,686.41	ST
Security total		39,000	555.928	21,681.20		28,661.49	6,980.29	
STAC INC INC SBI								
Symbol: STAG Exchange: NYSE								
EAI: \$1,725 Current yield: 4.60%								
Jul 10, 20		1,195,000	29.137	34,819.68	31.320	37,427.40	2,607.72	ST
Sep 25, 20		3,000	29.636	88.91	31.320	93.96	5.05	ST
Security total		1,198,000	29.139	34,908.59		37,521.36	2,612.77	
SUN COMMUNITIES INC								
Symbol: SUI Exchange: NYSE								
EAI: \$506 Current yield: 2.08%								
Mar 30, 20		95,000	119.051	11,309.87	151.960	14,435.25	3,125.38	ST
Dec 2, 20		65,000	142.245	9,245.98	151.960	9,876.75	630.77	ST
Security total		160,000	128.474	20,555.85		24,312.00	3,756.15	
TANWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$905 Current yield: 1.26%								
Jan 27, 17		592,000	31.220	18,482.53	109.040	64,551.68	46,069.15	LT
May 8, 18		3,000	39.240	117.72	109.040	327.12	209.40	LT
Nov 19, 18		4,000	36.140	144.56	109.040	436.16	291.60	LT
Jan 9, 20		1,000	59.030	59.03	109.040	109.04	50.01	ST
Feb 3, 20		5,000	54.750	273.75	109.040	545.20	271.45	ST
Mar 18, 20		23,000	43.705	1,005.22	109.040	2,507.92	1,502.70	ST
Mar 18, 20		20,000	43.820	876.40	109.040	2,180.80	1,304.40	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-779-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Num per of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 6, 20	11,000	49,680	546.48	109,040	1,199.44	652.96	ST
		659,000	32,634	21,505.69		71,857.36	50,351.67	

TARGET CORP

Symbol: TGT Exchange: NYSE
 EAI: \$767 Current yield: 1.54%

Feb 13, 19	261,000	72,632	18,957.11	176,530	46,074.33	27,117.22	LT
Feb 3, 20	3,000	113,060	339.18	176,530	529.59	190.41	ST
Mar 18, 20	6,000	99,266	595.60	176,530	1,059.18	463.58	ST
Mar 18, 20	6,000	102,280	613.68	176,530	1,059.18	445.50	ST
Apr 6, 20	4,000	96,095	384.38	176,530	706.12	321.74	ST
Sep 25, 20	2,000	153,020	306.04	176,530	353.06	47.02	ST
Security total	282,000	75,163	21,195.99		49,781.46	28,585.47	

TEXAS INSTRUMENTS

Symbol: TXN Exchange: OTC
 EAI: \$1,991 Current yield: 2.46%

Jan 28, 19	378,000	102,419	38,714.72	164,130	62,041.14	23,326.42	LT
Jan 9, 20	1,000	130,950	130.95	164,130	164.13	33.18	ST
Feb 3, 20	3,000	122,850	368.85	164,130	492.39	123.54	ST
Mar 18, 20	10,000	96,418	975.00	164,130	1,641.30	666.30	LT
Mar 18, 20	9,000	94,710	884.68	164,130	1,477.17	592.49	LT
Apr 6, 20	5,000	107,230	550.67	164,130	820.65	269.98	ST
Jul 28, 20	79,000	130,207	10,286.42	164,130	12,966.27	2,679.85	ST
Sep 25, 20	3,000	136,790	410.37	164,130	492.39	82.02	ST
Security total	488,000	107,217	52,321.66		80,095.44	27,773.78	

THERMO FISHER SCIENTIFIC INC

Symbol: TMO Exchange: NYSE
 EAI: \$131 Current yield: 0.19%

Mar 19, 20	90,000	286,033	25,743.02	465,780	41,920.20	16,177.18	ST
Apr 13, 20	21,000	312,484	6,562.17	465,780	9,781.38	3,219.21	ST
Apr 14, 20	6,000	323,600	1,941.60	465,780	2,794.68	853.08	ST
Jul 27, 20	32,000	414,719	13,271.01	465,780	14,904.96	1,633.95	ST
Security total	149,000	318,911	47,517.80		69,401.22	21,883.42	

TIX COS INC NEW

Symbol: TIX Exchange: NYSE
 EAI: \$765 Current yield: 1.52%

Mar 19, 20	556,000	41,388	23,011.84	68,290	37,969.24	14,957.40	ST
Security total							

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TRANSUNION								
Symbol: TRU Exchange: NYSE								
EAT: \$21 Current yield: 0.30%								
	Apr 7, 20	5,000	66.418	332.08	99.220	496.10	164.02	ST
	Apr 13, 20	65,000	67.471	4,385.62	99.220	6,449.30	2,063.68	ST
Security total		70,000	67.396	4,717.70		6,945.40	2,227.70	
UNITEDHEALTH GROUP INC								
Symbol: UNH Exchange: NYSE								
EAT: \$1,360 Current yield: 1.43%								
	Mar 19, 20	198,000	217.779	43,120.36	350.680	69,434.64	26,314.28	ST
	Apr 13, 20	24,000	261.091	6,266.20	350.680	8,416.32	2,150.12	ST
	Apr 14, 20	5,000	270.594	1,352.97	359.680	1,753.40	400.43	ST
	Jul 27, 20	45,000	298.948	13,452.67	350.680	15,780.60	2,327.93	ST
Security total		272,000	236.001	64,192.20		95,384.96	31,192.76	
VALERO ENERGY CORP NEW								
Symbol: VLO Exchange: NYSE								
EAT: \$1,274 Current yield: 6.93%								
	Apr 7, 20	34,000	48.300	1,642.23	56.570	1,923.38	281.15	ST
	Apr 7, 20	29,000	48.324	1,401.41	56.570	1,640.53	239.12	ST
	Jun 10, 20	88,000	71.224	6,267.72	56.570	4,978.16	-1,289.56	ST
	Jul 27, 20	148,000	57.915	8,571.55	56.570	8,372.36	-199.19	ST
	Aug 12, 20	26,000	54.066	1,405.74	56.570	1,470.82	65.08	ST
Security total		325,000	59.350	19,288.65		18,385.25	-903.40	
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAT: \$3,047 Current yield: 4.27%								
	May 10, 18	59,000	47.026	2,774.56	58.750	3,466.25	691.69	LT
	Jun 4, 18	905,000	48.045	43,481.60	58.750	53,168.75	9,687.15	LT
	Nov 19, 18	2,000	60.800	121.60	58.750	117.50	-4.10	LT
	Jan 9, 20	2,000	59.065	118.13	58.750	117.50	-0.63	ST
	Feb 3, 20	3,000	58.476	175.43	58.750	176.25	0.82	ST
	Feb 10, 20	18,000	60.026	1,080.47	58.750	1,057.50	-22.97	ST
	Mar 3, 20	131,000	55.864	7,318.29	58.750	7,696.25	377.96	ST
	Mar 18, 20	28,000	53.305	1,492.54	58.750	1,645.00	152.46	ST

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VISA INC. CL A								
Symbol: V Exchange: NYSE								
EAI: \$389 Current Yield: 0.59%								
	Mar 19, 20	274,000	152.865	41,885.01	218.750	59,932.02	18,047.01	ST
	Nov 18, 20	22,000	209.288	4,604.35	218.730	4,812.06	207.71	ST
	Nov 19, 20	8,000	207.005	1,656.04	218.730	1,749.84	93.80	ST
Security total		304,000	158.373	48,145.40		66,493.92	18,348.52	
WALMART INC.								
Symbol: WMT Exchange: NYSE								
EAI: \$231 Current Yield: 1.50%								
	Apr 7, 20	45,000	122.623	5,518.07	144.150	6,486.75	968.68	ST
	Jun 26, 20	62,000	118.812	7,366.39	144.150	8,937.30	1,570.91	ST
Security total		107,000	120.416	12,884.46		15,424.05	2,539.59	
WASTE MGMT INC. NEW								
Symbol: WM Exchange: NYSE								
EAI: \$273 Current Yield: 1.85%								
	Mar 2, 20	49,000	115.461	5,657.63	117.990	5,778.57	120.94	ST
	Apr 7, 20	10,000	91.890	972.052	117.990	1,179.30	207.25	ST
	Dec 3, 20	66,000	117.354	7,745.38	117.990	7,783.38	38.00	ST
Security total		125,000	115.000	14,375.06		14,741.25	366.19	
WEC ENERGY GROUP INC. COM								
Symbol: WEC Exchange: NYSE								
EAI: \$552 Current Yield: 2.75%								
	Jul 28, 20	218,000	94.733	20,651.95	92.030	20,062.54	-589.41	ST
WESTERN UNION CO								
Symbol: WU Exchange: NYSE								
EAI: \$1,797 Current Yield: 4.10%								
	May 6, 20	1,290,000	19.354	24,967.43	21.940	28,302.60	3,335.17	ST
	May 27, 20	693,000	20.363	14,111.70	21.940	15,204.42	1,092.72	ST
	Sep 25, 20	14,000	21.490	300.86	21.940	307.16	6.30	ST
Security total		1,997,000	19.720	39,379.99		43,814.18	4,434.19	

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WHIRLPOOL CORP								
Symbol: WHR Exchange: NYSE								
EAL: \$950 Current Yield: 2.77%								
	Apr 6, 20	57,000	92.800	5,289.60	180.490	10,287.93	4,998.33	ST
	May 13, 20	129,000	103.443	13,344.24	180.490	23,283.21	9,938.97	ST
	Sep 25, 20	1,000	175.670	175.67	180.490	180.49	4.82	ST
	Dec 21, 20	3,000	185.310	555.93	180.490	541.47	-14.46	ST
Security total		190,000	101.923	19,365.44		34,293.10	14,927.66	
Total				\$3,547,402.32		\$4,773,033.23	\$1,225,630.91	

Total estimated annual income: \$110,717

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		76,911.87	1.59%	76,911.87		
Equities	Common stock	4,773,033.23	98.41%	3,547,402.32	110,717.00	1,225,630.91
Total		\$4,849,945.10	100.00%	\$3,624,314.19	\$110,717.00	\$1,225,630.91

Account activity this month

Dividend and Interest Income	Date	Activity	Description	Amount (\$)
Taxable dividends				
Dec 1	Dividend		CHURCH & DWIGHT CO INC PAID ON SYMBOL: CHD	17.28
Dec 1	Foreign Dividend		ENERIDGE INC CAD PAID ON SYMBOL: ENB	796.17
Dec 1	Dividend		INTEL CORP PAID ON SYMBOL: INTC	327.36
Dec 1	Dividend		PRIZER INC PAID ON SYMBOL: PFE	333.64
Dec 1	Dividend		WEC ENERGY GROUP INC COM PAID ON SYMBOL: WEC	137.89

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Friendly account name: Saving Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)		Taxable dividends (continued)		Date	Activity	Description	Amount (\$)
Dec 1	Dividend	WEA INC CL A PAID ON	274			SYMBOL: V	87.68
Dec 3	Dividend	CLIMAX INC PAID ON	142			SYMBOL: CMI	191.70
Dec 4	Dividend	EXGENT COMMUNICATIONS HOLDINGS INC PAID ON	157			SYMBOL: CCOI	114.61
Dec 4	Dividend	HANEYWELL INTL INC PAID ON	421			SYMBOL: HON	391.53
Dec 4	Dividend	LE HARRIS TECHNOLOGIES INC PAID ON	123			SYMBOL: LHX	104.55
Dec 4	Dividend	MAGNA INTL INC CL A SUBVTG CANADA ORD CAD PAID ON	958			SYMBOL: MGA	383.20
Dec 4	Dividend	PEPPER HANNIFIN CORP PAID ON	117			SYMBOL: PH	102.96
Dec 4	Dividend	ST ERWIN WILLIAMS CO PAID ON	39			SYMBOL: SHW	52.26
Dec 7	Dividend	CITIMED CORP NEW PAID ON	14			SYMBOL: CHE	4.76
Dec 8	Dividend	JC HINSON & JOHNSON COM PAID ON	188			SYMBOL: JHI	189.88
Dec 9	Dividend	VULERO ENERGY CORP NEW PAID ON	325			SYMBOL: VEO	318.50
Dec 10	Dividend	M CROSOFT CORP PAID ON	851			SYMBOL: MSFT	476.56
Dec 10	Dividend	MERFOLK STN CORP PAID ON	182			SYMBOL: NSC	171.08
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON	65			SYMBOL: ROK	69.55
Dec 10	Dividend	TARGET CORP PAID ON	284			SYMBOL: TGT	193.12
Dec 10	Dividend	TRANSUNION PAID ON	70			SYMBOL: TRIJ	5.25
Dec 11	Dividend	COSTCO WHOLESALE CORP				SYMBOL: COST	510.00

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WILDFINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Date	Activity	Description	Amount (\$)
Dec 14	Dividend	ATKOS ENERGY CORP PAID ON SYMBOL: ATO	246.88
Dec 14	Dividend	DOVER CORP PAID ON SYMBOL: DOV	62.37
Dec 14	Dividend	PRIMERICA INC PAID ON SYMBOL: PRI	20.80
Dec 15	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO	320.62
Dec 15	Dividend	AMCOR PLC PAID ON SYMBOL: AMCR	470.94
Dec 15	Dividend	INTERPUBLIC GROUP OF COS INC PAID ON SYMBOL: IPG	615.32
Dec 15	Dividend	KEYCORP NEW PAID ON SYMBOL: KEY	604.77
Dec 15	Dividend	LAUDER ESTEE COS CT A PAID ON SYMBOL: EL	34.98
Dec 15	Dividend	MCDONALDS CORP PAID ON SYMBOL: MCD	183.18
Dec 15	Dividend	NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE	224.00
Dec 15	Dividend	OLD REPUBLIC INTL CORP PAID ON SYMBOL: ORI	504.42
Dec 15	Dividend	STAG INDL INC SBI PAID ON SYMBOL: STAG	144.60
Dec 15	Dividend	UNITEDHEALTH GROUP INC PAID ON SYMBOL: UNH	340.00
Dec 15	Dividend	WHIRLPOOL CORP PAID ON SYMBOL: WHR	233.75
Dec 16	Dividend	AVERY DENNISON CORP PAID ON SYMBOL: AVY	56.42
Dec 16	Dividend	NORTHROP GRUMMAN CORP PAID ON SYMBOL: NOC	79.75
Dec 17	Dividend	HOME DEPOT INC PAID ON SYMBOL: HD	337.50

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Spendly account name: Saving Trust
Account number:

Your Financial Address:
WIP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Dec 17	Dividend	RAYTHEON TECHNOLOGIES CORP PAID ON SYMBOL: RTX	40.85
Dec 18	Dividend	WASTE MGMT INC NEW PAID ON SYMBOL: WM	32.16
Dec 22	Dividend	A THERM INC PAID ON SYMBOL: ANTM	57.00
Dec 24	Dividend	BANK OF AMER CORP PAID ON SYMBOL: BAC	152.46
Dec 24	Dividend	LOCKHEED MARTIN CORP PAID ON SYMBOL: LMT	278.20
Dec 28	Dividend	FIDELITY NATL INFORMATION SVCS PAID ON SYMBOL: FIS	102.90
Dec 29	Dividend	CME GROUP INC PAID ON SYMBOL: CME	141.10
Dec 30	Dividend	CIOMAN CASTLE INTL CORP RET PAID ON SYMBOL: CCI	566.58
Dec 30	Dividend	FIDELITY NATIONAL FINANCIAL IN COM PAID ON SYMBOL: RFF	151.92
Dec 30	Dividend	BROADCOM INC PAID ON SYMBOL: AVGO	1,029.60
Dec 30	Dividend	G LEAD SCIENCES INC PAID ON SYMBOL: GLD	435.20
Dec 30	Dividend	INTERCONTINENTAL EXCHANGE GROUP PAID ON SYMBOL: ICE	104.10
Dec 30	Dividend	LEIDOS HDGS INC PAID ON SYMBOL: LDOS	50.32
Dec 30	Dividend	PROLOGIS INC COM PAID ON SYMBOL: PLD	114.84
Dec 30	Dividend	WESTERN UNION CO PAID ON SYMBOL: WU	453.15
Total taxable dividends			\$18,170.21
Dec 7	Interest	UNIS FDC INSURED DEPOSIT PROGRAM AS OF 12/04/20	0.59
Total taxable interest			\$0.59
Total dividend and interest income			\$18,170.80

Taxable interest



Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swift Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-528-7144/800-729-4948

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 1	Foreign Tax	ENBRIDGE INC CAD	-159.04
	Withheld	SYMBOL: ENB	
Dec 4	Foreign Tax	MAGNA INTL INC CL A SUB YTG CANADA ORD CAD	-95.80
	Withheld	SYMBOL: MGA	
Dec 28	Transfer	JOURNAL TO JY 01954 CLARINDA P JEANES LIVING TRUST	-13,000.00
Total other funds debited			-\$13,294.84

Investment transactions

For more information about the prices/valued shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 2	Bought	COGENET COMMUNICATIONS HOLDINGS INC UNSOLICITED	97,000	58,059,900			-5,631.75	
		SYMBOL: CCCI						
Dec 2	Bought	CISCO SYSTEMS INC UNSOLICITED	139,000	42,925,000			-5,966.58	
		SYMBOL: CSCO						
Dec 2	Bought	ROYAL GOLD INC UNSOLICITED	17,000	110,471,900			-1,878.02	
		SYMBOL: RGLD						
Dec 4	Sold	ESSEX PROPERTY TRUST INC UNSOLICITED	-23,000	246,837,700		5,677.14		
		SYMBOL: ESS						
Dec 4	Bought	SUN COMMUNITIES INC UNSOLICITED	65,000	142,245,900			-9,245.98	
		SYMBOL: SUI						
Dec 7	Bought	AMERICAN TOWER CORP RET UNSOLICITED	53,000	227,213,500			-12,042.32	
		SYMBOL: AMT						
Dec 7	Sold	NTHN TRST CORP UNSOLICITED	-272,009	93,276,800		25,370.76		
		SYMBOL: NTRS						
Dec 7	Bought	WASTE MGMT INC NEW UNSOLICITED	66,000	117,354,200			-7,745.38	
		SYMBOL: WM						
Dec 15	Bought	AMERICAN TOWER CORP RET UNSOLICITED	118,000	219,609,100			-25,913.87	
		SYMBOL: AMT						
Dec 15	Sold	BROADRIDGE FINANCIAL SOLUTIONS INC UNSOLICITED	-46,000	147,029,700		6,763.22		
		SYMBOL: BR						

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Fidelity account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 15	Sold	CME GROUP INC UNSOLICITED SYMBOL: CME	-90.000		180,025.900	16,201.97		
Dec 15	Sold	CELANESE CORP NEW UNSOLICITED SYMBOL: CE	-32.000		130,223.100	4,167.05		
Dec 15	Sold	BROADCOM INC UNSOLICITED SYMBOL: AVGO	-46.000		403,899.400	18,578.96		
Dec 15	Bought	HOME DEPOT INC UNSOLICITED SYMBOL: HD	113.000		264,661.300		-29,906.73	
Dec 15	Sold	PRIMEICA INC UNSOLICITED SYMBOL: PRI	-52.000		135,160.500	7,028.19		
Dec 15	Sold	SEMPRA ENERGY UNSOLICITED SYMBOL: SRE	-102.000		127,409.900	12,995.52		
Dec 16	Sold	BCE INC NEW CAD UNSOLICITED SYMBOL: BCE	-683.000		44,897.700	30,664.45		
Dec 16	Bought	COUSINS PROPERTIES INC UNSOLICITED SYMBOL: CUZ	981.000		34,498.600		-33,843.13	
Dec 23	Sold	CARDINAL HEALTH INC UNSOLICITED SYMBOL: CAH	-3.000		53,352.900	160.05		
Dec 23	Sold	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO	-5.000		44,300.100	221.49		
Dec 23	Sold	COCA COLA CO COM UNSOLICITED SYMBOL: KO	-7.000		52,512.300	367.58		
Dec 23	Sold	CITIZENS FINANCIAL GROUP INC UNSOLICITED SYMBOL: CFG	-14.000		34.34	480.75		
Dec 23	Sold	CROWN CASTLE INTL CORP REIT UNSOLICITED SYMBOL: CCI	-2.000		152.58	305.15		
Dec 23	Sold	CLIMAX INC UNSOLICITED SYMBOL: CMI	-1.000		222,040.100	222.03		
Dec 23	Sold	EASTMAN CHEMICAL CO UNSOLICITED SYMBOL: EMM	-5.000		101.25	506.24		

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 23	Sold	BROADCOM INC UNSOLICITED SYMBOL: AVGO	-1,000		426.38	426.37		
Dec 23	Sold	ENERIDGE INC CAD UNSOLICITED SYMBOL: ENB	-10,000		31.84	318.39		
Dec 23	Sold	AMCOR PLC UNSOLICITED SYMBOL: AMCR	-32,000		11,312.500	361.99		
Dec 23	Sold	COLINS PROPERTIES INC UNSOLICITED SYMBOL: CLZ	-38,000		33.40	1,269.17		
Dec 23	Sold	GENL MILLS INC UNSOLICITED SYMBOL: GIS	-20,000		58.601900	1,172.01		
Dec 23	Sold	GENLINE PARTS CO UNSOLICITED SYMBOL: GPC	-4,000		94,920100	379.67		
Dec 23	Sold	GIL FAD SCIENCES INC UNSOLICITED SYMBOL: GILD	-2,000		57,991100	115.97		
Dec 23	Bought	HARTFORD FINCL SERVICES GROUP INC UNSOLICITED SYMBOL: HIG	23,000		46.89		-1,078.47	
Dec 23	Sold	NETAP INC UNSOLICITED SYMBOL: NTAP	-9,000		63,982200	575.83		
Dec 23	Sold	CITIGROUP INC UNSOLICITED SYMBOL: C	-12,000		60,740100	728.86		
Dec 23	Sold	HUNTINGTON BANCSHARES UNSOLICITED SYMBOL: HBAN	-12,000		12.37	148.43		
Dec 23	Sold	INTERPUBLIC GROUP OF COS INC UNSOLICITED SYMBOL: IPG	-14,000		23.34	326.75		
Dec 23	Sold	KEYCORP NEW UNSOLICITED SYMBOL: KEY	-27,000		15,502600	418.56		
Dec 23	Sold	ABBVIE INC COM UNSOLICITED SYMBOL: ABBV	-5,000		102,710100	513.54		
Dec 23	Sold	MAGNA INTL INC CL A SUB VTG CANADA ORD CAD UNSOLICITED SYMBOL: MGA	-8,000		62,651600	501.20		

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 23	Sold	LIFE STORAGE INC REIT UNSOLICITED SYMBOL: LSI	-3,000		116.09	348.26		
Dec 23	Sold	MERCK & CO INC NEW COM UNSOLICITED SYMBOL: MRK	-5,000		78.67	393.34		
Dec 23	Sold	OLD REPUBLIC INTL CORP UNSOLICITED SYMBOL: ORI	-21,000		18.642200	391.48		
Dec 23	Sold	PFEZ INC UNSOLICITED SYMBOL: PFE	-8,000		37.12	296.95		
Dec 23	Sold	PORTLAND GENL ELEC CO NEW UNSOLICITED SYMBOL: POR	-5,000		40.220100	201.09		
Dec 23	Sold	STAG IND INC SBI UNSOLICITED SYMBOL: STAG	-7,000		30.312200	212.18		
Dec 23	Sold	TEXAS INSTRUMENTS UNSOLICITED SYMBOL: TXN	-3,000		161.012000	483.03		
Dec 23	Sold	TAIWAN SEMI CONDUCTOR MFG CO LTD ADR UNSOLICITED CUSIP: 874039100 / SYMBOL: TSM	-6,000		103.612100	621.65		
Dec 23	Sold	TARGET CORP UNSOLICITED SYMBOL: TGT	-2,000		171.520100	343.03		
Dec 23	Sold	VERIZON COMMUNICATIONS INC UNSOLICITED SYMBOL: VZ	-8,000		59.43	475.43		
Dec 23	Sold	WESTERN UNION CO UNSOLICITED SYMBOL: WU	-17,000		21.88	371.95		
Dec 23	Bought	WHIRLPOOL CORP UNSOLICITED SYMBOL: WHR	3,000		185.309900		-555.93	
Total						\$141,105.69	-\$133,808.18	

Money balance activities

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$69,798.58
Dec 2	Withdrawal	UBS FDC INSURED DEPOSIT PGM	-11,975.37
Dec 4	Withdrawal	UBS FDC INSURED DEPOSIT PGM	-3,377.14
Dec 7	Deposit	UBS FDC INSURED DEPOSIT PGM AS OF 12/04/20	0.59

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 7	Deposit	UBS FDC INSURED DEPOSIT PGM	1,053.31
	Dec 8	Deposit	UBS FDC INSURED DEPOSIT PGM	5,587.82
	Dec 9	Deposit	UBS FDC INSURED DEPOSIT PGM	189.88
	Dec 10	Deposit	UBS FDC INSURED DEPOSIT PGM	318.50
	Dec 11	Deposit	UBS FDC INSURED DEPOSIT PGM	915.56
	Dec 14	Deposit	UBS FDC INSURED DEPOSIT PGM	510.00
	Dec 15	Deposit	UBS FDC INSURED DEPOSIT PGM	330.05
	Dec 16	Deposit	UBS FDC INSURED DEPOSIT PGM	10,412.21
	Dec 17	Deposit	UBS FDC INSURED DEPOSIT PGM	136.17
	Dec 18	Deposit	UBS FDC INSURED DEPOSIT PGM	378.35
	Dec 21	Deposit	UBS FDC INSURED DEPOSIT PGM	32.16
	Dec 23	Deposit	UBS FDC INSURED DEPOSIT PGM	57.00
	Dec 24	Deposit	UBS FDC INSURED DEPOSIT PGM	12,824.03
	Dec 28	Deposit	UBS FDC INSURED DEPOSIT PGM	430.66
	Dec 25	Withdrawal	UBS FDC INSURED DEPOSIT PGM AS OF 12/28/20	-12,897.10
	Dec 30	Deposit	UBS FDC INSURED DEPOSIT PGM	141.10
	Dec 31	Deposit	UBS FDC INSURED DEPOSIT PGM	2,905.71
	Dec 31	Closing	UBS FDC-Insured Deposit Program	\$76,911.87

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we include the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See Important Information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accrued OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMCOR PLC	FIFO	32,000	Sep 14, 20	Dec 21, 20	361.99	358.23			3.76
BCE INC NEW CAD	FIFO	398,000	Apr 05, 20	Dec 14, 20	17,868.89	16,142.24			1,726.65
BROADCOM INC	VSP	46,000	Apr 07, 20	Dec 11, 20	18,578.96	11,703.42			6,875.54

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Strategic Wealth Portfolio
December 2020

Account name: DONALD R JEANES
Fiduciary account name: Sving Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-8848

Realized gains and losses (continued)
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
BROADRIDGE FINANCIAL SOLUTIONS INC	RFO	46,000	Apr 07, 20	Dec 11, 20	6,763.22	4,547.29			2,115.93
CARDINAL HEALTH INC	RFO	3,000	Mar 02, 20	Dec 21, 20	160.05	157.70			2.35
CELANESE CORP NEW	RFO	32,000	Apr 07, 20	Dec 11, 20	4,167.05	2,434.45			1,732.60
CITIZENS FINANCIAL GROUP INC	RFO	14,000	Apr 06, 20	Dec 21, 20	480.75	263.19			217.56
CME GROUP INC	RFO	65,000	Apr 07, 20	Dec 11, 20	11,701.42	11,527.57			173.85
	RFO	25,000	Jul 18, 20	Dec 11, 20	4,500.55	4,148.13			352.42
COCA COLA CO COM	RFO	7,000	Apr 16, 20	Dec 21, 20	367.58	330.37			37.21
COUSINS PROPERTIES INC	RFO	38,000	Dec 14, 20	Dec 21, 20	1,269.17	1,310.95		41.78	
CUMMINS INC	RFO	1,000	Apr 06, 20	Dec 21, 20	222.03	142.75			79.28
EASTMAN CHEMICAL CO	RFO	5,000	Apr 06, 20	Dec 21, 20	506.24	249.28			256.96
ESSEX PROPERTY TRUST INC	RFO	23,000	Apr 07, 20	Dec 02, 20	5,677.14	4,963.39			713.75
GENL MILLS INC	RFO	20,000	Oct 09, 20	Dec 21, 20	1,172.01	1,238.02		-66.01	
GENLINE PARTS CO	RFO	4,000	Aug 20, 20	Dec 21, 20	379.67	370.37			9.30
HUNTINGTON BANCSHARES	RFO	12,000	Apr 06, 20	Dec 21, 20	148.43	90.42			58.01
INTERPUBLIC GROUP OF COS INC	RFO	14,000	Mar 06, 20	Dec 21, 20	326.75	223.66			103.09
KEYCORP NEW	RFO	27,000	Apr 06, 20	Dec 21, 20	418.56	270.13			148.43
LIFE STORAGE INC RET	RFO	3,000	Aug 17, 20	Dec 21, 20	348.26	306.04			42.22
MAGNA INTL INC CL A SUB	RFO	8,000	Apr 06, 20	Dec 21, 20	501.20	257.59			243.61
VTG CANADA ORD CAD	RFO	5,000	Apr 06, 20	Dec 21, 20	393.34	393.99		-0.65	
MERCK & CO INC NEW COM	RFO	9,000	Mar 31, 20	Dec 21, 20	575.83	375.94			199.89
NETAPP INC	RFO	272,000	Jul 17, 20	Dec 09, 20	25,370.76	20,942.44			4,528.32
NITHIN TRUST CORP	RFO	5,000	Aug 14, 20	Dec 21, 20	201.09	211.99		-10.90	
PORTLAND GENL ELEC CO NEW	RFO	52,000	Apr 07, 20	Dec 11, 20	7,028.19	4,612.46			2,415.73
PRIMERICA INC	RFO	46,000	Apr 13, 20	Dec 11, 20	5,860.72	5,886.58		-25.86	
SEMPRA ENERGY	RFO	56,000	Apr 16, 20	Dec 11, 20	7,134.80	6,692.12			442.68
STAG INDL INC SBI	RFO	7,000	Jul 0, 20	Dec 21, 20	212.18	203.96			8.22

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Strategic Wealth Portfolio
December 2020

Account names: DONALD R JEANES
Friendly account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WESTERN UNION CO	FIFO	17,000	May 06, 20	Dec 21, 20	371.95	329.03			42.92
Total					\$123,068.78	\$100,683.70		-\$145.20	\$22,530.28
Net short-term capital gains and losses									\$22,385.08

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ABBVIE INC COM	FIFO	5,000	Sep 16, 19	Dec 21, 20	513.54	353.72			159.82
BCE INC NEW CAD	FIFO	285,000	Jan 28, 19	Dec 14, 20	12,795.56	12,108.94			686.62
BROADCOM INC	FIFO	1,000	Dec 03, 18	Dec 21, 20	426.37	242.53			183.84
CISCO SYSTEMS INC	FIFO	5,000	Aug 27, 12	Dec 21, 20	221.49	96.30			125.19
CITIGROUP INC	FIFO	12,000	Jan 28, 19	Dec 21, 20	728.85	766.47		-37.66	
CROWN CASTLE INTL CORP	FIFO	2,000	Jan 06, 17	Dec 21, 20	305.15	168.45			136.70
ENBRIDGE INC CAD	FIFO	10,000	Dec 18, 18	Dec 21, 20	318.39	309.71			8.68
GILEAD SCIENCES INC	FIFO	2,000	Apr 30, 19	Dec 21, 20	115.97	129.51		-13.54	
OLD REPUBLIC INTL CORP	FIFO	21,000	Jan 16, 18	Dec 21, 20	391.48	423.12		-31.69	
PRIZER INC	FIFO	6,000	Aug 27, 12	Dec 21, 20	222.71	136.16			86.55
	FIFO	2,000	Nov 30, 16	Dec 21, 20	74.24	60.59			13.65
TAMWAN SEMICONDUCTOR MFG	FIFO	6,000	Jan 27, 17	Dec 21, 20	621.66	187.33			434.33
CO LTD ADR	FIFO	2,000	Feb 13, 19	Dec 21, 20	343.03	145.26			197.77
TARGET CORP	FIFO	3,000	Jan 28, 19	Dec 21, 20	483.03	307.26			175.77
VERIZON COMMUNICATIONS	FIFO	8,000	Jan 04, 18	Dec 21, 20	475.43	384.37			91.06
Total					\$18,036.91	\$15,819.82		-\$21.89	\$2,217.09
Net long-term capital gains or losses									\$24,602.17
Net capital gains/losses:									\$46,987.25

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Strategic Wealth Portfolio

December 2020

Account name: DONALD R JEANES
 Friendly account name: Sving Trust
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/850-729-4848

Realized gains and losses (continued)

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VIATRIS INC	Adjustment	33,005	Apr 06, 20	Nov 23, 20	557.16	469.61			87.55
	Adjustment	1,116	Sep 25, 20	Nov 23, 20	18.85	16.78			2.07
Total					\$576.01	\$486.39			\$99.62

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VIATRIS INC	Adjustment	0.744	Aug 27, 12	Nov 17, 20	12.18	7.48			4.70
	Adjustment	0.196	Nov 30, 16	Nov 17, 20	3.22	2.64			0.58
	Adjustment	51.792	Nov 30, 16	Nov 23, 20	874.31	694.38			179.93
	Adjustment	22.086	Jul 14, 17	Nov 23, 20	372.84	310.37			62.47
Total					\$1,262.55	\$1,014.87			\$247.68

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Steagall	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 20, 20
JY01954	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 28, 20
TN Dept of Revenue	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 20, 20
United States Treasury	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 20, 20



Your notes

David Philip Roe

SCHEDULE A - ASSETS & "UNEARNED"
Schedule 15

David Philip Roe



Portfolio Management Program
December 2020

Account name: CLARINDA P ROE
Friendly account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances. FDIC Insurance applies to deposits at UBS Bank, USA and all the participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SFC. Protection applies to money market sweep fund holdings but not bank deposits. See Account Information about your statement on the last two pages of this document for details.

	December 2020 (\$)	Year to date (\$)
Opening balances	\$7,033.84	\$7,115.99
Additions		
Dividend and Interest Income	2,749.06	7,286.39
Proceeds from Investment transactions	0.00	114,575.41
Total additions	\$2,749.06	\$121,861.80
Subtractions		
Professional management fees and related services	0.00	-2,007.07
Funds withdrawn for Investments bought	-1,980.21	-119,168.03
Total subtractions	-\$1,980.21	-\$121,175.10
Net cash flow	\$768.85	\$686.70
Closing balances	\$7,802.69	\$7,802.69

Dividend and interest income earned

For purposes of this statement, usability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2020 (\$)	Year to date (\$)
Dividends	2,749.06	7,148.17
Interest	0.00	4.10
Total current year	\$2,749.06	\$7,152.27
Prior year adjustment	0.00	134.12
Total dividend & interest	\$2,749.06	\$7,286.39

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2020 (\$)	Year to date (\$)	
Short term	0.00	463.84	15,380.10
Long term	0.00	5,678.33	49,397.38
Total	\$0.00	\$6,142.17	\$64,777.48



Portfolio Management Program
December 2020

Account name: CLARINDA P ROE
Fidelity account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WLF FINANCIAL GROUP
423-928-7144/800-779-4848

UBS Bank USA Deposit Account APY

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Deposit balance Nov 6	\$19,243.36
Closing UBS Bank USA Deposit balance Dec 6	\$7,033.84
Number of days in interest period	31
Average daily balance	\$6,113.75
Interest earned	\$0.00
Annual percentage yield earned	0.00%

Retirement services information

2020 Year-end valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unaffiliated Private Investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2021. This 2020 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value	\$617,960.38

Beneficiaries you've named for this account

The beneficiaries listed below reflect the information in our records as of the end of this statement period. If this information is not correct, or you wish to change your beneficiaries, please contact your Financial Advisor. Beneficiary changes are not effective unless you give them to us in writing and we accept them. If you live in a community property state, your beneficiary designation may be subject to community property laws.

Percentage	Beneficiary	Contingent beneficiaries
100.00%	Conf International	



Your notes



Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: CLARINDA IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important Information* about your statement at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Deposit Program Bank deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Deposit Program Bank deposit account balances are insured by the FDIC, up to \$250,000 per depositor per ownership category, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by the FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

For FDIC insurance purposes, deposit balances include deposit account balances held at UBS Bank USA through the UBS cash sweep programs. Certificates of Deposits and UBS Core Savings, FDIC Insurance is calculated by ownership category (e.g., single, joint, retirement, business, trust). As a result, you will need to review your deposit amounts in each ownership category to determine whether your deposit balances are fully insured. For more information, visit www.fdic.gov. Please review this section as well as the "Cash alternatives" and "Fixed Income" sections to review the current deposit balances held at UBS Bank USA.

