Filing ID #10055645

Financial Disclosure Report

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Filer Information

Name: Hon. Ruben Gallego
Status: Member
State/District: AZ03

Filing Information

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 08/12/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF]		None	Tax-Deferred		✓
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		None	Tax-Deferred		✓
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		None	Tax-Deferred		✓
Aspiration Checking Account [BA]		$1 - $1,000	Interest	$1 - $200	
Aspiration Fund Adviser LLC [PS] DESCRIPTION: Investment in company		$15,001 - $50,000	None		
Aspiration Redwood Fund [MF]		$1,001 - $15,000	Capital Gains	$2,501 - $5,000	✓
Chase Bank Checking Account [BA]	SP	$1 - $1,000	Interest	$1 - $200	
IRA Club ⇒		$15,001 - $50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Cash [IH]					
National Association of Realtors 401K ⇒ T Rowe Price Retirement 2055 Fund (TRRNX) [PE]	SP	$1,001 - $15,000	Tax-Deferred		☐
National Association of Realtors Pension Plan [DB]	SP	Undetermined	None		☐
PAC Common Founders Stock Series A [PS] Description: Business went defunct in 2021 and no longer exists.		None	None		☐
Rental of Real Property [RP] Location: Phoenix, AZ, US Description: Member rented his primary residence for two weeks for $1842.00.	JT	$500,001 - $1,000,000	Rent	$1,001 - $2,500	☑
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF] Description: Entirety of Strategies 360 401k was rolled-over into IRA Club IRA		None	Tax-Deferred		☑
UBS Simple IRA ⇒ JP Morgan Smart Retirement Fund 2055 (JFFCX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
United Services Auto Association Checking Account [BA]		$1 - $1,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		02/17/2021	S	$1,001 - $15,000	☐
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		02/17/2021	S	$1,001 - $15,000	☐
City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus Shares [MF]		02/12/2021	S	$15,001 - $50,000	☐
Nationwide 401K Plan City of Phoenix ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		02/17/2021	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		02/17/2021	S	$1,001 - $15,000	☐

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Schedule C: Earned Income

Source	Type	Amount
National Association of Realtors	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	USAA	June 2021	Personal Loan	$15,001 - $50,000
	American Express	June 2021	Revolving Credit Account	$15,001 - $50,000
	Citicard	June 2021	Revolving Credit Account	$10,000 - $15,000
JT	United Wholesale Mortgage	September 2021	Home Mortgage	$500,001 - $1,000,000
	Comments: Personal Residence was refinanced in 2021 with new lender United Wholesale Mortgage.			

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
January 2008	Myself and City of Phoenix	Pension that will provide benefit upon retirement
March 2019	Spouse and National Association of Realtors	Pension that will provide benefit upon retirement.
August 2019	Myself and Aspirations Fund Adviser LLC	Invested personal funds in return for non-publicly traded shares.

Schedule G: Gifts

Source	Description	Value
Spouse's Mother and Step-Father (Fullerton, CA, US)	Cash Wedding Gift	$10,000.00
Spouse's Father (Wildwood, FL, US)	Cash Wedding Gift	$5,000.00

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	**Start Date**	**End Date**	**Itinerary**	**Days at Own Exp.**	**Lodging?**	**Food?**	**Family?**
US-Qatar Business Council	03/29/2021	04/3/2021	Washington DC (IAD) - Doha, Qatar - Washington DC (IAD)	0	☑	☑	☑
National Association of Realtors	07/9/2021	07/11/2021	Washington DC - Greenbriar WV - Washington DC	0	☑	☑	☐

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- 401k Riester Public Relations
  Description: 401K from my work at Riester Public Relations
- City of Phoenix 401A
  Description: 401A account from my employment at the City of Phoenix.
- IRA Club
- National Association of Realtors 401K (Owner: SP)
- Nationwide 401K Plan City of Phoenix
  Description: 401K carried from employment at city
- Strategies 360 401K
  Description: 401k from my work at Strategies 360.
- UBS Simple IRA (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ruben Gallego , 08/12/2023