Filing ID #10054984

Financial Disclosure Report

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Filer Information

Name: Hon. Rick Larsen
Status: Member
State/District: WA02

Filing Information

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 07/7/2023

Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund) [RE]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameriprise One Financial Account/Ameriprise Insured Money Market [BA] Description: Money market account within the Ameriprise One Financial Account	JT	$1,001 - $15,000	Interest	$.34	☐
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie [RE] Description: Dividends reinvested back into the asset	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl A [MF] Description: After sale of Western Asset Intermediate Class C (See Transactions), remainder of Western Asset Intemediate Class C transferred to Class A. At end of year, a total of 1.162 shares values at $7.59 held in this fund.	JT	$1 - $1,000	Dividends	None	☐
DC2/AK Freedom ADV 529 [5P] Location: AK Description: reporting only to show we spent down the 529 to pay off education expenses. This asset will not be on the report for CY 22 Reported on transactions as a sale	DC	None	None		☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ ACC Cash Reserve Certificate [BA]	JT	$1,001 - $15,000	Interest	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Ameriprise Insured Money Market [BA] DESCRIPTION: Part of the Ameriprise SPS Advisor Fund within the RT.	JT	$1,001 - $15,000	Interest	$.11	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Columbia Strategic Muni Income Institutional [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/GS Dynamic Muni Income Investor [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Invesco Rochester Municipal Opptys Cl Y [MF] DESCRIPTION: purchased from sale of Eaton Vance asset	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Invesco Steelpath MLP Alpha C [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Lord Abbett National Tax Free Income CL F [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Cushing MLP Premier Class I [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/Mainstay Mackay Tax Free Bond Class I [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 1/PGIM Jennison MLP Class Z [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/American Century Focused Dynamic Growth Cl I [MF] DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Clearbridge Select Cl I [MF]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Federated Hermes Kaufmann Small Cap CL I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Fidelity Advisor Growth Opportunity CL I [MF]	JT	$1,001 - $15,000	Dividends	None	☐
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Invesco Global Opportunity CL Y [MF]	JT	$1,001 - $15,000	Dividends, Interest	None	☐
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/JP Morgan Emerging Markets Equity Cl I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Service Advisor Fund 2/Virtus Kar International Small Cap Cl I [MF]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: used 30k from ACC Cash Reserve certificate to create additional SPS managed account					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Condo Property, Everett WA [RP]	JT	$250,001 - $500,000	None		☐
LOCATION: Everett, WA, US DESCRIPTION: Created Revocable Living Trust on March 18, 2018. Moved Everett property to the RLT on March 27, 2018					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Federal Congressional Credit Union/Money Market Account [BA]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
DESCRIPTION: Established May 22, 2019, within the Revocable Living Trust because it gets a slightly higher return than the checking account					
Richard R Larsen IRA ⇒ Ameriprise Brokerage Account/Ameriprise Insured Money Market [BA]		$1,001 - $15,000	Interest	$.15	☐
DESCRIPTION: Money market account within IRA					
Richard R Larsen IRA ⇒ Ameriprise Brokerage Account/Black Creek Industrial REIT [RE]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
DESCRIPTION: Reported this asset last year, but was not reflected as part of a brokerage account. This is a more accurate reporting. Also, all income reinvested back into the IRA asset					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen IRA ⇒ Ameriprise Brokerage/Black Creek Industrial REIT IV [RE] DESCRIPTION: Dividends reinvested into the asset		$15,001 - $50,000	Dividends	$201 - $1,000	
Richard R Larsen IRA ⇒ BlackRock, Inc. (BLK) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1 - $1,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Abbott Laboratories (ABT) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Allstate Corporation (ALL) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ American Water Works Company, Inc. (AWK) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Amgen Inc. (AMGN) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Analog Devices, Inc. (ADI) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ AvalonBay Communities, Inc. (AVB) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Best Buy Co., Inc. (BBY) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Broadcom Inc. (AVGO) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Costco Wholesale Corporation (COST) [ST]		$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Duke Realty Corporation (DRE) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ EOG Resources, Inc. (EOG) [ST]		$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Fastenal Company (FAST) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Hartford Financial Services Group, Inc. (HIG) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Home Depot, Inc. (HD) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets Dividend income reinvested into IRA asset		$1 - $1,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Nasdaq, Inc. (NDAQ) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ NextEra Energy, Inc. (NEE) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Pioneer Natural Resources Company (PXD) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	✓