See the *Important Information* about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)
UBS BANK USA DEP ACCT	7,033.84	7,802.69

Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes. Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES MSCI USA MIN VOL FACTOR ETF									
Symbol: USMV									
Trade date: May 2, 19	201,000	59.439	11,947.43	11,947.43	67.880	13,643.88	1,696.45		LT
Trade date: Mar 17, 20	206,000	54.072	11,138.98	11,138.98	67.880	13,983.28	2,844.30		ST
Trade date: Jan 4, 20	8,000	62.218	497.75	497.75	67.880	543.04	45.29		ST
EAT: \$511 Current yield: 1.81%									

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Portfolio Management Program

December 2020

Account name: CLAUDIA P ROE
 Friendly account name: Claudia Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price per share (\$)	Cost investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (loss or gain) (\$)	Investment return (\$)	Holding period
ISHARES MSCI INTL MULTIFACTOR ETF									
Security total	415,000	56.829	23,584.16	23,584.16		28,170.20	4,586.04	4,586.04	
Symbol: INT									
Trade date: Jan 7, 18	612,000	29.555	18,087.96	18,087.96	27.180	16,634.16	-1,453.80		LT
Trade date: Feb 14, 19	105,000	25.760	2,704.80	2,704.80	27.180	2,853.90	149.10		LT
Trade date: May 2, 19	7,000	26.508	185.56	185.56	27.180	190.26	4.70		LT
Trade date: Apr 15, 20	207,000	20.301	4,226.62	4,226.62	27.180	5,626.26	1,299.64		ST
Trade date: Nov 5, 20	14,000	24.380	341.72	341.72	27.180	380.52	38.80		ST
EAT: \$530 Current yield: 2.06%									
Security total	945,000	27.148	25,654.66	25,654.66		25,685.10	30.44	30.44	
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
Symbol: SPDW									
Trade date: Oct 16, 14	325,000	26.381	8,574.09	8,574.09	33.750	10,968.75	2,394.66		LT
Trade date: Nov 18, 15	88,000	26.536	2,335.20	2,335.20	33.750	2,970.00	634.80		LT
Trade date: May 27, 16	19,000	25.326	482.61	482.61	33.750	641.25	148.64		LT
Trade date: Jul 5, 16	39,000	24.327	960.49	960.49	33.750	1,316.25	355.76		LT
Trade date: Jan 23, 17	15,000	26.170	423.52	423.52	33.750	540.00	116.48		LT
Trade date: May 16, 17	110,000	29.229	3,215.29	3,215.29	33.750	3,712.50	497.21		LT
Trade date: Feb 14, 19	37,000	28.147	1,052.54	1,052.54	33.750	1,248.75	196.21		LT
Trade date: Apr 15, 20	138,000	24.315	3,363.07	3,363.07	33.750	4,657.50	1,274.43		ST
Trade date: Nov 5, 20	5,000	30.390	151.95	151.95	33.750	168.75	16.80		ST
EAT: \$490 Current yield: 1.87%									
Security total	777,000	26.498	20,588.76	20,588.76		26,223.75	5,634.99	5,634.99	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Oct 16, 14	24,000	236.345	5,672.28	5,672.28	419.920	10,078.08	4,405.80		LT
Trade date: Nov 18, 15	16,000	260.310	4,160.16	4,160.16	419.920	6,718.72	2,558.56		LT
Trade date: Jul 5, 16	1,000	268.320	268.92	268.92	419.920	419.92	151.00		LT
Trade date: May 16, 17	2,000	314.185	628.97	628.97	419.920	839.84	210.87		LT

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Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Apr 15, 20	12,000	275.560	3,306.73	3,306.73	419.920	5,039.04	1,732.31		ST
BAI: \$259 Current yield: 1.12%									
Security total	55,000	255.219	14,037.06	14,037.06		23,095.60	9,058.54	9,058.54	

VANGUARD GROWTH ETF

Symbol: VUG

Trade date: Aug 26, 15

Trade date: Jul 5, 16

Trade date: Jan 23, 17

Trade date: Mar 17, 20

BAI: \$259 Current yield: 0.66%

Security total

WISDOMTREE US SMALLCAP DVID FUND ETF

Symbol: DES

Trade date: Nov 5, 20

BAI: \$218 Current yield: 2.75%

Security total

Security total

Total estimated annual income: \$2,267

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

(Unrealized tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Feb 8, 12	34,486	24.078	829.16	829.16	45.110	1,553.42	724.26		LT
Trade date: Nov 6, 13	258,871	32.509	8,415.79	8,415.79	45.110	11,677.66	3,261.87		LT

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Portfolio Management Program

December 2020

Account name: CLARENDA P ROE
 Friendly account name: CLARENDA IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets + Equities + Mutual funds (continued)

Holding	Number of shares	Purchase price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Oct 16, 14	9,558	32.389	309.58	309.58	45.110	431.16	121.58		LT
Trade date: Jul 5, 16	98,485	34.589	3,406.58	3,406.58	45.110	4,442.66	1,036.08		LT
Trade date: May 16, 17	4,856	38.307	178.36	178.36	45.110	210.03	31.67		LT
Trade date: Apr 15, 20	15,940	35.930	572.74	572.74	45.110	719.05	146.31		ST
Trade date: Jun 4, 20	3,577	40.438	144.65	144.65	45.110	161.36	16.71		ST
Trade date: Nov 5, 20	255,351	42.300	10,801.36	10,801.36	45.110	11,518.88	717.52		ST
Total reinvested	217,572	35.587		7,742.87	45.110	9,814.67	2,071.80		
EAI: \$649 Current yield: 1.60%									
Security total	898,446	36.063	24,658.22	32,401.09		40,528.89	8,127.80	15,870.67	
NEUBERGER BERMAN GENESIS INSTITUTIONAL									
Symbol: NBGX									
Trade date: Mar 27, 13	38,761	56.040	2,172.18	2,172.18	70.320	2,725.69	553.51		LT
Trade date: Jul 5, 16	18,666	53.430	997.34	997.34	70.320	1,312.59	315.25		LT
Trade date: Oct 21, 16	46,173	55.199	2,548.74	2,548.74	70.320	3,246.88	698.14		LT
Trade date: May 16, 17	3,150	59.146	186.31	186.31	70.320	221.51	35.20		LT
Trade date: Apr 15, 20	18,938	47.469	898.98	898.98	70.320	1,331.72	432.74		ST
Total reinvested	196,841	55.757		10,975.38	70.320	13,841.84	2,866.46		
EAI: \$7 Current yield: 0.03%									
Security total	322,529	55.124	6,803.55	17,778.93		22,680.23	4,901.30	15,876.68	
VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS									
Symbol: HEMX									
Trade date: Oct 16, 14	324,746	9.939	3,227.97	3,227.97	13.280	4,312.63	1,084.66		LT
Trade date: May 27, 16	113,467	9.389	1,065.64	1,065.64	13.280	1,507.11	441.47		LT
Trade date: Jan 23, 17	170,073	9.280	1,578.28	1,578.28	13.280	2,258.57	680.29		LT
Trade date: Feb 14, 19	39,179	10.699	419.21	419.21	13.280	520.30	101.09		LT
Trade date: Apr 15, 20	119,949	9.139	1,096.33	1,096.33	13.280	1,592.92	496.59		ST
Total reinvested	80,689	10.315		832.33	13.280	1,071.55	239.22		
EAI: \$70 Current yield: 0.62%									
Security total	848,123	9.692	7,387.43	8,219.76		11,263.07	3,043.32	3,875.65	

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Portfolio Management Program

December 2020

Account name: CLAIRDA P ROE
 Friendly account name: Clairda P.A.
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (buy) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$38,849.20	\$58,399.78		\$74,472.19	\$16,072.42	\$35,622.99	
Total estimated annual income:		\$726							

Fixed Income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (buy) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (buy) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (buy) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES 1-3 YR TREAS BOND ETF									
Symbol: SHY									
Trade date: Oct 24, 17	80,000	84.289	6,743.18	6,743.18	86.380	6,910.40	167.22		LT
Trade date: Apr 11, 18	36,000	83.440	3,003.84	3,003.84	86.380	3,109.68	105.84		LT
Trade date: Apr 11, 18	28,000	83.440	2,336.32	2,336.32	86.380	2,418.64	82.32		LT
Trade date: May 2, 19	75,000	83.857	6,289.33	6,289.33	86.380	6,478.50	189.17		LT
Trade date: Jun 4, 20	21,000	86.529	1,817.11	1,817.11	86.380	1,813.98	-3.13		ST
Trade date: Nov 5, 20	26,000	86.395	2,246.27	2,246.27	86.380	2,245.88	-0.39		ST
EAT: \$216 Current yield: 0.94%									
Security total	266,000	84.346	22,436.05	22,436.05		22,977.08	541.08	541.03	
ISHARES 20+ YEAR TREAS BOND ETF									
Symbol: TLT									
Trade date: Apr 15, 20	15,000	167.437	2,511.56	2,511.56	157.730	2,365.95	-145.61		ST
Trade date: Jun 4, 20	3,000	158.240	474.72	474.72	157.730	473.19	-1.53		ST
Trade date: Nov 5, 20	27,000	160.920	4,344.85	4,344.85	157.730	4,258.71	-86.14		ST
EAT: \$106 Current yield: 1.49%									
Security total	45,000	162.914	7,331.13	7,331.13		7,097.85	-233.28	-233.28	

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Portfolio Management Program
December 2020

Account name: CLARINDA P ROE
Fidelity account name: Clarinda IRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Your assets, Fixed Income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (loss) gain or loss (\$)	Investment Holding return (\$)	Holding period
ISHARES TRUST ISHARES 1-5 YEAR INVT GRADE CORPORATE BOND ETF									
Symbol: IGSB									
Trade date: Apr 15, 20	49,000	53.749	2,633.72	2,633.72	55.170	2,703.33	69.61		ST
Trade date: Jun 4, 20	27,000	54.378	1,468.21	1,468.21	55.170	1,489.59	21.38		ST
EAT: \$99 Current yield: 2.36%									
Security total	76,000	53.973	4,101.93	4,101.93		4,192.92	90.99	90.99	
ISHARES MBS ETF									
Symbol: MBB									
Trade date: Jun 25, 20	51,000	110.740	5,647.74	5,647.74	110.130	5,616.63	-31.11		ST
Trade date: Nov 5, 20	6,000	110.245	661.47	661.47	110.130	660.78	-0.69		ST
EAT: \$132 Current yield: 2.10%									
Security total	57,000	110.688	6,309.21	6,309.21		6,277.41	-31.80	-31.80	
ISHARES FLOATING RATE BOND ETF									
Symbol: FLOT									
Trade date: Jan 16, 19	34,000	50.590	1,720.06	1,720.06	50.720	1,724.48	4.42		LT
Trade date: Jan 16, 19	21,000	50.590	1,062.39	1,062.39	50.720	1,065.12	2.73		LT
Trade date: Feb 14, 19	50,000	50.740	2,537.00	2,537.00	50.720	2,536.00	-1.00		LT
Trade date: May 2, 19	155,000	50.868	7,884.55	7,884.55	50.720	7,861.60	-22.95		LT
Trade date: Jun 4, 20	22,000	50.310	1,106.82	1,106.82	50.720	1,115.84	9.02		ST
Trade date: Nov 5, 20	27,000	50.685	1,368.50	1,368.50	50.720	1,369.44	0.94		ST
EAT: \$196 Current yield: 1.25%									
Security total	309,000	50.742	15,679.32	15,679.32		15,672.48	-6.84	-6.84	
Total			\$55,857.64	\$55,857.64		\$56,217.74	\$360.10	\$360.10	
Total estimated annual income: \$769									



Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WILF FINANCIAL GROUP
 423-928-7144/800-729-4948

Your assets - Fixed Income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK FLOATING RATE INCOME									
PORTFOLIONSTITUTIONAL									
Symbol: BFRFX									
Trade date: Jul 5, 16	455,773	10.000	4,557.73	4,557.73	9.870	4,468.48	-59.25		LT
Trade date: Oct 21, 16	222,697	10.170	2,264.83	2,264.83	9.870	2,198.02	-66.81		LT
Trade date: Jan 23, 17	11,260	10.250	115.42	115.42	9.870	111.14	-4.28		LT
Trade date: May 16, 17	58,485	10.240	598.89	598.89	9.870	577.25	-21.64		LT
Trade date: Feb 14, 19	79,639	9.920	790.02	790.02	9.870	786.04	-3.98		LT
Trade date: May 2, 19	445,330	10.050	4,475.57	4,475.57	9.870	4,395.41	-80.16		LT
Trade date: Jun 4, 20	86,815	9.449	820.40	820.40	9.870	856.86	36.46		ST
Trade date: Nov 5, 20	1,078,329	9.669	10,427.44	10,427.44	9.870	10,643.11	215.67		ST
Total reinvested	280,704	9.953		2,793.93	9.870	2,770.55	-23.38		ST
EAT: \$1,077 Current yield: 4.01 %									
Security total	2,719,032	9.873	24,050.30	26,844.23		26,836.84	-7.37	2,786.56	
BLACKROCK HIGH YIELD BOND									
PORTFOLIONSTITUTIONAL									
Symbol: BHYX									
Trade date: Jan 23, 17	323,567	7.679	2,484.99	2,484.99	7.790	2,520.59	35.60		LT
Trade date: May 16, 17	39,391	7.800	307.25	307.25	7.790	306.86	-0.39		LT
Trade date: Jul 10, 18	156,841	7.640	1,198.27	1,198.27	7.790	1,221.79	23.52		LT
Trade date: Sep 18, 18	124,151	7.670	952.24	952.24	7.790	967.14	14.90		LT
Trade date: Feb 14, 19	135,524	7.460	1,011.01	1,011.01	7.790	1,055.73	44.72		LT

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Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: Clarinda IRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets • Fixed Income • Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
Trade date: May 2, 19	506,245	7.620	3,857.59	3,857.59	7.790	3,943.65	86.06		LT
Trade date: Jun 4, 20	63,858	7.309	466.80	466.80	7.790	497.45	30.65		ST
Trade date: Jun 25, 20	785,969	7.210	5,666.84	5,666.84	7.790	6,122.70	455.86		ST
Trade date: Nov 5, 20	390,564	7.589	2,964.38	2,964.38	7.790	3,042.49	78.11		ST
Total reinvested	393,833	7.574		2,962.95	7.790	3,067.96	85.01		
EAL: \$1,171 Current yield: 5.15%									
Security total	2,919,943	7.498	18,908.37	21,892.32		22,746.35	854.04	3,836.99	
Total			\$42,959.67	\$48,736.55		\$49,583.19	\$946.67	\$6,623.52	
Total estimated annual income: \$2,248									

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted (for return of capital payments in order to determine the realized gain or loss for tax reporting purposes).

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (%)	Holding period
JANUS HENDERSON BALANCED FUND CLASS I SHARES									
Symbol: JBALX									
Trade date: Jul 5, 16	376,114	28.579	10,749.33	10,749.33	41.050	15,439.47	4,690.14		LT
Trade date: Oct 21, 16	6,947	28.999	201.46	201.46	41.050	285.17	83.71		LT
Trade date: May 16, 17	7,183	31.048	223.02	223.02	41.050	294.86	71.84		LT
Trade date: Jun 4, 20	18,570	36.260	673.36	673.36	41.050	762.30	88.94		ST
Total reinvested	110,024	33.093		3,641.08	41.050	4,516.48	875.40		
EAL: \$300 Current yield: 1.41%									
Security total	518,838	29.852	11,847.17	15,488.25		21,298.29	5,810.03	9,451.11	



Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Adviser:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Your assets + Other (continued)

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc. and are included in your statement as a service to you. Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc. does not guarantee the accuracy of the information and is not responsible for it. The value shown is not necessarily the value you would receive from the issuer if you sold the assets. These assets are not covered by SPC.

Annuities

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Surrender charge	Expiration date	Total premium (\$)	Total withdrawal (\$)	Value (\$)
OHIO NATIONAL LIFE INS CO	258,470.47		256,251.30	Sep 1 2012		140,988.00	0.00	258,470.47
ONCORE PREMIER								
AS OF 12/30/2020								
CONTRACT NBR: E1589478								
RATE - VARIABLE								
ISSUE DATE: 08/31/2006								
PORTFOLIOS: BNY Mellon Appreciation Portfolio - 16.70% / Janus Henderson Balanced VT - 15.10% / TSP(SG) Managed Risk Growth ETF - 9.00% / PIMCO Total Return Portfolio - 8.40% / JPMorgan Insurance First Mid Cap Value - 7.79% / Fidelity VIP Mid Cap Portfolio - 5.69% / Fidelity Large Cap Core - 5.00% / OS Lead Mason Dynamic Multi-Strategy VT - 4.10% / PIMCO Real Return Portfolio - 4.10% / Royce Small-Cap Portfolio - 3.80%								

Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Closed end funds & Exchange traded products	150,115.81	1.26%	108,427.55	2,267.00	41,688.26
Equities	Mutual funds	74,472.19		58,399.78	726.00	16,072.42
	Total equities	224,588.00	36.34%	166,827.33	2,993.00	57,760.68
Fixed Income	Closed end funds & Exchange traded products	56,217.74		55,857.64	749.00	360.10
	Mutual funds	48,583.19		48,736.55	2,248.00	846.67
	Total fixed income	104,800.93	17.12%	104,594.19	2,997.00	1,206.77
Other	Mutual funds	21,298.29		15,488.25	300.00	5,810.03
	Annuities	258,470.47				
	Total other	279,768.76	46.28%	15,488.25	300.00	5,810.03
Total		\$617,960.38	100.00%	\$294,712.46	\$6,290.00	\$64,777.48



Portfolio Management Program

Account name: CLARENDA P ROE
 Friendly account name: Clarendia PRA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/800-729-4848

Account activity this month

Dividend and Interest Income		Dividends		Date		Activity	Description	Amount (\$)
Dec 1	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO	INSTITUTIONAL AS OF 1/30/20					74.20
Dec 1	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO	INSTITUTIONAL AS OF 11/30/20					86.23
Dec 7	Dividend	IS-JARES 1-3 YR TREAS BOND ETF	PAID ON					8.74
Dec 7	Dividend	IS-JARES 20+ YEAR TREAS BOND ETF	PAID ON					7.34
Dec 7	Dividend	IS-JARES TRUST SHARES 1-5 YEAR INV	GRADE CORPORATE BOND ETF PAID ON					8.05
Dec 7	Dividend	IS-JARES MBS ETF	PAID ON					11.37
Dec 7	Dividend	IS-JARES FLOATING RATE BOND ETF	PAID ON					6.71
Dec 15	Dividend	NUEBERGER BERMAN GENESIS INSTITUTIONAL						6.76
Dec 15	Dividend	NUEBERGER BERMAN GENESIS INSTITUTIONAL	LONG TERM CAPITAL GAIN					837.60
Dec 18	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF	PAID ON					125.45
Dec 18	Dividend	ISHARES MSCI INTL MULTIFACTOR ETF	PAID ON					208.53
Dec 18	Dividend	WFS VALUE FUND CLASS I						138.18
Dec 18	Dividend	WFS VALUE FUND CLASS I	LONG TERM CAPITAL GAIN					412.05
Dec 18	Dividend	WFS VALUE FUND CLASS I	SHARES AS OF 12/17/20					105.82
Dec 18	Dividend	WFS VALUE FUND CLASS I	SHARES LONG TERM CAPITAL GAIN AS OF 12/17/20					226.84
Dec 23	Dividend	ISHARES 1-3 YR TREAS BOND ETF	PAID ON					8.50

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Portfolio Management Program

December 2020

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Account activity this month (continued)

Dividend and Interest Income (continued)

Date	Activity	Description	Amount (\$)
Dec 23	Dividend	ISHARES 20+ YEAR TREASBOND ETF PAID ON SYMBOL: TLT	7.38
Dec 23	Dividend	ISHARES TRUST ISHARES 1-5 YEAR INTL GRADE CORPORATE BOND ETF PAID ON 76 SYMBOL: KGSB	7.18
Dec 23	Dividend	VIRTUS VONTIOL EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/22/20 SYMBOL: HIEAX	70.17
Dec 23	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	7.62
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/23/20 SYMBOL: BRRIX	22.36
Dec 24	Dividend	WISDOMTREE US SMALLCAP DIVID FUND ETF PAID ON SYMBOL: DES	38.74
Dec 29	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	252.31
Dec 30	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VLAG	70.93
Total dividends			\$2,749.06
Total dividend and Interest Income			\$2,749.06

Investment transactions
 For more information about the prices/shares shown for restricted securities, see Important Information about
 your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 1	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.79 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BRRIX	7.579				-74.20	

continued next page



Portfolio Management Program
December 2020

Account name: CLARINDA P ROE
Friendly account name: Clarinda PRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WMP FINANCIAL GROUP
423-528-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Purchases withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 1	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.69 NAV ON 11/30/20 AS OF 11/30/20 SYMBOL: BHYX	11,213				-86.23	
Dec 15	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL LT CAP GAINS REINVESTED AT 67.73 NAV ON 12/14/20 SYMBOL: NBGX	12,367				-837.60	
Dec 15	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 67.73 NAV ON 12/14/20 SYMBOL: NBGX	0,100				-6.76	
Dec 18	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 44.65 NAV ON 12/17/20 SYMBOL: MEIX	3,095				-138.18	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 40.70 NAV ON 12/17/20 AS OF 12/17/20 SYMBOL: JBALX	2,600				-105.82	
Dec 18	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 40.70 NAV ON 12/17/20 AS OF 12/17/20 SYMBOL: JBALX	5,573				-226.84	
Dec 18	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 44.65 NAV ON 12/17/20 SYMBOL: MEIX	9,228				-412.05	
Dec 23	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 12.94 NAV ON 12/22/20 AS OF 12/22/20 SYMBOL: HEMX	5,423				-70.17	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 9.85 NAV ON 12/23/20 AS OF 12/23/20 SYMBOL: BRFX	2,270				-22.36	
Total							-\$1,980.21	



Portfolio Management Program
December 2020

Account name: CLARINDA P ROE
Friendly account name: Clarinda RA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
413-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	Nov 30	Balance forward		\$7,033.84
	Dec 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	42.21
	Dec 21	Deposit	UBS BANK USA DEPOSIT ACCOUNT	333.98
	Dec 24	Deposit	UBS BANK USA DEPOSIT ACCOUNT	30.68
	Dec 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	38.74
	Dec 30	Deposit	UBS BANK USA DEPOSIT ACCOUNT	252.31
	Dec 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	70.93
	Dec 31	Closing UBS Bank USA Deposit Account		\$7,802.69

David Philip Roe

SCHEDULE B - TRANSACTIONS

Sorted by Asset Account

David Philip Roe

David Philip Roe

WOODS AND SGP AAA CREDIT RATING YLD DETAILS UPON REQUEST
YLD TO CALL 0.87% CALL DATE 12/08/25 CALL PRICE \$100.00, 105 DAY
INTEREST WITH ADVANCEMENT AGREEMENT. IN ACTION AS PRESENT.

[illegible]

[illegible]

[illegible]

David Philip Roe

David Phillip Roe

David Philip Roe

**World Philatelic Forum
INC. • All Investments
Year Ended December 31, 2009**

[illegible]

David Philip Roe

[illegible]

Accepted Manuscript

[illegible]

David Philip Roe

Account/Reference	Activity Address	Trade/Transaction	Birth Date	Line	Description	Account	Recorded Date	Book	Field	Ref.	Class	Debit	Credit	Balance
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	BUY FORWARD OAHN RESERVE	BT00X	7000	04040407	11	Y4P		40		(40.00)
Ptnt Address TRM	0404	12010200	02010200	Security Transactions	Parade AHEAD INC. IS A DISCOUNT 100% AGENCY ACTUAL, PROCS, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED AS AVAILABLE UPON REQUEST, SOLD UNDER RESTRICTION ENTIRETY ON WHEN PRODUCTIONS IS REQUIRED, PER ADVISORY AGREEMENT, M. ACTED AS AGENT	AH00	0007	00000007	9	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	02010200	Security Transactions	Parade WYON EDUCATED 100% AGENCY ACTUAL, PROCS, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED AS AVAILABLE UPON REQUEST, M. ACTED AS AGENT	MA00	0000	00000010	10	00P		1	\$	(1.00)
Ptnt Address TRM	0404	12010200	02010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	4	Y4P		4,200.00	\$	2,000.00
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	Y4P		00	\$	(00.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade DOODLEBUSH INC. IS A DISCOUNT 100% AGENCY ACTUAL, PROCS, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED AS AVAILABLE UPON REQUEST, SOLD UNDER RESTRICTION ENTIRETY ON WHEN PRODUCTIONS IS REQUIRED, PER ADVISORY AGREEMENT, M. ACTED AS AGENT	OAHN	2200	00000007	2	00P		17	\$	(17.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	5	Y4P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		4	\$	(4.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	4	0		4	\$	(4.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	12	Y4P		4,500.00	\$	100.00
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	11	Y4P		22	\$	(22.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	9	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	0404	12010200	12010200	Security Transactions	Parade BLF FORWARD OAHN RESERVE	BT00X	7000	04040407	3	00P		10	\$	(10.00)
Ptnt Address TRM	04													

Accession Number: **Accession Number:** **Topic:**

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[illegible]

Abstract **Background:** The purpose of this study was to determine the prevalence of self-reported depression and anxiety among a sample of young adults in the United States. **Methods:** Data were obtained from the 2007 National Survey of Adolescent Health, a nationally representative survey of young adults aged 18–24 years. **Results:** The prevalence of self-reported depression was 10.3% and the prevalence of self-reported anxiety was 12.1%. **Conclusions:** The prevalence of self-reported depression and anxiety among young adults in the United States is high. **Keywords:** Depression, Anxiety, Prevalence, Young Adults.

[illegible]

[illegible]

[illegible]

100 \$ 100.52 @ 1,001.51

David Philip Roe
 101-101010101
 Year Ended December 31, 2020

Account/Reference	Actual/Status	YTD/Transaction Date	Type	Description	Entity	Entity Code	Entity ID	Entity Sub	Entity Description	Value	Amount
Phy Address TBA	Entity	101010101	Security Transactions	AN GROUP CORP REG B10 EXCLUDED 100% AGENCY ACTUAL, PRORATA REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	4 000	0	00 0	14.22 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	2 000	0	4,100.00	0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	1 000	0	140 0	14.22 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	7 000	0	02 0	02.00
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	-45 0	22.20 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	1 000	0	-7 0	20.14 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	1 000	0	-7 0	20.14 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	4 000	0	0 0	20.14 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	-12 0	17.27 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	6 000	0	24 0	34.23 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	3 0	24.23 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	-12 0	32.20 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	-25 0	3 72.00
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	4 000	0	11 0	0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	3 0	24.23 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	5 000	0	4,373.00	0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	21 0	42.94 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	2 000	0	3 0	07.23 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	4 000	0	1 0	20.27 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	8 000	0	17 0	0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	1 000	0	-7 0	20.27 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	-4 0	20.27 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	7 000	0	-16 0	10.27 0
Phy Address TBA	Entity	101010101	Security Transactions	REINVESTMENT AND THE CUMULATIVE IN WHICH THE ACTED ARE AVAILABLE UPON REQUEST, PER A MAJOR AGREEMENT, IL, ACTED AS AGENT	APG	00000	101010101	3 000	0	25 0	42.94 0

David Philip Roe

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Activity: Making Transitions with Pops

[illegible]

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112	113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128	129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144	145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160	161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176	177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192	193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208	209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224	225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240	241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256	257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272	273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288	289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304	305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320	321	322	323	324	325	326	327	328	329	330	331	332	333	334	335	336	337	338	339	340	341	342	343	344	345	346	347	348	349	350	351	352	353	354	355	356	357	358	359	360	361	362	363	364	365	366	367	368	369	370	371	372	373	374	375	376	377	378	379	380	381	382	383	384	385	386	387	388	389	390	391	392	393	394	395	396	397	398	399	400	401	402	403	404	405	406	407	408	409	410	411	412	413	414	415	416	417	418	419	420	421	422	423	424	425	426	427	428	429	430	431	432	433	434	435	436	437	438	439	440	441	442	443	444	445	446	447	448	449	450	451	452	453	454	455	456	457	458	459	460	461	462	463	464	465	466

Entity (MIL BDL, Other Federal, Domestic)	Rate	Amount
4 000	-100	\$ -
3 000	-800	\$ 600.00
2 000	15	\$ (18.00)
5 000	3,000.00	\$ (8,100.00)
4 000	270	\$ (270.00)
13 000	207	\$ (207.00)
7 000	8	\$ -
11 000	3 \$ 127.25	\$ (381.00)
10 000	2 \$ 114.57	\$ (229.14)
9 000	8 \$ 120.52	\$ (1,004.16)
12	-10 \$ 402.72	\$ 7,201.00
14 000	-321	\$ 121.00
13 000	1,437.00	\$ (1,437.00)
11 000	2 \$ 208.00	\$ (472.00)
12 000	0	\$ (000.00)
7 000	200	\$ (200.00)
6	-40 \$ 20.00	\$ 801.87
2 000	48	\$ (48.00)
9 000	-4,000.00	\$ 2,000.00
9 000	621	\$ (621.00)
7 000	1 \$ 274.75	\$ (274.75)
8 000	42 \$ 46.87	\$ (1,700.85)
4	-20 \$ 24.00	\$ 480.00
9 000	3 \$ 320.46	\$ (703.46)
14 000	-4,200.00	\$ 3,200.00
12 000	7 \$ 622.20	\$ (4,200.00)

Autism and Depression

Autistic People Don't Have a Better Day

Yves

[illegible]

[illegible]

David Philip Roe

[illegible]

[illegible]

David Philip Roe

Activity: Student Teacher Observation Guide

[illegible]

David Philip Roe

[illegible]

David Philip Roe

David Philip Rose
 MR. Phil's Advisory TIRA
 Year Ended December 31, 2020

Account/Reference	Article/Status	Trade/Transaction	Settle Date	Transaction	Description	Revised	Revised CHRG	Revised JUL 10 2020	Client Estimated Quantity	Estim	Amount
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase WELLS FARGO A COMPANY CLS 03.2020 AUG 14 2022 RATHINGS ARE SUBJ TO CHS MOODYS AAS S&P A YLD TO MATURITY 0.39% MATURITY DATE 7/20/21. 160 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WFC210	527014	940708040	20 '000' 0	1,000.00 \$ 161.73 \$ (1,000.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase PRIZER INC CLS 12.2020 DEC 10 2021 RATHINGS ARE SUBJ TO CHS MOODYS AAS S&P AA- JAMZEH HOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 0.39% MATURITY DATE 12/10/21. 10 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PFE21A	130612	717081027	22 '000' 0	1,000.00 \$ 162.78 \$ (1,000.43)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase NORTHROP GRUMMAN CORP CLS 03.2020 AUG 14 2022 RATHINGS ARE SUBJ TO CHS MOODYS S&P S&P BBG MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 0.39% MATURITY DATE 03/24/21. 141 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	NOV22	102184	908807040	20 '000' 0	1,000.00 \$ 167.68 \$ (1,001.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase BOEING FRANKLIN CORP CLS 02.2020 AUG 14 2022 RATHINGS ARE SUBJ TO CHS MOODYS S&P S&P BBG CALLADENUM EFFECT YLD DETAILS UPON REQUEST YLD TO CALL. CLARK CALL DATE 7/14/22 CALL PRICE \$180.00. 101 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BOE22	523017	757015042	24 '000' 0	1,000.00 \$ 164.72 \$ (1,057.50)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase MORGAN STANLEY BCD WITH 02.2020 NOV 17 2021 RATHINGS ARE SUBJ TO CHS MOODYS AAS S&P B BBA YLD TO MATURITY 0.39% MATURITY DATE 11/17/21. 20 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MST210	009011	917488040	10 '000' 0	1,000.00 \$ 162.84 \$ (1,001.20)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase VISA INC CLS 02.2020 DEC 14 2022 RATHINGS ARE SUBJ TO CHS MOODYS AAS S&P AA- CALLADENUM EFFECT YLD DETAILS UPON REQUEST YLD TO CALL. 0.39% CALL DATE 7/14/22 CALL PRICE \$100.00. 11 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ	531040	908260400	20 '000' 0	2,000.00 \$ 165.50 \$ (2,111.71)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Purchase DEERE CO BCD JTED 100% AGENCY ACTUAL PRICE, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	22029	244181007	20 '000' 0	1 \$ 164.28 \$ (164.29)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions UNITED PARCEL INC CLS EXECUTED 100% AGENCY ACTUAL PRICE, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UPS	700011	911312100	20 '000' 0	-1 \$ 160.25 \$ 160.24	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICE, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TGT	70003	919121007	20 '000' 0	3 \$ 123.42 \$ (302.20)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions BUP FEED-UND OF SN RESERVE	BFTOX	70003	902404037	1 '000' 0	14 \$ (14.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions BUP FEED-UND OF SN RESERVE	BFTOX	70003	902404037	3 '000' 0	10,160.00 \$ (93,160.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions BUP FEED-UND OF SN RESERVE	BFTOX	70003	902404037	2 '000' 0	11 \$ (11.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions BUP FEED-UND OF SN RESERVE	BFTOX	70003	902404037	15 '000' 0	222 \$ (222.00)	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Subscriptions BUP FEED-UND OF SN RESERVE	BFTOX	70003	902404037	14 '000' 0	2,164.00 \$ (2,164.00)	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub TRADE (TND) DEC 10 2019 CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TTD	74700	902081000	11 0	-3 \$ 262.08 \$ 1,811.03	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub TWITTER INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TWTR	740101	901641002	10 0	-30 \$ 34.20 \$ 1,304.64	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub NETFLIX COM B1 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NFLX	734002	941161040	4 0	-2 \$ 443.03 \$ 887.24	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub ZOOMWORKS CO MULTIRATIONS INC CL A EXECUTED 100% AGENCY ACTUAL PRICE, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ZM	900000	900461001	13 0	-6 \$ 240.00 \$ 1,440.00	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub SPOTIFY TECHS A BCD BNS EXECUTED 100% AGENCY ACTUAL PRICE, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SPOT	94002	1,80971102	0 0	-6 \$ 272.00 \$ 1,811.31	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Sub VERA EYE INC CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VERV	70040	902401000	12 0	-6 \$ 203.00 \$ 1,411.20	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Sub GIGA INC BCD B10 CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GIGA	971010	970001000	6 0	-9 \$ 198.24 \$ 1,794.00	
Pfth Advisory TRFA	Settled	62242020	62242020	Security Transactions	Sub SHOPPY INC CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SHOP	90002	930061007	0 0	-9 \$ 643.07 \$ 2,028.18	
Pfth Advisory TRFA	Settled	61142020	62242020	Security Transactions	Sub SOLAR INC B10 CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SOL	90010	900231000	0 0	-12 \$ 68.54 \$ 1,685.13	

David Philip Roe

Account/Instrument	Actual/Status	Trade Date	Transaction Description	Symbol	Quantity	Price	Amount	Balance
ML-PDR Advisory TRSA	Settled	01/02/20	02252020 Security Transactions	AMZN	2	0	-4 \$ 2,042.00	2,042.00
ML-PDR Advisory TRSA	Settled	01/02/20	02252020 Security Transactions	WDC	7	0	-41 \$ 32.48	1,348.00
ML-PDR Advisory TRSA	Settled	01/02/20	02252020 Security Transactions	CVNA	3	0	-48 \$ 118.74	1,794.00
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	SPY	12	0	00	0 (0.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GS	11	0	-87 \$ 16.48	1,247.00
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	MSFT	10	0	1,000.00 \$ 114.10	0 (1,141.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	4	0	0,000.00	0 (0,000.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	3	0	0	0 (0.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	7	0	100	0 (100.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	8	0	-102	0 (102.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	4	0	-240 \$ 16.48	3,794.00
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	0	0	-48 \$ 16.48	1,348.00
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	3	0	-30 \$ 43.63	1,082.00
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	4	0	0	0 (0.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	3	0	1,000.00	0 (1,000.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	2	0	1,000.00 \$ 101.38	0 (1,021.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	1	0	12,000.00 \$ 100.01	0 (14,320.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	22	0	100	0 (100.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	21	0	-120	0 (120.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	13	0	-4 \$ 137.34	0 (137.30)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	0	0	-3 \$ 63.82	0 (63.80)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	11	0	-16 \$ 94.38	0 (94.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	0	0	1 \$ 87.70	0 (87.70)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	10	0	-42 \$ 120.30	0 (1,010.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	17	0	200 \$ 32.39	0 (0,017.20)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	10	0	-8 \$ 32.73	0 (317.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	10	0	-13 \$ 100.40	0 (1,005.00)
ML-PDR Advisory TRSA	Settled	01/02/20	01/02/2020 Security Transactions	GOOGL	0	0	-8 \$ 47.16	0 (202.00)

Account/Reference	Activity/Status	Trans/Transmittal	Birth Date	Type	Description	Branch	Summary Sheet	Entry Date	Seq.	Contract Number	Quantity	Price	Amount
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	2LP	972007	9138972007	14	1007	0	0 \$	00.04 \$ (944.29)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLU	972007	9138971007	20	0	0	-88 \$	82.04 \$ 4,307.26
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	972007	9138972007	10	0	0	-96 \$	96.04 \$ 2,308.15
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLI	972007	9138977007	18	1007	0	33 \$	65.04 \$ (2,273.04)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	0	1007	0	-16,200.00	0 16,200.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	5	1007	0	10	0 \$ (18.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	0107K	912007	9000000007	3	0	0	-1,240.02 \$	61.08 \$ 71,716.18
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	0080K	912007	9014700007	4	1007	0	0,007.25 \$	14.07 \$ (70,162.39)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00000	900007	9001300007	1	1007	0	0	0 \$ (11,980.87)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	TEL	741007	9101000107	2	1007	0	0 \$	00.51 \$ (10.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	0	1007	0	-475	0 \$ 675.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	4	1007	0	168	0 \$ (180.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	TEL	741007	9101000107	3	1007	0	0 \$	07.57 \$ (928.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00000	900007	9001300007	2	1007	0	7 \$	48.00 \$ (340.31)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	0	1007	0	40	0 \$ 60.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	3	1007	0	0,342.00	0 6,342.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00	912007	9122341007	2	1007	0	0 \$	00.17 \$ (90.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	0	1007	0	20	0 \$ (20.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00000	900007	9001300007	4	0	0	-6 \$	00.00 \$ 2,328.36
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VEU	911110	9020427710	2	0	0	-8 \$	46.20 \$ 432.02
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00000	900007	9001300007	1	0	0	-7 \$	00.04 \$ 2,111.05
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	972007	9138972007	0	0	0	-3 \$	00.25 \$ 300.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLG	910007	9138970007	3	0	0	-2 \$	04.00 \$ 100.01
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLI	972007	9138977007	0	0	0	-6 \$	00.25 \$ 100.07
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	20	1007	0	-3,164.00	0 2,164.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	BPFOK	700007	9024640007	25	1007	0	10	0 \$ (10.00)
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	00000	900007	9001300007	16	0	0	-62 \$	103.00 \$ 9,327.00
PfM's Advisory TRSA	Sold	01/12/20	01/12/20	Security Transmittal	SECTION 100% EXERCISE OF PUT OPTION. REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	001	911110	9013101107	12	1007	0	1 \$	04.00 \$ 94.00

Account Balance	Activity Dates Trade Transactions	Birth Date	Type	Description	Symbol	Quantity	Price	Amount
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	24 '000	0	200 \$ 18.00 \$ (\$360.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	10 '000	0	11 \$ 37.25 \$ (\$372.50)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	21 '000	0	21 \$ 7.25 \$ (\$151.25)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	19 '000	0	2 \$ 58.72 \$ (\$1,115.68)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	14	0	-2 \$ 18.00 \$ (\$36.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	7	0	-1 \$ 41.50 \$ (\$41.50)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	17	0	-4 \$ 50.75 \$ (\$202.75)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	28	0	-3 \$ 71.50 \$ (\$214.20)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	22	0	-2 \$ 37.75 \$ (\$83.10)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	21 '000	0	-4 \$ 44.00 \$ (\$178.40)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	4	0	-4 \$ 14.75 \$ (\$59.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	18 '000	0	-4 \$ 36.00 \$ (\$648.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	15 '000	0	38 \$ 18.25 \$ (\$693.75)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	25 '000	0	6 \$ 23.81 \$ (\$1,195.25)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	15 '000	0	11 \$ 27.25 \$ (\$408.75)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	11 '000	0	2 \$ 64.25 \$ (\$129.25)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	5	0	-2 \$ 75.44 \$ (\$150.88)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	3 '000	0	14 \$ 31.00 \$ (\$434.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	5	0	-10 \$ 37.25 \$ (\$372.50)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	6 '000	0	25 \$ 60.25 \$ (\$1,205.00)
Phil's Advisory TIRA	01/01/2020	01/01/2020	Security Transactions	Purchase CHURCH & DWIGHT 10% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT ADVOCACY AGREEMENT, ML ACTED AS AGENT	CHUR	3 '000	0	-400 \$ 80.00 \$ (\$32,000.00)

Amendment Number	Agency Status	Trade Transaction	Trade Date	Time	Description	Standard	Standardized CDSID	Excess	Amount
PdN's Advisory TRN	Sold	6/4/2020	Security Transactions	6/4/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	21	\$ 21.00
PdN's Advisory TRN	Sold	6/23/20	Security Transactions	6/23/20	BLP PENDING CA IN RESERVE	BPFOK	700029	12	\$ 12.00
PdN's Advisory TRN	Sold	6/23/20	Security Transactions	6/23/20	BLP PENDING CA IN RESERVE	BPFOK	700027	10,762.00	\$ (10,762.00)
PdN's Advisory TRN	Sold	6/23/20	Security Transactions	6/23/20	BLP PENDING CA IN RESERVE	BPFOK	700029	804	\$ 804.00
PdN's Advisory TRN	Sold	6/15/20	Security Transactions	6/15/20	ATST INC (LD GLD 02.450% JUN 30 2028 PAY DATE 06/15/2028)	720	700027	-11,000.00	\$ 11,000.00
PdN's Advisory TRN	Sold	6/15/20	Security Transactions	6/15/20	BLP PENDING CA IN RESERVE	BPFOK	700027	88	\$ 88.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	1,327.00	\$ (1,327.00)
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	48	\$ (48.00)
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	PRICING FOR AMERICA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	PHS	700049	2	\$ 88.28
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	EXTRA SPREAD 87 JUNGLE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	BDN	700049	2	\$ 88.13
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	SLACK TECHNOLOGIES INC CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	TXS	700049	4	\$ 76.82
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	2	\$ 2.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	21	\$ 21.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	-41	\$ 21.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	-2,828.00	\$ 2,828.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	GOLDMAN SACHS GROUP INC GLD 02.500% DEC 27 2020 RATINGS ARE BULI TO CHS MCDONYS AS BLP INBA WHOLE-WHOLE CALL DETAILS UPON REQUEST 160 DAYS INTEREST 1 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GDNDAC	700049	2,000.00	\$ 2,000.00
PdN's Advisory TRN	Sold	6/23/2020	Security Transactions	6/23/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	-112	\$ 312.00
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	16C DEMONICS P O RES 8/16 CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	TXS	700049	4	\$ 70.88
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	-4,730.00	\$ 4,730.00
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	BLP PENDING CA IN RESERVE	BPFOK	700027	488	\$ (488.00)
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	CLUSTERT TECH OLOGY INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	QUOT	700049	28	\$ 8.85
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	LENDINGTREE INC 2 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	THRE	700049	2	\$ 238.41
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	KNOWLES CORP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	IN	700049	87	\$ 13.16
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	PROXYVENT HLT OS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	BY	700049	19	\$ 12.84
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	CATALYTIC 810 810 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	CTLT	700049	-81	\$ 73.88
PdN's Advisory TRN	Sold	6/16/2020	Security Transactions	6/16/2020	CORNERSTONE 11 CORNERSTONE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER A MANDATORY AGREEMENT. ML ACTED AS AGENT	CSKO	700049	11	\$ 34.71

David Phillip Roe
 RL - Phil's Advisory TRSA
 Year Ended December 31, 2009

Account/Reference	Article/Status/Trade/Transaction	Settle Date	Term	Description	Result	Accounting Date	Settle Date	Contract Expiration	Price	Amount
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	16	08/27/09	01/15/2010	0	21	\$ 21.17 \$ (444.80)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	1620	08/27/09	01/15/2010	0	0	\$ 0.00 \$ (201.20)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ELV	08/27/09	01/15/2010	0	14	\$ 14.00 \$ (102.47)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	0810	08/27/09	01/15/2010	0	17	\$ 17.00 \$ (440.34)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SERV	08/27/09	01/15/2010	0	24	\$ 24.00 \$ (201.72)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FLOW	08/27/09	01/15/2010	0	21	\$ 21.00 \$ (915.40)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP	08/27/09	01/15/2010	0	0	\$ 0.00 \$ (3,044.70)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP700	08/27/09	01/15/2010	0	-1,205.00	\$ 1,205.00
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP700	08/27/09	01/15/2010	0	44	\$ 44.00 \$ (44.00)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NADA	08/27/09	01/15/2010	0	13	\$ 13.00 \$ (4,075.20)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NADA	08/27/09	01/15/2010	0	-7	\$ 7.00 \$ 3,000.72
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP700	08/27/09	01/15/2010	0	3,720.00	\$ (3,720.00)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP700	08/27/09	01/15/2010	0	-48	\$ 48.00
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WFO210	08/27/09	01/15/2010	0	1,000.00	\$ 101.20 \$ (3,076.20)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTRA	08/27/09	01/15/2010	0	4	\$ 70.00 \$ (200.11)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PNO	08/27/09	01/15/2010	0	0	\$ 0.00 \$ (775.10)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ALL	08/27/09	01/15/2010	0	2	\$ 2.00 \$ (100.00)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WFO	08/27/09	01/15/2010	0	-70	\$ 22.20 \$ 1,744.06
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SP4	08/27/09	01/15/2010	0	0	\$ 0.00 \$ (700.00)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	USB	08/27/09	01/15/2010	0	0	\$ 0.00 \$ (200.00)
Phil's Advisory TRSA	Settled	01/15/2010	Security Transactions	WELLS FARGO AND EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CAC204	08/27/09	01/15/2010	0	1,000.00	\$ 100.00 \$ (1,000.00)

