



# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Andrew Garbarino  
**Status:** Member  
**State/District:** NY02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/10/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Andrew Roth IRA ⇒ ADNCX American Beacon [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ AGTHX Growth Fund of America [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ MDU Resources Group, Inc. Common Stock (MDU) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Roper Technologies, Inc. (ROP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Umpqua Holdings Corporation (UMPQ) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ VMCCX Virtus KAR Mid-Cap Core Fund C [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Andrew Roth IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Capital One Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MetLife Whole Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
NYS Deferred Compensation Plan 457(b) [OT]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth.					
PennMutual Whole Life [WU]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stock Account ⇒ Gap, Inc. (GPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stock Account ⇒ Tellurian Inc. (TELL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
TD Bank Account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Andrew Roth IRA ⇒ Capital World Grow and Income [OT]		06/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: Sale of Mutual Fund					
Andrew Roth IRA ⇒ Investment Company of America AIVSX [OT]		06/3/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: Sale of Mutual					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Andrew Roth IRA ⇒ New Perspective ANWPX [OT]  LOCATION: US DESCRIPTION: Sale of Mutual Fund		06/3/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Andrew Roth IRA ⇒ New World NEWFX [OT]  LOCATION: US DESCRIPTION: Sale of Mutual Fund		06/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Andrew Roth IRA ⇒ VMCCX [OT]  LOCATION: US DESCRIPTION: Purchase of Mutual Fund		06/7/2021	P	\$1,001 - \$15,000	
Stock Account ⇒ Bank of America Corporation (BAC) [ST]		04/23/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stock Account ⇒ Gap, Inc. (GPS) [ST]		10/1/2021	P	\$1,001 - \$15,000	
Stock Account ⇒ Golden Star Resources, Ltd (GSS) [ST]		04/23/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stock Account ⇒ OneSpaWorld Holdings Limited - Common Shares (OSW) [ST]		04/23/2021	P	\$1,001 - \$15,000	
Stock Account ⇒ OneSpaWorld Holdings Limited - Common Shares (OSW) [ST]		10/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Stock Account ⇒ Oramed Pharmaceuticals Inc. (ORMP) [ST]		04/23/2021	P	\$1,001 - \$15,000	
Stock Account ⇒ Oramed Pharmaceuticals Inc. (ORMP) [ST]		10/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stock Account ⇒ Tellurian Inc. (TELL) [ST]		10/1/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
United States of Americ	Salary	\$174,000.00

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Navient	September 2006- May 2009	Law school Loans	\$50,001 - \$100,000
	Ridgewood Savings Bank	May 2021	Home Mortgage Refinance	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

None disclosed.

### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2018	Andrew Garbarino and New York State	I have a 457(b) plan that is with New York State Deferred Compensation Office. This plan was funded with voluntary contributions from my pay when I was a member of the New York State Assembly. The balance of the account will sit in the 457(b) account until I withdraw it.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
United States Qatar Business Council	10/10/2021	10/16/2021	NYC - DOHA - NYC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Climate Solutions Foundation	11/6/2021	11/9/2021	NYC - Glasgow - JFK	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Republican Main Street Partnership	05/20/2021	05/22/2021	Washington DC - El Paso - NYC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Andrew Roth IRA
- Stock Account  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Andrew Garbarino , 08/10/2022