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ PNC Financial Services Group, Inc. (PNC) [ST] DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset		$1,001 - $15,000	Dividends	$1 - $200	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Prudential Financial, Inc. (PRU) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Texas Instruments Incorporated (TXN) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ TJX Companies, Inc. (TJX) [ST]		$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Tyson Foods, Inc. (TSN) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ United Parcel Service, Inc. (UPS) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Visa Inc. (V) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Waste Management, Inc. (WM) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
DESCRIPTION: RRL IRA in a SPS Advisor managed account in which we do not control selection of assets nor control the trades of the assets. Dividend income reinvested into IRA asset					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Allspring Special Small Cap Value Institutional [MF]		$1,001 - $15,000	Dividends	$1 - $200	✓
DESCRIPTION: Name change of fund from a Wells Fargo fund to Allspring reflected in Transactions report					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/American Century Mid Cap Value Cl I [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Ameriprise		$1,001 - $15,000	Interest	$.18	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank Insured Sweep Account [BA]					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Artisan Small Cap Investor Cl I [MF]		$1,001 - $15,000	None		☐
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Delaware Small Cap Core Cl I [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Value Line Mid Cap Focused Institutional [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Virtus Kar Mid Cap Growth Cl I [MF]		$1,001 - $15,000	None		☐
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU] DESCRIPTION: $350 monthly premium for life insurance totalling $4200 annually		$100,001 - $250,000	None		☑
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2 [MF] DESCRIPTION: Annuity that holds mutual fund. Retirement asset		$1,001 - $15,000	None		☐
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/Virtus Kar Intl Small Mid Cap Cl I [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	Dividends	$1 - $200	☑
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Ameriprise Bank Insured Sweep Account [BA]		$1,001 - $15,000	None		☐
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Clearbridge Select Cl I [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Fidelity Advisor Growth Opptys Cl I [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	None		☑
Tiia Ingrid Karlen IRA ⇒		$1,001 - $15,000	Dividends	$1 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameriprise Strategic Portfolio Service Advisor Fund/WCM Focused Emerging Markets Instl Cl [MF] DESCRIPTION: Asset created from sale of spouse TSCA				$200	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor/American Century Focused Dynamic Growth Cl I [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	Dividends	$1 - $200	✓
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor/Invesco Discovery CL Y [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	None		✓
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor/Invesco Global Opptys Cl Y [MF] DESCRIPTION: Asset created from sale of spouse TSCA		$1,001 - $15,000	None		✓
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Insured Money Market Account [BA]		$1 - $1,000	None		
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Clearbridge Intl Growth Cl I [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Fidelity Advisor Intl Small Cap Cl I [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Invesco Itnl Small Mid Company Cl Y [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Janus Henderson Global Equity Income Class I [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	
Tiia Ingrid Karlen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/PGIM Jennison Intl Opportunities Cl Z [MF]		$1,001 - $15,000	Dividends	$1 - $200	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Columbian Contrarian Core Class A [MF]	SP	05/13/2021	S	$15,001 - $50,000	☐
Description: Sale of a TSCA (an old retirement account) and purchase of spouse IRA. The original transaction placed assets into IRA brokerage account on 5/13 then those assets were placed into a mutual fund based IRA. The two IRAs are reflected on Schedule A and the purchase/sale of the assets within the IRAs is reflected in Schedule B					
DC2/AK Freedom ADV 529 [5P]	DC	03/23/2021	S	$1,001 - $15,000	☐
Location: AK Description: Use of 529 to pay educational expenses					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Advisor Fund 1/Eaton Vance Muni Opportunity Class I [MF]	JT	05/11/2021	S	$1,001 - $15,000	☐
Description: Sale of asset to purchase Invesco Rochester Municipal Opptys					
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Ameriprise Strategic Portfolio Advisor Fund 1/Invesco Rochester Municipal Opptys CL Y [MF]	JT	05/11/2021	P	$1,001 - $15,000	