David Phillip Roe

Account/Source	Agency/Source/Trade/Transaction/Basis/Date	2020	Description/Remarks	Symbol	Contract/Issue	Interest Rate, Bids, Bids, Contract/Quantity	Price	Amount
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70472	71346817	0.000	1,000.00 \$ 104.27 \$ (1,046.87)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70506	72880044	0.000	1,000.00 \$ 106.38 \$ (1,068.39)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70514	73108800	0.000	1,000.00 \$ 104.16 \$ (1,053.47)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70514	70879400	4.000	1,000.00 \$ 101.38 \$ (1,023.88)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70594	71748800	7.000	1,000.00 \$ 102.00 \$ (1,022.88)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70597	70733400	2.000	1,000.00 \$ 104.48 \$ (1,045.23)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70597	74387000	0.000	1,000.00 \$ 104.67 \$ (1,048.88)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70621	71702100	17.000	-21 \$ 374.48 \$ 7,368.22
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70647	70441100	11.000	61 \$ 68.81 \$ 8,076.47
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70650	70368100	18.000	-2 \$ 387.48 \$ 1,384.38
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70652	70881700	16.000	-8 \$ 187.48 \$ 1,286.42
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70647	702478100	21.000	-7 \$ 300.00 \$ 1,444.38
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70652	722881100	10.000	-7 \$ 214.79 \$ 1,203.43
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	702341100	19.000	28 \$ 74.77 \$ (1,388.88)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70728800	12.000	-2 \$ 558.48 \$ 1,079.48
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70837100	14.000	-8 \$ 194.88 \$ 973.41
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	704181100	20.000	-8 \$ 188.16 \$ 1,388.21
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70838100	16.000	-7 \$ 174.79 \$ 1,286.19
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70237100	13.000	-3 \$ 227.17 \$ 961.48
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70238100	2.000	13,000.00 \$ (11,000.00)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70238100	1.000	168 \$ 108.00 \$ (108.00)
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70238100	3.000	-13,000.00 \$ 13,000.00
Pn's Advisory TBA	Sold	6/13/2020	6/14/2020 Security Transactions		70657	70238100	2.000	13,200.00 \$ (11,200.00)

Account Information	Order Number	Trade Description	Birth Date	Type	Execution Instructions	Quantity	Price	Amount
P&B's Advisory TPA	64110209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	10 YAP	\$	\$
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SHARON RUSSELL 100% GROWTH ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	3	-18 \$ 174.72	\$ 5,314.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SHARON RUSSELL 100% GROWTH ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	1	-1 \$ 2,300.00	\$ 2,300.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SHARON RUSSELL 100% GROWTH ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	2	-8 \$ 404.62	\$ 8,092.40
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell BLACK TECHNOLOGIES INC CL A EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	6	-78 \$ 29.99	\$ 2,100.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell TWOIO INC CL A EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	7	-64 \$ 173.07	\$ 4,100.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell USER TECHNOLOGIES INC PER ADVISORY AGREEMENT, M. ACTED AS AGENT	8	-46 \$ 36.44	\$ 1,705.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell ZOOM VIDEO COMMUNICATIONS INC CL A PER ADVISORY AGREEMENT, M. ACTED AS AGENT	9	-4 \$ 187.70	\$ 1,689.20
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SCULPTEC INC BIPS CL A PER ADVISORY AGREEMENT, M. ACTED AS AGENT	0	-31 \$ 74.55	\$ 1,802.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell APPROVED RUSSELL 100% VALUE PRODUCT DESCRIPTION ENJOY PER ADVISORY AGREEMENT.	4	81 \$ 107.20	\$ 8,587.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	3 YAP	-1,114.00	\$ 1,114.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell CANAMCO CO CL A EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	2	7 \$ 87.57	\$ 611.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell CHRYSLER INC IN CL A PER ADVISORY AGREEMENT, M. ACTED AS AGENT	1	19 \$ 41.00	\$ 779.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE REDEMPT AS OF 08/06/2020	3 YAP	-1,102.00	\$ 1,102.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	1 YAP	16	\$ 18.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	6 YAP	-2,040.00	\$ 2,040.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	5 YAP	186	\$ 108.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell MICROSOFT CORP OLD QUOTE DEC 19 2020 RATINGS ARE SAME, TO ONE MONTHS AAA, SIP AA+ WHOLE CALL OFFERS UPON REQUEST YTD TO CLEAR CALL, SLAPS CURR CALL DATE, WIDES CURR CALL PRICE \$100.00, 140 DAYS INTEREST PER ADVISORY AGREEMENT, M. ACTED AS AGENT.	2	1,000.00 \$ 110.00	\$ 1,100.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	8 YAP	-80	\$ 80.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	8 YAP	-160	\$ 160.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	4 YAP	537	\$ 537.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell VIA GENOMICS INC HOLDING CL A EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	3	2 \$ 61.04	\$ 183.12
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	7 YAP	-168	\$ 168.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell SIP FUND CASH RESERVE	6 YAP	54	\$ 84.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell 10X GENOMICS INC BIPS BIPS CL A EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	8	2 \$ 77.61	\$ 1,552.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell POSDAT MAIL SERVICES INC EXERCISED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH M. ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT.	7	-1,690.00	\$ 1,690.00
P&B's Advisory TPA	64720209	Security Transactions	64110209	Security Transactions	Sell FIS	4	7 \$ 150.17	\$ 1,051.20

David Philip Fine
 III - Phil's Advisory TIRA
 Year Ended December 31, 2020

Account/Source	Article Status	Trade Transaction	Date	Type	Description	Symbol	Expiry	Strike	Delta	Gamma	Theta	Vega	Rho	Amount
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	2870F	279942100F	3 100F	0	24	\$	38.25	\$	(914.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	2308F	26487180F	2	0	-14	\$	107.25	\$	1,041.25
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7180F	90257110F	0 100F	0	21	\$	21.02	\$	(981.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	9487F	90234110F	0 100F	0	9	\$	64.17	\$	(928.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7100F	90244100F	2 100F	0	11	\$	(11.25)	\$	(11.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7000F	90244100F	1 100F	0	-40	\$	10.00	\$	10.00
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7000F	90244100F	4 100F	0	-82	\$	82.00	\$	82.00
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7000F	90244100F	3 100F	0	22	\$	(22.25)	\$	(22.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	21400F	92890100F	1 100F	0	1,000.00	\$	108.31	\$	(1,081.00)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	93200F	90250110F	2 100F	0	2	\$	72.00	\$	(147.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	7000F	90244100F	11 100F	0	-47	\$	78.00	\$	47.78.00
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	23800F	91201700F	7 100F	0	13,000.00	\$	112.33	\$	(14,377.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	90812F	91701002F	0 100F	0	9,000.00	\$	102.51	\$	9,250.00
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	72300F	91610042F	0 100F	0	1,000.00	\$	102.04	\$	(1,020.25)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	2121F	94716330F	0 100F	0	1,000.00	\$	102.77	\$	(1,013.00)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	94600F	92890100F	4 100F	0	4,000.00	\$	100.00	\$	(4,247.00)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	91770F	91870200F	3 100F	0	20,000.00	\$	100.00	\$	(20,178.00)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	94870F	90237100F	10 100F	0	0	\$	82.27	\$	(773.00)
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	91817F	91818100F	0	0	-5	\$	82.88	\$	814.00
PfMn Advisory TRN	Sold	42842020	42842020	Security Transactions	10M EXECUTED 100% AGENCY ACTUAL PRICES, REINVESTMENT, AND THE CAPACITY IN WHICH M. ACTED AS AGENT UPON REQUEST, PER A MORTGAGE AGREEMENT, M. ACTED AS AGENT	94812F	91818100F	0	0	-2	\$	88.13	\$	1,076.00

David Philp Roe

Account/Reference	Activity/Status	Trade/Commission	Depth/Date	2020	Specialize	Accounted	Agreed	Balance 12/31/20	2020 Balance/Quantity	Debit	Amount
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		ALLIANCE INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	7880.F	7/23/21/07	7	0	-1	\$ 308.50 \$ 308.52
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		QUANTUM HEALTH INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	7144.A	7/23/21/07	5	0	-4	\$ 74.50 \$ 308.20
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		MODERNA INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70800	7/27/21/07	5	0	-3	\$ 86.17 \$ 501.01
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		FINFETCH LTD Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70900	7/27/21/07	10	0	1	\$ 423.27 \$ (623.27)
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		VERNA 678 INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	72700	7/27/21/07	4	0	-30	\$ 12.50 \$ 487.05
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		ATLANTIC INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	71700	7/27/21/07	11	0	2	\$ 76.50 \$ (151.07)
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		MCARDON INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	71600	7/27/21/07	12	0	-4	\$ 124.22 \$ 486.28
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		LOWE TECHNOLOGIES INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70300	7/27/21/07	9	0	-8	\$ 37.52 \$ 708.00
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		YELLOW GROUP INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70800	7/27/21/07	18	0	-34	\$ 23.00 \$ 861.00
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		ATLANTIC INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70200	7/27/21/07	20	0	-12	\$ 34.75 \$ 484.00
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		ATLANTIC INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70300	7/27/21/07	1	0	-2	\$ 151.50 \$ 302.50
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		DECOM INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70300	7/27/21/07	3	0	-4	\$ 21.00 \$ 1,206.00
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		ZOOM VIDEO COMMUNICATIONS INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	18	0	-3	\$ 140.00 \$ 440.00
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		TAFFET INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70400	7/27/21/07	18	0	-30	\$ 27.52 \$ 1,000.14
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		THIRD INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70400	7/27/21/07	16	0	-5	\$ 108.50 \$ 888.00
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		SERVICES INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70200	7/27/21/07	13	0	-2	\$ 202.04 \$ 597.20
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		THIRD (THE) INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70400	7/27/21/07	17	0	-4	\$ 227.22 \$ 946.07
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		SCIENCE INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70800	7/27/21/07	14	0	-10	\$ 82.50 \$ 686.70
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		CHRYST INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70700	7/27/21/07	2	0	-9	\$ 45.51 \$ 415.14
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		SELF FUNDING CORP Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	2	0	0	\$ 0.00 \$ 0.00
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		SELF FUNDING CORP Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	1	0	0	\$ 0.00 \$ 0.00
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		SELF FUNDING CORP Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	9	0	0	\$ 0.00 \$ 0.00
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		QARAWA CO Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70800	7/27/21/07	4	0	-21	\$ 51.20 \$ 1,702.77
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		SPOT TECH S.A. Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	8	0	-2	\$ 141.71 \$ 1,704.48
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		VERNA 678 INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70400	7/27/21/07	7	0	-11	\$ 103.50 \$ 2,041.20
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		INNOVATION INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	6	0	-2	\$ 85.00 \$ 1,900.00
Philip Agency TIRA	Sold	4/22/2020	Security Transactions		ZOOM VIDEO COMMUNICATIONS INC Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	8	0	-10	\$ 102.50 \$ 1,202.45
Philip Agency TIRA	Sold	4/24/2020	Security Transactions		SELF FUNDING CORP Sole CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED AS AGENT REQUIREMENT, PER ADVISORY AGREEMENT, ML ACTED AS AGENT	70000	7/27/21/07	2	0	11	\$ 0.00 \$ (11.00)

Account/Instrument	Account Status	Trade Date	Description	Symbol	Security	Balance	Change	Price	Amount
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	1	0	-178	0	1,270.48
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	2	0	16	0	(14.04)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	3	0	1,278.20	0	(1,278.20)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	4	0	2	0	(20.50)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	5	0	52	0	(1,200.00)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	6	0	-4	0	302.17
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	7	0	73	0	(1,278.20)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	8	0	725	0	(725.00)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	9	0	-101	0	1,278.20
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	10	0	-2	0	41.84
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	11	0	46	0	18.48
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	12	0	109	0	50.25
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	13	0	109	0	50.25
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	14	0	21	0	33.83
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	15	0	-33	0	30.82
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	16	0	-1,267.00	0	13.31
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	17	0	-48	0	93.00
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	18	0	7	0	(7.00)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	19	0	-104	0	5.58
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	20	0	7	0	84.48
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	21	0	-1,267.00	0	1,267.00
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	22	0	438	0	(438.00)
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	23	0	-107	0	4.02
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	24	0	-237	0	2.48
PMA's Advisory TIRA	Sold	4/16/2020	BLACKROCK FUND RISK INCOME PORTFOLIO CL INST PER ADVISORY AGREEMENT, ML ACTED AS AGENT.	BPFX	25	0	62	0	94.85

AccountReference	Activity Group Transaction Refs Date	Type	Description	Symbol	Bonded QUOTE	Schedule Date	Qty	Offset	Entered Quantity	Price	Amount
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases NOVALON HOLDINGS INC SHS CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	NOV	"3897" "16251DHY"	4 "00"	0	00 0	16.82 \$	(1,146.87)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases GEMARK HLDGS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	CNC	"1829F" "17269VME"	3 0	-180 0	75.63 \$	1,264.79		
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases CENTRO INC REG SHS ISSUED EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	CHNC	"1280Y" "168727M0"	2 "00"	0	27 0	19.11 \$	(516.86)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases BANK OF AMERICA CORP COMMON STOCK ML PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	10 "00"	0	-200	\$	291.50	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases BANK OF AMERICA CORP COMMON STOCK ML PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	8 "00"	0	29	\$	(234.00)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases ALLIANCE TWO COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	ELMN	"3886J" "162527H0"	3 0	-1 0	276.79 \$	878.71		
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SPOTIFY TECH S.A. REG SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	SPOT	"W6X2E" "1A651T10Z"	8 "00"	0	4 0	134.44 \$	(167.77)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases RONG INC CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	RONU	"18LJF" "77648R10Z"	8 0	0	-8 0	63.09 \$	512.10	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases COUMORE INC SHS CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	SO	"W695F" "36222410Z"	7 "00"	0	5 0	58.64 \$	(263.21)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases CENTRO INC BL CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	CHNY	"1279CZ" "16876L10Z"	2 "00"	0	26 0	37.37 \$	(827.25)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases TRUST FILL CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	TFC	"73AMF" "06632C10Z"	8 0	0	-32 0	31.82 \$	1,011.94	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases NORTHIN TRUIT CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	NTRS	"1898F" "06064910F"	4 "00"	0	5 0	63.16 \$	(245.83)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SELF PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	8 "00"	0	-807	\$	697.20	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SELF PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	7 "00"	0	12	\$	(12.00)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases TRUST FILL CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	TPC	"73AMF" "06032C10Z"	5 0	0	-32 0	30.38 \$	987.59	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases NORTHIN TRUIT CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	NTRS	"1898F" "06064910F"	2 "00"	0	7 0	62.97 \$	(860.62)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases WELLS FARGO & CO NEW DEB. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	WFG	"10360F" "04074610F"	8 "00"	0	6 0	28.18 \$	(178.69)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases PHO PHOL SERVICES GROUP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	PMC	"1678F" "06047810Z"	3 "00"	0	3 0	58.06 \$	(252.38)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases WILMART INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	WM	"70LLZ" "04116L10F"	4 "00"	0	11 0	76.40 \$	(946.14)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SELF PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	7 "00"	0	-280	\$	386.00	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SELF PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	6 "00"	0	37	\$	(81.50)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases TXA COS INC REG EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	TXA	"728PZ" "07264010Z"	2 0	0	-21 0	46.04 \$	964.05	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases TRISTAR CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	TST	"740CZF" "070727H0Z"	3 "00"	0	7 0	96.48 \$	(673.13)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases WILMART INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	WMFT	"0601Z" "05142310Z"	8 "00"	0	4 0	124.53 \$	(468.39)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases ZALICH GROUP INC SHS CL Q PER ADVISORY AGREEMENT. ML ACTED AS AGENT UPON REQUEST.	Z	"W629F" "06064820Z"	4 "00"	0	2 0	34.28 \$	(70.69)	
PW06 Advisory TRN	Settled	4862020	Security Transactions Purchases SELF PERFORMING CASH RESERVE	BPFOK	"703CZ" "06246U30"	8 "00"	0	-436	\$	468.00	

[illegible]

Activity Station Task-Instructional Notes

[illegible]

David Philip Roe

2017	2016	2015	2014	2013	2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000	1999	1998	1997	1996	1995	1994	1993	1992	1991	1990	1989	1988	1987	1986	1985	1984	1983	1982	1981	1980	1979	1978	1977	1976	1975	1974	1973	1972	1971	1970	1969	1968	1967	1966	1965	1964	1963	1962	1961	1960	1959	1958	1957	1956	1955	1954	1953	1952	1951	1950	1949	1948	1947	1946	1945	1944	1943	1942	1941	1940	1939	1938	1937	1936	1935	1934	1933	1932	1931	1930	1929	1928	1927	1926	1925	1924	1923	1922	1921	1920	1919	1918	1917	1916	1915	1914	1913	1912	1911	1910	1909	1908	1907	1906	1905	1904	1903	1902	1901	1900	1899	1898	1897	1896	1895	1894	1893	1892	1891	1890	1889	1888	1887	1886	1885	1884	1883	1882	1881	1880	1879	1878	1877	1876	1875	1874	1873	1872	1871	1870	1869	1868	1867	1866	1865	1864	1863	1862	1861	1860	1859	1858	1857	1856	1855	1854	1853	1852	1851	1850	1849	1848	1847	1846	1845	1844	1843	1842	1841	1840	1839	1838	1837	1836	1835	1834	1833	1832	1831	1830	1829	1828	1827	1826	1825	1824	1823	1822	1821	1820	1819	1818	1817	1816	1815	1814	1813	1812	1811	1810	1809	1808	1807	1806	1805	1804	1803	1802	1801	1800	1799	1798	1797	1796	1795	1794	1793	1792	1791	1790	1789	1788	1787	1786	1785	1784	1783	1782	1781	1780	1779	1778	1777	1776	1775	1774	1773	1772	1771	1770	1769	1768	1767	1766	1765	1764	1763	1762	1761	1760	1759	1758	1757	1756	1755	1754	1753	1752	1751	1750	1749	1748	1747	1746	1745	1744	1743	1742	1741	1740	1739	1738	1737	1736	1735	1734	1733	1732	1731	1730	1729	1728	1727	1726	1725	1724	1723	1722	1721	1720	1719	1718	1717	1716	1715	1714	1713	1712	1711	1710	1709	1708	1707	1706	1705	1704	1703	1702	1701	1700	1699	1698	1697	1696	1695	1694	1693	1692	1691	1690	1689	1688	1687	1686	1685	1684	1683	1682	1681	1680	1679	1678	1677	1676	1675	1674	1673	1672	1671	1670	1669	1668	1667	1666	1665	1664	1663	1662	1661	1660	1659	1658	1657	1656	1655	1654	1653	1652	1651	1650	1649	1648	1647	1646	1645	1644	1643	1642	1641	1640	1639	1638	1637	1636	1635	1634	1633	1632	1631	1630	1629	1628	1627	1626	1625	1624	1623	1622	1621	1620	1619	1618	1617	1616	1615	1614	1613	1612	1611	1610	1609	1608	1607	1606	1605	1604	1603	1602	1601	1600	1599	1598	1597	1596	1595	1594	1593	1592	1591	1590	1589	1588	1587	1586	1585	1584	1583	1582	1581	1580	1579	1578	1577	1576	1575	1574	1573	1572	1571	1570	1569	1568	1567	1566	1565	1564	1563	1562	1561	1560	1559	1558	1557	1556	1555	1554	1553	1552	1551	1550	1549	1548	1547	1546	1545	1544	1543	1542	1541	1540	1539	1538	1537	1536	1535	1534	1533	1532	1531	1530	1529	1528	1527	1526	1525	1524	1523	1522	1521	1520	1519	1518	1517	1516	1515	1514	1513	1512	1511	1510	1509	1508	1507	1506	1505	1504	1503	1502	1501	1500	1499	1498	1497	1496	1495	1494	1493	1492	1491	1490	1489	1488	1487	1486	1485	1484	1483	1482	1481	1480	1479	1478	1477	1476	1475	1474	1473	1472	1471	1470	1469	1468	1467	1466	1465	1464	1463	1462	1461	1460	1459	1458	1457	1456	1455	1454	1453	1452	1451	1450	1449	1448	1447	1446	1445	1444	1443	1442	1441	1440	1439	1438	1437	1436	1435	1434	1433	1432	1431	1430	1429	1428	1427	1426	1425	1424	1423	1422	1421	1420	1419	1418	1417	1416	1415	1414	1413	1412	1411	1410	1409	1408	1407	1406	1405	1404	1403	1402	1401	1400	1399	1398	1397	1396	1395	1394	1393	1392	1391	1390	1389	1388	1387	1386	1385	1384	1383	1382	1381	1380	1379	1378	1377	1376	1375	1374	1373	1372	1371	1370	1369	1368	1367	1366	1365	1364	1363	1362	1361	1360	1359	1358	1357	1356	1355	1354	1353	1352	1351	1350	1349	1348	1347	1346	1345	1344	1343	1342	1341	1340	1339	1338	1337	1336	1335	1334	1333	1332	1331	1330	1329	1328	1327	1326	1325	1324	1323	1322	1321	1320	1319	1318	1317	1316	1315	1314	1313	1312	1311	1310	1309	1308	1307	1306	1305	1304	1303	1302	1301	1300	1299	1298	1297	1296	1295	1294	1293	1292	1291	1290	1289	1288	1287	1286	1285	1284	1283	1282	1281	1280	1279	1278	1277	1276	1275	1274	1273	1272	1271	1270	1269	1268	1267	1266	1265	1264	1263	1262	1261	1260	1259	1258	1257	1256	1255	1254	1253	1252	1251	1250	1249	1248	1247	1246	1245	1244	1243	1242	1241	1240	1239	1238	1237	1236	1235	1234	1233	1232	1231	1230	1229	1228	1227	1226	1225	1224	1223	1222	1221	1220	1219	1218	1217	1216	1215	1214	1213	1212	1211	1210	1209	1208	1207	1206	1205	1204	1203	1202	1201	1200	1199	1198	1197	1196	1195	1194	1193	1192	1191	1190	1189	1188	1187	1186	1185	1184	1183	1182	1181	1180	1179	1178	1177	1176	1175	1174	1173	1172	1171	1170	1169	1168	1167	1166	1165	1164	1163	1162	1161	1160	1159	1158	1157	1156	1155	1154	1153	1152	1151	1150	1149	1148	1147	1146	1145	1144	1143	1142	1141	1140	1139	1138	1137	1136	1135	1134	1133	1132	1131	1130	1129	1128	1127	1126	1125	1124	1123	1122	1121	1120	1119	1118	1117	1116	1115	1114	1113	1112	1111	1110	1109	1108	1107	1106	1105	1104	1103	1102	1101	1100	1099	1098	1097	1096	1095	1094	1093	1092	1091	1090	1089	1088	1087	1086	1085	1084	1083	1082	1081	1080	1079	1078	1077	1076	1075	1074	1073	1072	1071	1070	1069	1068	1067	1066	1065	1064	1063	1062	1061	1060	1059	1058	1057	1056	1055	1054	1053	1052	1051	1050	1049	1048	1047	1046	1045	1044	1043	1042	1041	1040	1039	1038	1037	1036	1035	1034	1033	1032	1031	1030	1029	1028	1027	1026	1025	1024	1023	1022	1021	1020	1019	1018	1017	1016	1015	1014	1013	1012	1011	1010	1009	1008	1007	1006	1005	1004	1003	1002	1001	1000	999	998	997	996	995	994	993	992	991	990	989	988	987	986	985	984	983	982	981	980	979	978	977	976	975	974	973	972	971	970	969	968	967	966	965	964	963	962	961	960	959	958	957	956	955	954	953	952	951	950	949	948	947	946	945	944	943	942	941	940	939	938	937	936	935	934	933	932	931	930	929	928	927	926	925	924	923	922	921	920	919	918	917	916	915	914	913	912	911	910	909	908	907	906	905	904	903	902	901	900	899	898	897	896	895	894	893	892	891	890	889	888	887	886	885	884	883	882	881	880	879	878	877	876	875	874	873	872	871	870	869	868	867	866	865	864	863	862	861	860	859	858	857	856	855	854	853	852	851	850	849	848	847	846	845	844	843	842	841	840	839	838	837	836	835	834	833	832	831	830	829	828	827	826	825	824	823	822	821	820	819	818	817	816	815	814	813	812	811	810	809	808	807	806	805	804	803	802	801	800	799	798	797	796	795	794	793	792	791	790	789	788	787	786	785	784	783	782	781	780	779	778	777	776	775	774	773	772	771	770	769	768	767	766	765	764	763	762	761	760	759	758	757	756	755	754	753	752	751	750	749	748	747	746	745	744	743	742	741	740	739	738	737	736	735	734	733	732	731	730	729	728	727	726	725	724	723	722	721	720	719	718	717	716	715	714	713	712	711	710	709	708	707	706	705	704	703	702	701	700	699	698	697	696	695	694	693	692	691	690	689	688	687	686	685	684	683	682	681	680	679	678	677	676	675	674	673	672	671	670	669	668	667	666	665	664	663	662	661	660	659	658	657	656	655	654	653	652	651	650	649	648	647	646	645	644	643	642	641	640	639	638	637	636	635	634	633	632	631	630	629	628	627	626	625	624	623	622	621	620	619	618	617	616	615	614	613	612	611	610	609	608	607	606	605	604	603	602	601	600	599	598	

[illegible]

Robert D. Anderson
Anthony Charles Lewis Thompson, Esq.
James

[illegible]

Deborah D. Johnson
Assistant Editor, Teacher Education Book Reviews
Truman State University

RecordReference	Activity Section	Trade/Condition	Acct Date	Date	Description	Symbol	Account Name	Event Date	Vol	Contract Quantity	Price	Amount
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	CNTA INC REG BBS CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	CNTA	9THHS	WT800000	0	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	REDAKING INC CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	KDS	W00001	W0007900	7	0	\$ 115.00	\$ 815.00
Pfhs Advelery T70A	Solded	20200202	20200202	Security Transactions	BUT FETTERD CHAIN RESERVE	BTFOO	70000	W00000000	20	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	VNA INC SUBS READING DED 14 2022 RATINGS ARE BLAT TO ONE MOODY AAS B+/- CALLING IS ANY INTEREST YTD DETAILS UPON REQUEST YTD TO CALL 2 2PM-CALL DATE 10M102Z CALL PRICE \$100.00 US DAVIS INTEREST PER ADVISORY AGREEMENT, IL ACTED AS AGENT	VZT	EYHAB	W00000000	7	0	\$ -4,000.00	\$ -280.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	OPTIMA ONE FINANCIAL CORP QLS READING MAY 12 2020 RATINGS ARE BLAT TO ONE MOODY'S BAA1 B+/- CALLING IS ANY INTEREST YTD DETAILS UPON REQUEST YTD TO CALL 14M10Z CALL PRICE \$100.00 US DAVIS INTEREST PER ADVISORY AGREEMENT, IL ACTED AS AGENT	COT00	BB140	140000000	0	0	\$ -4,000.00	\$ -280.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	ACTING CEO OF JPM, JPM 2020 RATINGS ARE BLAT TO ONE MOODY'S BAA1 B+/- CALLING IS ANY INTEREST YTD DETAILS UPON REQUEST YTD TO CALL 7 47PM CALL DATE 600Z CALL PRICE \$100.00 US DAVIS INTEREST PER ADVISORY AGREEMENT, IL ACTED AS AGENT	T0A	90100	W00000000	4	0	\$ -4,000.00	\$ -280.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	GOLDMAN SACHS GROUP INC QLS READING DED 27 2020 RATINGS ARE BLAT TO ONE MOODY'S A1 SUP BBBS WAREHOUSE CALL DEDLINE UPON REQUEST YTD DAVIS INTEREST PER ADVISORY AGREEMENT, IL ACTED AS AGENT	OSB0A	97700	100100000	0	0	\$ -4,000.00	\$ -280.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	PFG	90000	74001000	10	0	\$ -10	\$ -20.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	BLACK TECHNOLOGIES INC CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	WORK	90000	W00000000	20	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	COUPA SOFTWARE INC EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	GOUP	10100	22000100	0	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	WORNDY INC CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	WOVY	90000	90100000	20	0	\$ -20	\$ -20.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	MET BANK CORPORATION EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	MTB	90000	90000000	10	0	\$ -40	\$ -40.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	RED HENSON INC REG BBS CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	TRN	91000	90000100	10	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	THALES TECHNIQUES CORP CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	TT	70000	00000000	27	0	\$ 7	\$ 70.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	REDAKING INC CL A EXECUTED 100% AGENCY ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	XLU	97000	01000000	25	0	\$ -40	\$ -40.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	UNITED STATES FIVE AL WORLD EX US ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	VEU	91100	90000000	11	0	\$ 12	\$ 120.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	SECTOR SPON CONTAINS 97% ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	XLP	97000	91000000	21	0	\$ -40	\$ -40.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	BAHNS DOING LEAD ELAPSE ACTUAL, PRICES, REMUNERATION AND THE CAPACITY IN WHICH IL ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY AGREEMENT, IL ACTED AS AGENT	BLR	90000	90000000	10	0	\$ 0	\$ 0.00
Pfhs Advelery T70A	Solded	27162020	20200202	Security Transactions	BECHTEL CORP INDUSTRIAL PER ADVISORY AGREEMENT, IL ACTED AS AGENT	XLI	97000	91000000	21	0	\$ 0	\$ 0.00

आवश्यकता पड़ने पर तुरंत सहायता प्रदान करने का वादा

PHS Address TR04	06/01	20170200	20000000 Security Transmissions	VALUED AND BEC PROCEED EIT ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	WFL	70194F	70204200F	10 000F	0	1 0	44.01 0	610.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	US BANKGROUP EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	WBS	70200F	70007200F	20 000F	0	7 0	50.11 0	620.17
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	ALLIANCE GROUP INC. CORP EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	ALL	00000F	00000000F	0 000F	0	1 0	74.00 0	074.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	MONROE INC. Q.A. EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	MOB	70000F	700007100F	17 000F	0	17 0	110.00 0	0100.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	SHIP AND Q.A. EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	SHAP	70000F	700044100F	20	0	-20 0	0.10 0	1.00.01
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	CONSUMER DISCRETIONARY SPON. ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	XLY	07000F	013007100F	22 000F	0	4 0	50.07 0	040.20
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	ISSUERS AND ALL COUNTRY MAN. EX. JAPAN EIT ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	AAJ	01000F	044000100F	10 000F	0	3 0	54.00 0	050.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	COMA SERVICES SELECT SECTOR ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	XLO	01000F	014007000F	10 000F	0	0 0	44.14 0	001.20
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	SPORUS FINANCIAL SECTION EIT ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	XLP	07000F	015007000F	20 000F	0	17 0	10.00 0	0100.01
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	PHENOLAND PLO EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	IR	70000F	000071100F	10	0	-0 0	10.00 0	107.04
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	EL F. FERNAND OLIVERI RESERVE	BRXK	70000F	700000100F	14 000F	0	-1,000.00	0	0.00.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	PHENOLAND PLO EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	PRO	00000F	700011000F	0	0	-0 0	20.00 0	014.21
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	MAT BANK CORPORATION EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	MTB	04000F	04001100F	0	0	-0 0	100.00 0	017.07
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	PHENOLAND PLO EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	IR	00000F	000071100F	4	0	-7 0	21.07 0	140.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	US BANKGROUP EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	USB	70000F	70007200F	11 000F	0	7 0	00.00 0	000.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	ALLIANCE GROUP INC. CORP EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	ALL	00000F	00000000F	2 000F	0	0 0	01.00 0	000.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	TRANE TECHNOLOGIES INC. EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	TT	70000F	700040100F	0 000F	0	1 0	01.70 0	011.70
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	TULSTER FPL GROUP EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	TWO	70000F	700000100F	0 000F	0	0 0	20.00 0	000.00
PHS Address TR04	06/01	20170200	20000000 Security Transmissions	WELLS FARGO & CO NEW DEL. EXECUTED 100% AGENCY ACTUAL, PROCEED, REINVESTMENT AND THE CAPACITY IN WHICH HE, ACTED ARE AVAILABLE UPON REQUEST, PER ADVISORY PENDING	WFO	00000F	00000000F	10 000F	0	10 0	20.00 0	000.00

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David Philip Roe

David Philip Roe
 RL - PERS Activity 1994
 Year Ended December 31, 2000

Account/Reference	Activity/Status	Transfer/Description	With/Date	Type	Description	Entity 1	Source/Entity	Source/Entity Ref.	Source/Entity Description	Type	Amount
PERS Activity 1994	Debit	402000	402000	Security Transfers	RL FORT LIND OASH RESERVE	PTCO	7000	00000000	0 000	-1,122.00	\$ 1,122.00
PERS Activity 1994	Debit	402000	402000	Security Transfers	RL FORT LIND OASH RESERVE	PTCO	7000	00000000	0 000	387	\$ (387.00)
PERS Activity 1994	Debit	402000	402000	Security Transfers	RL FORT LIND OASH RESERVE	PTCO	7000	00000000	11 000	80,851.00	\$ (80,851.00)
PERS Activity 1994	Debit	402000	402000	Security Transfers	RL FORT LIND OASH RESERVE	PTCO	7000	00000000	10 000	2,877.00	\$ (2,877.00)
PERS Activity 1994	Debit	402000	402000	Security Transfers	RL FORT LIND OASH RESERVE	PTCO	7000	00000000	0 000	87	\$ 87.00

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Account Information	Address Status	Transfer/Transaction Date	Date	Description	Product	Account Number	Balance at End	Start Balance	Change	Date	Amount		
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic Total Total, Netting Bond to 0.1 Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	TOLAX	95817	97341667	2.000	0	27.0	10/01/20	691.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	GSBX	91447	20177022	7.000	0	10.0	11/20/20	692.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	DOOR	91437	24010337	4.000	0	10.0	12/20/20	692.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	OWYK	91437	14103771	6.000	0	10.0	10/19/20	697.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	BLXK	91707	96400417	1.000	0	0.02			
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	BL	91707	79400190	3.000	0	4.0	01/20/20	691.10	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	USHT	91607	94400002	0.000	0	2.0	10/10/20	674.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	127A	91707	94400197	6.000	0	1.0	01/20/20	691.20	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	OWYK	91607	94400007	4.000	0	13.0	12/27/20	692.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	127A	91607	94400197	1.000	0	4.0	10/10/20	1,710.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	WISS	91607	92200077	3.000	0	7.0	04/19/20	692.07	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	VCT	91607	92200077	2.000	0	4.0	06/10/20	694.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	ES20	91707	96400107	0	0	4.0	03/20/20	692.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	BLXK	91607	92200107	7.000	0	1.0	01/20/20	691.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	OWYK	91607	94400107	0	0	4.0	10/10/20	691.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	OWYK	91607	92200107	0	0	4.0	04/27/20	694.00	
Address: 11111111111111111111	000000	01/12/2020	01/12/2020	Periodic On 0.02 Payment Rtl, Oppo To 0.1 Rtl, Fund Subject to 100% Fee, Prospective Enclosed, Under Separate Cover on From Your Advisor, For Advocacy Agreement, On Selling Your Investment, You May Pay A Sales Charge Against Other Fee, For Information, See The Prospectus II, Acted As Agent.	GSBX	91607	92200107	0	0	10.0	11/20/20	692.00	

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	1019		1020		1021		1022		1023		1024		1025		1026		1027		1028		1029		1030		1031		1032		1033		1034		1035		1036		1037		1038		1039		1040		1041		1042		1043		1044		1045		1046		1047		1048		1049		1050		1051		1052		1053		1054		1055		1056		1057		1058		1059		1060		1061		1062		1063		1064		1065		1066		1067		1068		1069		1070		1071		1072		1073		1074		1075		1076		1077		1078		1079		1080		1081		1082		1083		1084		1085		1086		1087		1088		1089		1090		1091		1092		1093		1094		1095		1096		1097		1098		1099		1100		1101		1102		1103		1104		1105		1106		1107		1108		1109		1110		1111		1112		1113		1114		1115		1116		1117		1118		1119		1120		1121		1122		1123		1124	

David Philip Roe

Introduction

1,000.00	10.00	10,000.00
1,000.00	10.00	10,000.00

1963-64 1964-65 1965-66

David Philip Roe
661-7431-0000
Year Ended December 31, 2000

Part CNA

661-7431-0000

2/1/2000

2000000 Beverly Theodora

Problems
TENDS ENERGY AND ACTUAL POWER, REGENERATION AND THE CAPACITY IN
WHICH ALL ACTED AND AVAILABLE UPON REQUEST, UNLIMITED CROSS
PRODUCTION NOT ENFORCED

RM

WATER TREATMENT

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UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

CRZ0002006331 1220 X14 NY 0

2020 Year End Summary

Account names: DAVID P ROE MD

CLARINDA PHILLIPS ROE ITWROS

Friendly account name: Joint Account

Account number:

Your Financial Address:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

DAVID P ROE MD
CLARINDA PHILLIPS ROE ITWROS

RESERVE MAINTENANCE ACCOUNT

Summary of banking activity

Checks Year to date (\$) -41,438.10

Checks

Check number	Date cleared	Description	Amount (\$)
001009	Jul 15, 20	JEFF MILLHORN	8,345.10
001010	Jul 20, 20	BOONE HEATING AND AIR	7,254.00
001011	Jul 20, 20	FRANNY MCCARRAN	4,800.00
001012	Jul 17, 20	SPARTA CRAFT	500.00
001013	Jul 20, 20	PHILIP C	4,206.00
001014	Jul 16, 20	FRED PELL PAINTING	9,000.00

Check number	Date cleared	Description	Amount (\$)
001015	Aug 11, 20	BOONE HEATING AND AIR	806.00
001017	Aug 31, 20	FRANNY MCCARRAN	1,200.00
001018	Aug 21, 20	FRED PELL PAINTING	2,000.00
001019	Aug 31, 20	JEFF MILLHORN	927.00
001020	Dec 29, 20	THE LODGES AT EAGLES NEST P	2,400.00
Total			\$41,438.10
Total Checks			\$41,438.10



Your notes

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Page 2 of 2



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

BTZ000007353 1220 X14 JV 0

2020 Year End Summary

Account name: CLARINDA P JEANES LIVING TRUST

Friendly account name: Clarinda Acct

Account number:

Your Financial Advisors:

WJP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

CLARINDA P JEANES LIVING TRUST

Summary of banking activity

Checks Year to date (\$) -16,123.20

Checks

Check number	Date cleared	Description	Amount (\$)
003493	Jan 16, 20	THE LODGES AT EAGLES NEST	2,200.00
003494	Jan 24, 20	THE RIDGES POA	275.00
003495	Jan 23, 20	ALLEN PROP	88.41
003496	Apr 15, 20	ALLEN PROP INC	658.79
003497	Sep 28, 20	RCS	35.00
003498	Sep 14, 20	HAGERTY FOR SENATE	2,800.00
003499	Sep 29, 20	AMT POLCK	500.00
003500	Oct 15, 20	DR TURNER WILLIAMS	1,600.00
003501	Oct 5, 20	PERRY CROCKER	95.00

Check number	Date cleared	Description	Amount (\$)
003502	Oct 2, 20	WEBB DENTISTRY	95.00
003503	Oct 20, 20	RUSSELL LUTZ	200.00
003504	Oct 20, 20	Leas Peak	75.00
003505	Nov 13, 20	SUNMAIT	1,000.00
003506	Nov 6, 20	FAMILIES RISE	2,000.00
003507	Nov 16, 20	DAVAN HOPE	1,000.00
003508	Nov 9, 20	HANDS ON	900.00
003509	Nov 27, 20	FRIENDS OF THE ANIMAL SHELTER	1,000.00
003510	Nov 18, 20	FIRST CHRISTIAN	2,000.00
Total			\$16,123.20
Total Checks			\$16,123.20



Your notes



David Philip Roe
UBS - CPJ LIVING TRUST
Year ended December 31, 2020

08/01/20	08/25/20 BOUGHT	GREENSBORO NO ENTERPRISE RV SE/V Trade-453048 Stock	CNTDAS	20,000,000	\$132,482	-28,498.40
08/11/20	08/19/20 SOLD	TENNESSEE BT BR A/V Trade-508783 Stock	EDSLB	-40,000,000	\$100,810	\$1,113.33



UBS Financial Services Inc.
214 E. Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

BR2002007485 1220 K14 JV 0

2020 Year End Summary

Account name: CLARINDA P JEANES LIVING TRUST

Friendly account name: BlackRock Muni

Account number:

CLARINDA P JEANES LIVING TRUST

Your Financial Advisor:

WALFINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

Summary of gains and losses

	Amount (\$)
Long term	33.40

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gains or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchase or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, includes securities subject to AMT, has been adjusted for mandatory amortization of bond premiums. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Which sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
TEANESSEE ST MUNICIPAL BOND FUND SR A 5,000 08/01/20 DTD 03/15/22 Original cost basis : \$50,047.50	FIFO	50,000.000	Apr 15, 14	May 11, 20	50,405.00	50,371.60			33.40



Your notes



UBS Financial Services Inc.
214 E. Main Street
Suite 1-A
Johnson City TN 37601-2509

672002807557 1220 N14 JV 0

2020 Year End Summary

Account name: JEANES INVESTMENTS LLC

Friendly account name: Jeanes Inv LLC

Account number: -----

JEANES INVESTMENTS LLC

Your Financial Advisor:
WLP FINANCIAL GROUP
Phone: 423-928-7144/800-729-4848

Summary of gains and losses

	Amount (\$)
Short term	-3,186.39
Long term	413,230.15
Total	\$410,043.76



Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: 123456789

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we include the oldest security lot first. This is known as the "first-in, first-out" or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a various purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unallocated section can not be classified as short-term or long-term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AMER ELECTRIC POWER CO									
FPO	FPO	17,000	Aug 14, 20	Oct 23, 20	1,562.69	1,417.97			144.72
FPO	FPO	16,000	Aug 14, 20	Oct 26, 20	1,481.74	1,394.56			147.18
FPO	FPO	11,000	Aug 14, 20	Dec 22, 20	893.84	917.51		-23.67	
AMGEN INC	FPO	10,000	Sep 29, 20	Dec 22, 20	2,212.45	2,473.79		-261.34	
BLACKROCK INC	FPO	1,000	Aug 14, 20	Dec 22, 20	693.55	588.91			104.64
CHRYSLER GROUP	FPO	37,000	Aug 14, 20	Nov 05, 20	2,655.38	2,735.55		-80.17	
FPO	FPO	23,000	Aug 14, 20	Nov 09, 20	1,878.45	2,074.14		-195.69	
FPO	FPO	106,000	Aug 14, 20	Nov 25, 20	9,848.77	9,559.08			290.69
FPO	FPO	23,000	Aug 14, 20	Nov 27, 20	2,108.25	2,074.14			34.11
CISCO SYSTEMS INC									
VSP	VSP	129,000	Feb 11, 20	Mar 03, 20	5,182.15	6,371.31		-1,189.16	
VSP	VSP	189,000	Feb 11, 20	Mar 03, 20	7,592.46	9,334.71		-1,742.25	
VSP	VSP	194,000	Feb 11, 20	Mar 03, 20	7,793.31	9,581.66		-1,788.35	
VSP	VSP	122,000	Feb 11, 20	Mar 03, 20	4,900.95	6,025.58		-1,124.63	
FPO	FPO	47,000	Apr 06, 20	Dec 22, 20	2,100.97	1,901.62			199.35
FPO	FPO	7,000	Aug 14, 20	Dec 22, 20	312.91	297.55			15.36
CRANE CO									
FPO	FPO	8,000	Aug 14, 20	Oct 27, 20	428.88	490.44		-61.58	
FPO	FPO	20,000	Aug 14, 20	Dec 22, 20	1,518.76	1,226.10			292.66
DIAGEO PLC NEW GB SPON									
VSP	VSP	32,000	Oct 15, 19	Mar 03, 20	4,608.53	5,187.24		-587.71	
ISHARES BROAD USD INT									
GRADE CORPORATE BOND	FPO	14,000	Aug 13, 20	Dec 29, 20	866.05	860.58			5.47
ETF	FPO	4,000	Aug 13, 20	Dec 29, 20	440.40	443.10		-2.70	
ISHARES MBS ETF									
FPO	FPO	251,000	Mar 09, 20	Aug 13, 20	16,447.70	15,686.89			750.81
ISHARES MSCI EAFE ETF									
FPO	FPO	251,000	Mar 09, 20	Dec 23, 20	18,153.18	15,696.88			2,456.29

continued next page



Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: ..

Your Financial Advisor:
 MLP FINANCIAL GROUP
 423-628-7144/800-728-4846

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
ISSUES 20+ YEAR TREAS BOND ETF									
IFRO		6,000	Aug 14, 20	Aug 18, 20	992.81	988.10			9.71
VSP		5,000	Dec 09, 19	Mar 03, 20	674.29	701.95		-27.66	
IFRO		7,000	Apr 06, 20	Sep 29, 20	1,029.03	959.00			70.03
IFRO		30,000	Apr 06, 20	Sep 30, 20	4,454.36	4,110.00			344.36
IFRO		14,000	Apr 06, 20	Oct 05, 20	2,063.71	1,918.00			145.71
IFRO		2,000	Aug 14, 20	Oct 05, 20	294.82	297.91		-3.09	
IFRO		11,090	Aug 14, 20	Oct 06, 20	1,618.22	1,638.50		-20.28	
IFRO		20,000	Aug 14, 20	Dec 22, 20	3,045.98	2,979.09			66.89
NOVARTIS AG SPON ADR									
VSP		9,000	Sep 09, 19	Mar 03, 20	753.77	807.36		-53.59	
VSP		8,000	Dec 09, 19	Mar 03, 20	670.02	736.72		-66.70	
IFRO		20,000	Aug 14, 20	Dec 22, 20	1,355.37	1,256.40			98.97
PHILLIPS 66									
IFRO		2,000	Feb 06, 19	Jan 14, 20	249.37	196.35			53.02
IFRO		4,000	Feb 06, 19	Jan 14, 20	498.75	392.99			105.76
VSP		4,000	Sep 09, 19	Mar 03, 20	474.03	487.84		-13.81	
IFRO		8,000	Aug 14, 20	Dec 22, 20	1,093.90	1,082.30			11.60
ROCKWELL AUTOMATION INC NEW									
VSP		45,000	Jan 14, 20	Mar 03, 20	8,377.34	9,296.10		-918.76	
IFRO		4,000	Aug 14, 20	Nov 09, 20	1,044.52	995.22			109.30
IFRO		5,000	Aug 14, 20	Dec 22, 20	1,236.07	1,189.03			67.04
SAN DIEGO CNTY CA WTR AU SR A/R/ BSN/ 5,000 090123 DTG 092215 Original cost basis: \$11,262.00									
IFRO		10,000.000	Sep 18, 20	Dec 23, 20	11,033.90	11,135.08		-101.18	
IFRO		13,000	Jun 27, 19	Apr 06, 20	1,369.51	1,509.72		-150.21	
TEXAS INSTRUMENTS									
VSP		4,000	Jan 14, 20	Mar 03, 20	638.91	782.00		-88.09	
IFRO		5,000	Apr 06, 20	Nov 06, 20	951.63	730.00			221.63
IFRO		11,000	Apr 06, 20	Nov 09, 20	2,209.01	1,806.00			603.01
IFRO		10,000	Apr 06, 20	Dec 22, 20	2,016.46	1,460.00			556.46
UNITED PARCEL SERVICE INC CL B									
IFRO		8,000	Nov 06, 20	Dec 22, 20	1,396.15	1,333.00			63.15

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Advisor Allocation Program

Account name: LEAVES INVESTMENTS LLC
 Primary account name: leaves p v llc
 Account number: ..

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-0848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
VF CORP	VSP	8,000	Sep 09, 19	Mar 03, 20	572.14	711.92		-139.78	
	VSP	61,000	Nov 11, 19	Mar 03, 20	4,362.58	5,284.78		-922.20	
Total					\$148,154.40	\$151,340.79		-\$3,186.39	\$6,987.32

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CLA	FFO	2,000	Oct 17, 18	Jan 14, 20	419.09	318.08			101.01
	FFO	4,000	Oct 17, 18	Nov 19, 20	956.04	636.16			320.88
	FFO	9,000	Oct 17, 18	Dec 22, 20	2,338.02	1,431.36			906.66
AJPS INTL SECTOR DMD DOGS ETF	VSP	5,750,000	Oct 28, 18	Mar 03, 20	137,019.47	143,812.79			-6,793.32
AMER ELECTRIC POWER CO	FFO	5,000	Sep 09, 19	Oct 23, 20	459.62	456.74			2.88
	FFO	10,000	Sep 09, 19	Oct 23, 20	919.23	913.38			5.85
AMER FUNDS EUROPAFCR GROWTH FUND CLASS F2	FFO	64,656	Jan 17, 06	Aug 18, 20	3,748.76	2,740.43			1,008.33
	FFO	117,850	Jan 17, 06	Dec 21, 20	7,928.92	4,995.04			2,933.88
	FFO	211,781	Jan 17, 06	Dec 23, 20	14,365.10	8,976.29			5,388.81
BLACKROCK FLOATING RATE INCOME FORTFOLIOINSTITUTIONAL	Adjustment	2,139,673	Jan 26, 16	Aug 13, 20	20,579.46	20,994.66	-16.93	-475.20	
	FFO	858,931	Jan 26, 16	Dec 23, 20	8,460.47	8,434.70			25.77
BLACKROCK HIGH YIELD BOND FORTFOLIOINSTITUTIONAL	Adjustment	358,664	Jan 24, 17	Aug 13, 20	2,689.98	2,732.19	-25.94	-42.21	
	FFO	1,358,142	Jan 24, 17	Dec 23, 20	10,525.60	10,444.11			81.49
BLACKROCK INC	FFO	1,000	Oct 17, 18	Apr 06, 20	442.00	411.39			30.61
	FFO	2,000	Oct 17, 18	Nov 19, 20	1,340.52	822.78			517.74
	FFO	1,000	Oct 17, 18	Dec 22, 20	683.56	411.39			282.17

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Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: 1111111111

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CARRIER GLOBAL CORP									
FRD		235,000	Jan 09, 12	Apr 08, 20	3,405.36	3,244.30			161.06
FRD		95,000	Jul 08, 13	Apr 08, 20	1,376.63	1,727.41			-350.78
FRD		28,000	Mar 03, 14	Apr 08, 20	420.24	630.44			-210.20
FRD		15,000	Oct 17, 18	Apr 08, 20	217.36	368.43			-151.07
VSP		24,000	Oct 17, 18	Mar 03, 20	2,261.50	2,803.44			-541.94
CHEVRON CORP									
FRD		99,000	Apr 06, 16	Aug 13, 20	12,879.57	11,779.28			1,100.29
FRD		17,000	Apr 06, 16	Dec 22, 20	2,950.96	2,022.70			928.26
CHUBB LTD CHF									
FRD		168,000	Dec 14, 15	Jan 14, 20	9,441.40	7,087.10			2,354.30
FRD		564,000	Dec 14, 15	Aug 13, 20	27,492.35	23,792.39			3,699.96
FRD		19,000	Dec 14, 15	Nov 19, 20	998.28	801.52			196.76
FRD		46,000	Dec 14, 15	Dec 22, 20	2,427.83	1,940.51			487.32
COMCAST CORP NEW CL A									
FRD		472,000	Mar 05, 16	Aug 13, 20	20,442.53	17,434.41			3,008.12
FRD		483,000	Mar 05, 16	Aug 13, 20	20,918.94	17,858.64			3,060.30
FRD		5,000	Oct 17, 18	Aug 13, 20	216.55	182.20			34.35
FRD		22,000	Oct 17, 18	Nov 19, 20	1,089.86	801.67			288.19
FRD		26,000	Oct 17, 18	Dec 22, 20	1,287.44	947.44			350.00
FRD		27,000	Nov 05, 18	Dec 22, 20	1,347.34	1,031.34			316.00
CORN PRODUCTS INTL INC									
FRD		11,000,000	Aug 31, 11	Jul 09, 20	10,130.56	10,025.60			104.96
CALLMUNA-SOPR NTS BE									
VSP		14,000	Oct 17, 18	Mar 03, 20	1,118.53	1,443.04			-324.51
CRANE CO									
FRD		2803,380	Aug 17, 11	Aug 13, 20	34,205.20	27,909.78			6,295.42
FRD		126,696	Aug 17, 11	Dec 28, 20	6,225.49	5,170.22			1,055.27
DIAGEO PLC NEW GB SPON ADR									
FRD		75,000	Sep 13, 11	Aug 13, 20	10,456.12	5,701.50			4,754.62
FRD		14,000	Jan 09, 12	Aug 13, 20	14,489.15	9,082.32			5,416.83
FRD		17,000	Jan 09, 12	Nov 06, 20	2,371.93	1,484.61			887.32
FRD		8,000	Jan 09, 12	Nov 09, 20	2,785.15	1,571.94			1,213.21
FRD		11,000	Jan 09, 12	Dec 22, 20	1,734.22	960.63			773.59

continued next page



Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account names: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)
 Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
HONEY DEW INC									
RFO	RFO	39,000	Aug 18, 15	Apr 05, 20	7,331.84	4,798.17			2,533.67
RFO	RFO	165,000	Aug 19, 15	Aug 13, 20	46,579.65	20,299.95			26,079.70
RFO	RFO	3,080	Aug 19, 15	Sep 25, 20	802.52	369.09			433.43
RFO	RFO	9,000	Aug 19, 15	Nov 05, 20	2,357.15	1,107.27			1,449.88
RFO	RFO	5,000	Aug 19, 15	Nov 09, 20	1,380.89	613.15			765.74
RFO	RFO	10,000	Aug 19, 15	Dec 22, 20	2,700.14	1,230.30			1,469.84
INTEL CORP									
RFO	RFO	85,000	Jul 05, 11	Feb 11, 20	6,348.72	2,149.78			4,198.94
RFO	RFO	183,000	Jul 05, 11	Aug 13, 20	8,964.97	4,141.14			4,823.83
RFO	RFO	114,000	Jul 08, 11	Aug 13, 20	5,584.74	2,635.41			2,949.33
RFO	RFO	7,000	Jul 08, 13	Aug 13, 20	342.92	163.36			179.56
RFO	RFO	98,000	Jul 08, 13	Nov 05, 20	4,449.30	2,285.92			2,163.38
RFO	RFO	48,000	Jul 08, 13	Dec 22, 20	2,210.91	1,120.16			1,090.75
SHARES FLOATING RATE BOND ETF									
RFO	RFO	1,543,000	Apr 18, 17	Aug 13, 20	76,174.37	78,449.62		-275.25	11.23
RFO	RFO	152,000	Jan 16, 19	Aug 13, 20	7,700.91	7,689.68			6.43
RFO	RFO	46,000	Jan 16, 19	Dec 29, 20	2,333.57	2,327.14			6.43
SHARES MSCI USA MIN VOL FACTOR ETF									
RFO	RFO	362,000	May 14, 19	Dec 23, 20	24,324.05	21,404.23			2,919.82
SHARES TRIST SHARES 1-5 YEAR INT GRADE CORPORATE BOND ETF									
RFO	RFO	128,000	Jan 16, 19	Jun 25, 20	6,992.48	6,632.96			359.52
RFO	RFO	37,000	Jan 16, 19	Jun 25, 20	2,021.27	1,917.34			103.93
RFO	RFO	38,000	Jan 16, 19	Dec 29, 20	2,094.89	1,969.16			125.73
SHARES 1-3 YR TREAS BOND ETF									
RFO	RFO	196,000	Jan 24, 17	Aug 13, 20	16,953.06	16,567.88			385.18
RFO	RFO	304,000	Oct 24, 17	Aug 13, 20	26,294.58	25,624.08			670.45
RFO	RFO	32,000	Oct 24, 17	Dec 29, 20	2,763.78	2,697.27			66.51
SHARES 3-7 YEAR TREAS BOND ETF									
RFO	RFO	195,000	Oct 24, 17	Aug 13, 20	26,023.15	23,981.10			2,042.05
RFO	RFO	23,000	Oct 17, 18	Sep 29, 20	3,381.11	3,213.56			167.55

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Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
JOHNSON CONTROLS INC B/E 04.250% 030721 DT020411 FC090111 CALBAMW4-15BP Original cost basis : \$10,506.20									
IFMORGAN CRANE & CO	IFO	10,000,000	Nov 14, 11	Aug 17, 20	10,044.40	10,034.13			10.27
	IFO	22,000	Jan 09, 18	Apr 06, 20	1,957.96	2,398.41		-440.45	
	IFO	23,000	Jan 09, 18	Dec 22, 20	2,807.55	2,507.42			300.13
LABORATORY CORP OF AMER 04.625% 111520 DTD111910 CALL@MMW430BP Original cost basis : \$10,770.50									
UNDE FLC EUR	IFO	10,000,000	Aug 12, 11	Aug 13, 20	9,995.60	10,024.79		-29.19	
	IFO	117,000	Sep 12, 16	Aug 13, 20	29,121.14	19,124.24			9,996.90
	IFO	11,000	Sep 12, 16	Nov 06, 20	2,758.90	1,798.00			960.90
	IFO	8,000	Sep 12, 16	Nov 09, 20	2,113.88	1,307.64			806.24
	IFO	9,000	Sep 12, 16	Dec 22, 20	2,285.77	1,471.10			814.67
LOCKHEED MARTIN CORP 03.350% 091521 DT020911 FC091512 CAL GNAKE									
LOCKHEED MARTIN CORP	IFO	1,000	Nov 05, 18	Nov 05, 20	360.57	303.10			57.47
	IFO	5,000	Nov 05, 18	Nov 06, 20	1,802.82	1,514.44			288.38
	IFO	6,000	Nov 05, 18	Dec 22, 20	2,089.75	1,817.34			272.41
LOCKHEED MARTIN CORP 03.350% 091521 DT020911 FC091512 CAL GNAKE									
LOCKHEED MARTIN CORP	IFO	4,000,000	Oct 07, 11	Jun 16, 20	4,144.08	3,954.12			189.96
	IFO	6,000,000	Oct 07, 11	Aug 13, 20	6,196.32	5,931.18			265.14
MARSH & MCLENNAN COS INC									
MARSH & MCLENNAN COS INC	IFO	81,000	Sep 08, 15	Feb 11, 20	9,553.75	4,323.75			5,230.00
	IFO	265,000	Sep 08, 15	Aug 13, 20	30,916.87	14,145.59			16,771.28
	IFO	20,000	Sep 08, 15	Dec 22, 20	2,294.15	1,067.60			1,226.55
MCDONALDS CORP									
MCDONALDS CORP	IFO	165,000	Nov 10, 15	Aug 13, 20	34,093.20	18,553.92			15,539.28
	IFO	4,000	Nov 10, 15	Nov 19, 20	856.54	449.79			406.75
	IFO	7,000	Nov 10, 15	Dec 22, 20	1,484.18	787.14			697.04
	IFO	2,000	Nov 05, 17	Dec 22, 20	424.05	338.85			85.20
MEDTRONIC PLC									
MEDTRONIC PLC	IFO	43,000	Jan 27, 15	Aug 13, 20	4,352.96	3,253.74			1,099.22
	IFO	9,000	Jan 27, 15	Nov 19, 20	985.49	681.02			314.47
	IFO	20,000	Jan 27, 15	Dec 22, 20	2,297.95	1,513.97			784.98

continued next page



Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MICROSOFT CORP									
FIRO		49,000	Dec 09, 13	Feb 11, 20	9,341.66	1,889.44			7,452.22
FIRO		596,000	Dec 09, 13	Aug 13, 20	125,381.33	22,981.70			102,399.63
FIRO		5,000	Dec 09, 13	Sep 21, 20	989.49	192.80			796.69
FIRO		5,000	Dec 09, 13	Nov 19, 20	1,055.38	192.80			862.58
FIRO		23,000	Dec 09, 13	Dec 22, 20	5,138.76	885.88			4,251.90
NATL. RURAL UTIL. COOP FN 04.050% 041520 OTDD040711 FC101511 NTS BRE Original cost basis: \$20,625.20									
FIRO		20,000,000	Sep 12, 11	Apr 15, 20	20,000.00	20,000.00			
NEXTERA ENERGY INC COM									
FIRO		22,000	Jul 08, 13	Feb 11, 20	6,000.60	1,770.72			4,229.88
FIRO		31,000	Jul 08, 13	Aug 13, 20	8,820.45	2,495.10			6,325.35
FIRO		30,000	Mar 03, 14	Aug 13, 20	8,535.81	2,732.46			5,803.35
FIRO		19,000	Mar 03, 14	Dec 22, 20	1,417.56	482.63			934.93
NOVARTIS AG SPON ADR									
FIRO		105,000	Jan 09, 12	Aug 13, 20	8,986.21	5,241.46			3,754.73
FIRO		51,000	Jan 09, 12	Sep 29, 20	4,467.13	2,545.86			1,921.27
FIRO		47,800	Jan 09, 12	Sep 30, 20	4,087.37	2,346.19			1,751.18
FIRO		36,000	Jan 09, 12	Oct 05, 20	3,176.92	1,797.06			1,379.84
FIRO		10,000	Jan 09, 12	Oct 06, 20	870.92	499.19			371.73
FIRO		25,000	Jan 09, 12	Dec 22, 20	2,200.20	1,247.97			952.23
NUMEROUS TENNESSEE MIB FUND I									
FIRO		4,332,753	Sep 30, 11	Aug 13, 20	51,559.76	49,999.97			1,559.79
FIRO		4,366,812	Oct 24, 11	Aug 13, 20	51,965.06	50,000.00			1,965.06
FIRO		4,351,610	Nov 14, 11	Aug 13, 20	51,784.16	50,000.00			1,784.16
FIRO		19,474	Dec 01, 11	Aug 13, 20	231.74	223.37			8.37
FIRO		37,297	Oct 31, 12	Aug 13, 20	443.84	455.40		-11.56	
FIRO		36,818	Nov 30, 12	Aug 13, 20	438.13	458.75		-20.62	
FIRO		5,092	Dec 04, 12	Aug 13, 20	60.59	63.09		-2.50	
FIRO		16,975	Dec 04, 12	Aug 13, 20	202.01	210.32		-8.31	
FIRO		49,015	Dec 04, 12	Aug 13, 20	583.28	607.29		-24.01	
FIRO		31,895	Dec 31, 12	Aug 13, 20	379.55	387.52		-7.97	
FIRO		38,041	Jan 31, 13	Aug 13, 20	452.66	462.72		-10.04	

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Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
 Friendly account name: Jeanes Inv LLC
 Account number:

Your Financial Advisor
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or Face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PROG PWR LLC									
04.150% 091521 DT081911									
FC031512 CALLENV4308P									
Original cost basis: \$10,180.20									
RAYTHEON TECHNOLOGIES CORP									
RAYTHEON TECHNOLOGIES CORP	FIFO	157,000	Jun 09, 12	Aug 13, 20	9,947.36	6,396.09			3,551.27
REPUBLIC SERVICES INC	FIFO	83,000	Jun 19, 18	Apr 06, 20	6,223.20	5,710.33			512.87
	FIFO	38,000	Jun 19, 18	Aug 13, 20	3,438.59	2,614.36			824.23
	FIFO	72,000	Jul 23, 18	Aug 13, 20	6,515.21	4,903.20			1,612.01
	FIFO	15,000	Jul 23, 18	Dec 22, 20	1,435.92	1,021.50			414.42
ROCKWELL AUTOMATION INC NEW									
ROCKWELL AUTOMATION INC NEW	FIFO	1,000	Oct 17, 18	Apr 06, 20	155.92	171.72		-15.80	
	FIFO	5,000	Oct 17, 18	Nov 06, 20	1,256.21	858.60			397.61
	FIFO	2,000	Oct 17, 18	Nov 09, 20	522.26	343.44			178.82
OTIS WORLDWIDE CORP									
OTIS WORLDWIDE CORP	FIFO	51,133	Dec 05, 13	Aug 13, 20	608.48	575.76			32.72
	FIFO	117,500	Jan 09, 12	Apr 08, 20	5,057.37	4,536.66		-521.04	520.71
	FIFO	47,500	Jul 08, 13	Apr 08, 20	2,044.47	2,415.51		-371.04	
	FIFO	14,500	Mar 03, 14	Apr 08, 20	624.10	881.57		-257.47	
	FIFO	7,500	Oct 17, 18	Apr 08, 20	322.81	515.19		-192.38	
PROCTER & GAMBLE CO									
PROCTER & GAMBLE CO	FIFO	2,000	Feb 06, 19	Nov 06, 20	286.50	196.49			90.01
	FIFO	21,000	Apr 09, 19	Nov 06, 20	3,008.23	2,193.66			814.57
	FIFO	9,000	Apr 09, 19	Nov 09, 20	1,276.68	940.14			336.54
	FIFO	10,000	Apr 09, 19	Dec 22, 20	1,367.37	1,044.60			322.77
10,000.000 Sep 21, 11									
10,309.80									
10,023.21									
286.59									

continued next page



Advisor Allocation Program

Account name: **EVANS INVESTMENTS LLC**
 Friendly account name: **Evans Inv LLC**
 Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
 423-528-7144/800-723-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SPDR S&P MIDCAP 400 ETF									
TR	FRO	8,000	Jun 24, 16	Nov 18, 20	3,108.93	2,120.24			888.69
	FRO	57,000	Jun 24, 16	Dec 23, 20	24,013.57	15,106.73			8,906.84
	FRO	5,000	Jun 24, 16	Dec 23, 20	2,072.30	1,325.15			747.15
SPDR S&P 500 ETF TR									
	FRO	51,000	Dec 15, 14	Aug 13, 20	17,232.78	10,205.33			7,027.45
	FRO	1,000	Dec 15, 14	Aug 19, 20	398.71	200.10			198.61
	FRO	30,000	Dec 15, 14	Oct 20, 20	10,352.77	6,003.14			4,349.63
	FRO	156,000	Dec 15, 14	Dec 23, 20	57,566.19	31,216.30			26,349.89
SUNCOR ENERGY INC NEW									
CAD	VSP	315,000	Dec 10, 18	Mar 03, 20	8,353.65	9,777.23		-1,423.58	
TEXAS INSTRUMENTS									
	FRO	2,000	Oct 17, 18	Apr 06, 20	208.16	204.48			4.68
	FRO	6,000	Jun 27, 19	Nov 19, 20	934.77	696.79			236.98
	FRO	14,000	Jun 27, 19	Dec 22, 20	2,270.89	1,625.85			645.04
THE INCOME FUND OF AMERICA FUND CLASS F2									
	FRO	526,729	Sep 22, 99	Aug 13, 20	11,756.60	9,042.51			2,714.09
	FRO	417,309	Sep 22, 99	Dec 23, 20	9,718.12	7,164.05			2,553.07
THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2									
	FRO	181,293	Mar 09, 92	Aug 13, 20	7,311.56	3,228.23			4,083.33
	FRO	80,141	Mar 09, 92	Sep 04, 20	3,272.76	1,423.51			1,849.25
	FRO	20,169	Mar 09, 92	Dec 23, 20	880.40	358.26			522.14
	FRO	287,595	Apr 08, 92	Dec 23, 20	12,353.54	5,002.00			7,351.54
	FRO	279,870	Jun 12, 92	Dec 23, 20	12,216.34	5,002.00			7,214.34
	FRO	2,546	Dec 20, 95	Dec 23, 20	111.16	54.76			56.40
	FRO	6,602	Dec 20, 95	Dec 23, 20	288.21	141.98			146.23
	FRO	40,368	Dec 20, 95	Dec 23, 20	1,762.07	865.11			896.96
	FRO	5,866	Mar 05, 96	Dec 23, 20	247.34	127.64			119.70
	FRO	5,532	Jun 12, 96	Dec 23, 20	241.49	128.32			113.17
	FRO	5,683	Sep 10, 96	Dec 23, 20	248.09	128.98			119.11
	FRO	6,183	Dec 27, 96	Dec 23, 20	263.91	151.27			112.64
	FRO	44,610	Dec 27, 96	Dec 23, 20	1,947.24	1,091.33			855.91
	FRO	0.883	Dec 27, 96	Dec 23, 20	38.55	21.61			16.94

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Advisor Allocation Program

Account name: JEANES INVESTMENTS LLC
Fidelity account name: Jeanes Inv LLC
Account number:

Your Financial Advisor:
WJP FINANCIAL GROUP
423-828-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TRUST FNL COMP	FPO	5,192	Mar 11, 97	Dec 23, 20	226.65	135.86			90.79
	FPO	4,941	Jun 10, 97	Dec 23, 20	215.69	136.49			79.20
	FPO	3,810	Sep 09, 97	Dec 23, 20	166.32	113.87			52.45
UNION PACIFIC COMP	VSP	164,000	Apr 09, 18	Mar 03, 20	7,720.05	8,529.97		-809.92	
	FPO	0.275	Apr 09, 18	Apr 06, 20	8.02	14.47		-6.45	
	FPO	12,950	Oct 15, 18	Apr 06, 20	377.48	622.50		-245.02	
	FPO	42,735	Oct 17, 18	Apr 06, 20	1,245.70	2,066.79		-821.09	
	FPO	3,040	Dec 10, 18	Apr 06, 20	88.61	130.74		-42.13	
	FPO	28,000	Dec 10, 18	Dec 22, 20	1,281.75	1,204.21			87.54
UNION PACIFIC COMP	FPO	9,000	Oct 17, 18	Nov 06, 20	1,711.86	1,344.33			367.53
VANGUARD GROWTH ETF	FPO	18,000	Jun 24, 16	Aug 13, 20	4,040.91	1,879.81			2,161.10
	FPO	28,000	Jun 24, 16	Aug 19, 20	6,351.75	2,924.16			3,427.59
	FPO	112,000	Jun 24, 16	Dec 23, 20	28,187.55	11,696.62			16,490.93
VERIZON COMMUNICATIONS INC	FPO	136,000	Feb 24, 14	Aug 13, 20	7,939.92	6,543.64			1,396.28
VF COMP	FPO	229,000	Nov 16, 12	Aug 13, 20	14,629.35	8,338.03			6,291.32
	FPO	31,000	Nov 16, 12	Dec 22, 20	2,581.39	1,128.73			1,452.66
	VSP	16,000	Oct 17, 18	Mar 03, 20	1,144.28	1,340.72		-196.44	
Total					\$1,848,141.62	\$1,185,911.47			\$672,488.61
Net long-term capital gains or losses									\$473,280.15
Net capital gains/losses:									\$410,043.78
Gains and losses not calculated									
UBS GOVERNMENT MONETARY MARKET INVESTMENTS FUND CLASS P	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
		200,002.140		Dec 29, 20	200,002.14	0.00			

—This information was unavailable—



Your notes



UBS Financial Services Inc.
214 E Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

EPZJ02807497 1220 X14 IV 0

2020 Year End Summary

Account name: CLARINDA P JEANES IRREVOCABLE
INHERITANCE TRUST

Friendly account name: GDOT/Blackrock

Account number:

Your Financial Adviser:
WLP FINANCIAL GROUP
Phone: 423-628-7144/800-729-4846

CLARINDA P JEANES IRREVOCABLE
INHERITANCE TRUST

Summary of gains and losses

	Amount (\$)
Short term	-49,156.98
Long term	8,018.57
Total	\$-41,138.41



Managed Accounts Consulting

Account name: CLARINDA P JEANES IRREVOCABLE
Fiduciary account name: GDOT/Blackrock
Account number:

Your Financial Advisors
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we include the latest security bid first. This is known as the "first-in, first-out" or FIFO accounting method. We use the method unless you specified which bid to use when you placed your order. This is known as a various purchases or VPF order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon less-coupon structured securities, includes securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Securities in the Unidentified section can not be classified as short-term or long-term because information is missing, or the product is one in which the gain/loss calculation is not pro rata.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
COLORADO SPRINGS COLO									
A-1 RV									
5,000 11/15/26 DTD 07/10/18	FIFO	125,000.000	Sep 19, 19	Mar 20, 20	136,875.00	153,532.19		-16,657.19	
Original cost basis: \$155,586.25									
SMITHSONIAN TN									
BEV									
5,000 04/01/31 DTD 06/25/19	FIFO	115,000.000	Jul 18, 19	Mar 20, 20	129,950.00	144,760.96		-14,810.96	
Original cost basis: \$146,867.65									
WILLIAMSON CNTY TN RE-C									
BEV									
5,000 04/01/31 DTD 11/15/18	FIFO	100,000.000	Feb 21, 20	Mar 20, 20	114,501.00	132,189.83		-17,688.83	
Original cost basis: \$132,462.00									
Total					\$381,326.00	\$430,482.98		-\$49,156.98	
Net short-term capital gains and losses									
Long-term capital gains and losses									

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
KNOXVILLE TN GAS SYS									
SN Q RV									
4,000 08/01/20 DTD 04/20/12	FIFO	75,000.000	Mar 15, 12	Mar 01, 20	75,000.00	75,000.00			
Original cost basis: \$84,987.75									
MISSOURI ST BND PUB BLDG									
SN A RV									
5,000 10/01/22 DTD 08/19/14	FIFO	200,000.000	Jul 16, 14	Mar 12, 20	216,510.00	213,772.49			2,737.51
Original cost basis: \$241,248.00									
MONTGOMERY CNTY TN GENOS									
BEV									
5,000 04/01/25 DTD 07/28/11	FIFO	100,000.000	Jul 19, 11	Jun 21, 20	108,636.00	103,310.93			5,325.07
Original cost basis: \$114,026.00									

continued next page



Managed Accounts Consulting

Account name: CLARINDA P JEANES IRREVOCABLE
 Friendly account name: GDOT/blackrock
 Account number:

Your Financial Advisor
 WJP FINANCIAL GROUP
 423-928-7144/800-729-1848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NY5 THRLWAY AUTH INCOME									
SR A RV	RV								
4,000 031520 DTD 091411									
Original cost basis: \$113,723.00	FFO	100,000.000	Sep 09, 11	Mar 06, 20	100,000.00	100,044.01		-44.01	
TENNESSEE ST									
SR A	RV								
5,000 080120 DTD 031512									
Original cost basis: \$150,118.75	FFO	125,000.000	Apr 15, 14	Aug 01, 20	125,000.00	125,000.00			
Total					\$625,146.00	\$617,127.45		-44.01	\$8,062.58
Net long-term capital gains or losses									\$8,018.57
Net capital gains/losses:								-44,138.41	



Your notes



UBS Financial Services Inc.
214 E Main Street Drive
Suite 1-A
Johnson City TN 37601-2509

872002507501 1220 X14 IV 8

2020 Year End Summary

Account name: DONALD R JEANES EXEMPT

FAMILY SHARE TRUST

Friendly account names: Family Trust

Account number:

Your Financial Advisor:

WAL FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

DONALD R JEANES EXEMPT
FAMILY SHARE TRUST

Summary of gains and losses

	Amount (\$)
Short term	977.56
Long term	13,956.79
Total	\$14,934.35



Portfolio Management Program

Account name: DONALD R JEANES EXEMPT
 Friendly account name: Family Trust
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-1848

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we calculate the dollar security lot first. This is known as the "first-in, first-out" or FIFO accounting method. We use the method unless you specified which lot to close when you placed your order. This is known as a "various purchases or VSP" order.

See important information at our year statement on the last page of this statement for more information. We may not adjust gains and losses to "net capital changes." Cost basis for coupon-bearing municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Undistributed section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ISHARES BROAD USD INTV GRADE CORPORATE BOND ETF									
FIFO		119,000	Apr 15, 20	Nov 05, 20	7,295.74	6,936.51			359.23
FIFO		9,000	Jun 04, 20	Nov 05, 20	551.78	532.62			19.16
FIFO		1,000	Jun 09, 20	Nov 05, 20	61.31	59.61			1.70
ISHARES INT RATE HEDGED CORPORATE BOND ETF									
FIFO		95,000	Apr 15, 20	Jun 25, 20	8,414.82	8,451.02		36.20	
FIFO		7,000	Jun 04, 20	Jun 25, 20	622.98	627.33		4.35	
FIFO		1,000	Jun 09, 20	Jun 25, 20	89.00	89.92		0.92	
ISHARES TRUST ISHARES 1-5 YEAR INTV GRADE CORPORATE BOND ETF									
FIFO		27,000	Apr 15, 20	Jun 25, 20	1,474.98	1,451.23			23.75
FIFO		276,000	Apr 15, 20	Nov 05, 20	15,155.45	14,834.84			320.61
ISHARES 3-7 YEAR TREAS BOND ETF									
FIFO		24,000	May 02, 19	Apr 15, 20	3,200.99	2,936.35			264.64
Total					\$84,907.05	\$85,928.48		\$11.43	\$888.09
Net short-term capital gains and losses									
\$877.56									

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BLACKROCK FLOATING RATE INCOME PORTFOLIONSTITUTIONAL									
Adjustment		305,402	Jul 05, 16	Apr 15, 20	3,606.07	3,993.85			
BLACKROCK HIGH YIELD BOND PORTFOLIONSTITUTIONAL									
Adjustment		364,367	Jan 23, 17	Apr 15, 20	2,528.84	2,774.11			
FIFO		8,574	Jan 31, 17	Apr 15, 20	59.51	66.02			
continued next page									
-24.38									
-245.27									
-6.51									



Portfolio Management Program

Account name: DONALD R JEANES EWMPT
 Friendly account name: Family Trust
 Account number: 423-928-7144/800-729-4949

Your Financial Advisor:
 WJP FINANCIAL GROUP

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
ISHARES FLOATING RATE BOND ETF	FRD	12,233	Feb 28, 17	Apr 15, 20	84.90	95.17		-10.27	
	FRD	13,386	Mar 31, 17	Apr 15, 20	92.89	103.61		-10.72	
	FRD	13,179	Apr 28, 17	Apr 15, 20	91.47	102.53		-11.06	
	FRD	44,104	May 16, 17	Apr 15, 20	306.08	344.01		-37.93	
	FRD	13,438	May 31, 17	Apr 15, 20	93.26	104.82		-11.56	
	FRD	13,028	Jun 30, 17	Apr 15, 20	90.41	101.23		-10.82	
	FRD	13,199	Jul 31, 17	Apr 15, 20	91.60	103.61		-12.01	
	FRD	12,962	Aug 31, 17	Apr 15, 20	89.96	101.10		-11.14	
	FRD	12,460	Sep 29, 17	Apr 15, 20	86.47	97.81		-11.34	
	FRD	13,149	Oct 31, 17	Apr 15, 20	91.26	103.22		-11.96	
ISHARES MSCI INTL MULTIFACTOR ETF	FRD	12,560	Nov 30, 17	Apr 15, 20	87.16	98.09		-10.93	
	FRD	5,100	Dec 22, 17	Apr 15, 20	35.40	39.73		-4.33	
	FRD	13,401	Dec 29, 17	Apr 15, 20	93.00	104.53		-11.53	
	FRD	13,562	Jan 31, 18	Apr 15, 20	94.12	106.19		-12.07	
	FRD	12,266	Feb 28, 18	Apr 15, 20	85.12	94.69		-9.57	
	FRD	111,834	Mar 21, 18	Apr 15, 20	776.13	857.77		-81.64	
	FRD	13,548	Mar 29, 18	Apr 15, 20	94.03	103.51		-9.48	
	FRD	12,321	Apr 30, 18	Apr 15, 20	85.50	94.38		-8.88	
	FRD	11,810	May 31, 18	Apr 15, 20	81.96	90.23		-8.27	
	FRD	11,668	Jun 28, 18	Apr 15, 20	80.98	88.79		-7.81	
ISHARES MSCI INTL MULTIFACTOR ETF	FRD	5,791	Jul 10, 18	Apr 15, 20	40.19	44.24		-4.05	
	FRD	240,000	Apr 16, 17	Apr 15, 20	11,921.21	12,202.14		-280.93	
	FRD	12,000	May 16, 17	Apr 15, 20	586.06	610.08		-14.02	
	FRD	17,000	Mar 21, 18	Apr 15, 20	844.42	865.64		-21.22	
ISHARES MSCI INTL MULTIFACTOR ETF	FRD	119,000	Jan 16, 19	Apr 15, 20	5,910.53	6,020.21		-109.28	
	FRD	83,000	Jan 16, 19	Apr 15, 20	4,122.75	4,198.97		-76.22	



Portfolio Management Program

Account name: DONALD R. JAMES EXEMPT
Related account name: Family Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
423-828-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ISHARES MSCI USA MIN VOL FACTOR ETF									
ISHARES MSCI USA MIN VOL FACTOR ETF	FFO	149,000	May 02, 19	Nov 05, 20	9,690.01	8,856.55			833.46
ISHARES TRUST ISHARES 1-5 YEAR INV GRADE CORPORATE BOND ETF									
ISHARES TRUST ISHARES 1-5 YEAR INV GRADE CORPORATE BOND ETF	FFO	13,000	Jan 16, 19	Jun 25, 20	710.17	673.66			36.51
	FFO	90,000	Jun 16, 19	Jun 25, 20	4,916.59	4,668.80			252.79
	FFO	40,000	May 02, 19	Jun 25, 20	2,185.15	2,109.51			81.64
ISHARES 1-3 YR TREAS BOND ETF									
ISHARES 1-3 YR TREAS BOND ETF	FFO	79,000	Oct 24, 17	Apr 15, 20	6,846.81	6,658.29			187.52
ISHARES 3-7 YEAR TREAS BOND ETF									
ISHARES 3-7 YEAR TREAS BOND ETF	FFO	32,000	Oct 24, 17	Apr 15, 20	4,267.98	3,935.36			332.62
	FFO	3,000	Feb 14, 19	Apr 15, 20	400.13	373.75	8.78		26.38
	FFO	2,000	Feb 14, 19	Apr 15, 20	266.75	247.86	4.54		18.89
	FFO	4,000	Feb 14, 19	Apr 15, 20	533.49	493.51	6.98		39.98
	FFO	21,000	Feb 14, 19	Apr 15, 20	2,800.86	2,554.81			246.05
JANUS HENDERSON BALANCED FUND CLASS I SHARES									
JANUS HENDERSON BALANCED FUND CLASS I SHARES	FFO	100,238	Jul 05, 16	Apr 15, 20	3,421.14	2,864.80			556.34
	FFO	0,612	Jul 05, 16	Jun 08, 20	22.60	17.50			5.10
	FFO	26,065	Jul 05, 16	Nov 05, 20	1,045.47	744.93			300.54
MFS VALUE FUND CLASS I									
MFS VALUE FUND CLASS I	FFO	8,944	Jun 28, 13	Apr 15, 20	321.35	263.18			58.17
	FFO	23,851	Jun 28, 13	Jun 09, 20	984.56	701.80			282.76
NEUBERGER BERMAN GENESS INSTITUTIONAL									
NEUBERGER BERMAN GENESS INSTITUTIONAL	FFO	41,443	Jun 28, 13	Jun 04, 20	2,412.00	2,363.74			48.26
	FFO	0,109	Dec 16, 13	Jun 04, 20	6.35	6.57		-0.22	
	FFO	6,448	Dec 16, 13	Jun 04, 20	375.30	388.66		-13.36	
	FFO	1,109	Dec 16, 13	Jun 09, 20	65.43	66.84		-1.41	
	FFO	22,378	Dec 16, 13	Nov 05, 20	1,494.87	1,348.78			146.09
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF	FFO	369,000	Oct 16, 14	Jun 04, 20	10,462.77	9,794.88			727.89
	FFO	5,000	Oct 16, 14	Nov 05, 20	151.89	131.91			19.98

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Portfolio Management Program

Account name: DONALD R. JEANES EXEMPT
 Friendly account name: Family Trust
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SPDR S&P MIDCAP 400 ETF									
FFO		11,000	Oct 16, 14	Jun 04, 20	3,697.02	2,589.79			1,097.23
FFO		2,000	Oct 16, 14	Jun 09, 20	699.64	472.69			226.95
FFO		1,000	Oct 16, 14	Nov 05, 20	369.01	236.35			132.66
VANGUARD GROWTH ETF									
FFO		57,000	Oct 16, 14	Jun 04, 20	11,177.74	5,341.84			5,835.90
FFO		2,000	Oct 16, 14	Jun 09, 20	404.71	187.44			217.27
FFO		22,000	Oct 16, 14	Nov 05, 20	5,289.89	2,061.76			3,228.13
FFO		12,000	Aug 26, 15	Nov 05, 20	2,885.40	1,196.15			1,689.25
VIRTUS VONTOREL EMERGING MARKETS OPPORTUNITIES FUND CLASS I									
FFO		32,259	Aug 15, 14	Jun 04, 20	333.88	344.52		-10.64	
FFO		10,901	Aug 15, 14	Jun 09, 20	114.68	116.42		-1.74	
FFO		27,377	Aug 15, 14	Nov 05, 20	337.29	292.39			44.90
FFO		84,280	Oct 16, 14	Nov 05, 20	1,038.45	837.84			200.61
Total					\$121,397.12	\$107,440.33		-\$13,917.48	\$18,874.27
Net long-term capital gains or losses									\$13,956.79
Net capital gains/losses:									\$14,984.35



Your notes



UBS Financial Services Inc.
214 E Main Street
Suite 1-A
Jefferson City TN 37601-2509

872003807507 1220 X14 Y 0

2020 Year End Summary

Account name: DONALD R JEANES MARITAL

SHARE TWO TRUST

Friendly account name: Marital Trust

Account number:

Your Financial Advisor:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

DONALD R JEANES MARITAL
SHARE TWO TRUST

Summary of gains and losses

	Amount (\$)
Short term	-6,962.12
Long term	162,198.70
Total	\$155,236.58



Advisor Allocation Program

Account name: DONALD R. EAMES MARITAL
 Primary account number: MARITAL TRUST
 Account number: ..