Description: purchase of asset from sale of Eaton Vance					
Richard R Larsen IRA ⇒ Hartford Financial Services Group, Inc. (HIG) [ST]		01/7/2021	P	$962.00	
Description: sale of asset within IRA to rebalance the portfolio; less than $1k					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Allstate Corporation (ALL) [ST]		07/1/2021	P	$1,001 - $15,000	
Description: purchase of asset with IRA to rebalance portfolio					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ BlackRock, Inc. (BLK) [ST]		03/31/2021	P	$755.00	
Description: purchase of asset within IRA to rebalance the portfolio; less than $1k					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Costco Wholesale Corporation (COST) [ST]		03/31/2021	P	$1,001 - $15,000	
Description: purchase of asset with IRA to rebalance portfolio					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ CVS Health Corporation (CVS) [ST]		07/1/2021	S	$1,001 - $15,000	☐
Description: sale of asset with IRA to rebalance portfolio					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ DBA Sempra (SRE) [ST]		07/1/2021	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: sale of asset with IRA to rebalance portfolio					
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ EOG Resources, Inc. (EOG) [ST] DESCRIPTION: purchase of asset with IRA to rebalance portfolio		07/1/2021	P	$1,001 - $15,000	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Intel Corporation (INTC) [ST] DESCRIPTION: sale of asset with IRA to rebalance portfolio		01/7/2021	S	$1,001 - $15,000	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Kroger Company (KR) [ST] DESCRIPTION: sale of asset with IRA to rebalance portfolio		07/1/2021	S	$1,001 - $15,000	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Nasdaq, Inc. (NDAQ) [ST] DESCRIPTION: sale of asset within IRA to rebalance the portfolio; less than $1k		07/1/2021	S	$176.00	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Pepsico, Inc. (PEP) [ST] DESCRIPTION: sale of asset within RRL IRA for rebalance portfolio. Less than $1k		07/1/2021	S	$990.00	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Pioneer Natural Resources Company (PXD) [ST] DESCRIPTION: purchase of asset with IRA to rebalance portfolio		07/1/2021	P	$1,001 - $15,000	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Public Service Enterprise Group Incorporated (PEG) [ST] DESCRIPTION: sale of asset within IRA to rebalance portfolio; less than $1k		01/7/2021	S	$896.00	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ TJX Companies, Inc. (TJX) [ST] DESCRIPTION: purchase of asset with IRA to rebalance portfolio		07/1/2021	P	$1,001 - $15,000	
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Total SE (TOT) [ST] DESCRIPTION: sale of asset with IRA to rebalance portfolio		07/1/2021	S	$1,001 - $15,000	☐
Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account ⇒ Union Pacific Corporation (UNP) [ST] DESCRIPTION: sale of asset with IRA to rebalance portfolio		03/31/2021	S	$1,001 - $15,000	☐
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Allspring		10/11/2021	E	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Special Small Cap Value Institutional Cl [MF] DESCRIPTION: Replaced the Wells Fargo Special Small Cap Institutional asset in this particular Roth IRA but only as a name change. Has same market symbol of ESPNX as asset it replaced. As such, reporting it as an exchange rather than a purchase or sale					
Richard R Larsen Roth IRA ⇒ Ameriprise Strategic Portfolio Service Advisor Fund/Wells Fargo Special Small Cap Value Institutional [MF] DESCRIPTION: This particular asset was assigned a new name but has the same market symbol of ESPNX as the one that replaced it so I am reporting it as an exchange rather than a purchase or exchange		10/11/2021	E	$1,001 - $15,000	
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU] DESCRIPTION: monthly premium		Monthly	P	$350.00	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/American Century Focused Dynamic Growth Cl I [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/Clearbridge Select Cl I [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/Fidelity Advisor Growth Oppty Cl I [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/Invesco Global Opportunitys CL Y [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/Virtus Kar Intl Small Mid Cap Cl I [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Advisor Fund/WCM Focused Emerging Markets Instl CL [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account		05/17/2021	P	$1,001 - $15,000	
Tiia Ingrid Karlen IRA ⇒ Ameriprise Strategic Portfolio Service Advisor/Invesco Discovery		05/17/2021	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
CL Y [MF] DESCRIPTION: Establishment of mutual fund-based IRA from spouse IRA brokerage account					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

None disclosed.

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	$250,001 - $500,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	10/22/2021	10/24/2021	Washington, DC - Gettysburg, PA - Washington, DC	0	✓	✓	✓
Aspen Institute	09/9/2021	09/12/2021	Everett, WA - San Diego, CA - Everett, WA	0	✓	✓	✓

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Richard R Larsen and Tiia I Karlen Revocable Living Trust (Owner: JT)
  Description: Created Revocable Living Trust on March 18, 2018.
- Richard R Larsen IRA
- Richard R Larsen IRA ⇒ Ameriprise SPS Managed Account
- Richard R Larsen Roth IRA
- Tiia Ingrid Karlen IRA
- Tiia Ingrid Karlen Roth IRA

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?
○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Rick Larsen , 07/7/2023