Your Financial Advisor
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4648

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, the Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security for first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a various purchases or VSP order.

See Important Information's a part your statement on the last page of this statement for more information. We may not report gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMER ELECTRIC POWER CO									
FPO		12,000	Sep 09, 19	Jul 27, 20	1,008.88	1,023.43	-72.75	-14.55	
FPO		13,000	Sep 09, 19	Jul 28, 20	1,099.50	1,187.52		-88.02	
FPO		9,000	Sep 09, 19	Jul 28, 20	761.19	822.04		-60.85	
FPO		4,000	Mar 03, 20	Oct 23, 20	367.69	381.77		-14.08	
FPO		12,000	Mar 03, 20	Oct 26, 20	1,111.31	1,145.31		-34.00	

BLACKROCK FLOATING RATE INCOME PORTFOLION INSTITUTIONAL									
FPO		9,980	Jul 31, 19	Jul 02, 20	93.91	99.80		-5.89	
FPO		9,401	Aug 30, 19	Jul 02, 20	86.46	93.54		-3.08	
FPO		8,978	Sep 30, 19	Jul 02, 20	84.48	89.51		-5.03	
FPO		9,209	Oct 31, 19	Jul 02, 20	86.66	91.17		-4.51	
FPO		8,339	Nov 29, 19	Jul 02, 20	78.41	82.75		-4.34	
FPO		8,505	Dec 31, 19	Jul 02, 20	80.04	85.31		-5.27	
FPO		8,734	Jan 31, 20	Jul 02, 20	82.18	87.51		-5.33	
FPO		8,371	Feb 28, 20	Jul 02, 20	78.77	82.20		-3.43	
FPO		10,666	Mar 31, 20	Jul 02, 20	100.37	93.43			6.94
FPO		9,310	Apr 30, 20	Jul 02, 20	87.61	84.72			2.89
FPO		584,846	May 20, 20	Jul 02, 20	5,503.40	5,358.89			134.51
FPO		9,021	May 28, 20	Jul 02, 20	84.89	84.44			0.45
FPO		9,588	Jun 30, 20	Jul 02, 20	90.22	89.94			0.28

BLACKROCK HIGH YIELD BOND PORTFOLION INSTITUTIONAL									
FPO		8,905	Jul 31, 19	Jul 02, 20	64.38	68.21		-3.83	
FPO		8,882	Aug 30, 19	Jul 02, 20	64.22	68.21		-3.99	
FPO		8,353	Sep 30, 19	Jul 02, 20	60.39	64.15		-3.76	
FPO		8,712	Oct 31, 19	Jul 02, 20	62.99	66.82		-3.83	

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Advisor Allocation Program

Account name: DONALD R JEANES MARTIAL
Fidelity account name: Martial Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BLACKROCK INC	FPO	8,662	Nov 29, 19	Jul 02, 20	62.63	66.44		-3.81	
	FPO	2,124	Dec 23, 19	Jul 02, 20	15.35	16.50		-1.15	
	FPO	9,099	Dec 31, 19	Jul 02, 20	65.79	70.70		-4.91	
	FPO	8,453	Jun 31, 20	Jul 02, 20	61.11	65.34		-4.23	
	FPO	8,289	Feb 28, 20	Jul 02, 20	59.93	62.83		-2.90	
BOEING COMPANY	FPO	10,519	Mar 31, 20	Jul 02, 20	76.06	69.74			6.32
	FPO	9,669	Apr 30, 20	Jul 02, 20	69.90	66.81			3.09
	FPO	1,219,105	May 20, 20	Jul 02, 20	8,814.13	8,558.12			256.01
	FPO	11,166	May 29, 20	Jul 02, 20	80.73	79.95			0.78
	FPO	1,428,348	Jun 25, 20	Jul 02, 20	10,326.96	10,298.39			28.57
CARRERA GLOBAL CORP	FPO	15,331	Jun 30, 20	Jul 02, 20	110.84	109.92			0.92
	FPO	2,000	Mar 09, 20	Apr 06, 20	884.00	958.82		-74.82	
	FPO	22,000	Jun 17, 19	Apr 13, 20	3,420.92	7,757.44		-4,336.52	
	FPO	12,000	Jun 17, 19	Apr 13, 20	1,865.96	4,234.32		-2,368.36	
	FPO	50,000	Mar 03, 20	Apr 07, 20	759.98	1,232.97		-472.99	
CHEVRON CORP	FPO	43,000	Mar 09, 20	Nov 25, 20	3,995.66	4,020.56		-24.90	
	FPO	17,000	Jul 27, 20	Nov 25, 20	1,579.88	1,536.97			42.91
	FPO	17,000	Jul 27, 20	Nov 27, 20	1,554.16	1,536.96			17.20
	FPO	7,000	May 11, 20	Jul 02, 20	1,327.66	1,281.56			46.10
	FPO	16,000	May 11, 20	Jul 02, 20	3,034.65	2,931.99			102.66
DOLLAR GEN CORP NEW	FPO	13,000	Sep 16, 19	Mar 26, 20	1,574.76	1,722.22		-147.46	
	FPO	12,000	Sep 16, 19	Jul 02, 20	1,654.22	1,589.74			64.48
	FPO	146,000	May 20, 20	Jul 02, 20	8,840.19	8,538.08			302.11
	FPO	117,000	May 20, 20	Jun 25, 20	10,412.77	10,271.43			141.34
	FPO	94,000	Jun 25, 20	Jul 02, 20	10,401.15	10,408.56		-8.41	
FIDELITY NATL INFORMATION SVCS	FPO								
	FPO								
	FPO								
	FPO								
	FPO								
ISHARES BROAD USD INV GRADE CORPORATE BOND ETF	FPO								
	FPO								
	FPO								
	FPO								
	FPO								
ISHARES INT RATE HEDGED CORPORATE BOND ETF	FPO								
	FPO								
	FPO								
	FPO								
	FPO								
ISHARES MBS ETF	FPO								
	FPO								
	FPO								
	FPO								
	FPO								

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Account name: DONALD R JAMES MARITAL
Friendly account name: Marital Trust
Account number: ..

**Your Financial Advisor:
WFP FINANCIAL GROUP
423-828-7144/800-729-4848**

Short-term capital gains and losses (continued)

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Advisor Allocation Program

Account names: DONALD R LEAVES MARITAL
 Please account names: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-6846

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash rule cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND C.L.A.									
FFO		8,000	Jul 27, 17	Jan 14, 20	1,678.37	1,026.23			652.14
FFO		2,000	Jul 27, 17	Oct 01, 20	452.34	256.56			195.78
FFO		4,000	Jul 27, 17	Nov 18, 20	967.20	513.12			454.08
FFO		3,000	Jul 27, 17	Nov 19, 20	725.84	384.83			341.01
FFO		20,000	Feb 16, 16	Feb 18, 20	7,583.56	1,555.54			6,028.02
FFO		2,000	Sep 21, 16	Jul 02, 20	891.72	214.26			677.46
FFO		1,000	Sep 21, 16	Nov 02, 20	441.10	107.13			333.97
FFO		4,000	Sep 21, 16	Nov 18, 20	1,851.45	428.52			1,422.93
FFO		2,000	Sep 21, 16	Feb 18, 20	3,046.37	1,602.10			1,444.27
FFO		2,000	Sep 21, 16	Sep 09, 20	3,093.60	1,602.10			1,491.50
ALPHA-BET INC C.L.A.									
FFO		2,000	Sep 21, 16	Sep 09, 20	3,093.60	1,602.10			1,491.50
ALPS INTL. SECTOR DAND DOGS ETF									
VSP		8,332,000	Oct 29, 18	Mar 03, 20	198,787.96	208,339.50		-9,551.54	
FFO		19,000	Sep 09, 19	Oct 23, 20	1,746.54	1,735.42			11.12
FFO		13,000	Jul 23, 18	Feb 18, 20	3,286.34	1,818.28			1,468.06
FFO		22,000	Jul 23, 18	Jul 02, 20	5,797.82	3,077.08			2,720.74
FFO		10,000	Jun 19, 18	Jul 02, 20	1,479.47	1,475.87			3.60
AMERIPRISE FINANCIAL INC									
FFO		104,000	Aug 27, 12	Feb 13, 20	3,940.47	3,834.48			105.99
FFO		69,000	Aug 27, 12	Feb 13, 20	2,614.36	2,544.03			70.33
FFO		85,000	Aug 27, 12	Feb 13, 20	3,220.57	3,133.95			86.62
FFO		88,000	Aug 27, 12	Feb 13, 20	3,334.25	3,244.56			89.69
FFO		60,000	Aug 27, 12	Feb 13, 20	2,273.35	2,212.20			61.15
FFO		200,000	Aug 27, 12	Feb 13, 20	7,577.83	7,374.00			203.83
AUTOMATIC DATA PROCESSING INC									
FFO		9,000	Aug 27, 12	May 20, 20	1,216.59	460.58			756.01
FFO		39,000	Aug 27, 12	May 20, 20	5,271.91	1,995.86			3,276.05
FFO		38,000	Aug 27, 12	May 20, 20	5,136.73	1,844.67			3,292.06
FFO		13,000	Aug 27, 12	May 20, 20	1,757.30	665.29			1,092.01

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Advisor Allocation Program

Account names: DONALD R J JAMES MARTIN
Fidelity account names: Marital Trust
Account number:

Year Financial Advisor:
WJP FINANCIAL GROUP
423-928-7144/800-729-6948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
BLACKROCK FLOATING RATE PORTFOLIO INSTITUTIONAL									
FRF		1,521,790	Sep 21, 16	Jul 02, 20	14,320.04	15,415.73		-1,095.69	
FRF		2,300	Sep 30, 16	Jul 02, 20	21.65	23.35		-1.70	
FRF		442,478	Oct 21, 16	Jul 02, 20	4,163.71	4,500.00		-336.29	
FRF		8,752	Oct 31, 16	Jul 02, 20	82.36	88.92		-6.56	
FRF		9,410	Nov 30, 16	Jul 02, 20	88.55	95.61		-7.06	
FRF		9,422	Dec 30, 16	Jul 02, 20	88.66	96.48		-7.82	
FRF		9,620	Jan 31, 17	Jul 02, 20	90.52	98.61		-8.09	
FRF		8,286	Feb 28, 17	Jul 02, 20	77.98	85.01		-7.03	
FRF		9,468	Mar 31, 17	Jul 02, 20	89.09	96.86		-7.77	
FRF		2,335	Apr 28, 17	Jul 02, 20	66.63	73.60		-7.77	
FRF		8,324	May 31, 17	Jul 02, 20	87.74	95.48		-7.74	
FRF		9,101	Jun 30, 17	Jul 02, 20	85.64	92.83		-7.19	
FRF		9,587	Jul 31, 17	Jul 02, 20	90.21	98.27		-8.06	
FRF		9,708	Aug 31, 17	Jul 02, 20	91.35	99.02		-7.67	
FRF		9,226	Sep 29, 17	Jul 02, 20	86.82	94.20		-7.38	
FRF		9,887	Oct 31, 17	Jul 02, 20	93.04	101.14		-8.10	
FRF		9,773	Nov 30, 17	Jul 02, 20	91.96	99.59		-7.63	
FRF		10,308	Dec 29, 17	Jul 02, 20	97.00	105.04		-8.04	
FRF		10,694	Jan 31, 18	Jul 02, 20	100.63	109.61		-8.98	
FRF		9,407	Feb 28, 18	Jul 02, 20	88.52	96.05		-7.53	
FRF		10,630	Mar 29, 18	Jul 02, 20	100.03	108.32		-8.29	
FRF		10,789	Apr 30, 18	Jul 02, 20	101.52	109.94		-8.42	
FRF		11,574	May 31, 18	Jul 02, 20	108.91	117.59		-8.68	
FRF		10,741	Jun 29, 18	Jul 02, 20	101.08	108.70		-7.62	
FRF		11,534	Jul 31, 18	Jul 02, 20	108.53	117.07		-8.54	
FRF		11,443	Aug 31, 18	Jul 02, 20	107.68	116.15		-8.47	
FRF		11,216	Sep 28, 18	Jul 02, 20	105.54	114.07		-8.53	
FRF		11,663	Oct 31, 18	Jul 02, 20	109.75	118.03		-8.28	

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-0848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FFO		12,140	Nov 30, 18	Jul 02, 20	114,24	121,28		-7,04	
FFO		0,863	Dec 21, 18	Jul 02, 20	8,12	8,59	0,21	-0,47	
FFO		13,056	Dec 31, 18	Jul 02, 20	122,86	129,51	3,13	-6,65	
FFO		12,400	Jan 31, 19	Jul 02, 20	116,68	125,49	2,98	-8,81	
FFO		9,132	Feb 28, 19	Jul 02, 20	85,93	91,32		-5,39	
FFO		10,022	Mar 29, 19	Jul 02, 20	94,31	99,52		-5,21	
FFO		9,736	Apr 30, 19	Jul 02, 20	91,62	97,85		-6,23	
FFO		9,933	May 31, 19	Jul 02, 20	93,47	98,93		-5,46	
FFO		9,560	Jun 28, 19	Jul 02, 20	89,96	95,22		-5,26	

BLACKROCK HIGH YIELD BOND PORTFOLION INSTITUTIONAL

FFO		664,771	Sep 21, 16	Jul 02, 20	4,806,29	5,012,37		-206,08	
FFO		2,465	Sep 30, 16	Jul 02, 20	17,83	18,76		-0,93	
FFO		9,185	Oct 31, 16	Jul 02, 20	66,40	69,62		-3,22	
FFO		279,099	Nov 09, 16	Jul 02, 20	2,017,89	2,104,41		-86,52	
FFO		9,901	Nov 30, 16	Jul 02, 20	71,58	74,85		-3,27	
FFO		7,972	Dec 22, 16	Jul 02, 20	57,64	60,83		-3,19	
FFO		10,221	Dec 30, 16	Jul 02, 20	73,90	78,09		-4,19	
FFO		10,881	Jan 31, 17	Jul 02, 20	78,67	83,78		-5,11	
FFO		9,811	Feb 28, 17	Jul 02, 20	70,93	76,33		-5,40	
FFO		10,743	Mar 31, 17	Jul 02, 20	77,67	83,15		-5,48	
FFO		10,575	Apr 28, 17	Jul 02, 20	76,46	82,27		-5,81	
FFO		10,708	May 31, 17	Jul 02, 20	77,42	83,52		-6,10	
FFO		10,287	Jun 30, 17	Jul 02, 20	74,38	79,93		-5,55	
FFO		10,424	Jul 31, 17	Jul 02, 20	75,36	81,83		-6,47	
FFO		10,237	Aug 31, 17	Jul 02, 20	74,01	79,85		-5,84	
FFO		9,837	Sep 29, 17	Jul 02, 20	71,13	77,22		-6,09	
FFO		10,381	Oct 31, 17	Jul 02, 20	75,05	81,49		-6,44	
FFO		9,912	Nov 30, 17	Jul 02, 20	71,86	77,41		-5,55	
FFO		4,027	Dec 22, 17	Jul 02, 20	29,12	31,37		-2,25	

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Advisor Allocation Program

Account name: DONALD R. EAMES MARITAL
 Primary account name: Marital Trust
 Account number:

Your Financial Advisor:
 MLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CARRIER GLOBAL CORP	FFO	10,578	Dec 29, 17	Jul 02, 20	76.46	82.51		-6.03	
	FFO	10,709	Jan 31, 18	Jul 02, 20	77.42	83.85		-6.43	
	FFO	8,684	Feb 28, 18	Jul 02, 20	70.02	74.76		-4.74	
	FFO	10,578	Mar 29, 18	Jul 02, 20	76.46	80.80		-4.34	
	FFO	9,372	Apr 30, 18	Jul 02, 20	67.76	71.79		-4.03	
	FFO	8,983	May 31, 18	Jul 02, 20	64.95	68.63		-3.68	
	FFO	8,873	Jun 29, 18	Jul 02, 20	64.15	67.52		-3.37	
	FFO	329,366	Jul 10, 18	Jul 02, 20	2,381.32	2,516.36		-135.04	
	FFO	10,459	Jul 31, 18	Jul 02, 20	75.62	80.22		-4.60	
	FFO	11,000	Aug 31, 18	Jul 02, 20	79.39	84.37		-4.94	
CHEVRON CORP	FFO	232,783	Sep 18, 18	Jul 02, 20	1,689.02	1,785.45		-102.43	
	FFO	11,424	Sep 28, 18	Jul 02, 20	82.59	87.62		-5.03	
	FFO	13,213	Oct 31, 18	Jul 02, 20	95.53	98.83		-3.30	
	FFO	13,110	Nov 30, 18	Jul 02, 20	94.79	96.62		-1.83	
	FFO	3,822	Dec 21, 18	Jul 02, 20	27.63	27.90	0.65	-0.27	
	FFO	14,050	Dec 31, 18	Jul 02, 20	101.56	102.71	2.39	-1.13	
	FFO	11,182	Jan 31, 19	Jul 02, 20	80.92	85.06	1.90	-4.14	
	FFO	8,052	Feb 28, 19	Jul 02, 20	58.22	60.55		-2.33	
	FFO	9,282	Mar 29, 19	Jul 02, 20	67.11	70.08		-2.97	
	FFO	8,893	Apr 30, 19	Jul 02, 20	64.29	67.85		-3.56	
CARRIER GLOBAL CORP	FFO	9,088	May 31, 19	Jul 02, 20	65.71	68.07		-2.36	
	FFO	8,635	Jun 28, 19	Jul 02, 20	62.43	65.97		-3.54	
	FFO	42,000	Sep 21, 16	Apr 07, 20	638.38	793.07		-154.69	
	FFO	28,000	Jul 27, 17	Apr 07, 20	425.59	617.55		-191.96	
	FFO	27,000	Jul 27, 17	Apr 07, 20	410.40	595.59		-185.19	
	FFO	20,000	Oct 17, 18	Apr 07, 20	303.89	489.00		-185.01	
	FFO	17,000	Jul 27, 17	Nov 06, 20	1,224.63	1,789.91		-565.28	
	FFO	6,000	Sep 12, 17	Nov 06, 20	432.23	677.34		-245.11	
	FFO	3,000	Oct 15, 18	Nov 06, 20	216.11	352.74		-136.63	
	FFO	5,000	Oct 15, 18	Nov 09, 20	408.36	587.90		-179.54	

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
 Friendly account names Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-528-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CISCO SYSTEMS INC	FFO	251,000	May 16, 13	Jul 02, 20	1,470.93	6,016.44			5,454.49
COCA COLA CO COM	FFO	18,000	Jul 27, 17	Jan 14, 20	1,011.58	823.48			188.10
COLGATE PALMOLIVE CO	FFO	59,000	Sep 21, 16	May 20, 20	4,154.98	4,275.13		-120.15	
	FFO	46,000	Jul 27, 17	May 20, 20	3,239.48	3,340.98		-101.50	
	FFO	44,000	Jul 27, 17	May 20, 20	3,098.63	3,194.84		-96.21	
	FFO	16,000	Nov 06, 17	May 20, 20	1,056.35	1,050.00			6.35
	FFO	56,000	Jul 23, 18	May 20, 20	3,943.72	3,683.17			260.55
	FFO	42,000	Oct 17, 18	May 20, 20	2,957.78	2,613.24			344.54
COMCAST CORP NEW C.L.A	FFO	101,000	Sep 21, 16	May 06, 20	3,558.14	3,358.71			299.43
	FFO	27,000	Sep 21, 16	May 11, 20	968.60	897.87			70.73
	FFO	81,000	Jul 27, 17	May 11, 20	2,905.82	3,231.82		-326.00	
	FFO	10,000	Mar 05, 18	May 11, 20	358.74	369.37		-10.63	
	FFO	19,000	Mar 05, 18	Sep 22, 20	868.09	701.81			166.28
	FFO	27,000	Mar 05, 18	Sep 22, 20	1,233.59	998.02			235.57
COSTCO WHOLESALE CORP	FFO	9,000	Mar 12, 19	Jul 02, 20	2,772.01	2,072.88			699.13
	FFO	18,000	Mar 12, 19	Jul 02, 20	5,544.02	4,145.76			1,398.26
	FFO	2,000	Mar 12, 19	Jul 02, 20	616.00	460.64			155.36
CRANE CO	FFO	3,000	Jul 27, 17	Jul 28, 20	174.48	174.48	-51.24		
	FFO	8,000	Jul 27, 17	Oct 27, 20	428.86	601.92			-173.06
DAVITA CORP	FFO	14,000	Sep 21, 16	Jan 14, 20	2,235.75	1,078.13			1,157.62
	FFO	14,000	Sep 21, 16	Jul 02, 20	2,511.92	1,078.12			1,433.80
	FFO	32,000	Jul 27, 17	Jul 02, 20	5,741.53	2,608.29			3,133.24
	FFO	5,000	Jul 27, 17	Oct 23, 20	1,165.90	407.54			758.36
	FFO	3,000	Jul 27, 17	Oct 26, 20	698.91	244.53			454.38
	FFO	1,000	Jul 23, 18	Oct 26, 20	232.97	102.67			130.30

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Advisor Allocation Program

Account name: DONALD R JAMES MARITAL
 Friendly account name: Marital Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-528-7144/800-739-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
DIAGEO PLC NEW GB SPON ADR									
FFO		11,000	Jul 27, 17	Nov 06, 20	1,534.78	1,409.21			125.57
FFO		12,000	Jul 27, 17	Nov 09, 20	1,856.77	1,537.32			319.45
ENBRIDGE INC CAD									
FFO		115,480	Aug 27, 12	Feb 13, 20	4,888.16	3,380.46			1,487.70
FFO		84,624	Aug 27, 12	Feb 13, 20	3,582.05	2,484.54			1,097.51
FFO		98,400	Aug 27, 12	Feb 13, 20	4,185.18	2,889.00			1,276.18
FFO		92,495	Aug 27, 12	Feb 13, 20	3,915.27	2,715.66			1,199.61
FACEBOOK INC CL A									
FFO		1,000	Sep 21, 16	Jul 02, 20	235.59	129.02			106.57
FFO		2,000	Sep 21, 16	Oct 01, 20	533.27	258.04			275.23
FIFTH THIRD BANCONP									
FFO		726,000	Aug 30, 12	May 20, 20	12,785.01	10,915.63			1,869.38
FFO		1,149,000	Sep 05, 12	May 20, 20	20,234.14	17,174.79			3,059.35
GATEWAY FUND CLASS Y									
FFO		1,141,149	Aug 4, 14	May 20, 20	57,097.85	30,774.74			6,323.12
FFO		1,386,857	Jun 28, 13	May 20, 20	45,891.09	38,693.31			7,197.78
FFO		1,432,385	Jun 28, 13	Jul 02, 20	48,243.07	39,963.82			8,279.25
HOME DEPOT INC									
FFO		11,000	Sep 21, 16	Apr 06, 20	2,067.96	1,399.42			668.54
FFO		4,000	Jul 27, 17	Apr 06, 20	751.98	586.72			165.26
FFO		19,000	Jul 27, 17	Apr 13, 20	3,805.42	2,786.92			1,018.50
FFO		1,000	Jul 27, 17	Apr 13, 20	206.29	146.67			53.62
FFO		7,000	Jul 27, 17	Sep 22, 20	1,906.65	1,026.69			879.96
FFO		7,000	Jul 27, 17	Nov 06, 20	1,988.90	1,026.69			962.21
FFO		5,000	Jul 27, 17	Nov 08, 20	1,380.89	733.35			647.54
HOMEWELL INTL INC									
FFO		9,000	Sep 21, 16	Apr 13, 20	1,284.81	989.64			295.17
FFO		10,000	Sep 21, 16	May 11, 20	1,346.26	1,099.61			246.65
FFO		9,000	Sep 21, 16	Nov 18, 20	1,824.53	989.65			834.88
FFO		3,000	Jul 27, 17	Nov 18, 20	608.18	395.63			212.55
FFO		7,000	Jul 27, 17	Nov 19, 20	1,410.91	923.14			487.77
FFO		3,000	Jul 27, 17	Nov 20, 20	606.08	395.63			210.45
INTEL CORP									
FFO		16,000	Jul 27, 17	Feb 11, 20	1,069.26	563.68			505.58
FFO		45,000	Jul 27, 17	Nov 06, 20	2,038.91	1,585.35			453.56
FFO		21,000	Sep 12, 17	Nov 06, 20	951.49	753.48			198.01

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
 Friendly account names: Marital Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
INTERCONTINENTAL EXCHANGE GROUP									
IFRO		2,000	Oct 17, 18	Nov 08, 20	90.62	91.40		-0.78	
IFRO		42,000	Sep 21, 16	May 11, 20	3,944.00	2,360.65			1,583.35
IFRO		33,000	Sep 21, 16	Jul 02, 20	3,071.97	1,854.79			1,217.18
IFRO		48,000	Jul 27, 17	Jul 02, 20	4,468.32	3,190.56			1,277.76
IFRO		8,000	Apr 15, 19	Jul 02, 20	744.72	630.39			114.33
ISHARES FLOATING RATE BOND ETF									
IFRO		65,000	Apr 18, 17	May 20, 20	3,257.85	3,384.75		-126.90	
IFRO		224,000	Apr 18, 17	Jul 02, 20	11,320.73	11,388.67		-67.94	
IFRO		90,000	Jan 16, 19	Jul 02, 20	4,548.51	4,553.10		-4.59	
IFRO		146,000	Jan 16, 19	Jul 02, 20	7,378.69	7,386.14		-7.45	
IFRO		50,000	Jan 16, 19	Jul 02, 20	2,526.95	2,529.50		-2.55	
ISHARES MSCI INTL MULTIFACTOR ETF									
VSP		7,494,000	Oct 17, 18	Mar 03, 20	189,687.30	197,972.75		-17,285.45	
VSP		6,863,000	Oct 29, 18	Mar 03, 20	165,473.31	174,182.94		-8,709.63	
ISHARES TRUST ISHARES 1-3 YEAR INV GRADE CORPORATE BOND ETF									
IFRO		23,000	Jan 16, 19	Jun 25, 20	1,256.46	1,191.86			64.60
IFRO		96,000	Jan 16, 19	Jun 25, 20	3,059.21	2,901.92			157.29
ISHARES 1-3 YR TREAS BOND ETF									
IFRO		110,000	Jan 24, 17	Jul 02, 20	9,519.19	9,298.30			220.89
IFRO		72,000	Apr 11, 18	Jul 02, 20	6,230.74	6,007.68			223.06
IFRO		38,000	Apr 11, 18	Jul 02, 20	3,288.45	3,170.72			117.73
ISHARES 3-7 YEAR TREAS BOND ETF									
IFRO		19,000	Jan 24, 17	May 20, 20	2,533.82	2,333.96			199.86
IFRO		19,000	Apr 18, 17	May 20, 20	2,533.81	2,359.57			174.24
JOHNSON & JOHNSON COM									
IFRO		11,000	Jul 27, 17	Jul 02, 20	1,544.15	1,431.97			112.18
IFRO		18,000	Jul 23, 18	Jul 02, 20	2,526.78	2,270.29			256.49
IFRO		6,000	Jul 23, 18	Sep 29, 20	882.03	756.76			125.27
IFRO		15,000	Oct 17, 18	Sep 29, 20	2,205.07	2,072.55			132.52
IFRO		21,000	Oct 17, 18	Sep 30, 20	3,118.05	2,901.56			216.49

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Advisor Allocation Program

Account name: DONALD R. JAMES MARTIAL
 Friendly account name: Martial, Dave
 Account number:

Your Financial Advisors
 W&P FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued) Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
JOHNSON CITY TN									
SR A									
5.375 070125 DTB 042910									
Original cost basis: \$99,996.25									
JPMORGAN CHASE & CO									
FFO		100,000.00	Apr 09, 10	Jul 01, 20	100,000.00	99,998.41			1.59
FFO		14,000	Jan 09, 18	Apr 06, 20	1,245.97	1,526.26		-280.29	
FFO		7,000	Oct 15, 18	Apr 06, 20	622.99	751.73		-128.74	
LAUDER ESTEE COS CL A									
FFO		27,000	Nov 12, 18	Apr 13, 20	4,431.41	3,803.43			627.98
FFO		52,000	Nov 12, 18	Jul 02, 20	9,922.95	7,325.14			2,597.81
FFO		7,000	Nov 12, 18	Sep 08, 20	1,533.82	986.07			547.75
FFO		1,000	Nov 12, 18	Sep 10, 20	216.72	140.87			75.85
FFO		1,000	Mar 12, 19	Sep 10, 20	216.71	158.20			58.51
LINDE PLC EUR									
FFO		8,000	Jul 27, 17	Nov 06, 20	2,006.47	1,307.64			698.83
FFO		5,000	Jul 27, 17	Nov 09, 20	1,321.18	817.28			503.90
FFO		1,000	Oct 17, 18	Nov 09, 20	264.23	163.46			100.77
LOCKHEED MARTIN CORP									
FFO		1,000	Aug 27, 12	May 06, 20	390.38	92.61			298.27
FFO		24,000	Aug 27, 12	May 06, 20	9,381.15	2,222.64			7,158.51
FFO		27,000	Aug 27, 12	Jul 02, 20	9,754.61	2,500.47			7,254.14
FFO		52,000	Aug 27, 12	Jul 02, 20	18,786.67	4,815.72			13,970.95
FFO		52,000	Aug 27, 12	Jul 02, 20	18,786.66	4,815.72			13,970.94
FFO		3,000	Aug 27, 12	Jul 02, 20	1,083.85	277.83			806.02
FFO		1,000	Jul 27, 17	Jul 02, 20	361.28	287.96			73.32
FFO		4,000	Jul 27, 17	Nov 06, 20	1,442.27	1,151.84			290.43
LOWES COMPANIES INC									
FFO		19,000	Sep 21, 16	Apr 13, 20	1,812.37	1,359.45			452.92
FFO		12,000	Sep 21, 16	Jul 02, 20	1,641.54	858.59			782.95
FFO		13,000	Sep 21, 16	Sep 22, 20	2,091.60	930.15			1,161.45
MARSH & MCLENNAN COS INC									
FFO		10,000	Jul 27, 17	Feb 11, 20	1,179.48	760.79			398.69
FFO		33,000	Jul 27, 17	Jul 02, 20	3,559.29	2,576.61			982.68
FFO		11,000	Oct 17, 18	Jul 02, 20	1,186.43	861.98			304.45
FFO		10,000	Oct 17, 18	Jul 27, 20	1,144.32	801.79			342.53
FFO		11,000	Oct 17, 18	Jul 28, 20	1,256.57	881.98			374.59

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
 UBS account name: Marital Trust
 Account number:

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-828-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MICROSOFT CORP									
FFO		13,000	Jul 27, 17	Feb 11, 20	2,478.40	968.95			1,514.45
FFO		32,000	Jul 27, 17	Mar 09, 20	4,990.29	2,377.80			2,617.49
FFO		8,000	May 14, 18	Mar 09, 20	1,247.57	783.49			464.08
FFO		29,000	May 14, 18	May 11, 20	9,378.38	2,840.16			2,538.22
FFO		1,000	May 14, 18	Jul 27, 20	202.58	97.94			104.64
FFO		25,000	Jul 16, 18	Jul 27, 20	5,064.60	2,624.63			2,439.97
FFO		4,000	Jul 16, 18	Jul 28, 20	812.56	419.94			392.62
FFO		3,000	Jul 16, 18	Oct 01, 20	636.17	314.95			321.22
MONDELEZ INTL INC									
FFO		33,000	May 15, 17	Jul 02, 20	1,705.07	1,488.63			216.44
FFO		27,000	Jul 27, 17	Jul 02, 20	1,395.06	1,189.89			205.17
FFO		16,000	Jul 27, 17	Nov 18, 20	934.88	705.11			229.77
FFO		23,000	Jul 27, 17	Nov 19, 20	1,316.81	1,013.61			303.20
NECTER ENERGY INC COM									
FFO		3,000	Oct 17, 18	Feb 11, 20	818.26	510.80			307.46
FFO		7,000	Oct 17, 18	Mar 09, 20	1,857.90	1,191.88			666.02
FFO		3,000	Oct 17, 18	Jul 27, 20	834.92	510.81			324.11
FFO		5,000	Jun 27, 19	Jul 27, 20	1,391.52	1,022.27			369.25
FFO		3,000	Jun 27, 19	Jul 28, 20	843.77	613.36			230.41
NOVARTIS AG SPON ADR									
FFO		30,000	Jul 27, 17	Jul 02, 20	2,622.36	2,237.66			384.70
FFO		9,000	Jul 27, 17	Sep 29, 20	788.32	671.30			117.02
FFO		27,000	Oct 17, 18	Sep 29, 20	2,364.95	2,013.91			351.04
FFO		2,000	Oct 17, 18	Sep 30, 20	174.36	149.18			25.18
FFO		11,000	Sep 09, 19	Sep 30, 20	958.95	986.77			-27.82
NUCOR CORP									
FFO		86,000	Aug 27, 12	Feb 13, 20	4,123.61	3,328.20			795.41
FFO		147,000	Aug 27, 12	Feb 13, 20	7,048.49	5,688.90			1,359.59
FFO		112,000	Aug 27, 12	Feb 13, 20	5,370.29	4,334.40			1,035.89
FFO		82,000	Aug 27, 12	Feb 13, 20	3,931.81	3,173.40			758.41
O RIELLY AUTOMOTIVE INC									
FFO		6,000	Sep 21, 16	Jul 02, 20	2,563.44	1,659.96			903.48
FFO		15,000	Jul 27, 17	Jul 02, 20	6,408.61	2,902.80			3,505.81
FFO		1,000	Jul 27, 17	Oct 01, 20	465.87	193.52			272.35

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
 Primary account name: Marital Trust
 Account number: 729-4848

Your Financial Advisor:
 WIL FINANCIAL GROUP
 423-828-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
OTIS WORLDWIDE CORP									
FFO		0.500	Sep 21, 16	Apr 03, 20	23.85	26.40		-2.57	
FFO		20.500	Sep 21, 16	Apr 08, 20	882.35	1,082.59		-200.24	
FFO		14.000	Jul 27, 17	Apr 08, 20	602.88	863.84		-260.96	
FFO		13.500	Jul 27, 17	Apr 08, 20	581.06	832.85		-251.79	
FFO		10.000	Oct 17, 18	Apr 08, 20	430.41	683.79		-253.38	
PAKERN HANFERN CORP									
FFO		2.000	Sep 18, 17	May 11, 20	315.73	340.98		-25.25	61.79
FFO		11.000	Jan 07, 19	May 11, 20	1,736.53	1,674.74			247.17
FFO		8.000	Jan 07, 19	Jul 02, 20	1,465.16	1,217.99			3,051.07
PROCTER & GAMBLE CO									
FFO		53.000	Aug 27, 12	Jan 14, 20	6,808.49	3,557.86			380.20
FFO		7.000	Aug 27, 12	Jul 02, 20	850.04	469.84			4,236.55
FFO		78.000	Aug 27, 12	Jul 02, 20	9,471.91	5,235.36			3,747.72
FFO		69.000	Aug 27, 12	Jul 02, 20	8,379.00	4,691.26			4,127.92
FFO		76.000	Aug 27, 12	Jul 02, 20	9,229.04	5,101.12			1,955.39
FFO		36.000	Aug 27, 12	Jul 02, 20	4,371.65	2,416.32			1,005.07
FFO		17.000	Aug 27, 12	Jul 27, 20	2,146.11	1,141.04			785.41
FFO		8.000	Feb 06, 19	Jul 27, 20	1,009.94	785.41			224.53
FFO		3.000	Feb 06, 19	Nov 06, 20	429.75	294.53			135.22
FFO		13.000	Feb 06, 19	Nov 06, 20	1,862.23	1,277.20			585.03
FFO		6.000	Feb 06, 19	Nov 09, 20	851.12	589.48			261.64
RAYTHEON TECHNOLOGIES CORP									
FFO		40.000	Sep 21, 16	May 06, 20	2,371.95	2,228.88			143.07
FFO		33.000	Jan 19, 18	Apr 06, 20	2,474.29	2,270.61			203.68
RENEBLOC SERVICES INC									
FFO		6.186	Sep 21, 16	Feb 13, 20	62.28	122.29		-60.01	
FFO		3.853	Jul 27, 17	Feb 13, 20	38.71	91.17		-52.46	
ROCKWELL AUTOMATION INC									
FFO		18.000	Jul 27, 17	Mar 26, 20	2,783.67	2,783.67	-190.27		
FFO		7.000	Jul 27, 17	Mar 26, 20	1,082.54	1,082.54	-73.65		
FFO		13.000	Jul 27, 17	Apr 06, 20	2,027.05	2,147.21		-120.16	
FFO		2.000	Oct 17, 18	Apr 06, 20	311.85	342.16		-30.31	
FFO		7.000	Oct 17, 18	Sep 09, 20	1,569.62	1,197.55			372.07

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Advisor Allocation Program

Account name: DONALD R JEANES MARITAL
Fidelity account name: Marital Trust
Account number: 7

Your Financial Advisor
WLP FINANCIAL GROUP
423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SPDR S&P 600 SMALL CAP ETF									
FRD		2,000	Oct 17, 18	Sep 10, 20	444.00	342.15			101.84
FRD		830,000	Sep 21, 16	May 20, 20	45,412.44	45,750.51		-338.07	
FRD		250,000	Apr 05, 18	May 20, 20	13,578.45	16,816.24		-3,137.79	
SUNCOR ENERGY INC NEW CAD									
FRD		16,000	Jul 27, 17	May 06, 20	255.95	502.56		-246.57	
FRD		24,000	Sep 12, 17	May 06, 20	384.00	793.92		-409.92	
FRD		72,000	Oct 17, 18	May 06, 20	1,151.97	2,614.32		-1,462.35	
FRD		41,000	Dec 10, 18	May 06, 20	655.88	1,272.59		-616.67	
FRD		19,000	Oct 17, 18	Apr 06, 20	1,586.98	1,925.27			61.71
TEXAS INSTRUMENTS INC									
FRD		14,000	Sep 21, 16	Jul 02, 20	5,116.47	2,139.06			2,977.41
FRD		1,000	Sep 21, 16	Nov 02, 20	481.17	152.79			328.38
TDX COS INC NEW									
FRD		13,000	Sep 21, 16	Jul 02, 20	675.60	491.20			184.40
FRD		7,285	Oct 15, 18	Apr 06, 20	212.35	350.19		-137.84	
FRD		9,065	Oct 15, 18	Apr 06, 20	264.24	435.75		-171.51	
FRD		48,650	Oct 17, 18	Apr 06, 20	1,418.12	2,305.52		-887.40	
UNION PACIFIC CORP									
FRD		10,000	Jul 27, 17	Jul 02, 20	1,683.89	1,022.69			661.20
FRD		3,000	Oct 17, 18	Jul 02, 20	505.17	452.76			52.41
FRD		10,000	Oct 17, 18	Nov 06, 20	1,902.07	1,509.20			392.87
FRD		7,000	Oct 17, 18	Nov 09, 20	1,405.73	1,056.44			349.29
UNITHEALTH GROUP INC									
FRD		124,000	Feb 13, 18	Jul 02, 20	37,165.30	28,111.75			9,053.55
FRD		50,000	Oct 17, 18	Jul 02, 20	10,307.27	7,617.00			2,690.27
VANGUARD GROWTH ETF									
FRD		24,000	Oct 17, 18	Aug 03, 20	5,278.52	3,656.16			1,620.36
VERIZON COMMUNICATIONS INC									
FRD		86,000	Aug 27, 12	May 20, 20	4,702.64	3,688.40			1,013.24
FRD		53,000	Aug 27, 12	May 20, 20	2,898.18	2,273.70			624.48
FRD		69,000	Aug 27, 12	May 20, 20	3,773.04	2,960.10			812.94
FRD		74,000	Aug 27, 12	May 20, 20	4,046.46	3,174.60			871.86
FRD		40,000	Aug 27, 12	May 20, 20	2,187.27	1,716.00			471.27

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Advisor Allocation Program

Account Name: DONALD R JEANES MARITAL
 Beneficiary account name: Maribel Turi
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VISA INC CL A	FRD	200,000	AUG 27, 12	MAY 20, 20	10,936.36	8,580.00			2,356.36
	FRD	5,000	SEP 21, 16	MAR 26, 20	836.13	412.84			423.29
	FRD	5,000	SEP 21, 16	MAR 26, 20	835.55	412.85			422.70
WALT DISNEY CO (HOLDING CO) DISNEY COM	FRD	11,000	SEP 21, 16	MAY 11, 20	1,176.54	1,017.06			159.48
	FRD	30,000	JUL 27, 17	MAY 11, 20	3,208.75	3,279.00		-70.25	
	FRD	27,000	SEP 18, 18	MAY 11, 20	2,887.87	2,942.19		-54.32	
WASTE MGMT INC NEW	FRD	14,000	AUG 27, 12	MAY 20, 20	1,400.85	478.94			921.91
Total					\$1,505,550.59	\$1,348,351.89		-430,882.00	\$215,088.70
Net long-term capital gains or losses									\$162,196.70
Net capital gains/losses:									\$155,236.58



UBS Financial Services Inc.
214 E. Montecarlo Drive
Suite 1-A
Johnson City TN 37601-2509

8722002807323 1220 X14 JV 0

2020 Year End Summary

Account name: DONALD R JEANES

SWING SHARE ONE TRUST

Friendly account name: Swing Trust

Account number:

Your Financial Advisor:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4948

DONALD R JEANES
SWING SHARE ONE TRUST

Summary of gains and losses

	Amount (\$)
Short term	-435,297.28
Long term	34,633.60
Total	\$-400,663.68

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Cash Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which lot to close when you placed your order. This is known as a various purchases or VPP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for common tax-advantaged securities, includes securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Undistributed section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
ABBOTT LABS	FIFO	42,000	Apr 03, 20	Apr 20, 20	4,077.27	3,311.83			765.44

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Strategic Wealth Portfolio

Account name: DONALD R. LEANES
Primary account name: Strategic Wealth
Account number: 423-928-7144/800-729-4948

Your Financial Advisor:
WIP FINANCIAL GROUP
423-928-7144/800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ABRIVE INC. COM									
FFO		13,000	Sep 16, 19	Mar 16, 20	994.23	919.66			74.57
FFO		9,000	Sep 16, 19	Mar 23, 20	592.37	592.37	-44.32		
FFO		3,000	Sep 16, 19	Apr 06, 20	227.90	212.24			15.66
FFO		18,000	Sep 16, 19	Jun 19, 20	1,751.18	1,273.38			477.80
ACCENTURE PLC IRELAND CL A									
FFO		3,000	Mar 02, 20	Mar 17, 20	470.10	470.10	-83.50		
FFO		74,000	Mar 02, 20	Jul 27, 20	16,528.55	13,655.39			2,873.16
FFO		19,000	Mar 19, 20	Nov 18, 20	4,594.18	3,054.06			1,540.12
FFO		14,000	Mar 19, 20	Nov 19, 20	3,387.28	2,250.36			1,136.92
FFO		10,000	Mar 19, 20	May 19, 20	3,743.82	3,101.30			642.52
FFO		4,000	Mar 19, 20	Sep 21, 20	1,883.92	1,240.52			643.40
FFO		18,000	Mar 19, 20	Nov 18, 20	8,331.55	5,582.34			2,749.21
AEGION NV ADR N Y SHS NETHERLANDS ADR									
FFO		3,754,000	Jan 10, 20	Mar 05, 20	11,975.38	16,626.47		-4,651.09	
AES CORP									
FFO		17,000	Feb 03, 20	Oct 09, 20	324.48	344.88		-20.40	
FFO		19,000	Apr 06, 20	Oct 09, 20	362.65	257.74			104.91
FFO		22,000	Sep 25, 20	Oct 09, 20	419.91	390.72			29.19
AGILENT TECHNOLOGIES INC									
FFO		30,000	Jul 22, 19	Mar 17, 20	2,084.52	2,077.97			6.55
FFO		8,000	Jul 22, 19	Apr 09, 20	620.50	554.13			66.37
ALEXANDRIA REAL ESTATE EQUITIES									
FFO		58,000	Jul 09, 19	Feb 21, 20	9,735.19	8,551.04			1,184.15
FFO		5,000	Jul 09, 19	Mar 17, 20	671.09	737.16		-66.07	
ALPHABET INC CL A									
FFO		3,000	Mar 19, 20	May 19, 20	4,164.80	3,396.36			768.44
FFO		2,000	Mar 19, 20	Aug 19, 20	3,134.09	2,264.24			869.85
FFO		9,000	Mar 19, 20	Sep 09, 20	13,921.22	10,189.08			3,732.14
FFO		2,000	Mar 19, 20	Oct 20, 20	3,187.23	2,264.24			923.00
AMAZON.COM INC									
FFO		6,000	Mar 19, 20	May 19, 20	14,854.53	11,532.67			3,321.86
FFO		1,000	Mar 19, 20	Jul 21, 20	3,124.68	1,930.45			1,194.23
FFO		1,000	Mar 19, 20	Sep 21, 20	2,894.66	1,930.44			964.22
AMCOR PLC									
FFO		32,000	Sep 14, 20	Dec 21, 20	361.99	358.23			3.76

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
 Friendly account name: Swiss Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale adjustment (\$)	Loss (\$)	Gain (\$)
AMER ELECTRIC POWER CO									
RFO		171,000	Nov 25, 19	Jul 28, 20	14,493.44	15,590.43		-1,096.99	
VSP		67,000	Feb 26, 20	Mar 04, 20	6,582.60	6,606.95		-24.35	
RFO		139,000	Apr 07, 20	Jul 28, 20	11,781.21	10,958.62			822.59
AMER EXPRESS CO									
RFO		57,000	Feb 05, 20	Mar 04, 20	6,439.35	7,597.29		-1,157.94	
RFO		68,000	Feb 12, 20	Mar 04, 20	7,682.03	9,082.26		-1,400.23	
RFO		70,000	Apr 07, 20	May 08, 20	6,204.89	6,154.77			50.12
RFO		64,000	Apr 07, 20	Jun 15, 20	6,484.34	5,627.22			857.12
AMERIPRISE FINANCIAL INC									
RFO		9,000	Jul 26, 19	Jan 21, 20	1,536.32	1,346.77			189.55
RFO		93,000	Jul 26, 19	Mar 04, 20	13,141.71	13,916.65		-774.94	
RFO		2,000	Sep 12, 19	Mar 04, 20	282.62	293.55		-10.93	
VSP		113,000	Apr 07, 20	Jul 28, 20	17,511.91	11,724.28			5,787.63
AMGEN INC									
RFO		17,000	Mar 02, 20	Mar 24, 20	3,389.67	3,389.67	-111.45		
RFO		15,000	Mar 02, 20	Jul 27, 20	3,807.22	3,098.04			709.18
RFO		17,000	Mar 03, 20	Jul 27, 20	4,314.86	3,667.98	111.45		646.88
RFO		21,000	Mar 03, 20	Jul 27, 20	5,330.11	4,383.35			936.76
RFO		28,000	Mar 16, 20	Jul 27, 20	7,106.81	5,650.03			1,456.78
APPLE INC									
RFO		4,000	Mar 02, 20	Mar 17, 20	1,013.88	1,163.53		-149.65	
RFO		5,000	Mar 02, 20	May 22, 20	1,586.72	1,454.40			132.32
RFO		9,000	Mar 02, 20	Aug 04, 20	3,956.63	2,617.94			1,338.69
ATKINS ENERGY CORP									
RFO		25,000	Mar 05, 20	Mar 17, 20	2,684.57	2,769.49		-84.92	
AUTOMATIC DATA PROCESSING INC									
RFO		46,000	Oct 16, 19	Feb 12, 20	8,191.05	7,542.93			648.12
RFO		47,000	Oct 16, 19	Mar 04, 20	7,520.77	7,706.90		-186.13	
RFO		46,000	Jun 27, 20	Mar 04, 20	7,360.76	8,117.85		-757.09	
RFO		86,000	Apr 07, 20	Aug 03, 20	11,572.55	11,788.88		-216.33	
AVERTY DENISON CORP									
RFO		21,000	Jun 28, 19	Mar 17, 20	2,237.04	2,414.30		-177.26	
RFO		19,000	Jun 28, 19	Apr 20, 20	2,032.62	2,184.36		-151.74	
BANK OF AMER CORP									
VSP		194,000	Feb 05, 20	Mar 04, 20	5,387.98	6,723.87		-1,335.89	
BARCLAYS PLC ADR									
RFO		359,000	Sep 03, 19	Mar 18, 20	2,307.54	3,931.54		-1,624.00	

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Strategic Wealth Portfolio

Account name: DONALD R. EVANS
 Primary account name: Swing Trust
 Account number: ...

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
BASF SE SPON ADR									
PRD		227,000	May 14, 19	Mar 05, 20	3,243.65	4,026.95		-683.30	
PRD		278,000	May 31, 19	Mar 05, 20	4,094.88	4,570.79		-475.91	
PRD		268,000	Jul 12, 19	Mar 05, 20	3,829.74	4,432.64		-602.90	
PRD		257,000	Jun 24, 20	Mar 05, 20	3,785.55	4,529.83		-744.28	
BCE INC NEW CAD									
VSP		386,000	Aug 28, 19	Mar 04, 20	18,080.00	18,049.32			30.68
VSP		3,000	Feb 09, 20	Mar 04, 20	140.52	141.78		-1.26	
PRD		388,000	Apr 06, 20	Dec 14, 20	17,868.89	16,142.24			1,726.65
PRD		112,000	Mar 16, 20	Apr 23, 20	7,734.11	6,056.21			1,677.90
BEST BUY CO INC									
PRD		168,000	Sep 23, 19	Mar 18, 20	3,880.71	5,546.98		-1,666.27	
PRD		323,000	Jun 10, 20	Mar 18, 20	7,461.14	11,105.65		-3,644.52	
PRD		136,000	Feb 21, 20	Mar 18, 20	3,141.53	4,339.70		-1,198.17	
BLACKSTONE MTG TR INC									
PRD		107,000	Mar 18, 20	Apr 20, 20	2,243.71	1,810.96			432.75
BNP PARIBAS SA ADR									
PRD		489,000	Sep 23, 19	Mar 05, 20	10,982.70	11,962.49		-979.79	
PRD		10,000	Jun 21, 19	Mar 18, 20	160.55	424.50		-263.95	
PRD		133,000	Feb 28, 20	Mar 18, 20	2,135.26	3,964.72		-1,829.46	
PRD		292,000	Mar 10, 20	Mar 18, 20	4,687.95	7,421.16		-2,733.22	
BRISTOL MYERS SQUIBB CO									
PRD		130,000	Nov 29, 19	Mar 02, 20	7,768.38	7,425.11			364.27
PRD		125,000	Dec 10, 19	Mar 02, 20	7,489.78	7,738.45		-248.67	
BROADOR FTY GROUP INC									
VSP		843,000	Jul 09, 19	Mar 04, 20	15,742.43	15,384.51			357.92
PRD		896,000	Jul 19, 19	Apr 16, 20	7,980.36	7,990.26	-7,993.03		
VSP		526,000	Nov 22, 19	Mar 04, 20	9,822.67	11,367.75		-1,545.08	
VSP		13,000	Feb 03, 20	Mar 04, 20	242.77	265.85		-23.08	
PRD		1,025,000	Apr 06, 20	Apr 16, 20	9,140.65	9,150.17		-9.52	
PRD		896,000	Apr 06, 20	May 05, 20	8,909.10	15,831.63		-6,922.53	
PRD		1,088,000	Apr 06, 20	May 05, 20	12,032.47	9,712.57	7,933.03		2,319.90
BROADCOM INC									
VSP		50,000	Mar 27, 19	Mar 04, 20	13,982.19	14,722.05		-739.86	
VSP		1,000	Jan 09, 20	Mar 04, 20	278.05	304.55		-26.50	
VSP		46,000	Apr 07, 20	Dec 11, 20	18,578.96	11,703.42			6,875.54

continued next page



Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or Purchase from value	date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Less (\$)	Gain (\$)
BROADRIDGE FINANCIAL SOLUTIONS INC									
FFO		138,000	Feb 13, 20	Mar 04, 20	14,389.26	16,878.99			-2,479.73
FFO		8,000	Apr 07, 20	Aug 04, 20	1,087.66	808.22			279.44
FFO		46,000	Apr 07, 20	Dec 11, 20	6,763.22	4,647.29			2,115.93
CAMECO CORP CANADA CAD	FFO	1,407,000	Jan 09, 20	Mar 05, 20	12,409.61	12,307.59			102.02
CARDINAL HEALTH INC	FFO	11,000	Mar 02, 20	Mar 16, 20	489.23	489.28	-79.01		
FFO		19,000	Mar 02, 20	Mar 23, 20	759.72	759.72	-199.06		
FFO		5,900	Mar 02, 20	Jun 19, 20	268.29	262.83			5.46
FFO		3,000	Mar 02, 20	Dec 21, 20	160.05	157.70			2.35
CARREFOUR SA SPON ADR	FFO	10,791,000	Jan 10, 20	Mar 18, 20	32,808.24	36,032.09		-3,223.85	
CELANESE CORP NEW	FFO	112,000	Feb 12, 20	Mar 04, 20	10,590.92	12,336.45		-1,745.53	
FFO		20,000	Apr 07, 20	Aug 04, 20	1,952.66	1,521.53			431.13
FFO		32,000	Apr 07, 20	Dec 11, 20	4,167.05	2,494.45			1,732.60
CEMEX S.A.B. DE C.V. SPON ADR	FFO	6,509,000	Jan 10, 20	Mar 05, 20	20,438.46	25,029.71		-4,591.25	
CHEMED CORP NEW	FFO	12,000	May 15, 20	Jul 27, 20	5,621.05	5,326.56			294.49
CHEVRON CORP	FFO	55,000	Jun 05, 19	Jan 30, 20	5,999.16	5,999.16	-451.91		
FFO		58,000	Jun 05, 19	Feb 14, 20	6,366.48	6,802.95		-436.46	
FFO		11,000	Jun 05, 19	Mar 04, 20	1,087.53	1,290.21		-222.68	
FFO		55,000	Jun 09, 20	Mar 04, 20	5,337.63	6,922.05	451.91	-1,584.42	
FFO		323,000	Jan 09, 20	Mar 04, 20	31,346.48	37,997.36		-6,650.90	
FFO		72,000	Apr 07, 20	Apr 16, 20	5,792.60	5,864.41		-71.81	
FFO		151,000	Apr 07, 20	Jul 27, 20	13,678.97	12,298.98			1,379.99
CHINA MOORE LTD SPON ADR	FFO	602,000	Jan 10, 20	Mar 05, 20	23,895.86	25,001.00		-1,105.14	
CHURCH & DWIGHT CO INC	FFO	158,000	Nov 19, 19	Mar 17, 20	10,919.17	10,897.03			22.14
FFO		63,000	Nov 19, 19	Jul 28, 20	5,539.10	4,345.01			1,194.09
CNN FINANCIAL CORP	FFO	57,000	Mar 18, 20	Aug 04, 20	4,415.43	4,649.89		-234.46	
CISCO SYSTEMS INC	VSP	268,000	Jan 09, 20	Mar 04, 20	10,832.93	12,658.28		-1,825.35	
VSP		66,000	Jan 17, 20	Mar 04, 20	2,676.90	3,242.43		-565.53	

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Strategic Wealth Portfolio

Account name: DONALD R. EAVES
 Privately managed money Shady Trust
 Account number: N

Your Financial Advisor
 MLP FINANCIAL GROUP
 423-628-7144/808-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
CITIGROUP INC									
VSP		7,000	Feb 03, 20	Mar 04, 20	282.95	379.94	53.88	-96.99	
VSP		8,000	Feb 19, 20	Mar 04, 20	324.47	332.17	-53.88	-7.70	
VSP		1,000	Jan 28, 19	Jan 09, 20	80.28	63.88			16.40
VSP		4,000	Apr 18, 19	Mar 04, 20	261.89	273.91		-12.02	
VSP		5,000	Feb 03, 20	Mar 04, 20	324.81	448.65	71.85	-123.84	
VSP		116,000	Feb 12, 20	Mar 04, 20	7,594.94	9,190.04	-71.85	-1,595.10	
VSP		52,000	Apr 07, 20	Jul 28, 20	2,655.68	2,152.62			503.06
CITIZENS FINANCIAL GROUP INC									
RFO		172,000	May 09, 19	Mar 04, 20	5,289.18	6,072.41		-783.23	
RFO		225,000	May 22, 19	Mar 04, 20	6,918.98	7,838.21		-919.23	
RFO		2,800	Jan 09, 20	Mar 04, 20	61.50	80.07		-18.57	
RFO		14,000	Apr 05, 20	Dec 21, 20	480.75	263.19			217.56
RFO		65,000	Apr 07, 20	Dec 11, 20	11,701.42	11,527.57			173.85
RFO		25,000	Jul 28, 20	Dec 11, 20	4,500.55	4,148.13			352.42
COCA COLA CO COM									
Adjustment		12,000	Mar 02, 20	Mar 17, 20	558.77	558.77	-98.21		
RFO		127,000	Mar 02, 20	Apr 15, 20	6,074.72	6,074.72	-878.31		
RFO		118,000	Mar 02, 20	Jul 27, 20	5,712.01	6,460.30		-748.29	
RFO		127,000	Apr 07, 20	Jul 27, 20	6,147.67	6,805.89	878.31	-658.19	
RFO		12,000	Apr 07, 20	Jul 27, 20	580.88	658.29	98.21	-77.41	
RFO		6,000	Apr 07, 20	Jul 27, 20	280.44	280.04			10.40
VSP		4,000	Apr 16, 20	Jun 19, 20	189.67	188.78			0.89
RFO		7,000	Apr 16, 20	Dec 21, 20	367.58	330.37			37.21
COCA COLA EUROPEAN PARTNERS EUR									
RFO		1,000	Jan 09, 20	Aug 17, 20	40.67	50.88		-10.21	
RFO		6,000	Feb 03, 20	Aug 17, 20	244.03	322.01		-77.98	
RFO		5,000	Apr 05, 20	Aug 17, 20	203.35	204.40		-1.05	
COLUMBIA SPORTSWEAR CO									
RFO		90,000	Jan 09, 20	Mar 02, 20	7,241.56	9,004.16		-1,762.60	
RFO		71,000	Jan 09, 20	Mar 03, 20	5,686.18	7,103.28		-1,417.10	
RFO		9,000	Jan 17, 20	Mar 03, 20	720.78	868.58		-147.80	

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number:

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4648

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
COMCAST CORP NEW CL A									
VSP		286,000	May 03, 19	Mar 04, 20	11,886.02	12,415.26		-529.18	
VSP		59,000	Jan 17, 20	Mar 04, 20	2,472.64	2,782.21		-309.57	
FPO		61,000	Apr 07, 20	May 11, 20	2,189.98	2,275.21		-85.23	
FPO		101,000	Apr 07, 20	May 12, 20	3,604.36	3,767.15		-162.79	
FPO		180,000	Apr 07, 20	Sep 22, 20	8,223.98	6,713.73			1,510.25
COMERICA INC									
FPO		22,000	Sep 12, 19	Jan 30, 20	1,359.92	1,429.80		-69.88	
FPO		209,000	Jan 09, 20	Jan 30, 20	12,919.23	14,568.30		-1,649.07	
CONOCOPHILIPS									
VSP		37,000	Jul 24, 19	Mar 04, 20	1,811.30	2,238.77		-427.47	
VSP		37,000	Sep 12, 19	Mar 04, 20	1,811.29	2,095.90		-284.61	
VSP		68,000	Feb 10, 20	Mar 04, 20	3,328.87	3,924.21		-595.34	
CORRENT RLTG CORP COM RET									
FPO		72,000	Aug 02, 19	Feb 07, 20	8,144.46	7,780.59			363.87
FPO		67,000	Aug 02, 19	Feb 12, 20	7,546.20	7,240.27			305.93
COSTCO WHOLESALE CORP									
FPO		8,000	Mar 02, 20	Mar 17, 20	2,497.02	2,437.02	-3.69		
FPO		19,000	Mar 02, 20	Apr 13, 20	5,676.47	5,676.47	-120.21		
FPO		19,000	Mar 02, 20	Jun 26, 20	5,654.39	5,796.69		-142.30	
VSP		15,000	Mar 19, 20	Jun 08, 20	4,610.72	4,582.42			28.30
VSP		18,000	Mar 19, 20	Jun 08, 20	5,512.53	5,498.91			13.62
VSP		11,000	Mar 19, 20	Jun 10, 20	3,379.20	3,360.45			18.75
COLSON PROPERTIES INC									
FPO		38,000	Dec 14, 20	Dec 21, 20	1,268.17	1,310.95		-41.78	
FPO		192,000	Oct 14, 19	Feb 27, 20	13,934.84	15,163.89		-1,229.05	
CROWN CASTLE INTL CORP RET									
VSP		108,000	Jul 23, 19	Feb 21, 20	18,099.30	13,668.10			4,431.20
FPO		291,000	Mar 12, 19	Mar 04, 20	44,953.76	46,095.27		-1,141.51	
FPO		2,000	Feb 09, 20	Mar 04, 20	308.96	324.86		-15.90	
FPO		1,000	Apr 06, 20	Jun 19, 20	172.58	142.75			29.83
FPO		152,000	Apr 06, 20	Sep 02, 20	32,962.69	21,698.08			10,664.61
FPO		1,000	Apr 06, 20	Dec 21, 20	222.03	142.75			79.28
CURTIS WRIGHT CORP									
FPO		13,000	Jun 27, 19	Jun 21, 20	1,921.76	1,569.50			352.26
FPO		110,000	Jun 27, 19	Mar 11, 20	11,466.79	13,449.60		-1,982.81	

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
Primary account name: SWIFT TRUST
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
CYRUSONE INC REIT									
RFO		121,000	Feb 07, 20	Jun 12, 20	8,803.88	8,064.50	593.77		739.38
RFO		3,000	Feb 07, 20	Jun 12, 20	218.28	185.22			33.06
VSP		121,000	Feb 12, 20	Mar 04, 20	7,742.63	7,742.63	-593.77		
RFO		66,000	Apr 07, 20	Jun 12, 20	4,802.12	4,117.73			684.39
DAVWEER CORP									
RFO		19,000	Mar 18, 20	Jun 08, 20	3,253.98	2,359.71			894.27
RFO		29,000	Mar 19, 20	Jun 08, 20	4,969.08	3,601.65			1,367.38
RFO		7,000	Mar 19, 20	Oct 20, 20	1,601.28	869.37			731.91
RFO		17,000	Mar 19, 20	Oct 23, 20	3,964.07	2,111.31			1,852.76
RFO		16,000	Mar 19, 20	Oct 26, 20	3,727.51	1,987.12			1,740.39
DANDEN RESTAURANTS INC									
RFO		76,000	Jan 09, 20	Mar 02, 20	7,357.86	8,712.38		-1,354.72	
RFO		64,000	Jan 09, 20	Mar 04, 20	6,017.79	7,336.74		-1,318.95	
RFO		4,000	Jan 17, 20	Mar 04, 20	376.11	452.27		-76.16	
DELTA AIR LINES INC DELA NEW									
RFO		870,000	Dec 13, 19	Mar 04, 20	40,657.33	49,325.78		-8,668.45	
RFO		3,000	Feb 03, 20	Mar 04, 20	140.20	169.17		-28.97	
DIAGEO PLC NEW GB SPON ADR									
RFO		95,000	Jan 09, 20	Mar 02, 20	13,664.26	16,104.91		-2,440.65	
RFO		8,000	May 11, 20	Aug 19, 20	1,608.38	1,462.43			145.95
DOUGLAS EMARETT INC									
RFO		181,000	Jan 27, 20	Mar 04, 20	7,386.95	7,513.84		-226.89	
RFO		188,000	Feb 14, 20	Mar 04, 20	7,572.84	8,492.71		-820.07	
DOW INC									
RFO		70,000	Sep 12, 19	Feb 28, 20	2,689.36	3,287.86		-598.50	
RFO		69,000	Jan 09, 20	Feb 28, 20	2,660.80	3,606.98		-946.18	
RFO		171,000	Jan 09, 20	Mar 04, 20	7,016.40	8,839.02		-1,822.62	
DTE ENERGY CO									
RFO		188,000	Feb 21, 20	Mar 04, 20	21,880.44	25,383.46		-3,503.02	
DUNN BRANDS GROUP INC **MERGER: 12/2020**									
RFO		94,000	Apr 28, 20	Jun 29, 20	6,071.59	5,884.20			207.39
EASTMAN CHEMICAL CO									
RFO		1,000	Jan 10, 20	Feb 03, 20	72.10	72.10	-2.81		
RFO		109,000	Jan 10, 20	Mar 04, 20	6,674.27	8,185.22		-1,490.95	
RFO		1,000	Jan 24, 20	Mar 04, 20	61.23	76.13	2.81		-14.90
RFO		344,000	Jan 24, 20	Mar 04, 20	21,063.76	25,221.87		-4,157.91	
RFO		3,000	Apr 06, 20	Jun 19, 20	212.81	149.57			63.24

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
Fidelity account name: Schwab Trust
Account number:

Your Financial Advisor
WLP FINANCIAL GROUP
423-5218-7144/800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
EATON CORP PLC	FFO	204,000	Apr 06, 20	Sep 14, 20	16,341.45	10,170.79			6,170.66
	FFO	5,000	Apr 06, 20	Dec 21, 20	506.24	249.28			256.95
	FFO	1,000	Jan 09, 20	Aug 20, 20	99.75	95.29			4.46
	FFO	2,000	Feb 03, 20	Aug 20, 20	199.50	191.27			8.23
	FFO	7,000	Mar 18, 20	Aug 20, 20	698.24	419.23			279.01
	FFO	6,000	Mar 18, 20	Aug 20, 20	598.50	404.09			194.41
	FFO	5,000	Apr 06, 20	Aug 20, 20	498.74	304.93			113.81
	FFO	366,000	May 16, 19	Mar 05, 20	5,267.14	6,287.99		-1,020.85	
	FFO	1,317,000	Jan 10, 20	Mar 05, 20	18,953.05	25,915.40		-6,962.35	
EMCOR GROUP INC	FFO	172,000	Aug 29, 19	Mar 04, 20	13,769.80	14,667.40		-877.60	
	FFO	15,000	Jan 17, 20	Mar 04, 20	1,202.60	1,301.24		-98.64	
	FFO	94,000	Feb 21, 20	Mar 04, 20	7,536.28	8,453.90		-917.62	
ENEL CHILE SA SPON ADR	FFO	3,399,000	Jan 10, 20	Mar 05, 20	14,800.96	16,753.37		-1,954.41	
ENI SPA IT SPON ADR	FFO	32,000	Jan 10, 20	Mar 05, 20	374.19	1,005.33		-231.14	
	FFO	742,000	Jan 21, 20	Mar 05, 20	17,951.63	22,755.81		-4,804.18	
EOG RESOURCES INC	FFO	76,000	Jan 09, 20	Feb 28, 20	4,451.71	6,620.82		-2,169.11	
	FFO	112,000	Jan 09, 20	Mar 03, 20	7,086.43	9,756.99		-2,670.56	
ESSEX PROPERTY TRUST INC	FFO	23,000	Feb 21, 20	Mar 04, 20	6,858.68	7,523.16		-670.48	
	FFO	24,000	Feb 26, 20	Mar 04, 20	7,156.88	7,699.38		-542.50	
	FFO	2,000	Apr 07, 20	Apr 20, 20	504.53	431.60			72.93
	FFO	23,000	Apr 07, 20	Dec 02, 20	5,677.14	4,963.39			713.75
EXELON CORP	FFO	321,000	Jan 09, 20	Jan 27, 20	15,204.59	14,791.68			412.91
EXPERIENTIONS INTL WASH INC	FFO	109,000	Jan 09, 20	Feb 05, 20	8,050.95	8,389.91		-318.96	
	FFO	102,000	Jan 09, 20	Feb 20, 20	7,790.65	7,832.40		-41.75	
EXXON MOBIL CORP	FFO	149,000	Mar 11, 20	Jul 28, 20	6,512.22	6,317.17			195.05
	FFO	135,000	Apr 07, 20	Jul 28, 20	5,900.33	5,592.66			307.67
FACEBOOK INC CL A	FFO	16,000	Mar 19, 20	May 19, 20	3,456.88	2,505.68			951.20
	FFO	60,000	Mar 19, 20	Jun 08, 20	13,860.45	9,396.30			4,464.15
	FFO	8,000	Mar 19, 20	Aug 18, 20	2,130.51	1,262.84			877.67

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Siding Trust
 Account number:

Your Financial Advisor
 W&P FINANCIAL GROUP
 423-528-7144/500-729-4948

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(1)	Loss (\$)	Gain (\$)
FIDELITY NATIONAL FINANCIAL IN COM	FFO	6,000	Mar 19, 20	Oct 20, 20	1,615.55	939.63			675.92
VSP		74,000	Sep 26, 19	Mar 04, 20	5,080.95	3,080.95	-212.69		
VSP		1,000	Jan 09, 20	Mar 04, 20	41.64	41.64	-4.30		
VSP		5,000	Feb 03, 20	Mar 04, 20	208.17	208.17	-37.48		
VSP		100,000	Mar 17, 20	Mar 24, 20	2,429.99	2,429.99	-148.85		
VSP		1,000	Mar 17, 20	Apr 09, 20	29.13	29.13	3.34		
VSP		18,000	Mar 17, 20	Apr 09, 20	524.34	464.19			60.15
VSP		87,000	Apr 06, 20	Nov 10, 20	2,961.04	2,148.03			813.01
VSP		7,000	Sep 25, 20	Nov 10, 20	238.25	217.26			20.99
FIFTH THIRD BANCORP ADR ADR	FFO	560,000	Jan 30, 20	Mar 04, 20	13,693.32	16,127.55		-2,434.23	
GARMIN LTD SHS CHF	FFO	7,503,000	Jan 10, 20	Mar 05, 20	10,429.69	13,055.22		-2,625.53	
FFO		83,000	Mar 16, 20	Jul 28, 20	8,212.54	5,974.11			2,238.43
FFO		42,000	Apr 13, 20	Jul 28, 20	4,155.74	3,257.61			898.13
FFO		26,000	Apr 23, 20	Jul 28, 20	2,572.80	2,079.09			493.71
GENERAL MOTORS CO	FFO	249,000	Jan 09, 20	Feb 05, 20	8,866.69	8,694.68		-27.99	
FFO		218,000	Jan 09, 20	Feb 07, 20	7,356.54	7,612.21		-255.67	
GENL DYNAMICS CORP	FFO	42,000	Oct 29, 19	Mar 02, 20	6,773.34	7,427.29		-653.95	
FFO		45,000	Jan 22, 20	Mar 02, 20	7,257.14	8,395.44		-1,078.30	
GENL ELECTRIC CO	FFO	139,000	Mar 22, 19	Jan 21, 20	1,552.69	1,354.49			198.20
FFO		657,000	Mar 22, 19	Mar 12, 20	4,793.82	6,680.95		-1,887.13	
GENL MILLS INC	FFO	20,000	Oct 09, 20	Dec 21, 20	1,172.01	1,238.02		-66.01	
GENLINE PARTS CO	FFO	4,000	Aug 20, 20	Dec 21, 20	379.67	370.37			9.30
GILD SCIENCE INC	FFO	27,000	Apr 30, 19	Mar 16, 20	1,907.92	1,748.34			159.58
FFO		21,000	Apr 30, 19	Mar 23, 20	1,529.82	1,359.81			170.01
GLOBAL PAYMENTS INC	FFO	307,000	Apr 30, 19	Mar 31, 20	22,867.06	19,879.27			2,987.79
FFO		35,000	Jul 28, 20	Nov 25, 20	6,880.15	6,086.94			793.21
GAS ADR	FFO	368,000	Feb 04, 20	Mar 05, 20	5,881.36	4,625.75			1,255.61
HARTFORD FINCL SERVICES GROUP INC	FFO	159,000	May 08, 20	Jul 28, 20	6,442.37	5,736.37			706.00

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
Fidelity account name: Swing Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
HCA HEALTHCARE, INC	FFO	50,000	Feb 05, 20	Mar 04, 20	6,785.94	7,550.98		-765.04	
HEIDELBERGCEMENT AG UNSPONSORED ADR	FFO	1,788,000	Jun 17, 20	Mar 05, 20	20,382.75	25,296.27		-4,913.52	
HILL ROM HOLDINGS INC	FFO	146,000	Jul 15, 19	Jun 22, 20	16,610.74	15,464.07			1,146.67
HONE DEPOT INC	FFO	160,000	Mar 27, 19	Feb 19, 20	39,010.46	30,333.63			8,676.85
	FFO	69,000	Jun 06, 19	Feb 19, 20	16,823.27	13,582.22			3,241.05
	FFO	1,000	Feb 03, 20	Feb 19, 20	243.82	232.72			11.10
	FFO	1,000	Mar 02, 20	Apr 09, 20	204.14	204.14	-19.90		
	FFO	17,000	Mar 02, 20	May 22, 20	4,076.90	3,808.72			268.18
	VSP	29,000	Mar 19, 20	Apr 13, 20	5,740.31	4,593.22			1,147.09
	VSP	6,000	Mar 19, 20	Sep 21, 20	1,609.29	950.33			658.96
	VSP	27,000	Mar 19, 20	Sep 22, 20	7,354.21	4,276.44			3,077.77
HONDA MOTOR CO ADR JAPAN ADR	FFO	174,800	Aug 16, 19	Mar 18, 20	3,597.37	4,038.23		-440.86	
	VSP	726,000	Jan 10, 20	Mar 05, 20	18,683.20	20,150.13		-1,466.93	
HONEYWELL INTL INC	FFO	90,000	Feb 05, 20	Mar 04, 20	15,190.43	15,934.32		-743.89	
	FFO	52,000	Feb 21, 20	Mar 04, 20	8,776.69	9,363.36		-586.67	
	FFO	30,000	Apr 07, 20	Apr 13, 20	4,160.92	4,160.92	-39.83		
	FFO	11,000	Apr 07, 20	Apr 14, 20	1,548.08	1,540.26			7.80
	Adjustment	12,000	Apr 07, 20	May 11, 20	1,616.95	1,616.95	-63.35		
	Adjustment	11,000	Apr 07, 20	May 12, 20	1,442.36	1,442.36	-97.91		
	Adjustment	13,000	Apr 07, 20	May 13, 20	1,856.39	1,856.39	-243.99		
	FFO	9,000	Apr 07, 20	Oct 20, 20	1,567.05	1,260.22			306.83
	FFO	42,000	Apr 07, 20	Nov 18, 20	8,514.47	5,881.05			2,633.42
	FFO	23,000	Apr 07, 20	Nov 18, 20	4,635.86	3,220.38			1,415.28
	FFO	11,000	Apr 07, 20	Nov 20, 20	2,222.28	1,540.27			682.01
	VSP	39,000	Apr 07, 20	Nov 25, 20	8,055.77	5,295.50			2,760.27
HSBC HOLDINGS PLC NEW GB SPON ADR	FFO	327,000	Jan 10, 20	Mar 05, 20	10,635.44	12,475.02		-1,839.58	

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Strategic Wealth Portfolio

Account name: DONALD R. EAVES
Primary account name: Swiss Trust
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-828-7144/800-729-4648

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
HUMANIA INC.									
FFO		13,000	Jun 28, 19	Jun 09, 20	4,746.72	3,445.57			1,301.15
FFO		44,000	Jun 28, 19	Mar 16, 20	12,474.56	11,661.93			812.63
HUNTINGTON BANCSHARES									
FFO		23,000	Apr 08, 20	Sep 25, 20	200.15	173.31			26.84
FFO		12,000	Apr 08, 20	Dec 21, 20	148.43	90.42			58.01
INTERVAL BRANDS PLC SPON ADR									
FFO		812,000	Jan 10, 20	Mar 05, 20	17,262.74	20,880.74		-3,618.00	
FFO		271,000	Feb 21, 20	Mar 05, 20	5,761.33	6,122.38		-361.05	
INTERCONTINENTAL EXCHANGE GROUP									
FFO		30,000	Feb 07, 20	Mar 17, 20	2,304.82	2,304.82	-484.66		
FFO		8,000	Feb 07, 20	Apr 09, 20	700.41	700.41	-43.45		
FFO		79,000	Feb 07, 20	Jul 27, 20	7,510.66	7,245.62			165.04
VSP		29,000	Mar 19, 20	May 11, 20	2,773.24	2,111.31			611.93
VSP		39,000	Mar 19, 20	May 12, 20	3,661.29	2,839.36			821.93
VSP		16,000	Mar 19, 20	Jul 21, 20	1,523.33	1,164.87			358.46
INTERPUBLIC GROUP OF COS INC									
FFO		7,000	May 06, 20	Jun 19, 20	122.00	111.83			10.17
FFO		14,000	May 06, 20	Dec 21, 20	326.75	223.66			103.09
ISHARES MSCI ACWI EX US ETF									
FFO		10,615,000	Dec 10, 19	Jan 10, 20	523,680.19	511,501.82			12,178.37
FFO		16,713,000	Mar 05, 20	Mar 18, 20	549,678.42	746,735.17		-197,056.75	
ISHARES RUSSEL 1000 VALUE ETF									
FFO		2,064,000	Dec 09, 19	Jan 09, 20	281,984.65	278,053.41			3,931.24
FFO		300,000	Mar 04, 20	Mar 24, 20	27,957.88	37,502.73		-9,544.85	
FFO		4,063,000	Mar 04, 20	Apr 07, 20	415,812.26	508,161.99		-92,349.73	
ISHARES SELECT DIVID ETF									
FFO		335,000	Dec 09, 19	Jan 09, 20	35,132.90	34,745.13			387.77
FFO		493,000	Dec 09, 19	Feb 03, 20	51,513.98	51,132.38			381.60
FFO		646,000	Mar 04, 20	Mar 16, 20	46,753.85	61,676.79		-14,922.94	
FFO		338,000	Mar 04, 20	Mar 18, 20	37,638.56	51,365.48		-13,726.93	
FFO		87,000	Mar 04, 20	Mar 23, 20	5,614.41	8,306.32		-2,691.91	
FFO		4,967,000	Mar 04, 20	Apr 06, 20	368,190.11	474,223.83		-106,033.72	
FFO		243,000	Feb 14, 20	Mar 04, 20	14,535.36	16,798.27		-2,262.91	

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
Friendly account name: Saving Trust
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4648

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
1. SANBURY PLC SPON ADR									
VSP		628,000	May 10, 19	Mar 05, 20	6,890.54	6,968.22		-77.68	
PFO		578,000	Aug 16, 19	Mar 18, 20	5,802.41	5,149.81			652.60
VSP		1,459,000	Feb 06, 20	Mar 05, 20	15,982.89	15,585.48			397.51
JOHNSON & JOHNSON COM									
VSP		117,000	Apr 16, 19	Mar 04, 20	16,477.79	16,287.30			190.49
VSP		13,000	Apr 16, 19	Mar 04, 20	1,830.87	1,809.00			21.87
JPMORGAN CHASE & CO									
VSP		11,000	Jun 25, 19	Mar 15, 20	986.79	986.79	-205.31		
VSP		8,000	Jun 25, 19	Mar 23, 20	644.58	644.58	-222.41		
VSP		2,000	Jun 25, 19	Jun 19, 20	197.59	216.74		-19.15	
VSP		1,000	Jun 09, 20	Oct 21, 20	100.25	137.54		-37.29	
VSP		1,000	Feb 03, 20	Oct 21, 20	100.25	133.69		-33.44	
VSP		8,000	Mar 18, 20	Oct 21, 20	802.00	675.28			126.72
VSP		8,000	Mar 18, 20	Oct 21, 20	801.99	644.94			157.05
VSP		6,000	Apr 06, 20	Oct 21, 20	601.50	534.66			66.84
VSP		2,000	Sep 25, 20	Oct 21, 20	200.50	184.68			15.82
KEYCORP NEW									
PFO		2,538,000	Feb 20, 20	Mar 04, 20	40,840.60	50,690.71		-9,850.11	
PFO		7,000	Apr 06, 20	Jun 19, 20	93.23	70.04			23.19
PFO		27,000	Apr 06, 20	Dec 21, 20	418.56	270.13			148.43
KIMCO REALTY CORP									
PFO		13,000	Aug 14, 19	Feb 03, 20	252.23	239.43			12.80
PFO		1,574,000	Aug 14, 19	Mar 04, 20	28,697.00	28,989.80		-292.80	
PFO		509,000	Jan 07, 20	Mar 04, 20	9,280.04	10,077.59		-797.55	
PFO		1,546,000	Apr 06, 20	Apr 16, 20	13,178.28	13,525.02		-346.74	
PFO		2,051,000	Apr 06, 20	Apr 27, 20	18,486.28	17,942.97			543.31
KINGSHER PLC NEW SPON ADR									
PFO		729,000	Jun 07, 19	Mar 05, 20	3,311.05	3,885.28		-574.23	
PFO		5,391,000	Jan 10, 20	Mar 05, 20	24,465.38	29,528.12		-5,062.74	
KLA CORP									
PFO		46,000	Feb 28, 20	Jul 28, 20	8,941.99	6,740.43			2,201.56
PFO		40,000	Mar 18, 20	Jul 28, 20	7,775.65	5,130.05			2,645.60

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
 Friendly account name: Switho Trust
 Account number: , PA

Your Financial Advisor
 WILF FINANCIAL GROUP
 423-928-7144/800-729-0848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LAMAR ADVERTISING CO NEW C.L.A.									
PRD		1,000	Jan 08, 20	Apr 02, 20	40.47	89.19		-48.72	
PRD		1,000	Mar 18, 20	Apr 02, 20	40.47	38.19			1.28
PRD		6,000	Mar 18, 20	Apr 02, 20	242.83	232.48			10.35
LAMB WESTON HLDGS INC									
PRD		34,000	Jul 09, 19	Jan 09, 20	3,109.15	2,206.07		-40.72	897.08
PRD		73,000	Jul 09, 19	Mar 15, 20	4,295.84	4,736.56		-12.12	
PRD		1,000	Jul 09, 19	Mar 17, 20	52.76	64.88			
PRD		102,000	Jul 09, 19	May 15, 20	5,390.33	6,618.21		-1,227.88	
LAUDER ESTEE COS C.L.A.									
PRD		39,000	Mar 15, 20	Apr 20, 20	6,705.88	5,052.01			653.87
VSP		25,000	Mar 19, 20	Apr 13, 20	4,044.75	3,894.88			149.87
VSP		9,000	Mar 19, 20	Apr 14, 20	1,488.30	1,402.15			86.15
PRD		26,000	Mar 19, 20	Sep 09, 20	5,697.03	4,050.67			1,646.36
PRD		8,000	Mar 19, 20	Sep 10, 20	1,733.71	1,246.35			487.35
LIFE STORAGE INC REIT									
PRD		3,000	Aug 17, 20	Dec 21, 20	348.26	306.04			42.22
LINDE PLC EUR									
PRD		74,000	Feb 27, 20	Mar 04, 20	14,859.81	14,934.56		-74.75	
PRD		101,000	Apr 07, 20	Jul 28, 20	24,876.58	18,269.32			6,607.26
PRD		20,000	Apr 13, 20	Jul 28, 20	4,926.05	3,722.90			1,203.15
LOWES COMPANIES INC									
PRD		145,000	Jun 03, 19	Mar 02, 20	15,660.71	13,676.69			1,984.02
PRD		6,000	Jun 03, 19	Mar 16, 20	514.40	514.40			
PRD		124,000	Aug 21, 19	Mar 16, 20	10,630.93	10,630.93			
PRD		77,000	Mar 19, 20	Apr 13, 20	7,308.31	5,286.12			2,022.19
PRD		53,000	Mar 19, 20	Sep 22, 20	8,527.31	3,638.51			4,888.80
LYONDELBASELL INDUSTRIES N V SHS - A - C.L.A. EUR									
PRD		2,000	Feb 03, 20	Mar 04, 20	143.84	158.16		-14.32	
PRD		5,000	Apr 06, 20	Jun 19, 20	340.59	247.86			92.73
PRD		592,000	Apr 06, 20	Jul 10, 20	38,353.05	29,346.15			9,006.90
LI HARRIS TECHNOLOGIES INC									
PRD		3,000	Mar 02, 20	Mar 17, 20	508.09	508.09	-91.03		
M & T BANK CORP									
PRD		6,000	Jul 24, 19	Mar 02, 20	854.88	967.14		-112.26	
PRD		3,000	Sep 12, 19	Mar 02, 20	427.44	473.90		-46.46	

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
Identify account names: Swing Trust
Account number: 1111111111

Your Financial Advisor:
WLP FINANCIAL GROUP
423-828-7144/800-729-4848

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	FIFO	88,000	Jan 09, 20	Mar 02, 20	12,538.21	14,670.96		-2,132.75	
	FIFO	470,000	Mar 21, 19	Mar 04, 20	22,154.09	23,003.21		-849.12	
	FIFO	473,000	Mar 22, 19	Mar 04, 20	22,295.50	22,584.33		-288.83	
	FIFO	2,000	Jan 09, 20	Mar 04, 20	94.27	108.03		-13.76	
	FIFO	5,000	Feb 03, 20	Mar 04, 20	235.88	254.84		-19.16	
	FIFO	4,000	Apr 06, 20	Jun 19, 20	179.28	128.79			50.49
	FIFO	8,000	Apr 06, 20	Dec 21, 20	501.20	257.59			243.61
MARATHON PETROLEUM CO INC SPON ADR	FIFO	276,000	Jan 09, 20	Mar 04, 20	12,485.66	16,238.79		-3,753.13	
MARKS & SPENCER GROUP INC SPON ADR	FIFO	1,057,000	Aug 16, 19	Mar 05, 20	4,256.76	4,683.78		-427.02	
	FIFO	3,996,000	Jan 10, 20	Mar 05, 20	16,092.74	19,914.87		-3,822.13	
MASTERCARD INC CL A	FIFO	8,000	Mar 16, 20	May 22, 20	2,355.71	2,098.89			318.82
	FIFO	22,000	Mar 16, 20	Jun 10, 20	6,880.27	5,601.45			1,278.82
MCDONALDS CORP	FIFO	36,080	Jun 27, 20	Apr 28, 20	6,721.83	7,577.34		-855.51	
	FIFO	10,000	Jan 30, 20	Apr 28, 20	1,867.18	2,149.54		-282.36	
MEDTRONIC PLC	FIFO	68,000	Mar 11, 20	Jul 27, 20	6,554.07	6,324.11			229.95
MERCK & CO INC NEW COM	FIFO	191,000	Feb 11, 20	Mar 04, 20	15,610.68	16,563.07	415.06	-1,052.39	
	VSP	605,000	Feb 21, 20	Mar 04, 20	48,588.93	49,233.16	-671.48	-643.23	
	FIFO	118,000	Feb 21, 20	Mar 04, 20	9,644.29	9,914.26	256.42	-269.97	
	FIFO	3,000	Apr 06, 20	Jun 19, 20	229.85	236.39		-6.54	
	FIFO	5,000	Apr 06, 20	Dec 21, 20	393.34	393.99		-0.65	
	VSP	34,000	Apr 07, 20	Jul 27, 20	2,694.85	2,681.38			3.47
NETLIFE INC	FIFO	173,000	Apr 07, 20	Jul 27, 20	6,557.53	5,482.40			1,085.15
MICROSOFT CORP	FIFO	14,000	Mar 02, 20	May 22, 20	2,561.73	2,337.98			223.75
	FIFO	4,000	Mar 02, 20	Aug 12, 20	838.30	667.99			170.31
	VSP	66,000	Mar 19, 20	May 11, 20	12,240.88	9,645.90			2,594.98
	VSP	20,000	Mar 19, 20	May 12, 20	3,712.76	2,923.00			789.76
	VSP	11,000	Mar 19, 20	May 19, 20	2,046.28	1,807.65			438.63
	VSP	68,000	Mar 19, 20	Jun 08, 20	12,790.39	9,938.20			2,852.19

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Strategic Wealth Portfolio

Account name: DONALD R. JENNES
 Friendly account names: Schwab Trust
 Account number: 4814

Your Financial Advisor
 WIL FINANCIAL GROUP
 423-528-7144/800-729-4848

Realized gains and losses (continued) Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MITSUBISHI TANABE PHARMA CORP **EXCHANGE:05/20/20**									
FFO		476,000	Apr 15, 19	Mar 18, 20	7,906.18	6,188.29			1,717.89
FFO		385,000	May 14, 19	Mar 18, 20	6,394.71	4,408.60			1,986.11
MITSUBISHI UFI FINANCIAL GROUP INC SPON ADR									
FFO		993,000	May 16, 19	Mar 18, 20	3,156.45	4,262.88		-1,106.43	
FFO		29,000	Mar 19, 20	Sep 21, 20	1,614.54	1,265.43			349.11
FFO		57,000	Mar 19, 20	Nov 18, 20	3,390.51	2,489.19			841.32
FFO		83,000	Mar 19, 20	Nov 19, 20	4,751.95	3,624.61			1,127.34
FFO		97,000	Jan 28, 19	Jan 21, 20	5,412.05	4,005.05			1,220.00
FFO		9,000	Mar 31, 20	Dec 21, 20	575.83	375.94			199.89
NETAPP INC									
FFO		10,000	Feb 28, 20	Apr 13, 20	2,367.65	2,367.65	-77.16		
NECTERA ENERGY INC COM									
Adjustment		35,000	Mar 02, 20	Apr 13, 20	2,972.49	3,001.27	-172.68	-28.78	
FFO		38,000	Mar 02, 20	Jun 29, 20	3,631.98	3,446.01			185.97
FFO		30,000	May 01, 20	Jun 29, 20	2,867.36	2,725.38	172.68		141.98
NISSAN MTR LTD SPONS ADR									
FFO		1,439,000	Jan 10, 20	Mar 05, 20	11,792.35	16,675.42		-4,883.07	
NOVA CORP SPONS ADR									
FFO		5,149,000	Jan 10, 20	Mar 05, 20	18,947.90	20,776.22		-1,828.32	
NTN TRUST CORP									
FFO		272,000	Jul 27, 20	Dec 09, 20	25,370.76	20,842.44			4,528.32
NOV SEMICONDUCTORS NV COM EDR									
FFO		88,000	Jan 28, 19	Jan 16, 20	11,766.26	7,442.86			4,323.40
FFO		62,000	Mar 17, 20	Mar 18, 20	3,989.61	4,880.45		-900.84	
O RIELLY AUTOMOTIVE INC									
FFO		4,000	Mar 19, 20	May 11, 20	1,594.77	1,200.36			394.41
FFO		5,000	Mar 19, 20	May 12, 20	1,988.73	1,500.44			488.29
OCCIDENTAL PETROLEUM CORP									
FFO		922,000	Jan 09, 20	Feb 26, 20	33,314.54	40,989.17		-7,674.63	
FFO		15,000	Feb 03, 20	Feb 26, 20	541.99	604.91		-62.92	
OLD REPUBLIC INTL CORP									
VSP		6,000	Jan 09, 20	Mar 04, 20	125.65	134.03		-8.38	
VSP		3,000	Feb 03, 20	Mar 04, 20	62.82	68.90		-6.08	
VSP		764,000	Feb 12, 20	Mar 04, 20	15,999.18	17,777.75		-1,778.57	

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Swiss Trust
 Account number:

Your Personal Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ONEOK INC NEW									
FFO		210,000	Feb 09, 20	Mar 04, 20	14,556.90	15,768.65		-1,211.75	
FFO		64,000	Jan 17, 20	Mar 04, 20	3,154.69	3,532.11		-377.42	
ORACLE CORP									
VSP		956,000	Feb 21, 20	Mar 05, 20	13,106.57	13,962.17		-855.60	
FFO		32,000	Mar 19, 20	May 11, 20	5,051.89	3,362.10			1,689.79
PARKER HANNIFIN CORP									
PETROLEO BRASILEIRO SA									
PETROBRAS NON VTG SPON ADR									
FFO		851,000	Mar 13, 20	Mar 18, 20	3,619.56	4,680.50		-1,060.94	
VSP		9,000	Feb 03, 20	Mar 04, 20	318.33	338.13		-19.80	
PFEZER INC									
FFO		95,000	Oct 08, 19	Jul 27, 20	7,464.17	7,282.20			181.97
VSP		187,000	Jan 09, 20	Mar 04, 20	16,133.79	16,462.23		-328.44	
FFO		62,000	Apr 07, 20	Jul 27, 20	4,871.36	4,634.89			236.47
PRINACE WEST CAP CORP									
FFO		181,000	Jan 09, 20	Feb 21, 20	18,769.87	16,190.02			2,579.85
PNC FINANCIAL SERVICES GROUP									
FFO		47,000	Jul 15, 19	Mar 20, 20	4,253.51	4,253.51	-2,374.90	-2,308.75	
FFO		58,000	Jul 15, 19	May 15, 20	5,669.98	8,178.73		-110.89	
FFO		3,000	Jul 15, 19	May 19, 20	312.20	423.09		-1,337.78	
FFO		62,000	Mar 02, 20	May 19, 20	6,482.09	7,988.87		-239.17	
FFO		10,000	Mar 02, 20	Jul 27, 20	1,049.52	1,288.69			
PORTLAND GENL ELEC CO NEW									
FFO		5,000	Aug 14, 20	Dec 21, 20	201.09	211.99		-10.90	
PRIMERICA INC									
FFO		126,000	Aug 16, 19	Mar 04, 20	14,513.62	14,594.74		-81.12	
FFO		7,000	Feb 10, 20	Mar 04, 20	806.31	857.02		-50.71	
FFO		9,000	Apr 07, 20	Aug 04, 20	1,085.83	798.31			287.52
FFO		52,000	Apr 07, 20	Dec 11, 20	7,028.19	4,612.46			2,415.73
PROCTER & GAMBLE CO									
FFO		62,000	Feb 14, 20	Jul 27, 20	7,832.36	7,803.46			28.90
FFO		66,000	Feb 28, 20	Jul 27, 20	8,337.67	7,117.41			1,220.26
PROGRESSIVE CORP OHIO									
FFO		251,000	Jan 09, 20	Feb 12, 20	20,757.22	18,491.15			2,266.07
FFO		198,000	Jan 09, 20	Mar 17, 20	14,897.57	14,598.84			310.93
PROLOGIS INC COM									
FFO		41,000	Feb 21, 20	Apr 13, 20	3,557.37	3,557.37			136.43
FFO		22,000	Feb 21, 20	Aug 04, 20	2,324.47	2,188.04			

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Strategic Wealth Portfolio

Account name: DONALD R JAMES
 Friendly account name: Siding Trust
 Account number:

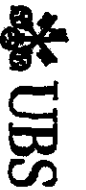
Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-928-7144/800-728-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(1)	Loss (\$)	Gain (\$)
PUBLIC SERVICE ENTERPRISE GROUP INC									
VP		31,000	Jan 17, 20	Mar 04, 20	1,675.75	1,817.34		-141.59	
VP		277,000	Jan 22, 20	Mar 04, 20	14,973.63	16,724.18		-1,750.55	
VP		35,000	Feb 10, 20	Mar 04, 20	1,891.97	2,005.60		-113.63	
PUBLIC STORAGE REIT									
PRO		31,000	Feb 27, 20	Mar 04, 20	6,922.15	6,874.97			47.18
PRO		27,000	Apr 07, 20	Jul 27, 20	5,011.89	5,355.64		-343.75	
PUBLICIS GROUPE S.A. NEW SPON ADR									
PRO		3,216,000	Jan 10, 20	Mar 05, 20	29,330.56	37,668.47		-8,337.91	
PRO		374,000	Feb 06, 20	Mar 05, 20	3,410.96	4,154.48		-743.52	
PRO		2,000	Jan 09, 20	Mar 04, 20	28.40	33.02		-4.62	
PRO		16,000	Feb 03, 20	Mar 04, 20	227.24	253.44		-26.20	
REGIONS FINANCIAL CORP									
PRO		1,358,000	Jan 10, 20	Mar 05, 20	6,952.95	12,808.99		-5,856.04	
PRO		778,000	Feb 14, 20	Mar 05, 20	8,643.47	10,657.98		-2,014.51	
RENAULT S.A. UNRECORDED ADR									
PRO		46,000	Feb 08, 19	Jan 21, 20	1,952.20	1,581.77			400.43
PRO		34,000	Apr 16, 19	Feb 21, 20	1,493.33	1,138.07			355.26
ROCHE HLDG LTD SPONS ADR									
PRO		22,000	Mar 19, 20	Sep 09, 20	4,933.09	2,969.01			1,964.08
PRO		9,000	Mar 19, 20	Sep 10, 20	1,998.00	1,214.60			783.40
ROCKWELL AUTOMATION INC NEW									
PRO		44,000	Jan 08, 20	Mar 04, 20	15,782.17	16,302.43		-520.26	
PRO		10,000	Apr 07, 20	May 22, 20	3,646.89	3,092.70			554.19
PRO		9,000	Apr 07, 20	Jun 10, 20	3,608.47	2,783.43			825.04
PRO		10,000	Apr 07, 20	Jun 12, 20	3,881.09	3,092.70			788.39
PRO		30,000	Apr 07, 20	Jul 28, 20	12,869.04	9,278.09			3,590.95
PRO		13,000	Apr 13, 20	Jul 28, 20	5,576.59	4,080.56			1,496.03
PRO		65,000	Mar 12, 20	Apr 09, 20	5,747.48	6,023.86		-276.38	
ROYAL CARIBBEAN CRUISES LTD LIBRIA ORD									
PRO		67,000	Jul 15, 19	Feb 11, 20	7,549.96	7,573.36		-23.40	
PRO		69,000	Jul 15, 19	Mar 04, 20	5,220.85	7,759.43		-2,578.58	
ROYAL GOLD INC									
PRO		12,000	Mar 26, 20	Aug 04, 20	1,706.24	1,111.90			594.34
SALESFORCE.COM INC									
PRO		9,000	May 11, 20	Aug 19, 20	1,861.98	1,628.01			233.97

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Swing Trust
 Account number: V

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-723-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Identified	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
SEMPRA ENERGY	FFO	46,000	Apr 13, 20	Dec 11, 20	5,860.72	5,866.58		-25.86	
	FFO	56,000	Apr 16, 20	Dec 11, 20	7,134.80	6,682.12			442.68
SHERMAN WILLIAMS CO	FFO	3,000	May 11, 20	Aug 19, 20	2,005.58	1,675.10			330.48
STAG IND. INC SRI	FFO	7,000	Jul 10, 20	Dec 21, 20	212.18	203.96			8.22
STANLEY BLACK & DECKER INC COM	FFO	108,000	Sep 06, 19	Jan 22, 20	18,209.79	14,903.27			3,306.52
STARBUCKS CORP	FFO	80,000	Apr 07, 20	Jun 29, 20	5,840.80	5,510.15			330.65
STERIS PLC	FFO	38,000	Apr 20, 20	Jun 26, 20	5,671.42	5,940.93		-269.51	
STRYKER CORP	FFO	49,000	Dec 23, 19	Mar 26, 20	7,575.64	8,311.57	-2,037.95	-735.93	
	FFO	27,000	Dec 23, 19	Apr 03, 20	3,971.44	5,702.79		-1,731.35	
	FFO	37,000	Jan 27, 20	Apr 14, 20	6,466.03	7,990.35		-1,524.32	
	FFO	3,000	Feb 10, 20	Apr 14, 20	524.27	650.73		-126.46	
	FFO	36,000	Mar 02, 20	Apr 14, 20	6,291.27	8,985.54	2,037.95	-2,694.27	
SWATCH GROUP AG ADR	FFO	378,000	Oct 16, 19	Mar 05, 20	4,195.71	5,000.90		-805.19	
	FFO	1,435,000	Jan 10, 20	Mar 05, 20	15,928.15	19,817.35		-3,889.20	
	FFO	355,000	Feb 06, 20	Mar 05, 20	3,940.41	4,636.83		-696.42	
SWISS RE LTD SPON ADR	FFO	204,000	May 14, 19	Mar 18, 20	2,831.48	4,526.76		-1,695.28	
TAISHO PHARMACEUTICAL HLDGS CO LTD UNSPONSORED ADR	FFO	327,000	Apr 11, 19	Mar 05, 20	4,944.13	7,636.09		-2,691.96	
	FFO	386,000	May 16, 19	Mar 05, 20	5,836.19	8,403.10		-2,566.91	
	FFO	398,000	Nov 25, 19	Mar 05, 20	6,017.63	7,234.25		-1,216.62	
	FFO	237,000	Feb 10, 20	Mar 05, 20	3,583.36	4,459.94		-876.58	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	VSP	657,000	Jul 12, 19	Mar 05, 20	15,284.25	15,442.11		-157.86	
	FFO	311,000	Jul 29, 19	Mar 18, 20	3,963.61	5,218.02		-1,254.41	
	VSP	654,000	Jan 24, 20	Mar 05, 20	15,230.76	16,956.60		-1,725.84	
	VSP	216,000	Jan 30, 20	Mar 05, 20	3,852.27	4,236.82		-384.55	
	VSP	73,000	Mar 02, 20	Mar 05, 20	1,301.93	1,296.75		5.18	

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
 Primary account name: Spring Trust
 Account number: 423-928-7144800-729-4948

Your Financial Advisor:
 WJP FINANCIAL GROUP
 423-928-7144800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TC ENERGY CORP CAD	FRD	21,000	Feb 21, 19	Feb 03, 20	1,139.84	928.81			211.03
	FRD	278,800	May 21, 19	Feb 03, 20	15,089.33	13,626.81			1,462.52
TESCO PLC SPONS ADR UNITED KINGDOM	FRD	558,000	Jul 29, 19	Mar 18, 20	4,517.30	4,681.56		-164.26	
	VSP	51,000	Apr 07, 20	Jun 26, 20	6,273.41	5,423.07			850.34
THEMNO FISHER SCIENTIFIC INC	FRD	6,000	Mar 19, 20	Jun 08, 20	2,095.37	1,716.20			379.17
	FRD	17,000	Mar 19, 20	Jun 09, 20	5,938.14	4,862.57			1,075.57
	FRD	4,000	Mar 19, 20	Oct 30, 20	1,873.49	1,144.14			729.35
TX COS INC NEW	FRD	128,000	Aug 22, 19	Jan 17, 20	8,008.29	6,931.89			1,076.40
	FRD	139,000	Aug 22, 19	Jan 17, 20	8,696.51	7,628.68			1,067.83
	FRD	23,000	Jul 17, 19	Jan 21, 20	1,201.82	1,259.27			305.35
TORCO CO	FRD	376,000	Jan 10, 20	Mar 05, 20	16,331.20	20,895.53		-4,564.33	
	FRD	100,000	Jan 24, 20	Mar 05, 20	4,343.40	5,242.83		-899.43	
	VSP	937,000	Feb 03, 20	Mar 04, 20	41,394.67	45,688.92		-4,094.25	
TRACTOR SUPPLY COMPANY	FRD	108,000	Oct 02, 19	Mar 12, 20	8,254.31	9,803.47		-1,549.16	
	FRD	58,000	Oct 02, 19	Mar 16, 20	4,009.77	5,264.83		-1,255.06	
	FRD	197,000	Jan 17, 20	Mar 16, 20	13,687.32	18,256.68		-4,569.36	
TRANSLUNION	FRD	81,000	Apr 07, 20	May 01, 20	6,247.64	5,379.64			868.00
	FRD	90,000	Apr 07, 20	Jul 27, 20	8,085.81	5,977.37			2,108.44
UBS GROUP AG CHF	FRD	415,000	Jul 30, 19	Mar 05, 20	4,361.60	4,664.06		-302.46	
	FRD	2,134,000	Jan 10, 20	Mar 05, 20	22,428.06	27,774.01		-5,345.95	
UNILEVER NV NY SHS NEW NETHERLANDS SPON**EXCHANGE:	FRD	33,000	Aug 13, 19	Mar 04, 20	1,862.95	1,903.92		-39.96	
	FRD	246,000	Aug 16, 19	Mar 04, 20	13,885.01	14,644.06		-749.05	
UNITHEALTH GROUP INC	FRD	5,000	Mar 19, 20	May 19, 20	1,465.77	1,088.90			376.87
	FRD	5,000	Mar 19, 20	Aug 19, 20	1,586.13	1,088.90			497.23
UNITED TECHNOLOGIES CORP **NAMECHANGE: 04/2020**	FRD	16,000	Jun 27, 19	Feb 05, 20	2,484.54	2,063.71			420.83
	FRD	53,000	Jun 27, 19	Feb 26, 20	7,488.71	6,336.04			652.67

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
Friendly account name: Suring Trust
Account number:

Your Financial Advisor
WFP FINANCIAL GROUP
423-928-7144/800-723-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
US BANKCORP DE (NEW)									
FFO		50,000	Jun 27, 19	Mar 02, 20	6,554.41	6,449.10			105.31
FFO		25,000	Apr 16, 19	Feb 05, 20	1,379.92	1,245.70			134.22
VALERO ENERGY CORP NEW									
FFO		13,000	Sep 12, 19	Feb 28, 20	828.78	1,087.70		-258.92	
Adjustment		33,005	Apr 06, 20	Nov 23, 20	557.16	489.61			67.55
Adjustment		1,116	Sep 25, 20	Nov 23, 20	18.85	16.78			2.07
VULCAN MATERIALS CO NEW (HOLDING COMPANY)									
FFO		112,000	Nov 14, 19	Feb 27, 20	13,687.85	15,844.30		-2,156.45	
WALT DISNEY CO (HOLDING CO) DISNEY COM									
VSP		100,000	Jul 11, 19	Mar 04, 20	11,623.75	14,403.74		-2,779.99	
VSP		7,000	Jul 24, 19	Mar 04, 20	813.66	987.68		-174.02	
FFO		97,000	Apr 07, 20	May 11, 20	10,485.63	9,996.33			489.30
FFO		54,000	Apr 07, 20	May 12, 20	5,766.87	5,584.87			201.90
FFO		48,000	Apr 07, 20	May 13, 20	4,941.52	4,946.64		-5.12	
WASTE MGMT INC NEW									
Adjustment		8,000	Mar 02, 20	Mar 17, 20	870.54	870.54	-53.15		
FFO		54,000	Mar 16, 20	Mar 24, 20	2,440.91	2,174.24			266.67
FFO		240,000	Mar 16, 20	Mar 27, 20	11,678.76	9,663.29			2,015.47
WESTERN UNION CO									
FFO		2,000	May 06, 20	Jun 19, 20	43.79	38.71			5.08
FFO		17,000	May 06, 20	Dec 21, 20	371.95	328.03			42.92
WHIRLPOOL CORP									
FFO		117,000	Mar 13, 19	Jan 07, 20	17,261.59	16,625.52			636.07
FFO		102,000	Mar 13, 19	Mar 04, 20	13,260.01	14,494.05		-1,234.04	
FFO		116,000	Apr 24, 19	Mar 04, 20	15,080.02	16,159.35		-1,079.33	
FFO		5,000	Sep 26, 19	Mar 04, 20	650.00	776.80		-126.80	
FFO		7,000	Feb 03, 20	Mar 04, 20	910.00	1,045.45		-135.45	
FFO		7,000	Apr 06, 20	Jun 19, 20	901.58	648.60			251.98
FFO		99,000	Apr 06, 20	Sep 14, 20	17,493.83	9,187.20			8,306.63
FFO		69,000	Apr 06, 20	Oct 09, 20	14,036.10	6,403.20			7,632.90
WILLIAMS SONOMA INC									
FFO		424,000	Mar 23, 20	May 13, 20	27,456.19	15,985.69			11,470.50
FFO		31,000	Apr 06, 20	May 13, 20	2,007.41	1,410.50			596.91

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Strategic Wealth Portfolio

Account name: DONALD R. EAMES
 Friendly account name: Steven T. ...
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
WALL TOWERS WATSON RUB LTD CO	FFO	34,000	Feb 27, 20	Mar 04, 20	6,878.11	6,907.41		-29.30	366.19
	FFO	31,000	Apr 07, 20	Apr 09, 20	5,933.79	5,567.60			366.19
Total					\$8,811.90	\$12,475.02		-4,663.12	\$287,141.26

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
ABBIE INC COM	FFO	5,000	Sep 16, 19	Dec 21, 20	513.54	353.72			159.82
AES CORP	FFO	97,000	May 07, 18	Mar 16, 20	1,121.30	1,121.30		-62.68	
	Adjustment					832.83		-9.38	360.63
	FFO	69,000	May 07, 18	Mar 23, 20	832.83	832.83			190.05
	FFO	750,000	May 07, 18	May 06, 20	9,515.12	9,154.49			22.55
	FFO	489,000	May 07, 18	May 06, 20	6,158.77	5,968.72			19.05
	FFO	15,000	May 07, 18	Jun 19, 20	205.64	183.09			22.55
	FFO	745,000	May 07, 18	Oct 09, 20	14,219.72	9,093.45			5,126.27
	FFO	4,000	Dec 03, 18	Oct 09, 20	76.35	62.52			13.83
	FFO	1,011,000	Jun 06, 19	Oct 09, 20	19,296.83	17,122.09			2,174.74
	FFO	77,000	Mar 18, 20	Oct 09, 20	1,469.69	728.38		49.76	741.31
	FFO	49,000	Mar 18, 20	Oct 09, 20	935.26	439.27		6.66	495.99
	FFO	20,000	Mar 18, 20	Oct 09, 20	381.73	189.50		12.92	192.23
	FFO	20,000	Apr 06, 20	Oct 09, 20	381.74	274.02		2.72	107.72
AGILENT TECHNOLOGIES INC	FFO	19,000	Jul 22, 19	Aug 04, 20	1,849.42	1,316.04			533.38
ALUSTATE CORP	FFO	140,000	Jan 28, 19	Mar 17, 20	12,286.44	12,005.42			281.02
AMERICA MOVI S.A. DE C.V. SER L SPON ADX	FFO	923,000	Jan 04, 17	Mar 18, 20	11,947.33	11,737.51			209.82
AT&T INC	FFO	125,000	Feb 11, 19	Mar 17, 20	4,203.92	3,710.45			493.47
	FFO	703,000	Feb 11, 19	Jul 27, 20	20,601.66	20,867.57			-265.91
BANK OF AMER CORP	FFO	142,000	Jul 24, 17	Apr 23, 20	3,127.37	3,408.77			-281.40

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 W&P FINANCIAL GROUP
 423-528-7144/800-725-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Long (\$)	Gain (\$)
BANCAVIS PLC ADR	FFO	465,000	Apr 04, 16	Mar 05, 20	3,203.82	4,025.78		-821.96	
	FFO	457,000	Oct 16, 18	Mar 05, 20	3,148.71	3,950.77		-802.06	
BASF SE SPON ADR	FFO	641,000	Dec 21, 18	Mar 05, 20	9,441.79	10,958.66		-1,516.87	
BCE INC NEW CAD	FFO	5,000	Jan 28, 19	Jun 19, 20	212.94	212.44			0.50
	FFO	284,000	Jan 28, 19	Sep 14, 20	12,058.48	12,066.45		-7.97	
	FFO	7,000	Jan 28, 19	Sep 25, 20	287.21	297.41		-10.20	
	FFO	285,000	Jan 28, 19	Dec 14, 20	12,795.56	12,108.94		686.62	
BP PLC SPON ADR	FFO	398,000	Dec 28, 15	Mar 18, 20	6,389.75	12,636.50		-6,246.75	
	FFO	160,000	Feb 12, 16	Mar 18, 20	2,568.74	4,505.60		-1,936.86	
	FFO	21,000	Mar 24, 16	Mar 18, 20	337.15	637.36		-300.41	
	FFO	23,000	Jun 17, 16	Mar 18, 20	369.26	749.34		-380.08	
	FFO	22,000	Sep 16, 16	Mar 18, 20	353.20	730.84		-377.64	
	FFO	22,000	Dec 16, 16	Mar 18, 20	353.20	806.52		-453.32	
	FFO	22,000	Mar 31, 17	Mar 18, 20	353.20	756.14		-402.94	
	FFO	21,000	Jun 23, 17	Mar 18, 20	337.15	731.22		-394.07	
BROADCOM INC	Adjustment	4,000	Dec 03, 18	Mar 16, 20	777.12	777.12	-183.04		183.84
	FFO	1,000	Dec 03, 18	Dec 21, 20	426.37	242.53			
CARREFOUR SA SPON ADR	FFO	1,656,000	Jul 16, 18	Mar 18, 20	5,034.79	5,226.07		-191.28	
	FFO	241,000	Jul 26, 18	Mar 18, 20	732.72	772.53		-39.81	
CELANESE CORP NEW	FFO	120,000	Jan 28, 19	Mar 04, 20	11,347.42	11,590.62		-243.20	
	VSP	108,000	Aug 27, 12	Mar 17, 20	3,710.82	2,002.30		1,688.62	
CISCO SYSTEMS INC	VSP	6,000	Aug 27, 12	Mar 18, 20	218.03	115.56		102.47	
	FFO	4,000	Aug 27, 12	Jun 19, 20	185.59	77.04		108.55	
	FFO	5,000	Aug 27, 12	Dec 21, 20	221.49	96.30		125.19	
	VSP	7,000	Jun 06, 13	Mar 17, 20	247.39	171.96		75.43	
	VSP	13,000	Apr 03, 18	Mar 04, 20	525.48	533.25		-7.77	
CITICORP INC	FFO	29,000	Oct 21, 13	Jan 09, 20	2,324.41	1,481.19		843.22	
	FFO	17,000	Oct 22, 13	Jan 09, 20	1,362.59	864.24		498.35	
	FFO	2,000	Oct 22, 13	Apr 20, 20	90.07	90.07	-11.61		

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Strategic Wealth Portfolio

Account name: DONALD R. LEANES
 Friendly account name: Swing Trd
 Account number:

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-6948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	When sold cost basis adjustment(s)	Loss (\$)	Gain (\$)
CITIZENS FINANCIAL GROUP INC									
VSP		432,000	Jun 28, 19	Mar 02, 20	13,955.28	15,196.40	-26.41	-1,241.12	
FFO		1,107,000	Jun 28, 19	Mar 04, 20	34,041.38	39,196.00		-5,154.62	
FFO		8,000	Feb 03, 20	Mar 04, 20	276.76	367.42	26.41	-90.66	
COCA COLA EUROPEAN PARTNERS EUR									
FFO		23,000	Jun 26, 19	Mar 16, 20	1,013.53	1,013.53	-266.75		
Adjustment		20,000	Jun 28, 19	Mar 23, 20	605.25	605.25	-282.87		
FFO		2,000	Jun 28, 19	Jun 19, 20	80.03	89.81		-9.78	
FFO		836,000	Jun 28, 19	Aug 17, 20	34,001.12	37,541.16		-3,540.04	
FFO		23,000	Mar 18, 20	Aug 17, 20	935.43	962.13	228.99	-26.70	
FFO		14,000	Mar 18, 20	Aug 17, 20	569.40	645.74	205.01	-76.34	
FFO		6,000	Mar 18, 20	Aug 17, 20	244.03	248.63	59.74	-4.60	
FFO		6,000	Apr 06, 20	Aug 17, 20	244.03	333.14	87.86	-89.11	
COMCAST CORP NEW CL A									
FFO		324,000	Jun 29, 18	Jun 27, 20	14,348.40	10,720.23			3,628.17
FFO		238,000	Jun 29, 18	Mar 16, 20	8,808.19	7,874.74			933.45
FFO		160,000	Jun 29, 18	Mar 17, 20	5,950.51	5,293.94			656.57
COMPAGNIE DE SAINT-GOBAIN ADR									
FFO		2,698,000	Nov 20, 18	Mar 18, 20	10,203.34	18,905.86		-8,702.52	
FFO		1,158,000	Dec 20, 18	Mar 18, 20	4,379.35	7,582.80		-3,203.45	
CONOCOMILIPS									
FFO		39,000	Aug 30, 17	Jun 21, 20	2,498.79	1,689.32			809.47
FFO		144,000	Aug 30, 17	Feb 14, 20	8,401.75	6,237.48			2,164.27
FFO		297,000	Aug 30, 17	Jul 27, 20	11,788.19	12,864.79		-1,096.60	
CREDIT SUISSE GROUP SPON ADR									
FFO		395,000	Jun 14, 16	Mar 05, 20	3,534.21	3,953.00		-418.79	
FFO		2,143,000	Jun 28, 19	Mar 05, 20	22,606.36	25,310.25		-2,703.89	

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Strategic Wealth Portfolio

Account name: DONALD R. LEAVES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4846

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CROWN CASTLE INTL CORP									
CRH PLC IRELAND SPON ADR	FIFO	460,000	Nov 19, 18	Mar 18, 20	8,371.82	12,751.33		-4,358.51	
	FIFO	164,000	Dec 06, 18	Mar 18, 20	2,984.73	4,245.76		-1,261.03	
DAI NIPPON PRINTING CO									
	FIFO	11,000	Jun 06, 17	Mar 16, 20	1,341.07	926.49			614.58
	FIFO	7,000	Jun 06, 17	Mar 22, 20	854.69	588.58			265.11
	FIFO	107,000	Jun 06, 17	Apr 09, 20	17,356.04	9,012.19			8,343.85
	FIFO	1,000	Jun 06, 17	Jun 19, 20	166.28	84.23			82.05
	FIFO	2,000	Jun 05, 17	Dec 21, 20	305.15	168.45			136.70
EATON CORP PLC									
	FIFO	165,000	Aug 27, 12	Feb 04, 20	2,318.55	1,194.60			1,123.95
	FIFO	141,000	Jun 06, 13	Feb 04, 20	1,981.30	1,147.74			833.56
	FIFO	384,000	Jun 06, 13	Mar 18, 20	3,574.96	3,125.76			449.20
	FIFO	770,000	Jan 13, 15	Mar 18, 20	7,168.54	6,765.68			402.86
ENBRIDGE INC CAD									
	FIFO	240,000	Jan 12, 15	Feb 21, 20	25,154.94	12,757.79			12,397.15
	FIFO	10,000	Jan 12, 15	Mar 16, 20	783.88	531.57			252.11
	FIFO	1,000	Jun 12, 15	Mar 23, 20	60.78	53.16			7.62
	FIFO	6,000	Oct 20, 15	Mar 23, 20	364.67	253.73			110.94
	FIFO	1,000	Oct 20, 15	Jun 19, 20	88.52	42.28			46.24
	FIFO	14,000	Oct 20, 15	Aug 20, 20	1,398.49	741.76	124.57		654.73
	FIFO	60,000	Oct 20, 15	Aug 20, 20	5,984.93	2,537.27			3,447.66
	VSP	185,000	Dec 21, 15	Feb 14, 20	19,132.76	7,451.55			11,681.21
	FIFO	222,000	Jan 29, 19	Aug 20, 20	22,144.25	14,713.69			7,430.56
ENBRIDGE INC CAD									
	FIFO	2,000	Dec 18, 18	Jan 08, 20	79.30	61.94			17.36
	FIFO	38,000	Dec 18, 18	Mar 16, 20	1,034.72	1,034.72	-142.16		
	Adjustment	27,000	Dec 18, 18	Mar 23, 20	664.73	664.73	-171.48		
	FIFO	9,000	Dec 18, 18	Jun 19, 20	287.72	278.74			8.98
	FIFO	10,000	Dec 18, 18	Dec 21, 20	318.39	309.71			8.68
ENVI SPON ADR									
	FIFO	2,631,000	Jan 04, 17	Mar 18, 20	28,861.43	33,860.61		-4,999.18	
ENV SPN IT SPON ADR									
	FIFO	240,000	Feb 16, 16	Mar 05, 20	5,806.46	6,346.97		-540.51	
	FIFO	195,000	Nov 14, 16	Mar 05, 20	4,717.74	5,152.88		-435.14	

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Strategic Wealth Portfolio

Account name: DONALD R LEAVES
 Primary account name: David T. Lee
 Account number:

Your Financial Advisor
 WFP FINANCIAL GROUP
 423-828-7144/806-728-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
EXELON CORP									
FFO		30,000	Oct 26, 18	Jan 27, 20	1,420.59	1,316.56			104.03
FIDELITY NATIONAL FINANCIAL INC									
FFO		261,000	Nov 19, 18	Feb 07, 20	11,955.12	8,385.59			3,569.53
FFO		332,000	Nov 19, 18	Feb 21, 20	14,586.59	10,666.73			3,920.20
FFO		4,000	Nov 19, 18	Jun 19, 20	128.75	128.52			0.23
VSP		230,000	Nov 19, 18	Nov 10, 20	7,828.06	7,389.60			438.46
VSP		155,000	Mar 25, 19	Nov 10, 20	5,275.44	5,674.60		-399.16	
VSP		207,000	Mar 26, 19	Nov 10, 20	7,045.25	7,595.37		-550.12	
VSP		78,000	Mar 27, 19	Nov 10, 20	2,654.74	2,915.54		-260.80	
GAZPROM PSC (LEVEL 1 ADR) PROGRAM (RUSSIA) SPON ADR									
FFO		1,782,000	Dec 18, 17	Mar 18, 20	7,280.31	8,002.85		812.54	
FFO		1,000	Apr 30, 19	Jun 19, 20	74.50	64.75			9.75
FFO		2,000	Apr 30, 19	Dec 21, 20	115.87	129.51		-13.54	
GLAXO SMITHKLINE PLC ADR									
FFO		1,284,000	Dec 18, 17	Mar 18, 20	43,353.30	45,478.86		-2,125.56	
FFO		118,000	Jan 12, 18	Mar 18, 20	3,984.18	4,425.59		-441.41	
FFO		1,875,000	Dec 03, 18	Mar 05, 20	18,299.60	23,250.00		-4,950.40	
HUNTINGTON BANCSHARES									
Adjustment		42,000	Nov 19, 18	Jan 09, 20	610.88	617.16	-10.21	-6.28	
FFO		2,989,000	Nov 19, 18	Mar 04, 20	37,308.78	44,648.19		-7,339.41	
FFO		6,000	Dec 03, 18	Mar 04, 20	74.89	86.94		-12.05	
FFO		26,000	Feb 03, 20	Mar 04, 20	324.53	369.71	10.21	-45.18	
INTEL CORP									
FFO		55,000	Apr 18, 13	Jan 21, 20	3,335.75	1,213.25			2,122.50
FFO		79,000	Apr 18, 13	Mar 17, 20	3,927.70	1,742.67			2,185.03
INTESA SANPAOLO SPON ADR									
FFO		1,794,000	Nov 20, 18	Mar 18, 20	16,199.64	22,961.74		-6,762.10	
FFO		98,000	Aug 27, 12	Jul 27, 20	14,398.16	6,636.56			7,761.60
JOHNSON & JOHNSON COM									
FFO		56,000	Aug 27, 12	Jan 09, 20	7,688.81	2,081.52			5,607.29
VSP		339,000	Jun 25, 19	Oct 21, 20	33,383.11	36,088.25		-2,705.14	
KANSAS CITY STPH NEW									
FFO		16,000	Jun 08, 17	Jan 21, 20	2,647.79	1,573.10			1,074.69
FFO		4,000	Jun 08, 17	Mar 17, 20	484.34	393.27			91.07
FFO		94,000	Jun 08, 17	Apr 07, 20	13,065.24	9,241.96			3,823.28

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
Primary account name: Swire Trust
Account number: 1111

Your Financial Advisor:
WILL FINANCIAL GROUP
423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LAMAR ADVERTISING CO NEW CL A									
RFO		8,000	Oct 15, 14	Mar 16, 20	424.39	352.20			72.19
RFO		8,000	Oct 15, 14	Mar 23, 20	204.59	204.59	-59.57		
RFO		116,000	Oct 15, 14	Apr 02, 20	4,694.68	5,106.92		-412.24	
RFO		158,000	May 07, 18	Apr 02, 20	6,394.49	10,297.71		-3,903.22	
RFO		3,000	Nov 19, 18	Apr 02, 20	121.41	229.64		-108.23	
RFO		6,000	Mar 18, 20	Apr 02, 20	242.83	294.71	59.57	-51.88	
LOCKHEED MARTIN CORP									
RFO		5,000	Jan 28, 19	Mar 17, 20	1,587.29	1,432.06			155.23
RFO		5,000	Jan 28, 19	Apr 01, 20	1,659.20	1,432.05			227.15
RFO		3,000	Jan 28, 19	Apr 09, 20	1,112.61	859.23			253.38
RFO		16,000	Jan 28, 19	Jun 09, 20	6,644.14	4,582.56			2,061.58
LYONDELBASEL INDUSTRIES NV SHS - A - CL A EUR									
RFO		240,000	Jan 12, 15	Mar 04, 20	17,261.09	18,287.84		-1,026.75	
RFO		115,000	Dec 30, 15	Mar 04, 20	8,270.94	10,173.49		-1,902.55	
RFO		234,000	Jan 28, 19	Mar 04, 20	16,829.56	20,144.97		-3,315.41	
NEOTRONIC PLC									
RFO		29,000	May 10, 18	Jan 21, 20	3,487.76	2,674.45			1,013.31
RFO		3,000	May 10, 18	Mar 17, 20	237.53	237.53	-18.45		
RFO		30,000	May 10, 18	Mar 24, 20	2,401.27	2,401.27	-158.51		
RFO		32,000	May 10, 18	Apr 09, 20	3,233.82	2,730.44			503.38
RFO		189,000	May 10, 18	Jul 27, 20	18,216.46	16,126.63			2,089.83
RFO		22,000	Apr 16, 19	Jul 27, 20	2,120.44	1,914.12			206.32
RFO		30,000	Mar 11, 20	Jul 27, 20	2,891.50	2,948.56	158.51	-57.06	
RFO		3,000	Mar 11, 20	Jul 27, 20	289.15	297.45	18.45	-8.30	
NETSCOUT SYSTEMS INC									
RFO		316,000	Jan 28, 19	Mar 04, 20	13,633.52	14,155.25		-521.73	
NOVARTIS TAVAN PHARMIA CORP									
RFO		180,000	Jan 06, 14	Mar 18, 20	2,989.73	2,528.53			461.20
RFO		370,000	Apr 23, 14	Mar 18, 20	6,145.57	5,006.29			1,139.28
RFO		1,044,000	Nov 21, 18	Mar 18, 20	17,340.45	15,153.66			2,186.79
RFO		292,000	Feb 22, 19	Mar 18, 20	4,850.02	4,034.21			815.81

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
 Primary account number: 54467 Trak
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR									
RFO		620,000	Aug 27, 12	Mar 05, 20	2,861.24	2,863.00		-21.76	
RFO		1,173,000	Aug 27, 12	Mar 18, 20	3,968.40	5,454.45		-1,486.05	
RFO		620,000	Mar 25, 14	Mar 18, 20	2,097.54	3,242.23		-1,144.69	
RFO		850,000	Feb 23, 16	Mar 18, 20	2,875.66	3,527.50		-651.84	
MOBILE TELESYSTEMS PISC SPON ADR									
RFO		1,547,000	Dec 09, 18	Mar 18, 20	9,732.58	11,614.41		-1,881.83	
MONDELEZ INTL INC									
RFO		42,000	May 10, 18	Mar 17, 20	2,025.41	1,638.41			387.00
RFO		2,000	May 10, 18	Apr 09, 20	104.86	78.02			26.84
RFO		235,000	May 10, 18	Jul 28, 20	13,080.73	9,167.33			3,913.40
MORGAN STANLEY									
RFO		62,000	Jan 28, 19	Mar 17, 20	2,058.04	2,618.10		-560.06	
RFO		71,000	Jan 25, 19	Apr 09, 20	2,914.65	2,998.14		-83.49	
RFO		337,000	Jan 28, 19	Jul 28, 20	17,057.72	14,230.64			2,827.08
MS&AD INS GROUP HLDGS ADR									
RFO		488,000	Jan 24, 14	Mar 18, 20	8,556.38	6,145.09		-598.70	
RFO		400,000	Jul 09, 14	Mar 18, 20	4,554.42	4,662.52		-108.10	
RFO		565,000	Nov 19, 14	Mar 18, 20	6,488.11	6,101.77			386.34
RFO		327,000	Nov 21, 18	Mar 18, 20	3,723.24	4,980.59		-1,257.35	
NXP SEMICONDUCTORS NV COM EUR									
RFO		93,000	Jan 28, 19	Mar 18, 20	5,984.42	7,865.76		-1,881.34	
OLD REPUBLIC INTL CORP									
RFO		11,000	Jan 16, 18	Jun 19, 20	182.70	221.66		-38.96	
RFO		21,000	Jan 16, 18	Dec 21, 20	381.48	423.17		-41.69	
VSP		6,000	Nov 19, 18	Mar 04, 20	125.65	131.32		-5.67	
ORACLE CORP									
RFO		237,000	Jan 17, 19	Mar 02, 20	11,790.87	11,510.19			280.68
RFO		80,000	Feb 21, 19	Mar 02, 20	3,980.04	4,160.54		-180.50	
RFO		220,000	Feb 21, 19	Mar 04, 20	10,844.24	11,441.47		-597.23	
ORANGE SPON ADR									
RFO		335,000	Feb 20, 13	Mar 18, 20	3,731.82	3,437.50			294.32
RFO		255,000	Jun 21, 13	Mar 18, 20	2,840.64	2,470.22			370.42
RFO		400,000	Jun 21, 13	Mar 18, 20	4,455.90	3,882.95			572.95
PETROLEO BRASILEIRO SA PETROBRAS NON VTG SPON ADR									
RFO		1,043,000	Dec 28, 15	Mar 18, 20	4,436.20	3,583.75			852.45

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Strategic Wealth Portfolio

Account name: DONALD R. JEANES
 Friendly account name: Saving Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4846

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FINANCIAL SERVICES GROUP									
FINANCIAL SERVICES GROUP	FFO	47,000	Mar 02, 20	Jul 27, 20	4,932.73	8,431.74	2,374.50	-3,499.01	
FINANCIAL SERVICES GROUP	FFO	202,000	Dec 28, 15	Mar 18, 20	5,878.02	7,808.24		-1,930.22	
PUBLIC SERVICE ENTERPRISE GROUP INC									
PUBLIC SERVICE ENTERPRISE GROUP INC	FFO	127,000	Sep 22, 14	Jul 10, 20	6,433.95	4,825.55			1,608.40
PUBLIC SERVICE ENTERPRISE GROUP INC	FFO	38,000	Sep 22, 14	Jul 28, 20	1,988.49	1,443.87			544.62
PUBLIC SERVICE ENTERPRISE GROUP INC	FFO	67,000	Sep 25, 14	Jul 28, 20	3,506.04	2,524.31			981.73
PUBLIC SERVICE ENTERPRISE GROUP INC	FFO	13,000	Oct 16, 15	Jul 28, 20	690.27	564.66			125.61
QUEST DIAGNOSTICS INC									
QUEST DIAGNOSTICS INC	FFO	153,000	Jan 07, 16	Feb 12, 20	17,076.48	10,455.51			6,620.97
REGIONS FINANCIAL CORP									
REGIONS FINANCIAL CORP	FFO	551,000	Nov 01, 18	Mar 04, 20	7,825.57	9,455.10		-1,629.53	
REGIONS FINANCIAL CORP	FFO	1,203,000	Nov 19, 18	Mar 04, 20	17,085.59	19,688.28		-2,592.69	
REGIONS FINANCIAL CORP	FFO	66,000	Dec 08, 18	Mar 04, 20	937.37	1,100.21		-162.84	
RENEWANCE GROUP AMER INC NEW									
RENEWANCE GROUP AMER INC NEW	FFO	28,000	Jan 28, 19	Feb 26, 20	3,876.10	4,145.44		-269.34	
RENEWANCE GROUP AMER INC NEW	FFO	54,000	Jan 28, 19	Feb 26, 20	6,726.27	7,994.78		-1,268.51	
RENEWANCE GROUP AMER INC NEW	FFO	115,000	Jan 28, 19	Mar 02, 20	14,575.47	17,025.91		-2,450.44	
REPSOL SA SPON ADR									
REPSOL SA SPON ADR	FFO	1,263,000	Dec 28, 15	Mar 05, 20	14,031.75	14,510.73		-478.98	
REPSOL SA SPON ADR	FFO	48,000	Jan 26, 17	Mar 05, 20	544.38	683.20		-138.82	
ROCHE HDG LTD SPONS ADR									
ROCHE HDG LTD SPONS ADR	FFO	347,000	Feb 08, 19	Feb 21, 20	15,240.77	11,705.73			3,535.04
ROYAL DUTCH SHELL PLC									
ROYAL DUTCH SHELL PLC	FFO	261,000	Dec 21, 15	Mar 16, 20	6,745.06	11,399.82		-4,654.76	

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
 Friendly account name: Swing Trade
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-6946

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(1)	Loss (\$)	Gain (\$)
SABRE CORP									
FFO		4,000	Dec 18, 17	Jan 09, 20	91.44	81.78			9.66
FFO		482,000	Dec 18, 17	Jan 14, 20	11,016.58	10,058.94			957.64
FFO		706,000	Dec 18, 17	Jan 15, 20	15,935.86	14,434.17			1,501.69
FFO		25,000	Apr 03, 18	Jan 15, 20	564.30	518.73			45.57
FFO		9,000	May 08, 18	Jan 15, 20	203.15	205.65		-2.50	
FFO		11,000	Nov 19, 18	Jan 15, 20	248.29	268.60		-20.31	
FFO		4,000	Dec 03, 18	Jan 15, 20	90.29	104.52		-14.23	
SANOFI SPON ADR									
FFO		94,000	Feb 24, 16	Mar 16, 20	3,983.60	3,572.55			411.05
FFO		130,000	Mar 23, 16	Mar 16, 20	5,509.22	5,135.73			373.49
FFO		26,000	Mar 22, 18	Mar 16, 20	1,101.85	1,028.03			73.82
FFO		164,000	Mar 22, 18	Mar 18, 20	6,747.96	6,484.50			263.46
FFO		184,000	May 10, 18	Mar 18, 20	7,570.88	6,971.45			599.43
FFO		653,000	Jan 28, 19	Mar 18, 20	26,868.40	27,442.71		-574.31	
SCHNEIDER ELEC SE UNSPONSORED ADR									
FFO		387,000	Dec 28, 15	Jan 17, 20	8,066.38	4,346.26			3,720.12
FFO		102,000	Dec 28, 15	Mar 18, 20	1,473.87	1,145.52			328.35
FFO		805,000	Jan 28, 19	Mar 18, 20	7,297.09	7,017.77			279.32
SHINHAN FINANCIAL HLDG CO SPON ADR									
FFO		515,000	Dec 28, 15	Mar 05, 20	13,864.11	18,091.95		-4,227.84	
SUMITOMO MITSUBI TRUST HOLDINGS SPON ADR									
FFO		6,360,000	Jan 01, 16	Mar 05, 20	20,821.54	22,362.39		-1,540.85	
SURGUTNEFTGAS PISC SPON ADR FFO SPON ADR									
FFO		923,000	Jan 16, 17	Mar 18, 20	4,079.66	4,356.84		-277.18	
VSP		4,102,000	Dec 18, 17	Mar 05, 20	19,976.71	20,284.11		-307.40	
FFO		27,000	Jan 04, 13	Mar 18, 20	374.75	486.94		-112.19	
FFO		393,000	Sep 07, 17	Mar 18, 20	5,454.76	8,582.18		-3,127.42	
FFO		244,000	Jan 22, 18	Mar 18, 20	3,386.67	5,363.66		-1,976.99	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR									
FFO		28,000	Jan 27, 17	Mar 16, 20	1,288.81	874.17			414.64
FFO		20,000	Jan 27, 17	Mar 23, 20	898.59	624.41			274.18
FFO		4,000	Jan 27, 17	Jan 19, 20	224.75	124.88			99.87

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Strategic Wealth Portfolio

Account name: DONALD R LEAVES
 Friendly account name: Spring Trust
 Account number:

Your Financial Advisor:
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TAKEDA PHARMACEUTICAL CO LTD SPON ADR									
VSP		468,000	Jan 09, 19	Mar 05, 20	8,946.60	8,903.70		-57.10	
FIFO		189,000	Dec 18, 17	Feb 21, 20	22,115.22	12,058.22			10,057.00
FIFO		3,000	May 08, 18	Feb 21, 20	351.03	208.23			142.80
FIFO		2,000	Nov 19, 18	Feb 21, 20	234.03	157.64			76.39
FIFO		20,000	Feb 13, 19	Feb 21, 20	2,340.23	1,452.85			887.38
FIFO		10,000	Feb 13, 19	Mar 16, 20	970.00	726.33			243.67
FIFO		6,000	Feb 13, 19	Mar 23, 20	588.74	495.79			93.95
FIFO		1,000	Feb 13, 19	Jun 19, 20	121.30	72.64			48.66
FIFO		2,000	Feb 13, 19	Dec 21, 20	343.03	145.26			197.77
TELECOM ITALIA SPA NEW REFSIG 10 ORD SIS SPON ADR									
FIFO		5,862,000	Jan 28, 19	Mar 18, 20	22,627.41	30,659.58		-8,032.17	
TELEFONICA BRASIL SA **EXCHANGE: 11/2020** SPON ADR									
FIFO		835,000	Dec 28, 15	Mar 13, 20	8,840.45	7,930.75			909.70
TESCO PLC SPONS ADR UNITED KINGDOM									
FIFO		666,000	Jun 19, 17	Mar 18, 20	5,391.62	4,426.24			965.38
FIFO		2,040,000	Jan 28, 19	Mar 18, 20	16,514.86	18,050.53		-1,535.67	
TEXAS INSTRUMENTS									
FIFO		13,000	Jan 28, 19	Mar 16, 20	1,317.39	1,317.39	-14.07		
Adjustment		9,000	Jan 28, 19	Mar 23, 20	878.22	878.22	-43.56		
FIFO		2,000	Jan 28, 19	Jun 19, 20	254.26	204.84			49.42
FIFO		3,000	Jan 28, 19	Dec 21, 20	483.83	307.26			176.57
TOKIO CO									
FIFO		197,000	Jul 17, 19	Jul 27, 20	14,018.70	13,672.39			346.31
URS GROUP AG CHF									
FIFO		10,000	Aug 27, 12	Mar 05, 20	105.10	112.70		-7.60	
UNITED TECHNOLOGIES CORP **NAMECHANGE: 04/2020**									
FIFO		45,000	Dec 21, 15	Feb 05, 20	6,987.78	4,198.91			2,788.87

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Strategic Wealth Portfolio

Account name: DONALD R JEANES
Identify account name: Saving Thrift
Account number: N

Your Financial Advisor:
WLP FINANCIAL GROUP
472-528-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale adjustment (\$)	Loss (\$)	Gain (\$)
US BANKCORP DEL (NEW)									
PFO		254,000	Aug 16, 16	Feb 05, 20	14,020.03	10,947.76			3,072.27
VALERO ENERGY CORP NEW									
PFO		143,000	Jan 28, 19	Feb 28, 20	9,116.56	11,500.40		-2,383.84	
VERIZON COMMUNICATIONS INC									
PFO		35,000	May 10, 18	Apr 19, 20	1,981.67	1,645.93			335.74
PFO		160,000	May 10, 18	Jul 27, 20	9,088.81	7,524.24			1,564.57
VSP		29,000	Jun 04, 18	Mar 16, 20	1,498.69	1,393.34			105.35
VSP		21,000	Jun 04, 18	Mar 23, 20	1,075.39	1,008.96			66.43
VSP		6,000	Jun 04, 18	Jun 19, 20	341.57	288.28			53.29
VSP		8,000	Jun 04, 18	Dec 21, 20	475.43	384.37			91.06
VAATIS INC									
Adjustment		0.744	Aug 27, 12	Nov 17, 20	12.18	7.48			4.70
Adjustment		0.196	Nov 30, 16	Nov 17, 20	3.22	2.64			0.58
Adjustment		51.792	Nov 30, 16	Nov 23, 20	874.91	694.36			179.55
Adjustment		22.096	Jul 14, 17	Nov 23, 20	372.84	310.37			62.47
WALT DISNEY CO (HOLDING CO) DISNEY COM									
Adjustment		9,000	Jan 28, 19	Mar 17, 20	839.19	839.19	-152.13	-153.10	
PFO		9,000	Apr 07, 20	May 13, 20	926.53	1,079.63	152.13		
WALMORISON SUPERMARKETS PLC ADR									
PFO		2,040,000	Dec 28, 15	Mar 05, 20	24,193.87	23,520.39			673.48
WPP PLC NEW SPON ADR									
PFO		661,000	Jan 28, 19	Mar 05, 20	30,587.10	38,102.22		-7,515.12	
Total					\$1,629,789.11	\$1,993,190.51			\$183,731.69
Net long-term capital gains or losses									
Net capital gains/losses:									
-\$400,853.68									
\$24,633.60									



UBS Financial Services Inc.
214 E. Mountcastle Drive
Suite 1-A
Johnson City TN 37601-2509

CPZ100306285 1220 K14 JV 0

2020 Year End Summary

CLARINDA P ROE

Account name: CLARINDA P ROE

Friendly account name: Clarinda IRA

Account type: Individual Retirement Account

Account number:

Your Financial Address:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

Summary of gains and losses

	Amount (\$)
Short term	463.84
Long term	5,678.33
Total	\$6,142.17



Portfolio Management Program

Account name: CLARDA F ROE
 Primary account name: Clarinda RA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-728-4848

Realized gains and losses

Estimated 2020 gains and losses for transactions with trade dates through 12/31/20 have been incorporated into this statement. Please note that gains or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we include the oldest security lot first. This is known as the "first-in, first-out" or FIFO accounting method. We use this method unless you specified which lot to deem when you placed your order. This is known as a "specific purchase" or "VSP" order.

See Important Information at end of your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short-term or long-term because information is missing, or the products are in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Which sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
IShares BROAD USD INT GRADE CORPORATE BOND ETF									
FPO		74,000	Apr 15, 20	Nov 05, 20	4,536.85	4,313.46			223.39
FPO		6,000	Jun 04, 20	Nov 05, 20	367.85	355.08			12.77
IShares INT RATE HEDGED CORPORATE BOND ETF									
FPO		59,000	Apr 15, 20	Jun 25, 20	5,250.88	5,254.78		-3.90	
FPO		5,000	Jun 04, 20	Jun 25, 20	444.99	448.09		-3.10	
IShares MSCI USA MIN VOL FACTOR ETF									
FPO		15,000	May 02, 19	Apr 15, 20	871.35	891.60		-20.25	
IShares TRUST ISHARES 1-5 YEAR INT GRADE CORPORATE BOND ETF									
FPO		18,000	Apr 15, 20	Jun 25, 20	983.32	967.49		15.83	
FPO		168,000	Apr 15, 20	Nov 05, 20	9,225.05	9,029.90		195.15	
IShares 3-7 YEAR TREAS BOND ETF									
FPO		15,000	May 02, 19	Apr 15, 20	2,000.62	1,835.22		165.40	
TEMPLETON GLOBAL BOND ADV									
FPO		7,658	Apr 15, 19	Mar 17, 20	78.27	87.76		-9.49	
FPO		54,137	May 02, 19	Mar 17, 20	553.28	618.24		-64.96	
FPO		8,089	May 15, 19	Mar 17, 20	82.67	90.84		-8.17	
FPO		7,414	Jun 17, 19	Mar 17, 20	75.77	83.18		-7.41	
FPO		8,258	Jul 15, 19	Mar 17, 20	84.39	93.65		-9.26	
FPO		7,596	Aug 15, 19	Mar 17, 20	77.64	81.58		-3.94	
FPO		5,938	Sep 16, 19	Mar 17, 20	60.68	63.54		-2.86	
FPO		6,777	Oct 15, 19	Mar 17, 20	69.26	72.04		-2.78	
FPO		7,071	Nov 15, 19	Mar 17, 20	72.27	74.95		-2.68	
FPO		16,162	Dec 16, 19	Mar 17, 20	165.17	169.70		-4.53	
FPO		7,723	Jan 15, 20	Mar 17, 20	78.59	81.48		-2.89	
FPO		7,104	Feb 18, 20	Mar 17, 20	72.61	74.88		-2.27	

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Portfolio Management Program

Account name: CLARINDA P ROE
 Friendly account name: Clarinda PRA
 Account type: Individual Retirement Account
 Account number: 422-928-7144800-729-4948

Your Financial Advisor:
 WLP FINANCIAL GROUP
 422-928-7144800-729-4948

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
Total	FFO	7,745	Mar 16, 20	Mar 17, 20	\$25,281.00	\$24,767.16		-513.84	\$513.84

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL BOND	FFO	186,635	Jul 05, 16	Apr 15, 20	1,702.11	1,866.35		-164.24	
BLACKROCK HIGH YIELD PORTFOLIO INSTITUTIONAL BOND	FFO	400,565	Jan 23, 17	Apr 15, 20	2,779.92	3,076.34		-296.42	
ISHARES FLOATING RATE BOND ETF	FFO	137,000	Apr 18, 17	Apr 15, 20	6,805.03	6,965.39		-160.36	
	FFO	9,000	May 16, 17	Apr 15, 20	447.04	457.56		-10.52	
	FFO	79,000	Jan 16, 19	Apr 15, 20	3,924.07	3,996.61		-72.54	
	FFO	49,000	Jan 16, 19	Apr 15, 20	2,433.91	2,478.91		-45.00	
ISHARES MSCI INTL MULTIFACTOR ETF	FFO	1,000	Jun 06, 18	Jun 04, 20	23.57	29.59		-6.02	
	FFO	243,000	Jun 07, 18	Jun 04, 20	5,728.50	7,181.98		-1,453.48	
ISHARES MSCI USA MIN VOL FACTOR ETF	FFO	89,000	May 02, 19	Nov 05, 20	5,787.99	5,290.15			497.84
ISHARES TRUST ISHARES 1-5 YEAR INVY GRADE CORPORATE BOND ETF	FFO	11,000	Jan 16, 19	Jun 25, 20	600.92	570.02			30.90
	FFO	38,000	Jan 16, 19	Jun 25, 20	2,075.89	1,969.16			106.73
	FFO	12,000	Feb 14, 19	Jun 25, 20	655.54	626.25			29.29
	FFO	25,000	May 02, 19	Jun 25, 20	1,365.72	1,314.69			51.03
ISHARES 1-3 YR TREAS BOND ETF	FFO	8,000	Jan 23, 17	Apr 15, 20	693.35	676.16			17.19
	FFO	3,000	May 16, 17	Apr 15, 20	260.00	253.57			6.43

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Portfolio Management Program

Account name: CLARINA P ROE
 Friendly account names: Charles JFA
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ISHARES 3-7 YEAR TREAS BOND ETF									
PFO		20,000	Oct 24, 17	Apr 15, 20	2,513.39	2,444.40			68.99
PFO		19,000	Oct 24, 17	Apr 15, 20	2,534.11	2,336.62			197.49
PFO		16,800	Feb 14, 19	Apr 15, 20	2,400.74	2,189.84			210.90
JANUS HENDERSON BALANCED FUND CLASS I SHARES									
PFO		43,277	Jul 05, 16	Apr 15, 20	1,477.03	1,236.86			240.17
PFO		12,495	Jul 05, 16	Nov 05, 20	501.16	357.11			144.05
NEUBERGER BERMAN GENESIS INSTITUTIONAL									
PFO		11,952	Dec 14, 12	Jun 04, 20	695.62	595.85			99.67
PFO		17,018	Mar 27, 13	Jun 04, 20	930.45	953.69			36.77
PFO		12,141	Mar 27, 13	Nov 05, 20	811.04	680.39			130.65
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
PFO		226,000	Oct 16, 14	Jun 04, 20	6,408.09	5,962.28			445.81
SPDR S&P MIDCAP 400 ETF									
PFO		7,000	Oct 16, 14	Jun 04, 20	2,352.65	1,654.42			698.23
PFO		1,000	Oct 16, 14	Nov 05, 20	369.01	236.34			132.67
TEMPLETON GLOBAL BOND ADV									
PFO		7,849	Oct 13, 09	Mar 17, 20	88.22	99.23		-19.01	
PFO		1,667	Oct 19, 09	Mar 17, 20	17.04	21.12		-4.08	
PFO		2,622	Oct 19, 09	Mar 17, 20	26.80	33.24		-6.44	
PFO		1,666	Nov 18, 09	Mar 17, 20	17.03	21.21		-4.18	
PFO		2,619	Nov 18, 09	Mar 17, 20	26.77	33.36		-6.59	
PFO		1,687	Dec 17, 09	Mar 17, 20	17.24	21.28		-4.04	
PFO		2,653	Dec 17, 09	Mar 17, 20	27.13	33.47		-6.34	
PFO		1,651	Jan 20, 10	Mar 17, 20	16.87	21.37		-4.50	
PFO		2,595	Jan 20, 10	Mar 17, 20	26.53	33.59		-7.06	
PFO		1,668	Feb 18, 10	Mar 17, 20	17.05	21.44		-4.39	
PFO		2,622	Feb 18, 10	Mar 17, 20	26.80	33.71		-6.91	
PFO		1,631	Mar 17, 10	Mar 17, 20	16.68	21.51		-4.83	
PFO		2,565	Mar 17, 10	Mar 17, 20	26.22	33.82		-7.60	
PFO		1,594	Apr 19, 10	Mar 17, 20	16.30	21.58		-5.28	
PFO		2,508	Apr 19, 10	Mar 17, 20	25.64	33.94		-8.30	

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Portfolio Management Program

Account names: CLAIRDA P ROE
Friendly account names: CLAIRDA IRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WLP FINANCIAL GROUP
423-928-7144/800-725-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity of face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
RFO		1,819	May 19, 10	Mar 17, 20	18.59	24.05		-5.46	
RFO		2,861	May 19, 10	Mar 17, 20	29.24	37.83		-8.59	
RFO		1,858	Jun 17, 10	Mar 17, 20	19.00	24.14		-5.14	
RFO		2,923	Jun 17, 10	Mar 17, 20	29.88	37.98		-8.10	
RFO		1,862	Jul 19, 10	Mar 17, 20	19.04	24.23		-5.19	
RFO		2,929	Jul 19, 10	Mar 17, 20	29.94	38.12		-8.18	
RFO		1,823	Aug 20, 10	Mar 17, 20	16.63	24.32		-5.69	
RFO		2,868	Aug 20, 10	Mar 17, 20	29.32	38.27		-8.95	
RFO		1,809	Sep 17, 10	Mar 17, 20	18.49	24.43		-5.94	
RFO		2,845	Sep 17, 10	Mar 17, 20	29.08	38.41		-9.33	
RFO		1,779	Oct 19, 10	Mar 17, 20	18.19	24.52		-6.33	
RFO		2,797	Oct 19, 10	Mar 17, 20	28.59	38.54		-9.95	
RFO		1,781	Nov 17, 10	Mar 17, 20	18.20	24.14		-5.94	
RFO		2,801	Nov 17, 10	Mar 17, 20	28.63	37.96		-9.33	
RFO		6,587	Dec 17, 10	Mar 17, 20	67.33	88.14		-20.81	
RFO		10,359	Dec 17, 10	Mar 17, 20	105.88	138.62		-32.74	
RFO		1,818	Jan 20, 11	Mar 17, 20	18.58	24.55		-5.97	
RFO		2,860	Jan 20, 11	Mar 17, 20	29.24	38.62		-9.38	
RFO		1,826	Feb 17, 11	Mar 17, 20	18.66	24.66		-6.00	
RFO		2,871	Feb 17, 11	Mar 17, 20	29.35	38.77		-9.42	
RFO		111,280	Mar 10, 11	Mar 17, 20	1,137.29	1,507.78		-370.49	
RFO		1,804	Mar 17, 11	Mar 17, 20	18.44	24.11		-5.67	
RFO		3,250	Mar 17, 11	Mar 17, 20	33.22	43.43		-10.21	
RFO		1,747	Apr 19, 11	Mar 17, 20	17.86	24.20		-6.34	
RFO		3,147	Apr 19, 11	Mar 17, 20	32.17	43.59		-11.42	
RFO		1,786	May 16, 11	Mar 17, 20	18.05	24.28		-6.23	
RFO		3,182	May 16, 11	Mar 17, 20	32.52	43.76		-11.24	
RFO		78,769	Jun 01, 11	Mar 17, 20	805.03	1,094.77		-289.74	
RFO		1,767	Jun 15, 11	Mar 17, 20	18.06	24.37		-6.31	

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Portfolio Management Program

Account name: CLAUDIO F ROE
 Friendly account name: Claudio F Va
 Account type: Individual Retirement Account
 Account number:

Your Financial Advisor:
 WFP FINANCIAL GROUP
 423-928-7144/800-729-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FFO		3,469	Jun 15, 11	Mar 17, 20	35.45	47.84		-12.39	
FFO		2,109	Jul 11, 11	Mar 17, 20	21.56	29.31		-7.75	
FFO		4,993	Jul 11, 11	Mar 17, 20	51.04	69.41		-18.37	
FFO		1,774	Jul 15, 11	Mar 17, 20	18.13	24.55		-6.42	
FFO		3,487	Jul 15, 11	Mar 17, 20	35.64	48.26		-12.62	
FFO		1,800	Aug 15, 11	Mar 17, 20	18.40	24.65		-6.25	
FFO		3,537	Aug 15, 11	Mar 17, 20	36.16	48.43		-12.27	
FFO		1,864	Sep 15, 11	Mar 17, 20	19.05	24.74		-5.69	
FFO		3,663	Sep 15, 11	Mar 17, 20	37.44	48.61		-11.17	
FFO		1,918	Oct 19, 11	Mar 17, 20	19.61	24.83		-5.22	
FFO		3,770	Oct 19, 11	Mar 17, 20	38.53	48.79		-10.26	
FFO		1,945	Nov 17, 11	Mar 17, 20	19.89	24.93		-5.04	
FFO		3,823	Nov 17, 11	Mar 17, 20	39.07	48.98		-9.91	
FFO		10,089	Dec 19, 11	Mar 17, 20	103.11	123.73		-20.62	
FFO		3,224	Dec 19, 11	Mar 17, 20	32.96	39.54		-6.58	
FFO		19,822	Dec 19, 11	Mar 17, 20	202.58	243.08		-40.50	
FFO		6,335	Dec 19, 11	Mar 17, 20	64.75	77.69		-12.94	
FFO		2,038	Jan 17, 12	Mar 17, 20	20.83	25.68		-4.85	
FFO		4,004	Jan 17, 12	Mar 17, 20	40.93	50.47		-9.54	
FFO		1,145	Feb 15, 12	Mar 17, 20	11.70	15.03		-3.33	
FFO		2,244	Feb 15, 12	Mar 17, 20	22.94	29.45		-6.51	
FFO		1,145	Mar 15, 12	Mar 17, 20	11.71	15.09		-3.38	
FFO		2,244	Mar 15, 12	Mar 17, 20	22.94	29.56		-6.62	
FFO		1,170	Apr 16, 12	Mar 17, 20	11.96	15.14		-3.18	
FFO		2,294	Apr 16, 12	Mar 17, 20	23.46	29.68		-6.22	
FFO		1,206	May 15, 12	Mar 17, 20	12.33	15.19		-2.86	
FFO		2,366	May 15, 12	Mar 17, 20	24.18	29.79		-5.61	
FFO		1,217	Jun 15, 12	Mar 17, 20	12.44	15.26		-2.82	
FFO		2,386	Jun 15, 12	Mar 17, 20	24.39	29.90		-5.51	
FFO		1,190	Jul 16, 12	Mar 17, 20	12.17	15.32		-3.15	

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Portfolio Management Program

Account name: CLARINDA P ROE
 Friendly account name: Clarinda Roe
 Account type: Individual
 Account number: 1

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4648

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RFO		2,331	Jul 16, 12	Mar 17, 20	23.83	30.02		-6.19	
RFO		1,168	Aug 15, 12	Mar 17, 20	11.94	15.38		-3.44	
RFO		2,283	Aug 15, 12	Mar 17, 20	23.40	30.14		-6.74	
RFO		0,926	Sep 17, 12	Mar 17, 20	9.48	12.35		-2.87	
RFO		1,815	Sep 17, 12	Mar 17, 20	18.55	24.20		-5.65	
RFO		2,734	Oct 15, 12	Mar 17, 20	27.94	36.66		-8.72	
RFO		2,747	Nov 15, 12	Mar 17, 20	28.08	36.78		-8.70	
RFO		17,744	Dec 17, 12	Mar 17, 20	181.34	234.41		-53.07	
RFO		0,118	Dec 17, 12	Mar 17, 20	1.21	1.57		-0.36	
RFO		11,859	Dec 17, 12	Mar 17, 20	121.21	156.67		-35.46	
RFO		2,836	Jan 15, 13	Mar 17, 20	28.99	38.06		-9.07	
RFO		2,840	Feb 15, 13	Mar 17, 20	29.03	38.18		-9.15	
RFO		2,847	Mar 15, 13	Mar 17, 20	29.10	38.30		-9.20	
RFO		319,525	Mar 27, 13	Mar 17, 20	3,265.54	4,287.95		-1,022.41	
RFO		3,778	Apr 15, 13	Mar 17, 20	38.62	51.16		-12.54	
RFO		3,756	May 15, 13	Mar 17, 20	38.41	51.30		-12.89	
RFO		3,944	Jun 17, 13	Mar 17, 20	40.31	51.44		-11.13	
RFO		2,542	Jul 15, 13	Mar 17, 20	25.99	33.06		-7.07	
RFO		2,585	Aug 15, 13	Mar 17, 20	26.42	33.18		-6.73	
RFO		2,567	Sep 16, 13	Mar 17, 20	26.25	33.25		-7.00	
RFO		2,542	Oct 15, 13	Mar 17, 20	25.98	33.36		-7.38	
RFO		24,480	Nov 06, 13	Mar 17, 20	250.29	320.35		-70.06	
RFO		2,643	Nov 15, 13	Mar 17, 20	27.02	34.43		-7.41	
RFO		5,807	Dec 16, 13	Mar 17, 20	59.35	75.56		-16.21	
RFO		0,205	Dec 16, 13	Mar 17, 20	2.11	2.68		-0.57	
RFO		2,866	Jan 15, 14	Mar 17, 20	27.25	34.78		-7.53	
RFO		2,717	Feb 18, 14	Mar 17, 20	27.77	34.89		-7.12	
RFO		2,729	Mar 17, 14	Mar 17, 20	27.89	34.99		-7.10	
RFO		2,022	Apr 15, 14	Mar 17, 20	20.67	26.33		-5.66	

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Portfolio Management Program

Account name: CLARITA P ROE
 Friendly account name: CLARITA P ROE
 Account type: Individual Retirement Account
 Account number: 00000000000000000000

Your Financial Advisor
 WLP FINANCIAL GROUP
 423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FFO		2,004	May 15, 14	Mar 17, 20	20.49	26.39		-5.90	
FFO		1,992	Jun 15, 14	Mar 17, 20	20.36	26.45		-6.09	
FFO		2,189	Jul 15, 14	Mar 17, 20	22.47	29.25		-6.78	
FFO		184,488	Aug 15, 14	Mar 17, 20	1,885.47	2,448.16		-562.69	
FFO		2,182	Aug 15, 14	Mar 17, 20	22.30	28.95		-6.65	
FFO		2,676	Sep 15, 14	Mar 17, 20	27.35	35.48		-8.13	
FFO		2,700	Oct 15, 14	Mar 17, 20	27.59	35.24		-7.65	
FFO		2,635	Nov 17, 14	Mar 17, 20	26.93	34.65		-7.72	
FFO		42,874	Dec 15, 14	Mar 17, 20	438.17	526.92		-88.75	
FFO		2,429	Dec 15, 14	Mar 17, 20	24.83	29.85		-5.02	
FFO		2,830	Jan 15, 15	Mar 17, 20	29.94	36.21		-6.27	
FFO		2,902	Feb 17, 15	Mar 17, 20	29.66	35.98		-6.32	
FFO		2,950	Mar 16, 15	Mar 17, 20	30.15	36.29		-6.14	
FFO		2,923	Apr 15, 15	Mar 17, 20	29.87	36.39		-6.52	
FFO		2,942	May 15, 15	Mar 17, 20	30.07	36.48		-6.41	
FFO		2,987	Jun 15, 15	Mar 17, 20	30.53	36.47		-5.94	
FFO		2,984	Jul 15, 15	Mar 17, 20	30.60	36.68		-6.08	
FFO		3,129	Aug 17, 15	Mar 17, 20	31.97	36.77		-4.80	
FFO		3,172	Sep 15, 15	Mar 17, 20	32.42	36.54		-4.12	
FFO		3,157	Oct 15, 15	Mar 17, 20	32.27	36.87		-4.60	
FFO		3,132	Nov 16, 15	Mar 17, 20	32.01	36.86		-4.85	
FFO		77,653	Nov 18, 15	Mar 17, 20	793.61	924.07		-130.46	
FFO		3,432	Dec 15, 15	Mar 17, 20	35.07	39.61		-4.54	
FFO		3,592	Jan 15, 16	Mar 17, 20	36.71	39.58		-2.87	
FFO		3,630	Feb 16, 16	Mar 17, 20	37.10	39.46		-2.36	
FFO		3,536	Mar 15, 16	Mar 17, 20	36.14	39.82		-3.68	
FFO		3,504	Apr 15, 16	Mar 17, 20	35.81	39.81		-4.00	
FFO		2,455	May 16, 16	Mar 17, 20	25.09	27.69		-2.60	
FFO		36,900	May 27, 16	Mar 17, 20	377.12	417.34		-40.22	
FFO		2,581	Jun 15, 16	Mar 17, 20	26.38	28.44		-2.06	

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Portfolio Management Program

Account name: CLARINDA P ROE
Identify account name: Clarinda PRA
Account type: Individual Retirement Account
Account number:

Your Financial Advisor:
WFP FINANCIAL GROUP
423-928-7144/800-729-4948

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RFO	RFO	2,478	Jul 15, 16	Mar 17, 20	25.32	28.40		-3.08	
RFO	RFO	2,501	Aug 15, 16	Mar 17, 20	25.56	28.46		-2.90	
RFO	RFO	2,558	Sep 15, 16	Mar 17, 20	26.15	28.39		-2.24	
RFO	RFO	2,497	Oct 17, 16	Mar 17, 20	25.52	28.57		-3.05	
RFO	RFO	2,515	Nov 15, 16	Mar 17, 20	25.70	28.49		-2.79	
RFO	RFO	2,400	Dec 15, 16	Mar 17, 20	24.53	28.80		-4.27	
RFO	RFO	3,108	Jan 17, 17	Mar 17, 20	31.76	36.39		-4.63	
RFO	RFO	17,042	Jan 23, 17	Mar 17, 20	174.17	200.92		-26.75	
RFO	RFO	2,721	Feb 15, 17	Mar 17, 20	27.81	33.33		-5.52	
RFO	RFO	3,098	Mar 15, 17	Mar 17, 20	31.66	36.07		-4.41	
RFO	RFO	3,593	Apr 17, 17	Mar 17, 20	36.72	44.55		-7.83	
RFO	RFO	3,666	May 15, 17	Mar 17, 20	37.47	45.72		-8.25	
RFO	RFO	3,265	Jun 15, 17	Mar 17, 20	39.37	40.00		-6.63	
RFO	RFO	3,769	Jul 17, 17	Mar 17, 20	38.51	46.40		-7.89	
RFO	RFO	3,243	Aug 15, 17	Mar 17, 20	33.15	39.31		-6.16	
RFO	RFO	3,153	Sep 15, 17	Mar 17, 20	32.22	38.47		-6.25	
RFO	RFO	3,037	Oct 16, 17	Mar 17, 20	31.04	36.72		-5.68	
RFO	RFO	3,040	Nov 15, 17	Mar 17, 20	31.07	36.27		-5.20	
RFO	RFO	10,467	Dec 15, 17	Mar 17, 20	106.97	125.39		-18.42	
RFO	RFO	3,228	Jan 16, 18	Mar 17, 20	32.09	38.51		-5.92	
RFO	RFO	2,883	Feb 15, 18	Mar 17, 20	29.47	34.05		-4.58	
RFO	RFO	4,125	Mar 15, 18	Mar 17, 20	42.15	48.63		-6.48	
RFO	RFO	4,899	Apr 16, 18	Mar 17, 20	50.07	58.35		-8.28	
RFO	RFO	6,009	May 15, 18	Mar 17, 20	61.41	70.61		-9.20	
RFO	RFO	5,978	Jun 15, 18	Mar 17, 20	61.10	68.87		-7.77	
RFO	RFO	6,489	Jul 16, 18	Mar 17, 20	66.32	75.73		-9.41	
RFO	RFO	6,162	Aug 15, 18	Mar 17, 20	62.97	71.29		-8.32	
RFO	RFO	5,670	Sep 17, 18	Mar 17, 20	57.95	63.62		-5.67	
RFO	RFO	6,667	Oct 15, 18	Mar 17, 20	68.14	76.74		-8.60	

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Portfolio Management Program

Account name: CLARENDA F ROE
 Friendly account name: CLARENDA F ROE
 Account type: Individual Retirement Account
 Account number: JY 04636 FIV

Your Financial Advisors
 WLP FINANCIAL GROUP
 423-828-7144/800-779-4848

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VANGUARD GROWTH ETF	FFO	6,887	Nov 15, 18	Mar 17, 20	70.38	79.89		-9.51	
	FFO	32,651	Dec 17, 18	Mar 17, 20	333.69	368.63		-34.94	
	FFO	8,142	Jun 15, 19	Mar 17, 20	83.22	82.74		-0.52	
	FFO	72,054	Feb 14, 19	Mar 17, 20	736.39	833.66		-97.27	
	FFO	6,963	Feb 15, 19	Mar 17, 20	71.16	80.14		-8.98	
VANGUARD GROWTH ETF	FFO	7,421	Mar 15, 19	Mar 17, 20	75.84	84.90		-9.06	
	FFO	26,000	Oct 16, 14	Apr 15, 20	4,447.51	2,436.63			2,010.88
	FFO	32,000	Oct 16, 14	Jun 04, 20	6,275.22	2,998.93			3,276.29
	FFO	2,000	Aug 26, 15	Jun 04, 20	392.20	199.36			192.84
VIRTUS VONTAGE EMERGING MARKETS OPPORTUNITIES FUND CLASS I	FFO	22,000	Aug 26, 15	Nov 05, 20	5,289.89	2,192.93			3,096.96
	FFO	17,078	Aug 15, 14	Jun 04, 20	176.76	182.39			-5.63
	FFO	25,059	Aug 15, 14	Nov 05, 20	308.79	267.65			41.10
	FFO	44,373	Oct 16, 14	Nov 05, 20	546.67	441.07			105.60
Total					\$69,344.61	\$83,686.08			\$11,862.48
Net long-term capital gains or losses									\$5,678.33
Net capital gains/losses:									\$6,142.17